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Molecular MRD status and outcome after transplantation in *NPM1* mutated AML: results from the UK NCRI AML17 study

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Key points

Pre-transplant MRD level is highly predictive of outcome, thresholds of 200 copies / 10^5 *ABL* in PB and 1000 copies in BM are discriminatory.

Relapse in patients with pre-transplant MRD positivity below these levels is largely restricted to those with *FLT3* ITD.

Abstract

Relapse remains the most common cause of treatment failure for patients with acute myeloid leukaemia (AML) who undergo allogeneic stem cell transplantation (alloSCT) and carries a grave prognosis. Multiple studies have identified the presence of measurable residual disease (MRD) assessed by flow cytometry (FCM) prior to alloSCT as a strong predictor of relapse, but it is not clear how these findings apply to patients who test positive in molecular MRD assays which have far greater sensitivity. We analysed pre-transplant blood and bone marrow samples by reverse-transcription polymerase chain reaction (RT-qPCR) in 107 patients with *NPM1* mutant AML enrolled in the UK National Cancer Research Institute (NCRI) AML17 study. After a median follow-up of 4.9 years, patients with negative, low (<200 copies / 10^5 *ABL* in the PB and <1000 copies in the BM) and high levels of MRD had an estimated 2y overall survival (OS) of 83%, 63% and 13% respectively ($p < 0.0001$). Focussing on patients with low level MRD prior to alloSCT, those with *FLT3* ITD had significantly poorer outcome (hazard ratio, HR, 6.14, $p = 0.01$). Combining these variables was highly prognostic, dividing patients into two groups with 2y OS of 17% and 82% (HR 13.2, $p < 0.0001$). T-depletion was associated with significantly reduced survival both in the entire cohort (2y OS 56% vs 96%, HR 3.24, $p = 0.0005$) and in MRD positive patients (2y OS 34% vs 100%, HR 3.78, $p = 0.003$) but there was no significant effect of either conditioning regimen or donor source on outcome. Registered at ISRCTN (<http://www.isrctn.com/ISRCTN55675535>).

Introduction

Optimal therapy for patients with cytogenetically normal acute myeloid leukaemia (AML) remains controversial, particularly regarding the use of allogeneic stem cell transplantation (alloSCT)¹⁻³. Many recent studies have identified the presence of measurable residual disease detected by polymerase chain reaction (PCR)⁴⁻¹⁰, flow cytometry (FCM)¹¹⁻¹⁶ or next-generation sequencing (NGS)¹⁷⁻¹⁹ as a powerful predictor of outcome and MRD status is increasingly used to allocate patients for transplantation²⁰⁻²³, however peri-transplant management of MRD positive patients remains highly challenging.

Multiple studies have identified the presence of measurable residual disease (MRD) assessed by FCM²⁴⁻³², abnormal gene expression^{33,34} and NGS^{35,36} immediately prior to alloSCT as a strong predictor of adverse outcome; patients who test positive using these methods have a relapse risk of up to 69%³². As relapse after alloSCT carries a grave prognosis³⁷ there is growing interest in peri-transplant interventions to reduce or eliminate MRD, which might diminish relapse risk^{31,38}. In this regard, the effect of different conditioning regimens on the outcome of patients who are MRD positive remains uncertain^{28,39,40}.

Although the great majority of studies of pre-transplant MRD in AML have utilised FCM, over half of patients with cytogenetically normal AML harbour mutations in the gene encoding nucleophosmin (*NPM1*)^{41,42}. The recommended method for MRD assessment in these patients is reverse-transcription quantitative PCR (RT-qPCR)⁴³ which affords a sensitivity of $1:10^{-5}$ - $1:10^{-6}$ i.e. 100-1000 fold greater than that achieved by FCM or NGS⁴⁻¹⁰. Thus, the strongly adverse outcome reported in patients who are MRD positive using FCM and NGS may not be applicable to *NPM1* mutated patients with low level positivity by PCR. Despite this, few studies have examined the impact of pre-transplant *NPM1* MRD status^{44,45}. Absence of robust outcome data for these patients is a barrier both to rational clinical decision making and to planning interventional studies in this setting.

In this study, we report the outcomes of a large cohort of patients with *NPM1* mutated AML treated on the NCRI AML17 protocol who had pre-transplant molecular MRD assessment.

Methods

Patients.

Between 2009-2014 the NCRI AML17 study (ISRCTN 55675535) enrolled 3215 patients with non-M3 AML aged 16-77 eligible for intensive chemotherapy. This study was approved by the Medical Research Ethics Committee for Wales, and conducted in accordance with the Declaration of Helsinki. The treatment protocol has been described previously⁴⁶. Central screening for *NPM1* mutations was positive in 861/2949 (29%) and 530 of these provided serial samples for MRD monitoring. Paired blood (PB) and bone marrow aspirates (BM) were requested on regeneration after each cycle of chemotherapy and then every three months. Post-remission treatment was determined according to the validated NCRI risk score, with poor-risk patients recommended for allogeneic stem-cell transplantation (alloSCT) during first complete remission (CR1). Further information regarding calculation of the NCRI risk score is provided in the supplementary appendix. For patients receiving a transplant, additional samples were requested prior to alloSCT, at D+30 and D+100 and then at three-monthly intervals for at least two years. For this study, pre-SCT results were included if the sample was taken within 60 days before transplant and the patient had not received any further therapy between sampling and the start of conditioning. Results were issued to treating clinicians from June 2012 only (i.e. 51/107 patients) and after this time patients could be treated for confirmed re-emergent or persistent molecular positivity.

Amplification of *NPM1* mutated transcripts.

Samples were analysed by RT-qPCR as previously described⁴. Briefly, RNA was isolated using Trizol reagent (Life Technologies, Carlsbad, CA) and reverse transcribed using ThermoScript (Life Technologies). Primer and probe sets described by Gorello et al⁷ were used to amplify *NPM1* type A, B and D mutant transcripts and patient specific primers were designed to detect rare mutations. Samples were run in triplicate using an ABI 7900 thermocycler (Life Technologies) with parallel amplification of a control gene (*ABL*). Samples with *ABL* cycle threshold of ≥ 30 were excluded. Criteria proposed by the Europe Against Cancer programme⁴⁷ were used to define MRD positivity (i.e. amplification in at least two of three replicates with cycle-threshold values of 40 or less using a threshold setting of 0.1). Molecular relapse was diagnosed if there were two consecutive positive samples showing increasing transcript levels in a patient who had previously tested MRD negative in a technically adequate sample, consistent with ELN guidelines⁴³. All *NPM1* expression levels are reported as the number of mutated transcripts per 10^5 copies of *ABL*.

Analysis of *FLT3* ITD status and allelic ratio.

PCR amplification of exons 14 and 15 of *FLT3* was performed using fluorescently labelled primers and analysed using capillary electrophoresis as previously described⁴⁸. The allelic ratio was determined by comparing the areas under the curves from the mutated and wild-type products.

Statistical Analyses.

Kaplan-Meier estimates were used to calculate survival percentages. Time to event analysis was performed using the log rank test. Thresholds were selected by identifying cut-offs providing the maximum discrimination between the low and high positive groups in terms of the hazard ratio for overall survival (supplementary figure 4). The threshold could not be zero and if a number of thresholds produced the same hazard ratio, the highest of these levels was selected. We used Cox regression with forward selection to identify independent prognostic factors. Categorical variables were analysed using Fisher's exact test. All reported P values are two-sided.

Results

In total 107/ 530 patients received alloSCT: 56 (52%) in CR1, 30 (28%) after molecular relapse (MR) and 21 (20%) in second remission after morphological relapse (CR2) (figure 1). Clinical and molecular details are shown in table 1. Median follow-up was 4.9 years from transplant (range 1.0 – 8.4y). Forty-two (39%) patients died, the cause of death was disease relapse in 21 patients, was not attributed to relapse in 19 and could not be determined in 2 patients. Overall survival 2 years from the date of transplant (2y-OS) was 68% for patients transplanted in CR1 without molecular relapse, 63% for those transplanted after a molecular relapse and 57% for those in CR2 at the time of transplant. There were no statistically significant differences in survival between these groups ($p=0.25$ for CR1 vs others, $p=0.63$ for molecular vs haematological relapse, $p=0.22$ for CR1 vs CR2, overall $p=0.2$ for trend, supplementary figure 1).

Evaluable pre-SCT PB and BM samples taken in the 60 days preceding SCT were available for 103 and 78 patients, both were available for 74 patients. The median time between sampling and transplant was 29 days (range 5-57 days). In total, 58 (54%) patients were MRD negative prior to SCT; 48 patients received additional chemotherapy prior to SCT for molecular ($n=27$) or haematological relapse ($n=21$) and 27/48 (56%) achieved MRD negativity (figure 1).

Survival according to pre-transplant molecular MRD status

Overall survival 2 years from allograft was 83% for MRD negative patients versus 45% for patients with any detectable MRD by RT-qPCR in the pre-transplant samples; median OS (mOS) was not reached (NR) and 10.5 months respectively (hazard ratio, HR, 3.60 95% confidence interval, CI, 1.92-6.77, $p<0.0001$, figure 2a). For patients with negative pre-SCT PB samples ($n=73$) 2y-OS was 81%, compared with 30% for patients with any PB positivity ($n=30$) (HR 8.30, CI 3.77-18.20, $p<0.0001$, fig 2b); mOS was NR and 7.4 months. Patients with a negative pre-SCT BM ($n=37$) had a 5y-OS of 84% compared with 49% if the BM was MRD positive ($n=41$); mOS was NR and 13.1 months (HR 3.17, CI 1.54-6.54, $p=0.002$, figure 2c).

For those patients who relapsed after transplant ($n=21$) the median time from relapse to death was 34 days (range 3-344 days, supplementary figure 2) and consequently overall and relapse-free survival times were similar. Relapse free survival curves are shown in supplementary figure 3.

A threshold of 200 mutant *NPM1* transcripts / 10^5 ABL copies in the pre-SCT PB sample provided maximum additional discrimination (supplementary figure 4) and split patients into three groups with 2y-OS of 81% (negative, n=73, mOS NR), 54% (low, 0.1-200 copies, n=13, mOS NR) and 12% (high, >200 copies, n=17, mOS 6.5 months, HR by group 2.81, CI 1.96-4.02, p<0.0001, figure 2d, supplementary figure 3d).

In the BM, a threshold of 1000 copies provided maximum additional discrimination (supplementary figure 4) and defined 3 groups with 2y-OS of 84% (negative, n=37, mOS NR), 56% (low, 0.1-1000 copies, n=32, mOS NR) and 22% (high, >1000 copies, n=9, mOS 5.8 months, HR by group 2.87, CI 1.69-4.86, p<0.0001, figure 2e, supplementary figure 3e).

Overall (applying the higher level where there was a discrepancy between PB and BM results), 2y-OS was 83% (n=58, mOS NR) 63% (n=30, mOS NR) and 13% (n=19, mOS 6.5 months) for patients with negative, low and high levels of MRD (HR by group 2.83, CI 1.92-4.19, p<0.0001, figure 2f, supplementary figure 3f).

Impact of FLT3 status on post-transplant outcome

We next stratified patients according *FLT3* ITD status. Thirty-four patients were positive for *FLT3*-ITD at diagnosis and 73 were negative; 2y-OS was 62% and 67% respectively (HR 1.14, CI 0.59-2.19, p=0.7). *FLT3* ITD status was not associated with outcome in patients who were MRD negative before transplant (2y-OS ITD negative 77%, n=40, ITD positive 94%, n=18, HR 0.42, CI 0.14-1.28, p=0.13) or those who had high levels of MRD (2y-OS ITD negative 0%, n=11, ITD positive 25%, n=8, mOS 5.8 vs 6.8 months, HR 0.71 CI 0.26-1.92, p=0.5). In contrast for patients with low levels of MRD, *FLT3* ITD status was strongly associated with outcome: 2y-OS was 77% for ITD negative (n=22, mOS NR) and 25% for ITD positive patients (n=8, mOS 7.1 months, HR 6.14 CI 1.50-25.13, p=0.01, figure 3).

Owing to small numbers (n=8), we were unable to reliably assess the effect of *FLT3* ITD allelic ratio. Although a trend for better survival for patients with an allelic ratio <0.5 was apparent, this was not statistically significant (p=0.25, supplementary figure 5).

Impact of first line post-induction MRD status on post-transplant outcome

Peripheral blood MRD status after the second induction cycle of first line therapy (PBPC2) has previously been shown to be highly prognostic⁴ and retained power in this cohort (2y OS 76% vs 33% for PBPC2 negative and positive patients, mOS NR vs 9.6 months, HR 4.93, CI 2.05-11.90, $p=0.0004$). There was an association between PBPC2 and pre-SCT MRD negativity ($p=0.002$, table 1). Of those patients who were PBPC2 negative and experienced molecular or haematological relapse, 60% (21/35) achieved MRD negativity following salvage therapy and a further 14% (5/35) were MRD positive at levels below the thresholds defined above and were *FLT3* WT; 2y OS for these patients was 88%.

Multivariable model for prediction of post-transplant outcome

We performed a multivariate analysis taking into account remission status at time of transplant (CR1 vs other), age at time of transplant, *FLT3* ITD status, PBPC2 status and pre-transplant MRD level (negative, low or high). The only factors which retained independent prognostic power were age (HR per decade 1.54, CI 1.08-2.19 $p=0.02$) and pre-transplant MRD level (HR 3.02, CI 1.97-4.62, $p<0.0001$).

We developed a two-group prognostic model incorporating MRD status (negative, low or high) and *FLT3* ITD (positive or negative). Patients who had high levels of MRD were allocated to the high-risk group together with patients with low levels of MRD who had *FLT3* ITD at diagnosis. The remaining patients were allocated to the low risk group. Patients with a negative PB and absent BM sample could not be reliably allocated to a risk group and were excluded from this analysis. There was sufficient information to assign a risk group in 83 patients. In the low-risk group ($n=56$) 2y-OS was 82% compared to 17% in the high-risk group ($n=27$, mOS NR vs 6.5 months, HR 13.2, CI 5.80-30.2, $p<0.0001$, figure 4, supplementary figure 6).

When risk group (low or high) was introduced as a candidate variable into the multivariable model described above, the only factors to retain prognostic significance were age at time of transplant (HR per decade 1.60, CI 1.08-2.37, $p=0.02$) and risk group (HR 9.5, CI 4.24-21.42, $p<0.0001$).

Effect of transplant-related factors on outcome according to MRD status

Donor source was a matched sibling in 43 patients, a volunteer unrelated donor (VUD) in 63 and umbilical cord blood in 1. Although a trend for greater overall survival in patients whose donor was a sibling compared to a VUD was noted, this was not statistically significant (2y-OS 72% vs 62%, HR 1.81, CI 0.97-3.35, $p=0.06$, figure 5a).

Conditioning regimens were considered myeloablative (MAC) in 30 patients (28%, BuCy 4, CyTBI 20, FB4C 6) and reduced-intensity (RIC) in 77 (72%, FluMel 48, FluBu 11, FLAMSA-Bu 8, FluTBI 6, FluCy 2, FluCyTBI 2). Patients who received MAC were significantly younger (mean 43 vs 56 years $p<0.0001$). There was no difference in overall survival according to conditioning regimen type (2y OS MAC 71%, RIC 63%, HR 1.18, CI 0.61-2.29, $p=0.6$, figure 5b).

Alemtuzumab was given to 70 (65%) and anti-thymocyte globulin (ATG) to 12 (11%) patients for T-depletion; 2y-OS was 56% for these patients with no difference by T-depletion agent, compared to 96% in patients who did not receive T-depletion ($n=25$, HR 3.24, CI 1.69-6.42, $p=0.0005$, figure 5c). Patients who received T-depletion were older (mean 54 vs 47y, $p=0.0028$) and were more likely to have been transplanted using a VUD (67% vs 33% for non-T-depleted, $p=0.004$) and with RIC (80% vs 44% for non-T depleted, $p=0.0008$). Cumulative incidence of relapse at 5 years was 24% in patients who underwent T-depletion compared with 4% in those who did not ($p=0.0149$). Cumulative incidence of non-relapse mortality at 5 years was 23% in patients who underwent T-depletion compared with 4% in patients who did not ($p=0.0148$).

Considering patients who were MRD positive prior to allograft, there was a trend for lower OS in patients who had received a VUD transplant (2y-OS 38% vs 55% for SIB, HR 1.94, CI 0.92-4.08, $p=0.08$, figure 5d). There was no association between OS and type of conditioning (2y OS 50% for MAC vs 43% for RIC, HR 1.22, CI 0.54-2.76, $p=0.6$, figure 5e). Specifically, MRD positive patients treated with the sequential FLAMSA protocol had no difference in overall survival. Patients who were MRD positive and who received T-depletion showed inferior overall survival than those who did not (2y-OS 34% vs 100%, mOS 7.8m vs NR, HR 3.78, CI 1.57-19.2, $p=0.003$, figure 5f).

Discussion

Patients with *NPM1* mutated AML who test MRD negative by RT-qPCR prior to transplant have an excellent chance of long-term survival regardless of other risk factors including *FLT3* status and independent of the intensity of the transplant conditioning regimen.

As expected, *NPM1* MRD positivity had an overall adverse effect on transplant outcome, but in contrast to patients who are MRD positive by FCM or NGS, patients who test positive for *NPM1* mutant transcripts prior to alloSCT do not have a universally poor outcome, indeed many become long-term survivors. Factors associated with adverse outcome are high levels of MRD (above 200 copies / 10^5 *ABL* in the PB or 1000 copies in the BM) and the presence of a *FLT3* ITD mutation at diagnosis. Patients who are MRD positive before transplant and have one or both of these features have a poor prognosis due to a high risk of disease relapse. Our data do not exclude the possibility that MRD positive patients with a low *FLT3* ITD allelic ratio may have a somewhat better outcome and larger studies will be required to address this.

Interestingly the threshold we identified of 1000 copies / 10^5 *ABL* in the bone marrow is the same as that selected by Kayser and colleagues⁴⁵ for their study of 39 patients with *NPM1* mutated AML in first or second morphological complete remission prior to transplant. In that study, the outcomes of patients with levels exceeding the threshold was the same as patients who were not in remission. No effect of either *FLT3* ITD status or allelic ratio was identified however we speculate that this may have been due to the sample size and indeed the only relapse observed in the group with MRD levels below the threshold was in a patient with *FLT3* ITD which would be consistent with our findings. Bill and colleagues⁴⁶ also report a significant difference in outcome according to molecular MRD status in 51 patients with *NPM1* mutated AML. In this study a lower threshold equivalent to 10 copies / 10^5 *ABL* was selected based on the technical characteristics of the digital droplet PCR platform employed, however this was applied to both PB and BM samples, and alternative cut-off levels were not comprehensively evaluated. Comparative studies of these two highly sensitive platforms would be of great interest.

Shayegi and colleagues¹⁰ identified post-transplant MRD levels equivalent to 10,000 copies / 10^5 *ABL* as strongly predictive of relapse. In the present study we did not investigate post-transplant MRD levels as these were not available for all patients, however approximately half of patients had post-transplant MRD results provided to their treating physician and these were used to inform interventions such as immunosuppressive therapy and donor

lymphocyte infusion. These manipulations may have affected the outcome for some patients and it is possible that they reduced the overall survival difference between the MRD positive and negative groups. Nevertheless, we did not observe a difference in overall survival between patients who did or did not have post-transplant results returned (data not shown).

In this study 27/48 (56%) of patients with a haematological or confirmed molecular relapse achieved MRD negativity with salvage chemotherapy and a further 8/48 (17%) became low risk as defined in our risk score. These 35 patients had an excellent outcome with an overall survival of 80% at 2 years. Additionally, 74% of patients who tested negative for MRD in the PB after second induction (PBPC2) and who subsequently relapsed achieved MRD negativity or low-risk status after salvage and had a 2y OS of 88%. This supports the approach adopted in the current NCRI AML19 protocol where such patients are not recommended for transplantation in CR1 and are offered sequential MRD monitoring to allow early detection and treatment of relapse.

Selection of transplant protocol remains controversial, particularly for patients who are MRD positive, and a key question is whether augmented conditioning can eliminate MRD and thereby improve outcome. Studies to date have provided conflicting results and have not examined the effect of transplant related factors specifically in *NPM1* mutated patients^{28,39,40,49}. Surprisingly, we observed no effect on survival according to conditioning type, either overall or in patients who were MRD positive. In contrast, we observed a strong association between use of T-depletion and adverse outcome. Relatively few patients who were MRD positive received T-replete transplants (n=8) and this retrospective non-randomised analysis clearly has significant limitations, however these results highlight T-depletion as a potentially critical factor which has not been consistently reported in other studies to date.

These findings require independent validation, however patients at highest risk of relapse identified here may benefit from an alternative approach prior to transplant such as the use of *FLT3* inhibition to reduce the level of MRD below the thresholds identified. Alternatively, augmentation of the graft-versus-leukaemia effect (e.g. through avoidance or minimisation of T-depletion, early withdrawal of immunosuppression and / or donor lymphocyte infusion) may be considered. Randomised studies to investigate these approaches are urgently required.

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Authorship contributions

Molecular analysis and interpretation: RD, NP, JJ, AK, MR, NF, MV, AG, RG, NR, DG.

Statistical analysis: RD, RH. Clinical data collection: AK, JC, MS, HBO, UMO, MD, SK, HK, DT, PM, KR, INB, MN, RD, PK, KH, DF, SA, EH, PJ, AK, RSa, RSp, AB, NR, CC. Trial co-ordination: NR, AB, SK, MD. Manuscript preparation: RD, SF, NR, RH

Disclosure of conflicts of interest

The authors have no relevant conflicts of interest to declare.

Data sharing

Publication-related data are available on reasonable request by email from the corresponding author.

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Table Legends

Table 1.

Clinical, molecular and transplant-related variables in each MRD-defined group. Spearman correlation *p* value is provided for the age comparison and Mantel-Haenszel *p* value is provided for all other variables. CR1 first complete remission. PB peripheral blood

Table 1

Pre-transplant MRD status	High n=19	Low n=30	Negative n=58	p
Median age, years Range	53 40-69	53 17-65	54 24-66	1.0
<i>FLT3</i> ITD positive	8 (42%)	8 (27%)	18 (31%)	0.5
<i>FLT3</i> ITD allelic ratio >0.5	4 (21%)	3 (10%)	7 (12%)	0.4
PB Post #2 MRD positive	6/17 (35%)	11/26 (42%)	4/53 (8%)	0.002
Transplanted in CR1	5 (26%)	20 (67%)	31 (53%)	0.16
Myeloablative conditioning	8 (42%)	6 (20%)	17 (29%)	0.5
Sibling donor	6 (32%)	14 (47%)	23 (40%)	0.7
T cell depletion	16 (84%)	25 (83%)	41 (71%)	0.15

Figure Legends

Figure 1.

CONSORT diagram showing the number of patients in each part of the trial, therapy given prior to transplant and outcomes in each group. CT chemotherapy, MRD measurable residual disease, NRM non-relapse mortality, REL relapse, UNK unknown cause of death.

Figure 2.

Overall survival from date of transplant according to pre-transplant molecular MRD status.

Panels A-C show the difference in survival between patients with positive and negative MRD (A) overall (B) in the peripheral blood, (C) in the bone marrow. Panels D-F show the difference in survival between patients with negative, low and high levels of MRD (D) in the peripheral blood using a cut-off at 200 copies per 10^5 *ABL* (E) in the bone marrow with level of >1000 copies and (E) with either, defining “high level” MRD. Percentages indicate estimated 2 year OS.

Figure 3.

Effect of *FLT3* ITD on outcome according to pre-transplant MRD status. (A) Hazard ratio and 95% confidence intervals for *FLT3* ITD mutation in each group. (B-D) Overall survival from transplant for patients with high (B), low (C) and negative (D) pre-transplant MRD. Percentages indicate estimated 2 year overall survival.

Figure 4.

Overall survival from transplant according to the risk group. The risk group was derived from *FLT3* ITD status and pre-transplant MRD level. Patients with high levels of MRD, and those with low levels who had the *FLT3* ITD mutation were allocated to the high-risk group. All other patients were allocated to the low-risk group. Percentages indicate estimated 2 year overall survival.

Figure 5.

Effect of transplant-related factors on overall survival. Panels A-C show the effect of transplant related variables in the entire cohort, panels D-F show their effect in patients who were MRD-positive prior to transplantation. (A,D) Effect of donor source. (B,E) Effect of conditioning type. (C,F) Effect of T-cell depletion. SIB sibling donor, VUD volunteer unrelated donor, MAC myeloablative conditioning, RIC reduced intensity conditioning.