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CO₂-stimulus duration and steady-state time-point alters CVR

The CO₂-stimulus duration and steady-state time-point used for data extraction alters the cerebrovascular reactivity outcome measure.

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CO₂-stimulus duration and steady-state time-point alters CVR

New Findings

- What is the central question of this study?

Cerebrovascular reactivity (CVR) is a common functional test to assess brain health. Impaired CVR has been associated with all-cause cardiovascular mortality. This study investigated whether the duration of the CO₂-stimulus and the time-point used for data extraction would alter the CVR outcome measure.

- What is the main finding and its importance?

This study demonstrated CVR measures calculated from 1- and 2-minute CO₂-stimulus durations were significantly higher than CVR calculated from a 4-minute CO₂-stimulus. CVR calculated from the first 2-minutes of the CO₂-stimulus were significantly higher than CVR calculated from the final minute if the duration was ≥ 4 -minutes. This study highlights the need for consistent methodological approaches.

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1 Abstract

2 Cerebrovascular reactivity to carbon dioxide (CVR) is a common functional test to assess
3 brain vascular health, though conflicting age and fitness effects have been reported. Studies
4 have used different CO₂-stimulus durations to induce CVR and extracted data from different
5 time-points for analysis. Therefore, this study examined whether these differences alter CVR,
6 and explain conflicting findings. Eighteen healthy volunteers (24±5 years) inhaled four CO₂-
7 stimulus durations (1, 2, 4 and 5-min) of 5% CO₂ (in air) via the open-circuit Douglas bag
8 method, in a randomised order. CVR data were derived from transcranial Doppler (TCD)
9 measures of middle cerebral artery blood velocity (MCAv), with concurrent ventilatory
10 sensitivity to the CO₂ stimulus (VE-CO₂). Repeated measures ANOVAs compared CVR and
11 VE-CO₂ measures between stimulus durations and steady-state time-points. An effect of
12 stimulus duration was observed ($p=0.002$, $\eta^2=0.140$), with 1-min ($p=0.010$) and 2-min
13 ($p<0.001$) differing from 4-min, and 2-min differing from 5-min ($p=0.019$) durations. VE-
14 CO₂ sensitivity increased ~3-fold from 1-min to 4- and 5-min durations ($p<0.001$, $\eta^2=0.485$).
15 CVR calculated from different steady-state time-points within each stimulus duration were
16 different ($p<0.001$, $\eta^2=0.454$); specifically, for 4-min ($p=0.001$) and 5-min ($p<0.001$), but not
17 2-min stimulus durations ($p=0.273$). These findings demonstrate that methodological
18 differences alter the CVR measure.

19

20

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21 1. Introduction

22 Effective regulation of brain blood flow is vital for optimal brain function both momentarily
23 and across the lifespan. Resting cerebral blood flow (CBF) declines by approximately 50%
24 across healthy adulthood (Buijs *et al.* 1998; Scheel *et al.* 2000; Stoquart-ElSankari *et al.*,
25 2007; Ainslie *et al.* 2008), and this coincides with a decline in the most potent regulatory
26 mechanism of CBF - its responsiveness to changes in arterial carbon dioxide pressure (PCO₂;
27 termed cerebrovascular responsiveness to carbon dioxide or cerebrovascular reactivity
28 (CVR)). This response demonstrates how well pH balance is maintained and is a crucial
29 homeostatic function to ensure biological processes in the brain operate optimally. CBF is
30 reduced and CVR impaired in clinical diseases such as atrial fibrillation (Junejo *et al.* 2019),
31 stroke (Markus *et al.* 2001) and dementia (den Abeelen *et al.* 2014). Furthermore, reduced
32 CVR is associated with all-cause cardiovascular mortality (Portegies *et al.* 2014). Thus, CVR
33 has become a common functional test to assess brain vascular health.

34

35 It is well recognized that regular exercise has a positive effect on brain function (Voss *et al.*
36 2011) and CVR appears to be a sensitive measure that can be used to determine this. Previous
37 studies have shown that a greater CVR is associated with higher aerobic fitness (Bailey *et al.*
38 2013; Barnes *et al.* 2013), with CVR improving following 12 weeks of exercise training
39 (Murrell *et al.* 2013). However, conflicting observations have been reported. For example, a
40 group of Masters athletes with a life-long history of aerobic exercise training demonstrated a
41 blunted CVR (Thomas *et al.* 2013), as compared to age-matched sedentary adults. One
42 possible explanation for such inconsistent findings is that methodological inconsistencies are
43 present throughout the scientific literature in this area. For example, the open-circuit
44 inhalation of CO₂ has been administered for a stimulus duration of 1.5 (Vernieri *et al.* 2009),
45 3 (Murrell *et al.* 2013), 4 (Guiney *et al.* 2015; Kastrup *et al.* 2001) or 5 minutes (Lavallee *et*

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46 *al.* 2009), as well as with different CO₂-stimulus concentrations (5% or 7%; see Fierstra *et al.*
47 2013 for full review). Further, CVR has been derived from varying durations of the overall
48 stimulus (e.g., 15, 30 or 60 seconds of data). In addition, there is variation in how long
49 participants have been breathing CO₂ before data are extracted for calculation (i.e., how the
50 time-point of the steady-state period is defined) as well as an unclear methodological
51 approach to determine how to identify the steady-state period (e.g. 90 seconds into the
52 stimulus duration versus the end of the stimulus duration, please see Vernieri *et al.* 2009; List
53 *et al.* 2015; Murrell *et al.*, 2013).

54

55 **1.1. Study Aims and Hypotheses**

56 To maximise the utility of CVR as a measure of brain vascular health it is important to
57 identify whether methodological nuances affect the CVR outcome measure. Therefore, the
58 overall aim of this study was to compare different methods of calculating the CVR outcome
59 measure within the same individuals using the open-circuit technique where individuals
60 inhaled a fixed fractional concentration of 5% CO₂ from a pre-mixed Douglas bag. This
61 open-circuit approach is the most commonly used technique because it uses low
62 specification, relatively inexpensive equipment, and therefore is used in clinical settings to
63 determine health status and disease risk (e.g., den Abeelen *et al.* 2014; Portegies *et al.* 2014).
64 Nevertheless, the nature of this open-circuit approach introduces an influence from the
65 ventilatory chemoreflexes (Fierstra *et al.* 2013), therefore the time-course effect of elevated
66 PCO₂ on the ventilatory response (VE-CO₂) was also examined to determine how this may
67 influence the CVR measure. The study had two main aims for examining variations in data
68 collection and analysis: 1) Mean CVR outcome measures and VE-CO₂ values were compared
69 by calculating the final 30 seconds of four different stimulus durations of breathing 5% CO₂
70 (1, 2, 4 and 5 minutes), and 2) Mean CVR calculations derived from different methods of

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71 data extraction (including the time-point of the steady-state period used to determine data
72 extraction and the duration of data extraction) within the same stimulus duration were
73 compared. We hypothesized that: 1) the stimulus duration of 1, 2, 4 or 5 minutes would not
74 give the same calculated CVR outcome, and 2) the time-point of data extraction would alter
75 the CVR outcome (i.e., the CVR calculated by extracting data from earlier in the stimulus
76 duration would be different from those calculated from data extracted at the end of the
77 stimulus duration for durations longer than 2 minutes, possibly due to changes in VE- CO₂).

78

79 2. Materials and Methods

80 2.1. Ethical Approval

81 Ethical approval was obtained for all experimental protocols and procedures by the
82 University of Birmingham Ethics Committee and conformed to the Declaration of Helsinki,
83 except for registration in a database (project code: ERN_14-0555). All testing took place in
84 the School of Sport, Exercise and Rehabilitation Sciences at the University of Birmingham.
85 Prior to participation, a detailed verbal and written explanation of the study was provided and
86 written informed consent to participate was obtained.

87

88 2.2. Study Design and Protocol

89 Eighteen healthy volunteers (8 male and 10 female; mean age 24 ±5 years) participated in the
90 study and were included in the analysis. Participants were required to make two visits.
91 During the first visit participants provided informed consent and completed a general health
92 questionnaire to check that they met the inclusion/ exclusion criteria. Participants were not
93 taking any medication and had no history of cardiovascular, cerebrovascular or respiratory
94 disease. Following successful screening participants then completed a familiarisation session
95 of the open-circuit gas challenge protocol. They were asked to lie in supine position, relax

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96 and breathe as naturally as possible. First, participants lay supine for ~20 minutes while they
97 were instrumented with equipment (detailed below). Once instrumented, baseline data were
98 collected for 5 minutes. They then breathed 1 minute of a 5% CO₂ (in air) stimulus, followed
99 by 5 minutes of room air, followed by 5 minutes of the 5% CO₂ stimulus. After satisfactory
100 completion of familiarisation trials (i.e., no adverse reactions to breathing the CO₂ stimulus
101 were experienced by the participant and adequate Doppler signals were identified by the
102 researcher), participants were invited back for the second visit that was a full experimental
103 testing session. For this second visit, four different stimulus durations of the same 5% CO₂
104 stimulus were administered (1, 2, 4 and 5 minutes) and each included a 5-minute baseline
105 recovery period (Figure 1A). These stimulus durations were performed in a randomised order
106 between participants to minimize any order effect. For both the familiarisation and
107 experimental visits, participants were asked to avoid vigorous exercise and alcohol 24 hours
108 prior to study participation, caffeine for 12 hours and heavy meals for 4 hours.

109

110 2.3. Measures and Equipment

111 Beat-by-beat middle cerebral blood velocity (MCA_v) and blood pressure (BP) along with
112 breath-by-breath respiratory rate and volume and end-tidal CO₂ partial pressure were
113 continuously measured during the gas challenge protocol. MCA_v was assessed using
114 transcranial Doppler (TCD) (Multi Dop X, DWL, Compumedics Ltd Germany) with a 2-
115 MHz probe placed over each temporal window to measure bilateral MCA_v. Probes were
116 prepared with ultrasound gel and held in place with a headset. Search and identification
117 procedures were performed in accordance with established guidelines (Willie *et al.* 2011).

118

119 BP was measured using a finger cuff placed on the middle finger of the left hand (Portapres,
120 Finapres, Medical System BV, Netherlands). Respiratory rate and volume were measured

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121 using a heated pneumotachograph (3813 Series, Hans Rudolph Inc, Kansas, USA) attached to
122 a facemask, while fractional changes in inspired and expired O₂ and CO₂ were measured via a
123 sample line attached to the facemask and a fast responding gas analyser (ML206,
124 ADInstruments Ltd, New Zealand). Measures were recorded at 1k Hz via an analogue-to-
125 digital converter (Powerlab, ADInstruments) and displayed in real time and stored for offline
126 analysis using commercially available software (LabChart v7.3.5, ADInstruments).
127 Calibration of equipment was performed before each testing session.

128

129 2.4. Data Analysis

130 The researcher was blinded to the order in which participants received the different stimulus
131 durations when calculating resting CBF and CVR measures. The analysis was split into two
132 parts. For aim 1, data from the last 30 seconds of each of the four CO₂ stimulus durations (1,
133 2, 4 and 5 minutes) and 60 seconds of baseline data before each stimulus duration were
134 extracted (Figure 1B). For aim 2, data were extracted from two different steady-state time-
135 points (i.e., after 60 seconds of stimulus duration and at the end of stimulus duration; Figure
136 1C), for two different durations (60 and 30 seconds) from three stimulus durations (2, 4 and 5
137 minutes). The 1-minute stimulus duration was not included in this analysis as there was not
138 enough time for MCAv to reach steady-state (i.e., plateau). Finally, 3-minute stimulus
139 duration CVR measures were calculated from the final 30 seconds of data 3 minutes into the
140 stimulus duration for both the 4- and 5-minute stimulus durations.

141

142 Mean right and left MCAv and CVR measures were compared for hemispatial effects. Mean
143 baseline MCAv was calculated preceding each gas stimulus and analysed separately to see if
144 they were different. Mean absolute and relative changes (percentage increase from baseline to
145 hypercapnia) in MCAv and CVR measures were calculated. Ventilation sensitivity was also

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146 calculated and compared across stimulus durations. The following equations were used to
147 calculate absolute and relative CVR (Equation 3.1 and 3.2), and VE-CO₂ (Equation 3.3).

148

149 **Absolute CVR:**

$$\frac{\text{hypercapnic (5\% CO}_2\text{) MCA}_v - \text{resting MCA}_v}{\text{hypercapnic (5\% CO}_2\text{) P}_{\text{ETCO}_2} - \text{resting P}_{\text{ETCO}_2}}$$

152 Equation 3.1

153 **Relative CVR:**

$$\frac{((\text{hypercapnic (5\% CO}_2\text{) MCA}_v - \text{resting MCA}_v) / \text{resting MCA}_v) * 100}{\text{hypercapnic (5\% CO}_2\text{) P}_{\text{ETCO}_2} - \text{resting P}_{\text{ETCO}_2}}$$

156 Equation 3.2

157 **VE-CO₂:**

$$\frac{\text{hypercapnic (5\% CO}_2\text{) VE} - \text{resting VE}}{\text{hypercapnic (5\% CO}_2\text{) P}_{\text{ETCO}_2} - \text{resting P}_{\text{ETCO}_2}}$$

160 Equation 3.3

161

162 After preliminary analysis and for completeness, a further analysis was performed on the 4-
163 and 5-minute stimulus durations to determine whether CVR calculated 3-minutes into the
164 stimulus duration differed to CVR values calculated from the 2-minute stimulus duration.

165

166 **2.5. Statistical Analysis**

167 Statistical analysis was performed in SPSS software (IBM SPSS version 22.0, Chicago, IL).
168 Measures were compared using repeated analysis of variance (ANOVAs) with the main
169 factors being stimulus duration (1, 2, 4 and 5 minutes), steady-state time-point (1 and 2), and
170 duration of data extraction (60 and 30 seconds). Data are presented as means and standard
171 deviations. Statistical significance was set at $p = 0.050$ and η^2 is used as the effect size

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172 throughout. Bonferroni post-hoc comparisons were performed to identify which stimulus
173 durations were significantly different. For aim 2, paired t-tests were used to examine effects
174 of time-point for each of the different stimulus durations separately.

175

176

177 3. Results

178 3.1. Baseline Measures

179 There was no significant effect for mean MCAv between baseline measures ($F(3,48) = 1.73$,
180 $p = 0.17$, $\eta^2 = 0.098$), nor was there any effect between hemispheres ($F(1,16) = 0.41$, $p =$
181 0.53 , $\eta^2 = 0.025$).

182

183 3.2. Aim 1: Does the CO₂ Stimulus Duration Alter the Cerebrovascular Reactivity to 184 Carbon Dioxide Measure?

185 3.2.1. Cerebrovascular Reactivity to Carbon Dioxide

186 There was a significant main effect of stimulus duration for both relative and absolute CVR
187 measures (relative: $F(3,48) = 3.49$, $p = 0.037$, $\eta^2 = 0.161$; absolute: $F(3,48) = 3.10$, $p = 0.048$,
188 $\eta^2 = 0.162$) where CVR calculated from the 2-minute stimulus duration was higher than CVR
189 calculated from the 4-minute stimulus duration (Figure 2A and B, upper panel). Neither
190 stimulus duration displayed a significant effect for hemisphere (relative: $F(1,16) = 1.99$, $p =$
191 0.18 , $\eta^2 = 0.111$; absolute: $F(1,16) = 1.86$, $p = 0.190$, $\eta^2 = 0.104$). Therefore, analyses were
192 performed on average CVR measures of bilateral MCAv (averaged right and left side).
193 Relative and absolute CVR measures and VE-CO₂ values are presented in Table 1 with
194 significant main effect p values in bold. Post-hoc differences are shown in Figures 2A and
195 2B.

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196

197 Table 1. Mean and standard deviation (SD) for average (right and left MCAv) relative and
198 absolute CVR measures and VE-CO₂ values for each stimulus duration. Repeated-measures
199 ANOVAs revealed significant differences between stimulus durations.

| | | CO ₂ stimulus duration | | | | <i>p</i> | η^2 |
|---|------|-----------------------------------|----------|----------|----------|--------------|----------|
| | | 1 minute | 2 minute | 4 minute | 5 minute | | |
| Relative CVR (% change in MCAv / mm Hg change in P _{ET} CO ₂) | mean | 2.99 | 3.20 | 2.52 | 2.64 | 0.002 | 0.140 |
| | SD | 1.07 | 1.38 | 1.04 | 1.07 | | |
| Absolute CVR (cm/s/mm Hg) | mean | 1.97 | 2.14 | 1.72 | 1.76 | 0.036 | 0.153 |
| | SD | 0.71 | 0.99 | 0.77 | 0.72 | | |
| VE-CO₂ (L/min/mm Hg) | mean | 0.32 | 0.70 | 0.90 | 0.95 | 0.000 | 0.485 |
| | SD | 0.22 | 0.25 | 0.36 | 0.43 | | |

200 Abbreviations: CO₂, carbon dioxide; CVR, cerebrovascular reactivity; MCAv, middle cerebral
201 artery blood velocity; P_{ET}CO₂, end-tidal carbon dioxide; VE-CO₂, ventilation sensitivity to CO₂.

202

203

204 3.2.2. Within-Individual Variability

205 We observed visual differences in the beat-to-beat MCAv-response profile between
206 durations. For example, a steady-state profile typified the 4- and 5-minute tests, whereas the
207 1-minute test tended to peak in the final seconds of the stimulus duration. Further, there was
208 variation within individuals across the four stimulus durations for measures of CVR; CoV
209 ranging from 7 – 46% between individuals (Figure 2A and 2B, lower panel).

210

211 3.2.3. Ventilatory Sensitivity to Carbon Dioxide

212 Ventilatory sensitivity to CO₂ (VE-CO₂; average from the 30-second time window selected,
213 see Section 3.3.3 for calculation) increased ~3-fold from the 1 minute to the 4- and 5-minute
214 stimulus durations ($p < 0.001, \eta^2 = 0.485$) (Table 1; Figure 2C, upper panel).

215

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216 3.3. Aim 2: Does the Time-Point of Steady-State or the Duration of Data Extraction

217 Alter the Cerebrovascular Reactivity to Carbon Dioxide Measure?

218 The purpose of this next analysis was to investigate whether the time-point of steady-state
219 used for data extraction (time-point 1 or 2; Figure 1C) and the duration of data extracted (30
220 or 60 s) altered the CVR outcome measure. Time-point of steady-state and duration of data
221 extraction were examined for the 2-, 4- and 5-minute CO₂ stimulus for both CVR and VE-
222 CO₂ measures. One important note is that for the 2-minute stimulus, because of the shorter
223 length, data are extracted from almost identical time-points regardless of the approach used
224 (i.e., data are extracted following 1 minute of the stimulus up to the end of the stimulus for
225 time-point 1, and data are extracted 1 minute preceding the end of the stimulus backwards to
226 1 minute into the stimulus for time-point 2 and would be almost identical since the stimulus
227 duration was exactly 2-minutes). Mean MCA_v traces and mean P_{ET}CO₂ traces for all four
228 stimulus durations (1, 2, 4 and 5 minutes) for one participant are shown in Figure 5.

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229 3.3.1. Cerebrovascular Reactivity to Carbon Dioxide

230 There was a significant effect of stimulus duration: $F(2,68) = 4.78$, $p = 0.020$, $\eta^2 = 0.123$,
231 where CVR calculated from the 2-minute stimulus duration was higher than CVR calculated
232 from the 4-minute stimulus duration (similar to aim 1). There was also a significant main
233 effect of steady-state time-point: $F(1,34) = 28.24$, $p < 0.001$, $\eta^2 = 0.454$, where CVR
234 calculated from time-point 1 was higher than CVR calculated from time-point 2. The effect
235 of data extraction duration was not significant: $F(1,34) = 1.12$, $p = 0.300$, $\eta^2 = 0.032$ (Figure
236 3). Therefore, further analysis was performed for average CVR measures of bilateral MCAv
237 (averaged right and left side) calculated from 60 seconds of extracted data.

238

239 There was a significant main effect of time-point: $F(1,17) = 14.373$, $p = 0.001$, $\eta^2 = 0.458$,
240 and a trend towards stimulus duration: $F(2,34) = 28.24$, $p = 0.064$, $\eta^2 = 0.149$. Further, a
241 significant time-point by stimulus duration interaction was observed ($p = 0.008$, $\eta^2 = 0.232$).
242 Paired t-tests were used to examine effects of time-point for each of the different stimulus
243 durations separately (Figure 3A). As expected, given the similar time-points for the 2-minute
244 stimulus duration, CVR measures were not significantly different ($p = 0.273$). However, there
245 was a significant effect of time-point for the 4-minute ($p = 0.001$) and 5-minute ($p < 0.001$)
246 stimulus durations, where CVR calculated from time-point 1 was higher than CVR calculated
247 from time-point 2. In summary, this analysis shows that the time-point of steady-state used to
248 determine data extraction does alter the CVR measure (Figure 4A and Table 2).

249

250 3.3.2. Ventilatory Sensitivity to Carbon Dioxide

251 There was a significant effect of time-point: $F(1,17) = 54.76$, $p < 0.001$, $\eta^2 = 0.763$, where
252 VE-CO₂ sensitivity calculated from time-point 2 was higher than VE-CO₂ sensitivity

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253 calculated from time-point 1. Effects of extract duration and stimulus duration were not
254 significant ($F(1,17) = 2.52, p = 0.131, \eta^2 = 0.129$ and $F(2,16) = 0.746, p = 0.490, \eta^2 = 0.085$
255 respectively) (Figure 3). However, there were significant interactions between time-point
256 and stimulus duration: $F(2,34) = 15.90, p < 0.001, \eta^2 = 0.483$ and time-point and data
257 extraction duration: $F(2,34) = 14.61, p = 0.001, \eta^2 = 0.462$. Therefore, two separate
258 ANOVAs were performed for each method of data extraction, 60 seconds of data extracted
259 from time-point 1 and time-point 2 (Table 3; Figure 4B).

260

261 When data were extracted from time-point 2, VE-CO₂ sensitivity increased from the 2 minute
262 to the 4 and 5 minute test durations (similar to in aim 1). In contrast, when data were
263 extracted from time-point 1 there was less variability within the VE-CO₂ sensitivity measure
264 between the stimulus durations (i.e., data is extracted from approximately the same time-
265 point despite the stimulus duration).

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266 Table 2. Mean and standard deviation (SD) for average (right and left MCAv) relative CVR
 267 measures, calculated using data extracted from different time-points (time-point 1 and 2)
 268 from 3 stimulus durations (2, 4 and 5 minutes). Separate within subject ANOVAs were
 269 performed for each steady-state time-point (with stimulus duration and duration of data
 270 extraction as factors) and each stimulus duration (with steady-state time-point and duration of
 271 data extraction as factors).

| CVR (% change in MCAv / mm Hg change in PETCO ₂) | Time-point of data extraction | CO ₂ stimulus duration | | | <i>p</i> | η^2 |
|--|----------------------------------|-----------------------------------|----------|----------|---------------|----------|
| | | 2 minute | 4 minute | 5 minute | | |
| Time-point 1 | mean | 3.26 | 2.81 | 3.02 | 0.221 | 0.085 |
| | SD | 1.27 | 0.70 | 0.80 | | |
| Time-point 2 | mean | 3.22 | 2.50 | 2.62 | 0.024* | 0.213 |
| | SD | 1.24 | 0.92 | 0.97 | | |

272
 273 Abbreviations: CVR, cerebrovascular reactivity (CVR); MCAv, middle cerebral artery blood
 274 velocity.

275
 276 Table 3. Mean and standard deviations (SD) for VE-CO₂ values calculated using different
 277 methods of data extraction (steady-state time-point and data extraction duration) from 3
 278 stimulus durations (2, 4 and 5 minutes). Separate within subject ANOVAs were performed
 279 for each steady-state time-point (with stimulus duration and duration of data extraction as
 280 factors) and each stimulus duration (with steady-state time-point and duration of data
 281 extraction as factors).

| VE-CO ₂ (L/min/mm Hg) | Method of data extraction | CO ₂ stimulus duration | | | <i>p</i> | η^2 |
|-------------------------------------|------------------------------|-----------------------------------|----------|----------|----------------|----------|
| | | 2 minute | 4 minute | 5 minute | | |
| Time-point 1 | mean | 0.64 | 0.60 | 0.54 | 0.299 | 0.069 |
| | SD | 0.21 | 0.23 | 0.23 | | |
| Time-point 2 | mean | 0.63 | 0.89 | 0.91 | 0.009** | 0.243 |
| | SD | 0.20 | 0.31 | 0.40 | | |

282
 283 Abbreviations: CO₂, carbon dioxide; VE-CO₂, ventilation sensitivity to CO₂.

284 3.3.3. Three Minute Stimulus Duration for the Cerebrovascular Reactivity to 285 Carbon Dioxide Measure

286 In addition, CVR measures were calculated from the final 30 seconds of data 3 minutes into
 287 the stimulus duration for both the 4 and 5 minute stimulus durations. Paired t-tests revealed
 288 no differences between relative CVR measures calculated from the mean right MCAv (*t* =

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289 0.474; $p = 0.643$), a trend for a difference between relative CVR measures calculated from
290 the left MCAv ($t = 1.965$; $p = 0.070$) and no differences for measures calculated from average
291 (right and left) MCAv ($t = 1.686$; $p = 0.504$) when comparing between the 4 minute and 5
292 minute stimulus durations. Further, a repeated-measures ANOVA comparing average CVR
293 measures obtained from 3 minutes into the 4- and 5-minute stimulus duration with the CVR
294 measures obtained from the 2-minute stimulus duration, were also not significantly different
295 ($p = 0.184$, $\eta^2 = 0.121$).

296

297 4. Discussion

298 The purpose of this study was to compare CVR measures and VE-CO₂ values calculated
299 from: 1) different CO₂ stimulus durations, and 2) different durations of data extraction and
300 different time-point locations of steady-state for data extraction. The main findings were: 1)
301 Within-participant variation increased when the stimulus duration was longer than 2 minutes,
302 likely due to increased effects of the ventilatory response; and 2) CVR outcome measures
303 calculated after 60 seconds of stimulus (time-point 1) were higher than measures obtained at
304 the end of the stimulus duration (time-point 2). In addition, there was less variability in the
305 CVR outcome measure when it was derived from 60 seconds after stimulus onset (time-point
306 1), indicating a more reliable point to determine CVR. Collectively, these findings
307 demonstrate that methodological differences of stimulus duration and time-point used to
308 determine the steady-state, do indeed alter the CVR outcome measure and VE-CO₂ values.
309 Based on these findings, CVR outcome measures calculated 60 seconds after stimulus onset
310 (time-point 1) with a stimulus duration of between 2 and 3 minutes appear to be less affected
311 by methodological error or individual variability and therefore more reliable for both within
312 (i.e., repeated measures) and between (cross-sectional) study comparison. Given that CVR is
313 a common method of assessing brain health and has been applied in both healthy and clinical

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314 populations (e.g. Murrell *et al.*, 2013, den Abeelen *et al.* 2014, Portegies *et al.* 2014), it is
315 important that any variance caused by different methodologies is understood so that this
316 measure can be more effectively and consistently used in research and clinical settings.

317

318 **4.1. Aim 1: Does the CO₂ Stimulus Duration Alter the Cerebrovascular Reactivity to** 319 **Carbon Dioxide Measure?**

320 In aim 1, we found a significant effect of the CO₂ stimulus duration on the CVR outcome
321 measure, as well as marked variability within the same individuals across the different
322 stimulations (see Figure 2A and 2B). On average, the CVR measure increased between the 1-
323 minute and the 2-minute stimulus duration, and was lowest when taken from the 4- and 5-
324 minute stimulus durations. These differences are likely driven by the change in VE-CO₂ that
325 increased approximately 3-fold as the stimulus duration increased (Figure 2C). Indeed, the
326 difference in VE-CO₂ values between 1 and 2 minutes were significant, becoming
327 progressively less different as the stimulus duration increased to 4 and 5 minutes. The VE-
328 CO₂ values reported in this study support those previously shown in the literature (Lucas *et*
329 *al.* 2011, using 4-min of 7% CO₂; Murrell *et al.* 2013, using 3-min of 5% CO₂); Brothers *et*
330 *al.* 2014, using 5-min stepped-changes in CO₂). Thus, indicating that rather than a
331 measurement error, these different values reflect a change in the physiological processes
332 responding to the CO₂ stimulus.

333

334 In some individuals, after 2-minutes of CO₂ stimulus, MCAv had only just reached steady-
335 state, or may still be increasing to reach steady-state (Regan *et al.* 2013), and explains why
336 the calculated CVR measures from the 2-minute CO₂ stimulus were higher in this study.
337 Consequently, open-circuit, steady state CVR measures calculated by extracting data from a
338 2-minute period may be less affected by increases in ventilation triggered by the

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339 chemoreceptors due to elevated PaCO₂, particularly for responders who are slow to reach
340 steady-state or when 60 seconds of data are extracted. However, measures taken during this
341 period may lead to a higher calculated CVR value. To address this in the current study, CVR
342 measures from the final 30 seconds of data that were obtained 3 minutes into the stimulus
343 duration for both the 4- and 5-minute CO₂ stimuli were compared with the CVR measure
344 calculated using the 2-minute CO₂ stimulus. This comparison revealed no significant
345 difference between these CVR measures. Given this, perhaps a 2- or 3-minute stimulus
346 duration is the most suitable to use to obtain an accurate CVR measure, since they are least
347 affected by intra-individual variation in vascular and respiratory responses, including the time
348 to reach steady-state. Further, a shorter duration will also not unnecessarily overstress
349 participants.

350

351 4.2. Aim 2: Does the Time-Point of Steady-State or the Duration of Data Extraction 352 Alter the Cerebrovascular Reactivity to Carbon Dioxide Measure?

353 For aim 2, we found significant effects of steady-state time-point on CVR measures, though
354 only when calculated from the 4- and 5-minute CO₂ stimulus. The lack in variability from the
355 2-minute CO₂ stimulus was expected since the data are extracted from effectively the same
356 point for both steady-state time-point approaches (as detailed above). In contrast, there was
357 no effect of data extraction duration for each stimulus duration on the CVR measure (30 vs.
358 60 seconds; $p = 0.300$).

359

360 The duration of data extraction (60 or 30 seconds) does not seem to affect CVR measures
361 based on this dataset. However, a consistent approach should be used that avoids any
362 interference resulting from the on-kinetics of the stimulus and the gradual increase to steady-
363 state that occurs within the first 2 minutes. Extracting a relative small proportion of the

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364 dataset is the commonly used approach in research centres using Doppler ultrasound to
365 calculate the CVR measure. In contrast, research centres using magnetic resonance imaging
366 (MRI) to measure CVR will extract data from the entire time course as well as the preceding
367 baseline to calculate the CVR measure using a linear regression. Further, in protocols using
368 several different levels of hypercapnia, data may be extracted from the entire protocol (Driver
369 *et al.* 2016), rather than a relatively small segment. These approaches that utilise more of the
370 dataset may avoid the possible issues with ventilatory response sensitivity observed herein,
371 because all the data where this effect may be more pronounced (and may occur at different
372 time-points between individuals) is being included to calculate the response. This leads to
373 question whether CVR outcome measures (in addition to resting CBF measures) are different
374 between different imaging modalities (i.e., TCD and MRI), a question beyond the scope of
375 this study though warranting further investigation.

376

377 4.3. Limitations and Methodology Considerations

378 Limitations within this study include that it is difficult to test natural variation that may
379 appear in the same individual across time. This is because it is impossible to test exact
380 reliability between stimulus durations, as we cannot give a participant the different stimulus
381 durations at the same time (i.e., the 2-minute stimulus duration cannot be given at the same
382 time as the 4- or 5-minute stimulus duration). This study came as close as possible in
383 achieving this by comparing four different stimulus durations within an hour whilst allowing
384 adequate recoveries between them. Further, between participants, the stimulus durations were
385 administered in a randomised order to minimize potential order effects. Further research is
386 needed to compare these measures between days. Intra-individual variation in CO₂ responses
387 may be explained by genetic factors as well as sex, fitness level and other circumstances
388 (Secher *et al.* 2015), indicating that the CVR measure is far more complicated than often

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389 presumed. Nevertheless, the CVR outcome measure is often collected from a single clinical
390 visit, where the individual has not had time for their physiological measures to reach a resting
391 baseline, and then used to predict current health status and disease risk.

392

393 Another limitation with this study and with TCD as a method is that we are unable to
394 consider possible effects of vessel diameter change in response to CO₂, and how these may
395 contribute to changes in blood velocity, which is currently debated in the literature (e.g.,
396 Brothers and Zhang, 2016; Hoiland and Ainslie, 2016). However, our findings can direct us
397 towards an approach that avoids as much variability as possible in CVR measures and lead us
398 to consider other factors. Further, within-individual variability will likely lead to conflicting
399 reports of CVR when other methods are used; including MRI, isotropic methods and
400 volumetric flow.

401

402 A familiarization trial was performed with each participant to ensure they were comfortable
403 with the 5% CO₂ gas and experienced no adverse effects. This trial also ensured that
404 sufficient MCA signals could be obtained that could be replicated for the second visit. Mean
405 MCAv values were also compared between the four baselines. On visual inspection, there is
406 notable variability between the baseline velocities with some participants showing more
407 variability than others (i.e., CoV ranging from 1 – 8%). This may be a natural variability that
408 occurs across time in response to many environmental influences and warrants further
409 investigation, particularly if day-to-day variation within the same individual may also
410 influence the CVR measure. In contrast, there is less variability between the baseline mean
411 P_{ET}CO₂ values (i.e., CoV ranging from 0 – 5%; mean CoV = 2.4%).

412

CO₂-stimulus duration and steady-state time-point alters CVR

413 4.4. Perspectives and Future Directions

414 To maximise the utility of CVR as a measure of brain vascular health it is important to
415 identify where methodological nuances affect CVR outcome measures. In considering where
416 inconsistencies between studies alter the measure we can determine their collective
417 interpretation of how CVR depicts brain vascular health in various populations. It may then
418 be necessary to move towards a standardised, optimised method to measure CVR. This is
419 imperative to identify given that study findings are often compared and some studies have
420 been used to predict risk of cardiovascular mortality (Markus *et al.* 2001; Portegies *et al.*
421 2014).

422

423 Given the methodological considerations discussed when considering intra-individual
424 variation, future research may aim to establish where the peak CVR is likely to occur and the
425 extent to which individual responses range from the lowest to highest CVR measure, in
426 addition to further investigation of kinetic CVR responses. Our findings demonstrated that
427 some individual's CVR measures vary considerably depending on the stimulus duration used,
428 whereas others would remain relatively stable (as shown in Figure 2A and 2C, lower panel).
429 Recently, Carter and colleagues have introduced an alternative approach to assess CVR via
430 CO₂ administration, by quantifying the shear stress-induced vasodilatory response of the
431 intracranial artery (Carter *et al.* 2016). The findings of the present study would support
432 targeting this initial response, since there was less inter-subject variability with the shorter
433 stimulus durations. We could also consider implementing the ventilation response into the
434 calculation in some way. For example, determining the point where CVR is at its peak and
435 the ventilation response is at its lowest. Perhaps this approach would give a more accurate
436 representation of CVR measures that is less influenced by within-subject variability and the
437 ventilatory response.

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438

439 In addition to the open-circuit technique, re-breathing and stepped end-tidal clamping are
440 other approaches that are used to measure CVR with transcranial Doppler (TCD).
441 Investigating differences with these approaches was beyond the scope of this study, but it is
442 likely that different approaches introduce more CVR variability, with potentially greater
443 variations than that observed here for this within-technique comparison. For example,
444 changes in the arteriovenous gradient of PCO₂ will change the interaction effect between
445 vascular and ventilatory sensitivities (Reid and Leigh 1967; Xie *et al.* 2006; Fan *et al.* 2010;
446 Ainslie and Duffin 2011), which is an obvious difference between the rebreathing and open-
447 circuit techniques that may alter the CVR outcome measure, as reviewed elsewhere (Ogoh *et*
448 *al.*, 2008; Skow *et al.* 2013; Boulet *et al.* 2016; Mackay *et al.* 2016). Such differences in the
449 physiological response has obvious implications for the interpretation of the outcome CVR
450 measure within and between cohorts of interest. Further, magnetic resonance imaging (MRI)
451 also provides a measure of CVR. Though in contrast to TCD, reactivity measures are derived
452 from changes in the blood-oxygen-level dependent (BOLD) signal (Thomas *et al.* 2013;
453 Bhogal *et al.* 2016; Zhou *et al.* 2015). As well as variations within one methodological
454 approach, the choice of measurement technique differs across studies (TCD or MRI). While
455 challenging, a full comparison of all the availability approaches is needed to clarify
456 differences between all these techniques and which one (or combination) will best quantify
457 brain vascular health and reliably predict clinical risk.

458

459 We also recommend future research investigating sex differences between these measures
460 with a larger sample size; although we did not observe significant sex differences in our small
461 sample except for the 4-minute absolute CVR measure where females had higher CVR.

462

CO₂-stimulus duration and steady-state time-point alters CVR

463 4.5 Conclusion

464 The present study showed that the stimulus duration does alter the CVR measure, as does the
465 method of data extraction (i.e., choice of steady-state time-point); though these effects are
466 different between stimulus durations. Given these findings, whilst also considering that slow
467 responders may take longer to reach steady-state, we recommend using a 3-minute stimulus
468 duration where data is extracted from the end of the stimulus duration (taking a 30-60 second
469 average). Our findings strongly indicate that a more consistent approach in collecting data
470 and calculating the CVR measure is required. To achieve this, a better understanding of what
471 is indeed the best method of calculating this response is also required. Gold-standard
472 approaches are needed so that findings between studies can be compared and clinical use of
473 CVR measures are more robust.

CO₂-stimulus duration and steady-state time-point alters CVR

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Additional information

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Disclosure/Conflict of interest

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Author Contribution statement

CVB, KM, RAIL & SJEL contributed to the conception or design of the work. CVB, KM, RAIL, ACW & SJEL contributed to the acquisition, analysis, or interpretation of data for the work. CVB, KM, RAIL, ACW & SJEL contributed to drafting of the work or revising it critically for important intellectual content. All authors approved the final version of the manuscript. All authors agree to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All persons designated as authors qualify for authorship, and all those who qualify for authorship are listed.

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Figure 1. Methodology illustration. A is a schematic of the gas challenge protocol. Shaded boxes show the stimulus durations (1, 2, 4 and 5 minutes of 5% CO₂; random order of administration between participants). White boxes show baseline periods where participants were breathing room air. B shows a representative CO₂ trace of gas delivery to a participant taken from LabChart. Shows where for aim 1 30 seconds of data were extracted from the end of four different CO₂-stimulus durations (1, 2, 4 and 5 minutes). C shows percentage CO₂ trace from LabChart showing where data were extracted from two different steady-state time-points. Time-point 1: after 60 seconds of stimulus duration and Time-point 2: end of stimulus duration, for two different durations (60 and 30 seconds).

Figure 2. Upper panel shows mean (\pm SD) relative CVR (A), absolute CVR (B) and VE-CO₂ (C) calculated for each stimulus duration. Significant post-hoc effects and trends towards significance of stimulus duration are shown in bold font. Lower panel shows within-individual variability in relative CVR (A), CVR (B) and VE-CO₂ (C) for each participant between CO₂-stimulus durations. Within-individual covariance ranged from 7-46% for CVR and 13-77% for VE-CO₂. Each symbol represents one participant. Abbreviations: CO₂, carbon dioxide; CVR, cerebrovascular reactivity; MCA_v, middle cerebral artery blood velocity; P_{ET}CO₂, end-tidal carbon dioxide; VE-CO₂, ventilation sensitivity to CO₂.

Figure 3. Mean \pm SD relative CVR measures (averaged right and left MCA_v) (A) and VE-CO₂ values (B). Graphs show measures calculated for two different durations (30 and 60 seconds) from three stimulus durations (2, 4 and 5 minutes). For CVR and VE-CO₂, there were no significant differences between measures calculated from a 30 and 60 second duration of data extraction. Abbreviations: CO₂, carbon dioxide; CVR, cerebrovascular reactivity; MCA_v, middle cerebral artery blood velocity; P_{ET}CO₂, end-tidal carbon dioxide, VE-CO₂, ventilation sensitivity to CO₂.

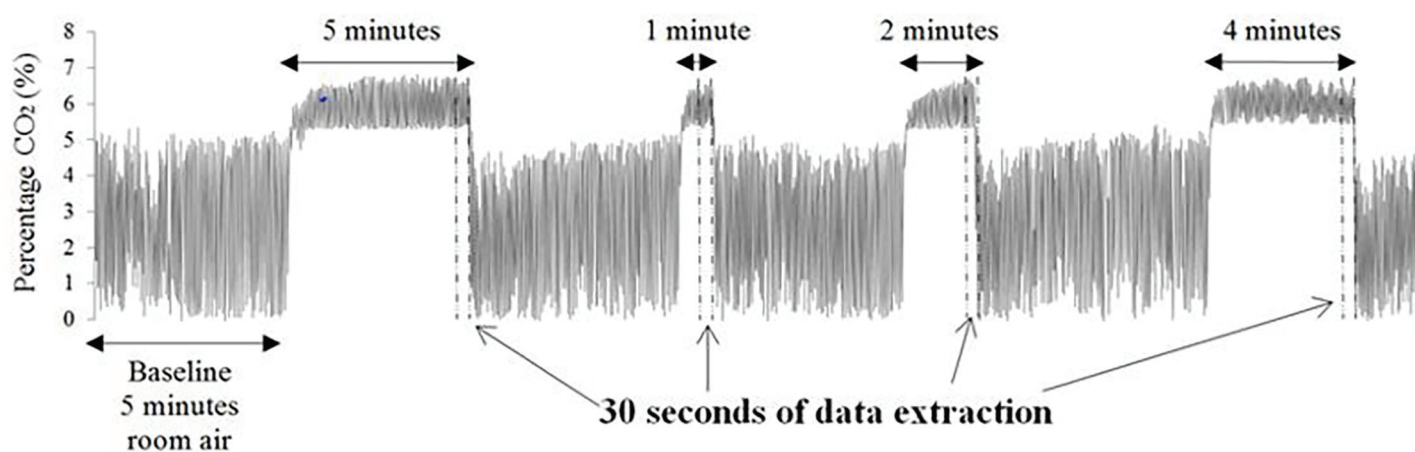
Figure 4. Mean \pm SD relative CVR measures (averaged right and left MCA_v) (A) and VE-CO₂ values (B). Graphs show measures calculated from two steady-state time-points from three stimulus durations (2, 4 and 5 minutes). For CVR, post hoc comparisons revealed a significant effect of time-point for the 4-minute and 5-minute stimulus durations. For VE-CO₂, post hoc comparisons revealed a significant effect of time-point for the 2- minute, 4-minute and 5-minute stimulus durations. Abbreviations: CO₂, carbon dioxide; CVR, cerebrovascular reactivity; MCA_v, middle cerebral artery blood velocity; P_{ET}CO₂, end-tidal carbon dioxide, VE-CO₂, ventilation sensitivity to CO₂.

Figure 5. Mean P_{ET}CO₂ (A) and mean MCA_v (B) for all four stimulus durations (1, 2, 4 and 5 minutes) for one participant.

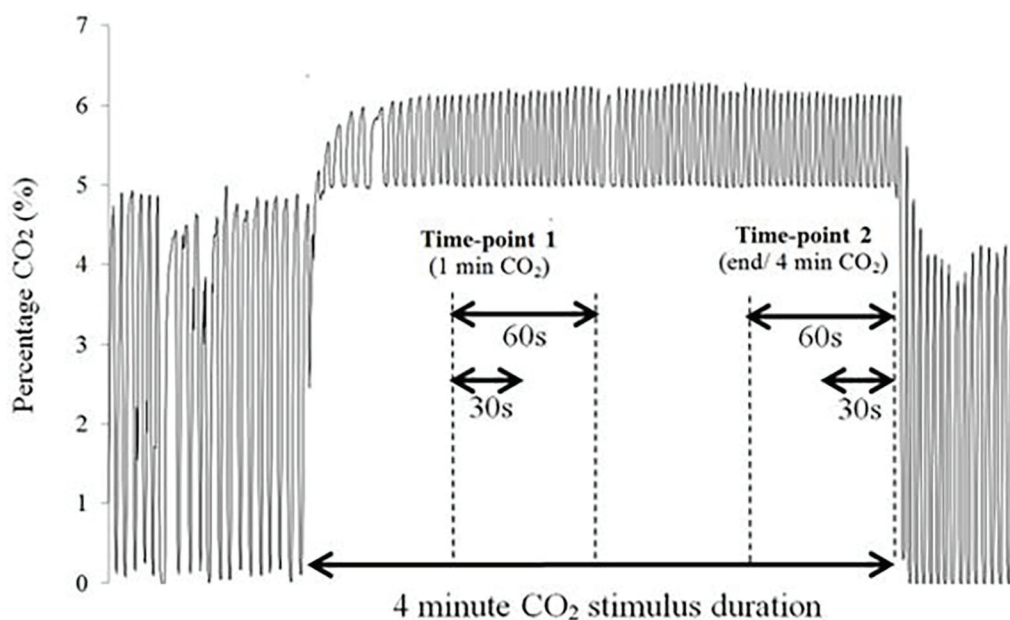
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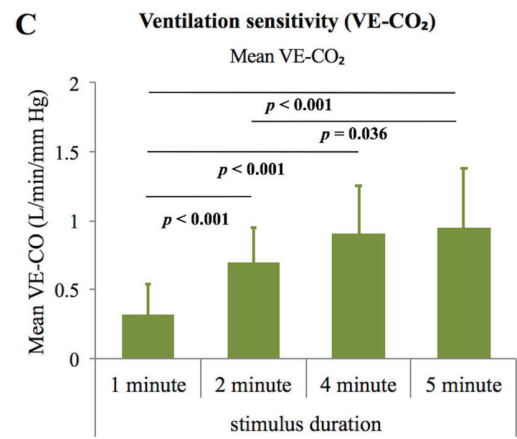
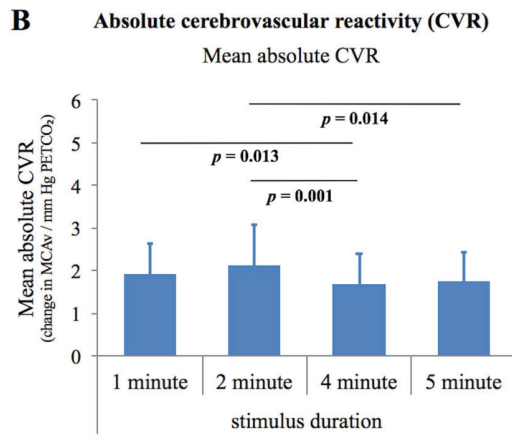
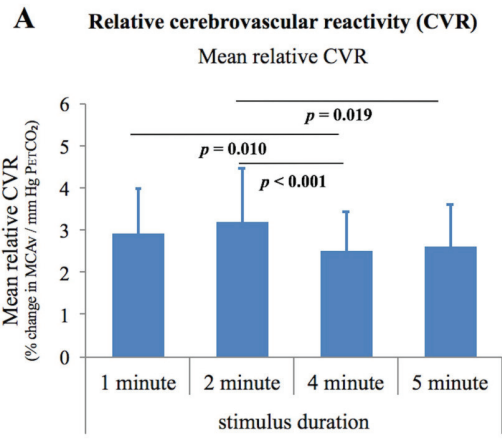
| Instrumentation | Baseline 1 | Stimulus duration 1 | Baseline 2 | Stimulus duration 2 | Baseline 3 | Stimulus duration 3 | Baseline 4 | Stimulus duration 4 | Recovery |
|-----------------|------------|---------------------|------------|---------------------|------------|---------------------|------------|---------------------|-----------|
| Room air | Room air | 5% CO ₂ | Room air | 5% CO ₂ | Room air | 5% CO ₂ | Room air | 5% CO ₂ | Room air |
| 20 minutes | 5 minutes | 5 minutes | 5 minutes | 2 minutes | 5 minutes | 4 minutes | 5 minutes | 1 minute | 3 minutes |

B

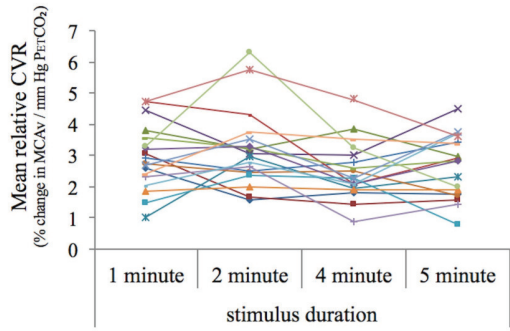
CO₂ stimulus durations:

C

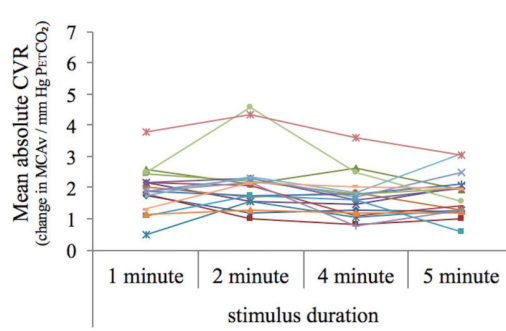




Individual participant data: Relative CVR



Individual participant data: Absolute CVR



Individual participant data: VE-CO₂

