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1 **Tree stem bases are sources of CH₄ and N₂O in a tropical forest on upland soils during**
2 **the dry to wet season transition**

3

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19

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21

22

23 **Abstract**

24 Tropical forests on upland soils are assumed to be a methane (CH₄) sink and a weak source of
25 nitrous oxide (N₂O), but studies of wetland forests have demonstrated that tree stems can be a
26 substantial source of CH₄, and recent evidence from temperate woodlands suggests that tree
27 stems can also emit N₂O. Here, we measured CH₄ and N₂O fluxes from the soil and from tree
28 stems in a semi-evergreen tropical forest on upland soil. To examine the influence of
29 seasonality, soil abiotic conditions, and substrate availability (litter inputs) on trace greenhouse
30 gas (GHG) fluxes, we conducted our study during the transition from the dry to the wet season
31 in a long-term litter manipulation experiment in Panama, Central America. Trace GHG fluxes
32 were measured from individual stem bases of two common tree species and from soils beneath
33 the same trees. Soil CH₄ fluxes varied from uptake in the dry season to minor emissions in the
34 wet season. Soil N₂O fluxes were negligible during the dry season but increased markedly after
35 the start of the wet season. By contrast, tree stem bases emitted CH₄ and N₂O throughout the
36 study. Although we observed no clear effect of litter manipulation on trace GHG fluxes, tree
37 species and litter treatments interacted to influence CH₄ fluxes from stems and N₂O fluxes from
38 stems and soil, indicating complex relationships between tree species traits and decomposition
39 processes that can influence trace GHG dynamics. Collectively, our results show that tropical
40 trees can act as conduits for trace GHGs that most likely originate from deeper soil horizons,
41 even when they are growing on upland soils. Coupled with the finding that the soils may be a
42 weaker sink for CH₄ than previously thought, our research highlights the need to reappraise
43 trace gas budgets in tropical forests.

44 **Introduction**

45 Methane (CH₄) and nitrous oxide (N₂O) are important trace greenhouse gases (GHGs) with
46 radiative effects 25 and 298 times greater than CO₂, respectively (Houghton et al., 2001).
47 Interest in trace GHG exchange in tropical forests has grown in recent years, particularly in
48 saturated wetland areas of the tropics such as the Amazon floodplain (Graffman et al., 2008;
49 Pangala et al., 2017) and mangrove swamps (Kreuzwieser et al., 2003; Krithika et al., 2008).
50 Natural wetlands are the single largest individual source of atmospheric methane contributing
51 177-284 Tg CH₄ yr⁻¹ (IPCC, 2013), to which tropical wetland emissions from a variety of
52 sources (including waterlogged soils) are a significant contributor, but the contribution of
53 tropical forests on upland soils (i.e. soils that are rarely flooded and only temporarily water-
54 saturated) has not yet been quantified. Globally, emissions of nitrous oxide from soils in natural
55 ecosystems account for 37% of total global surface emissions (IPCC, 2007), estimated at 3.37-
56 6.60 Tg N yr⁻¹ (Zhuang et al., 2012) and tropical rainforests are the single biggest natural source
57 of N₂O (Bouwman et al., 1995).

58 Tree stems can also emit significant amounts of CH₄ in temperate (Gauci et al., 2010) and
59 tropical (Pangala et al., 2013; Pangala et al., 2017) wetland ecosystems, and mesocosm
60 experiments showed that black alder trees, typical of European temperate wetlands, can also act
61 as a pathway for N₂O emissions to the atmosphere (Rusch and Rennenberg, 1998). CH₄ and
62 N₂O are produced under anoxic conditions in waterlogged soils by methanogenic consortia of
63 archaea or denitrifying bacteria, respectively, and the gases diffuse into soil water, and then
64 from water into roots as either a solute or a gas. The gases move up the tree stem by either mass
65 flow (transpiration or pressurized ventilation) or diffusion, then diffuse from the xylem through
66 the stem tissue to the atmosphere via lenticels and other structures that aid gas exchange
67 (Carmichael et al., 2014). Findings extrapolated from glasshouse experiments suggest that
68 wetland hardwood trees could account for emissions of around 60 Tg CH₄ yr⁻¹ (Rice et al.,

69 2010); tree stem fluxes accounted for 62-87% of total ecosystem CH₄ flux in tropical peat
70 forests in Indonesia (Pangala et al. 2013) and contribute half of all emitted methane in the
71 Amazon floodplain (~20 Tg; Pangala et al., 2017).

72 We are only just beginning to understand the role of tropical tree stems as conduits of soil-
73 produced trace GHGs, and the vast majority of research on this subject to date has been in
74 forested wetlands. Wetland tree species have evolved a variety of specialist tissues to aid
75 oxygen transport to roots in anoxic soils such as aerenchyma, increased stem lenticel density
76 (Pangala et al., 2014) and adventitious roots, which can transport soil-generated CH₄ in
77 mangrove tree species (Purvaja et al., 2004). Inter-species variations in wood specific density
78 and stem lenticel numbers could be important controls of stem emissions, as tree stem CH₄ flux
79 is negatively related to wood density and positively related to lenticel number (Pangala et al.,
80 2013). Tree stem emissions of trace GHGs can occur on upland soils when such soils become
81 saturated with water, which reduces soil oxygen concentrations and facilitates the activity of
82 anoxic methanogenic archaea and denitrifying bacteria that thrive in such soil conditions. Tree
83 stem emissions of CH₄ and N₂O have been observed in temperate trees that lack aerenchyma
84 and other adaptations to wet, anoxic soil conditions (Machacova *et al.*, 2013, 2016; Wen et al.,
85 2017). In addition, recent work demonstrates production of CH₄ within tree stems (Wang et al.,
86 2016) and high abundance of methanogens in heartwood (Yip et al., 2018). However, despite
87 the global importance of tropical forests in GHG budgets, we know very little about CH₄
88 emissions from tropical tree stems on upland soils and we do not know whether they are also
89 capable of emitting N₂O.

90 Although tropical forests on upland soils cover a larger land-surface area than tropical
91 wetlands (Pan et al., 2013), they are generally not considered to be a major source of CH₄ and
92 N₂O emissions. However, the role of tree stems as conduits of trace GHGs in tropical forests
93 on upland soils warrants further attention because many tropical tree species have large buttress

94 roots and the greatest stem gas emissions are measured within 0.3-m of the soil surface (Rusch
95 and Rennenberg, 1998; Gauci et al., 2010; Pangala et al., 2013). Hence, even minor trace GHG
96 emissions from tropical trees on upland soils could represent a significant source of CH₄ and
97 N₂O. Indeed, recent work in temperate woodland demonstrated that tree stem emissions
98 diminish the methane sink of upland forests (Pitz & Megonigal, 2017), if the same applies to
99 tropical forests, it would affect global trace GHG budgets.

100 Regardless of whether soils are waterlogged or well-drained, the production of trace GHGs
101 in soils depends on the availability of suitable substrates (Li et al., 2000). Litter quantity can
102 influence the rates of trace GHG emissions from forest soils as it provides substrate (acetate
103 and hydrogen) for methanogens and the nitrate used in denitrification (Teh et al., 2008). The
104 potential link between litter inputs and trace GHG emissions from soil was explored by a study
105 of soil N₂O emissions from a wet forest in Costa Rica, in which doubling leaf litter inputs
106 increased rates of N₂O emissions by 43% relative to controls, with a corresponding decline of
107 42% in litter removal plots (Wieder et al., 2011). However, litter manipulation treatments in
108 subtropical forest in Southern China had no significant effect on soil CH₄ uptake or N₂O
109 production, implying that abiotic conditions in the mineral soil may be more important than
110 litter quantity (Tang et al., 2006). The effects of litter manipulation on trace GHG emissions
111 from tree stems is presently unknown, but as changes in mineral soil chemistry from litter were
112 the primary driver of changes in CH₄ and N₂O fluxes (Fender et al., 2013), it is conceivable that
113 litter manipulation could also affect tree stem emissions.

114 Hence, although trees can be a major conduit for CH₄ in tropical floodplains and peat forests,
115 we know very little about tree stem fluxes of CH₄ in tropical forests on upland soils, and there
116 are no field data on tree stem N₂O emissions in the tropics. We aimed to address these gaps in
117 our knowledge of tropical GHG emissions by measuring CH₄ and N₂O fluxes from the soil and
118 tree stems in a seasonal moist tropical forest on upland soils. We focussed our attention on

119 quantifying seasonal changes in CH₄ and N₂O fluxes at the base of tree stems, and we assessed
120 the specific role of litter in providing substrate for trace GHG production. Accordingly, we
121 conducted our study in an existing long-term litter manipulation experiment during the
122 transition from the dry season to the wet season to test the following hypotheses:

- 123 1) Tree stems in tropical forests on upland soils will act as a conduit for CH₄ and N₂O produced
124 in the soil; patterns in trace GHG emissions from tree stems will therefore mimic those from
125 the soil, increasing in the wet season and decreasing in the dry season.
- 126 2) Litter manipulation treatments alter substrate availability to microorganisms and will
127 therefore influence CH₄ and N₂O fluxes from soils and tree stems; hence, trace GHG
128 emissions will be greater in litter addition treatments and lower in litter removal plots relative
129 to controls.

130

131 **Materials and Methods**

132 *Field area and sampling*

133 The study was carried out within the Gigante Litter Manipulation Project, approximately 5
134 km south of Barro Colorado Island (BCI) in Panama, Central America (Sayer & Tanner, 2010).
135 The 15 plots were set up between 2000 and 2002; each plot measures 45-m × 45-m and the
136 edges of the plots were trenched to a depth of 0.5-m, lined with plastic and then backfilled.
137 Starting in January 2003, the litter is raked up and removed from five plots every month (L-)
138 and added to five plots where it is spread as evenly as possible (L+); five plots were left as
139 controls (CT; see Sayer et al. 2006 and Sayer & Tanner 2010 for a full description). The mean
140 annual temperature at the weather station on nearby Barro Colorado Island (within 2 km of the
141 study site) is 26°C, mean annual rainfall is 2,600 mm and there is a strong dry season from mid-
142 December to mid-April (Leigh, 1999). During the study period, maximum and minimum air
143 temperatures were 32.4°C and 24.3°C respectively, soil temperature ranged from 24.9 – 29.2°C

144 and soil water content (SWC) was between 14% and 40%. The soil in the plots is characterised
145 as a moderately acidic Oxisol (Cavelier, 1992).

146 Two tree species were selected for this study: the fast-growing canopy tree *Simarouba amara*
147 (Aubl.) and the shade-tolerant subcanopy tree *Heisteria concinna* (Standl.), which occur
148 frequently throughout the study forest and are among the most abundant tree species in the
149 experimental plots (12% of all trees with dbh >10 cm; Sayer and Tanner, 2010). Both species
150 have relatively smooth bark and straight stems, which facilitated sampling and the species have
151 distinct specific wood densities (0.38 g cm^{-3} for *Simarouba* and 0.64 g cm^{-3} for *Heisteria*;
152 Condit et al., 2013), which is likely to influence trace GHG fluxes from stems (Pangala et al.,
153 2013). Trees were mapped and marked using handheld GPS. One individual per species was
154 chosen per plot but only 13 of the 15 experimental plots contained live mature individuals of
155 *Simarouba*; hence the present study included trees in four plots per treatment, making 12
156 *Heisteria* and 12 *Simarouba* trees in total.

157 Greenhouse gas fluxes from the soil were measured using permanently installed soil collars
158 located 2-3 m to the north and south of each tree. The collars were made from 120-mm long
159 sections of polyvinyl chloride pipe (internal diameter: 200 mm), which were embedded into the
160 soil to 30-mm depth. All collars were installed at least two weeks prior to sampling in March
161 2014 and an appropriate amount of litter was placed into the collars in the CT and L+ plots to
162 achieve consistency with the surrounding forest floor. To determine CH_4 and N_2O emissions
163 from the soil, a PVC lid with an inner seal of gas-tight neoprene foam was placed on top of the
164 collar; a 15-ml air sample was taken by syringe via a septum in the lid immediately after closure
165 and then again after 3, 6 and 10 minutes. Each sample was injected into pre-evacuated 12-ml
166 borosilicate vials (ExetainerTM, LabCo Ltd, High Wycombe, UK). The suction when removing
167 the lid after sampling demonstrated the integrity of the seal on the soil chamber. Soil

168 temperature at 0-6 cm depth was recorded adjacent to the collars using a Thermapen (ETI Ltd,
169 Worthing, UK).

170 Tree stem gas fluxes were measured using a flexible chamber made from a 450-mm × 300-
171 mm sheet of polycarbonate, lined with neoprene foam (19 mm wide, 25 mm thick; Siegenthaler
172 et al. 2016). The chambers were secured to the tree stems at 0.3-m above the forest floor using
173 cam buckle straps. Gas samples were taken by syringe from a septum in the middle of the
174 chamber at 0, 5, 10 and 15 minutes, and injected into pre-evacuated 12-ml vials as described
175 above.

176 Air samples from the tree stem and soil chambers were collected every two weeks between
177 30 March and 20 July 2014. Air pressure and temperature outside the stem chamber were
178 recorded at the start of sampling using a Thermometer-Hygrometer-Barometer probe
179 (Commeter C4141; Comet Systems, Czech Republic). Soil temperature at 0-10-cm depth was
180 measured adjacent to the collars using a soil temperature probe and volumetric soil water
181 content at 0-6 cm depth was measured using a Thetaprobe (Delta-T Devices, Cambridge, UK)
182 calibrated to local soil conditions following the manufacturer's instructions. Collection of soil
183 temperature and soil water content data during gas sampling was limited to 28 May - 14 June
184 2014 and 2 - 6 July 2014 due to equipment malfunction. We therefore used monthly values
185 measured in the plots as part of a separate study (Sayer et al. unpublished data) and weekly
186 rainfall data measured at the meteorological tower on Barro Colorado Island (courtesy of the
187 Physical Monitoring Program of the Smithsonian Tropical Research Institute).

188 The CH₄ concentrations of the air samples were analysed within a week of sampling using
189 off-axis Integrated Cavity Output Spectroscopy (FMA-200 Fast Methane Analyser; Los Gatos
190 Research, Mountain View, CA, USA). The N₂O concentrations of the air samples were
191 analysed using gas chromatography (Ai 94 Gas Chromatograph, Cambridge Instruments,
192 Ellutia, Ely, UK). All methods for the measurement of GHGs, including the testing of the

193 chamber method and sensitivity of measurements are discussed fully in Siegenthaler et al.
194 (2016).

195

196 *Data analyses*

197 The data were inspected visually before further analysis; we considered extreme outliers that
198 lay outside of the 5th - 95th interquartile range as measurement errors and removed them from
199 the dataset. For soil fluxes, we omitted two data-points for CH₄ and one value for N₂O (out of
200 188 and 108, respectively) and for tree stem fluxes, we omitted 20 data-points for CH₄ and three
201 data-points for N₂O (out of 201 and 115, respectively).

202 All data analyses were conducted in R 3.3.2 (R Core Team, 2016) using the lme4 package
203 for mixed effects models (Bates et al., 2015). Gas fluxes were calculated for each chamber
204 following Baird et al. (2010), whereby the least squares linear regression slope of the four
205 sample concentrations is plotted against sampling time and the slope to give the gas flux in μg
206 $\text{m}^{-2} \text{h}^{-1}$. Gas flux measurements were only used for further statistical analysis if the R^2 of the
207 regression was >0.7 ; this cut-off point was chosen following Alm et al. (2007; cited in Cooper
208 et al., 2014), who noted that low fluxes (especially those near to zero) tend to have low R^2
209 values. Concentration changes in N₂O in dry season samples were too small to estimate non-
210 zero fluxes (i.e. $R^2 < 0.7$); we therefore only present wet season data for soil and tree stem N₂O
211 fluxes.

212 Soil water content, soil temperature, and air temperature were strongly correlated; however,
213 as is typical of the tropics, temperature only varied within a narrow range. Given that rainfall
214 and soil moisture exhibited far larger variation (and have a fundamental control on soil CH₄
215 and N₂O production), we investigated the relationships between soil water content and trace
216 GHG fluxes from soils and tree stems using monthly means. Relationships were inspected
217 visually and emerging patterns were then tested using linear models. We then assessed the

218 influence of tree species, litter treatment, and their interaction on soil and stem trace GHG fluxes
219 using linear mixed effects models (*lmer* function) with plot and time as random effects. The
220 significance of each term was determined by comparing nested models using likelihood ratio
221 tests. Models were simplified by sequentially dropping terms until a minimum adequate model
222 was reached, using AICs and p-values to check for model improvement (Pinheiro and Bates,
223 2000). The final model fit was inspected using diagnostic plots. Effects of seasonal variation
224 were tested by comparing minimum adequate models with and without time as a random effect.
225 Statistics for mixed effects models are given for the comparison between the best-fit model and
226 the corresponding null model. All results are reported as significant at $p < 0.05$ but due to the
227 low number of replicate plots ($n = 4$), marginally significant trends are also reported at $p < 0.1$.

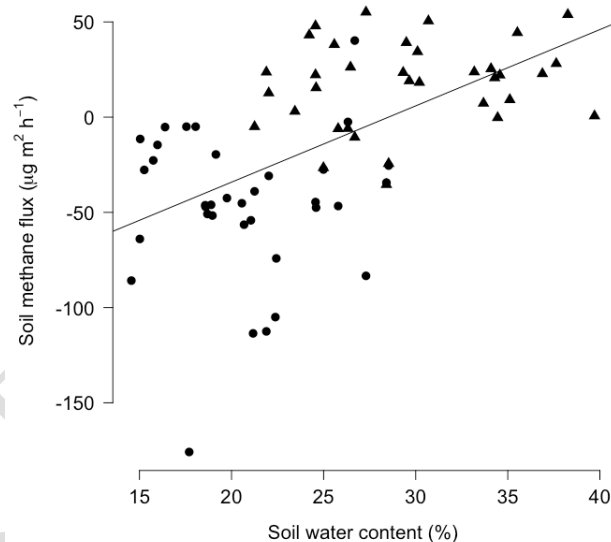


Figure 1. The relationship between methane fluxes from the soil and soil water content at 0-6 cm depth in a lowland tropical forest on upland soil in Panama, Central America, during the transition from the dry season (circles) to the wet season (triangles) from March to July 2014.

228

229 **Results**

230 *Seasonal variation in CH₄ fluxes*

231 Soil water content was strongly related to total rainfall ($R^2 = 0.6$, $p < 0.01$) and CH₄ fluxes
232 increased with soil water content ($R^2 = 0.3$, $p < 0.01$; Fig. 1). Soil CH₄ fluxes therefore varied
233 significantly between the dry and wet season ($p < 0.001$, $\chi^2 = 36.4$), whereby soils acted as a
234 CH₄ sink during the dry season and switched to being a source within two to three weeks of the
235 start of the wet season (Fig. 2a).

236 There was no clear seasonal pattern for tree stem CH₄ fluxes; although stem CH₄ emissions
237 tended to be larger during the wet season, they were not significantly so (Fig. 2a) and there
238 were no significant relationships between tree stem fluxes and soil water content.

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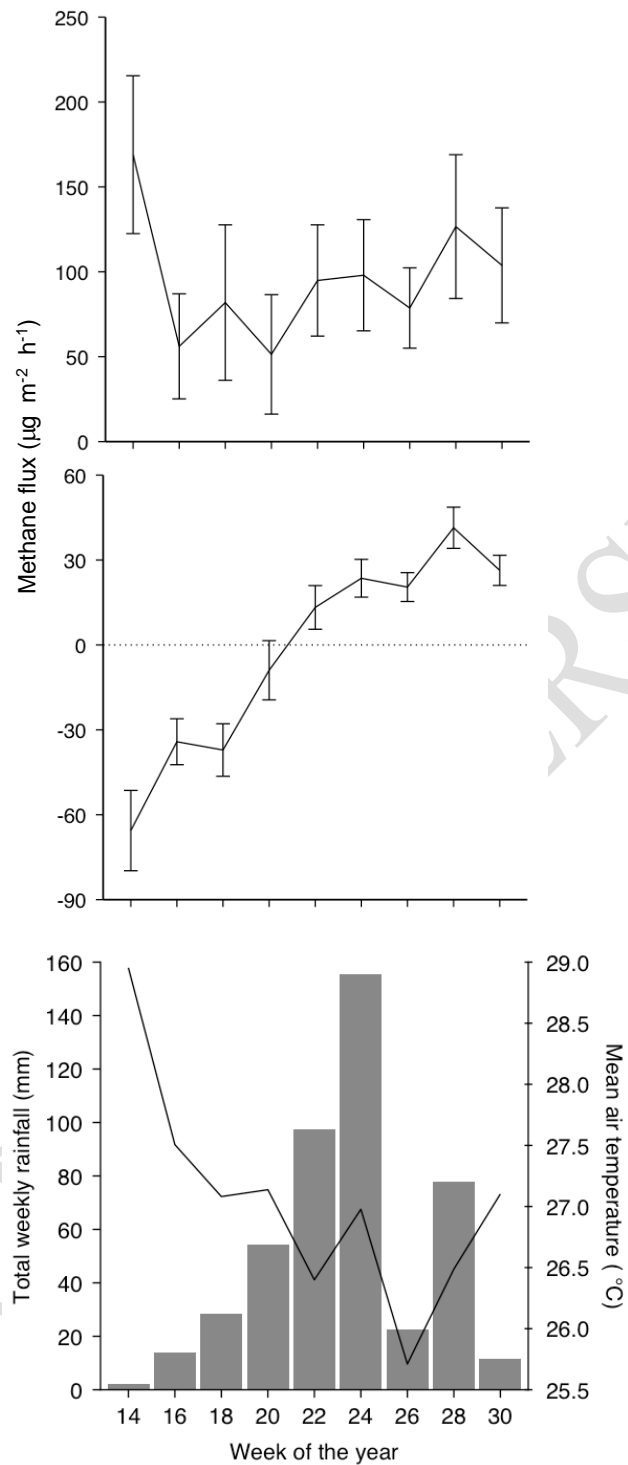


Figure 2 Methane (CH_4) fluxes from tree stems at 0.3-m height (top panel) and soil (centre panel) in a lowland tropical forest on upland soil in Panama, Central America; data shown are pooled across experimental plots with three litter manipulation treatments, means and standard errors are therefore given for $n = 12$; measurements were made weekly during the transition from the dry season to the wet season (bottom panel, weeks 14-19 and 20-30, respectively), with corresponding changes in total rainfall (bars) and temperature (line).

240 *Species and treatment effects on soil CH₄ fluxes*

241 There were no effects of species, treatment or their interaction on soil CH₄ fluxes. Soil CH₄
242 fluxes remained predominantly negative until week 24 under individuals of *Heisteria* and until
243 week 21 under individuals of *Simarouba*, indicating dry season uptake of CH₄ before a
244 transition to emissions within two to four weeks after the first heavy rainfall of the year (Figs.
245 2b and 3). The median flux beneath *Heisteria* was 8.3 $\mu\text{g m}^{-2} \text{hr}^{-1}$, which was slightly higher
246 than the median CH₄ flux of 6.3 $\mu\text{g m}^{-2} \text{hr}^{-1}$ from chambers under *Simarouba*.

247

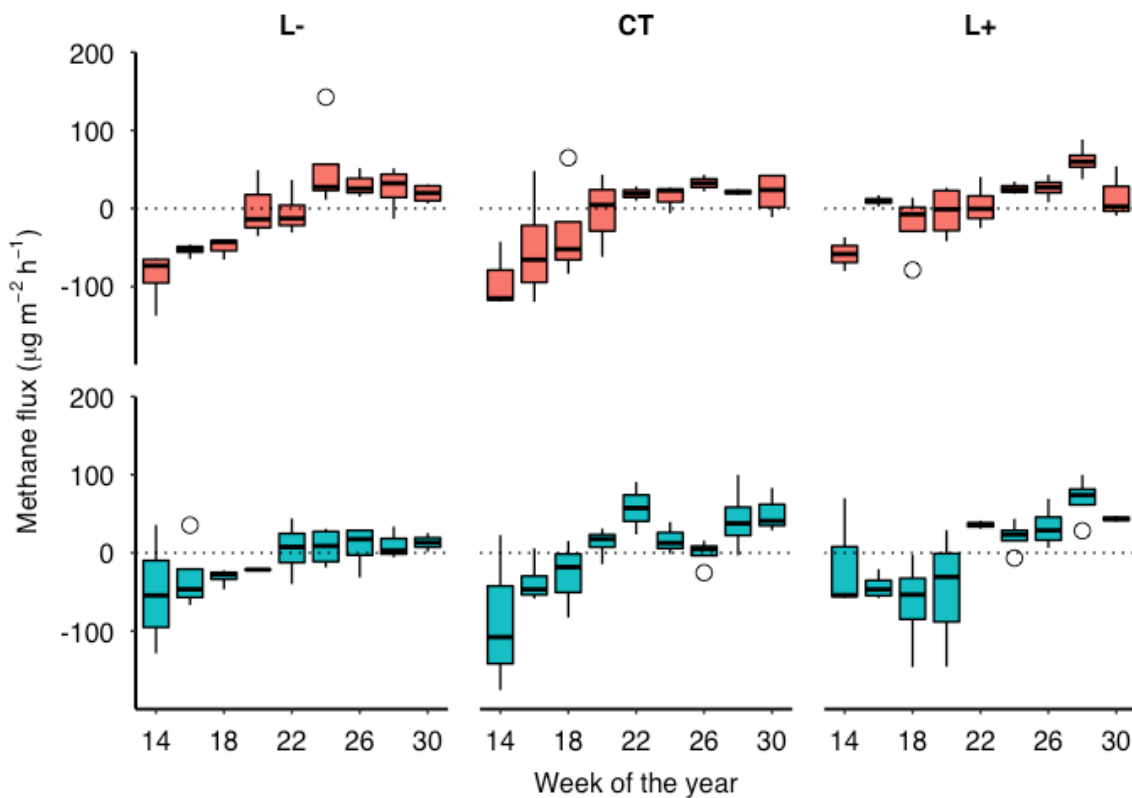


Figure 3. Soil methane (CH₄) fluxes under individuals of two common tree species: *Heisteria concinna* (top panels) and *Simarouba amara* (bottom panels) in experimental litter removal (L-), control (CT) and litter addition (L+) treatments in a lowland tropical forest on upland soil in Panama, Central America, measured weekly during the transition from the dry season (weeks 14-19) to the wet season (weeks 20-30); ranges (boxes and whiskers) and median lines are shown for $n = 4$ individuals per species and treatment.

248 The range of soil CH₄ fluxes under individuals of *Heisteria* (-190 - 539 μg m⁻² hr⁻¹) was
249 greater than under individuals of *Simarouba* (-89.4 - 450 μg m⁻² hr⁻¹). Consequently, the mean
250 soil CH₄ flux beneath *Heisteria* individuals was slightly more negative than that beneath
251 *Simarouba* (-2.8 ±5.1 μg m⁻² hr⁻¹ and -2.3 ±5.5 μg m⁻² hr⁻¹ respectively).

252

253 *Species and treatment effects on tree stem fluxes of CH₄*

254 Surprisingly, tree stem CH₄ fluxes were mostly positive throughout the study period, even
255 though the upland soils at the study site tended to act as a sink for methane during the dry season
256 (Fig. 2). Accordingly, we found no relationship between soil and stem CH₄ fluxes. There was
257 a significant species × treatment interaction on stem CH₄ fluxes, whereby *Heisteria* stems had
258 higher CH₄ fluxes in L+ plots and lower stem fluxes in L- plots compared to *Simarouba* stems
259 ($p < 0.001$, $\chi^2 = 24.5$; Fig. 4). Overall, the median CH₄ flux was very similar between the two
260 species, with 72.6 μg m⁻² hr⁻¹ and 75.1 μg m⁻² hr⁻¹ for *Heisteria* and *Simarouba* respectively.
261 Tree stem CH₄ fluxes in individuals of *Heisteria* were mostly positive, with a mean flux of 101
262 ±14.9 μg m⁻² hr⁻¹ over the dry-wet season transition, whereas the mean flux for *Simarouba* was
263 lower at 87.7 ±18.5 μg m⁻² hr⁻¹.

264 Stem CH₄ fluxes in *Simarouba* displayed greater inter-week variability across all treatments
265 (Fig. 4) and had a greater range throughout the study (-276 μg m⁻² hr⁻¹ to 678 μg m⁻² hr⁻¹) than
266 those from *Heisteria* stems. CH₄ stem fluxes from *Heisteria* ranged from -156 to 598 μg m⁻²
267 hr⁻¹ and remained relatively constant throughout the study in CT and L- plots but were much
268 more variable in L+ plots (Fig. 4).

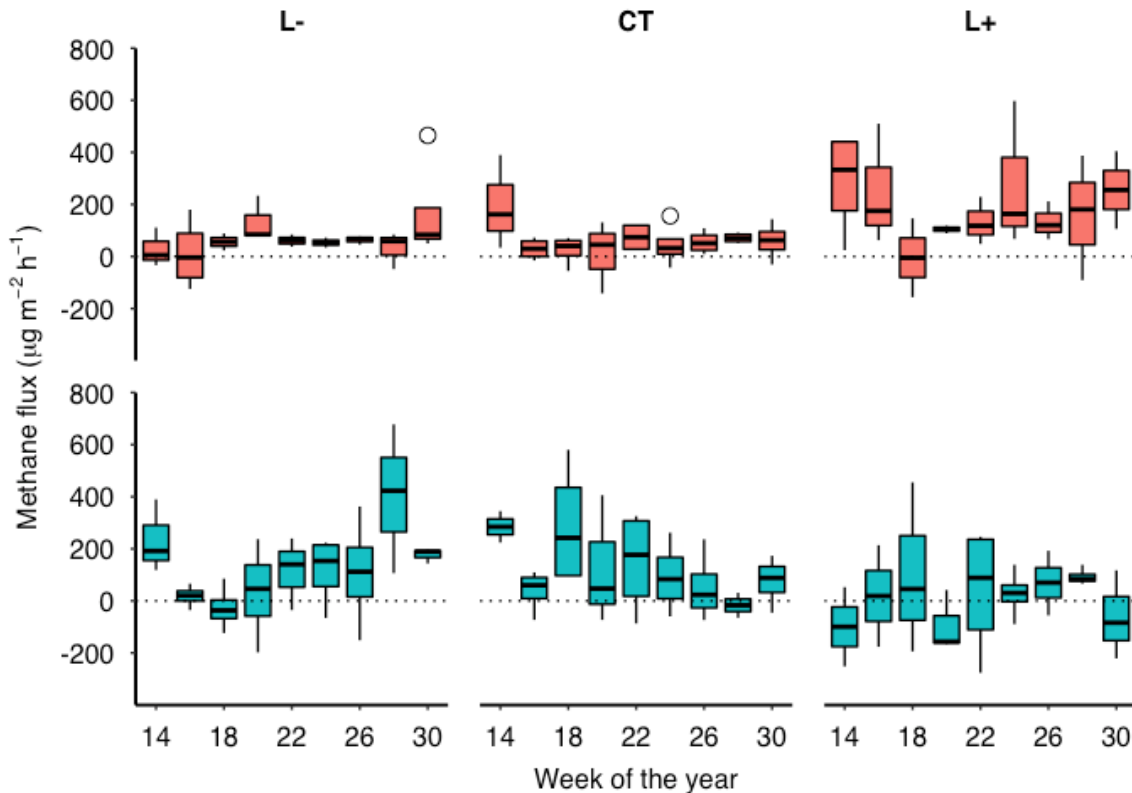


Figure 4. Methane (CH_4) fluxes from tree stems of *Heisteria concinna* (top panels) and *Simarouba amara* (bottom panels) in experimental litter removal (L-), control (CT) and litter addition (L+) treatments in a lowland tropical forest on upland soil in Panama, Central America; fluxes were measured weekly at 0.3-m height during the transition from the dry season (weeks 14-19) to the wet season (weeks 20-30); ranges (boxes and whiskers) and median lines are shown for $n = 4$ individuals per species and treatment.

269 *Seasonal variation in N_2O fluxes*

270 There was no clear seasonal pattern in soil or tree stem N_2O fluxes during the wet season
 271 (Fig. 5) and no effect of soil water content. However, we were unable to quantify N_2O fluxes
 272 during weeks 14-19, indicating very limited N_2O production during the dry season.

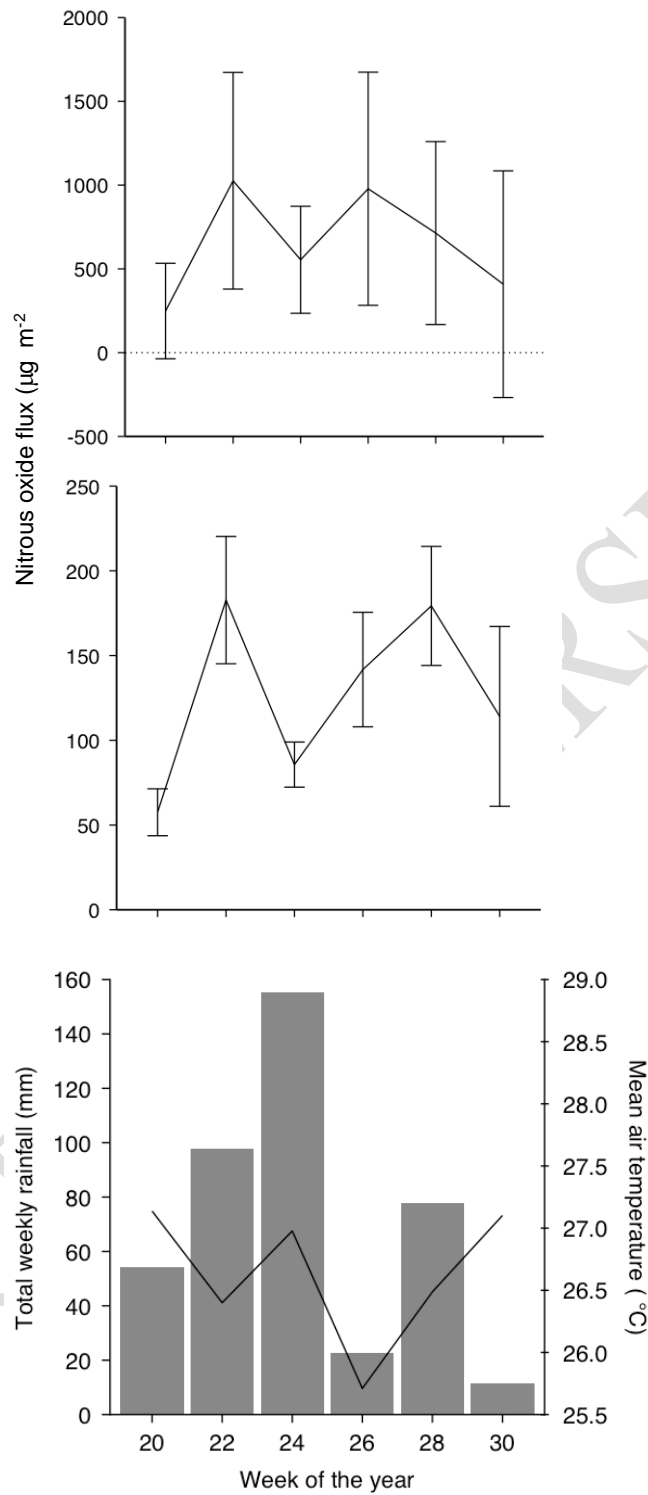


Figure 5 Nitrous oxide (N_2O) fluxes from tree stems at 0.3-m height (top panel) and soil (centre panel) in a lowland tropical forest on upland soil in Panama, Central America; means and standard errors are given for $n = 4$; measurements were made weekly during wet season (bottom panel) showing total rainfall (bars) and temperature (line).

274 *Species and treatment effects on soil N₂O fluxes*

275 There was a marginally significant additive effect of species and litter treatment for soil N₂O
276 fluxes ($p = 0.092$, $\chi^2 = 6.45$), whereby soil N₂O fluxes measured beneath *Heisteria* individuals
277 were greater in L+ plots compared to L- plots and tended to be higher than soil N₂O fluxes
278 measured beneath *Simarouba* (means of $138 \pm 21.7 \mu\text{g m}^{-2} \text{h}^{-1}$ and $114 \pm 15.7 \mu\text{g m}^{-2} \text{h}^{-1}$,
279 respectively; Fig. 6). Wet season soil N₂O fluxes beneath individuals of *Heisteria* ranged from
280 -190 to $539 \mu\text{g m}^{-2} \text{h}^{-1}$ (median: $110 \mu\text{g m}^{-2} \text{h}^{-1}$) and N₂O fluxes under *Simarouba* trees ranged
281 from -89.4 to $450 \mu\text{g m}^{-2} \text{h}^{-1}$ (median: $87.9 \mu\text{g m}^{-2} \text{h}^{-1}$).

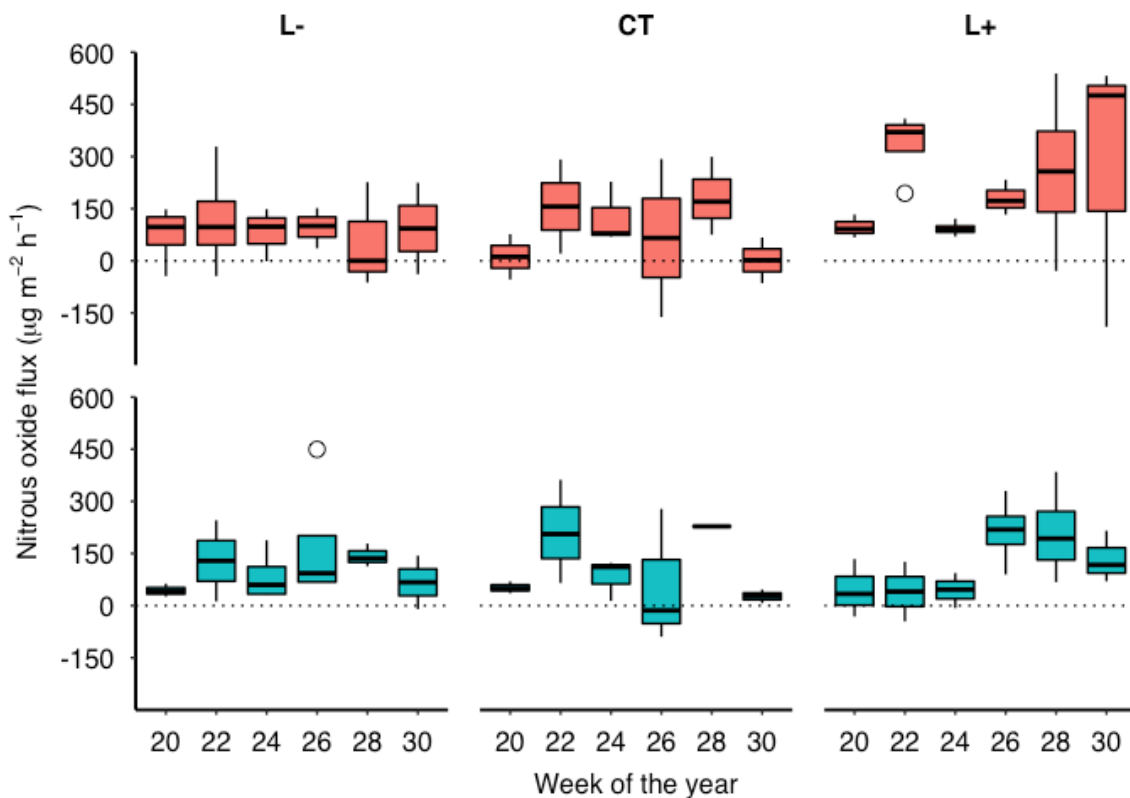


Figure 6. Soil nitrous oxide (N₂O) fluxes under individuals of *Heisteria concinna* (top panels) and *Simarouba amara* (bottom panels) in experimental litter removal (L-), control (CT) and litter addition (L+) treatments in a lowland tropical forest on upland soil in Panama, Central America, measured weekly during the wet season; ranges (boxes and whiskers) and median lines are shown for $n = 4$ individuals per species and treatment.

282 *Species and treatment effects on tree stem fluxes of N₂O*

283 There was a significant additive effect of species and litter treatment on stem N₂O fluxes (χ^2
284 = 9.66, $p = 0.022$), whereby fluxes from *Simarouba* stems were greater than those from
285 *Heisteria* in L+ and CT plots, but not in L- plots (Fig. 7). Overall, median N₂O fluxes from
286 *Heisteria* were much lower than those from *Simarouba* (101 $\mu\text{g m}^{-2} \text{h}^{-1}$ and 1001 $\mu\text{g m}^{-2} \text{h}^{-1}$,
287 respectively) over the course of the study. N₂O fluxes from *Heisteria* stems were less variable
288 (range: -2857 to 4270 $\mu\text{g m}^{-2} \text{h}^{-1}$) than *Simarouba* (range: -3770 to 8361 $\mu\text{g m}^{-2} \text{h}^{-1}$). Overall, a
289 greater proportion of stem fluxes in *Simarouba* were positive and the mean stem flux from
290 *Heisteria* was $80 \pm 234 \mu\text{g m}^{-2} \text{h}^{-1}$ compared to $1193 \pm 361 \mu\text{g m}^{-2} \text{h}^{-1}$ for *Simarouba* (Fig. 7).

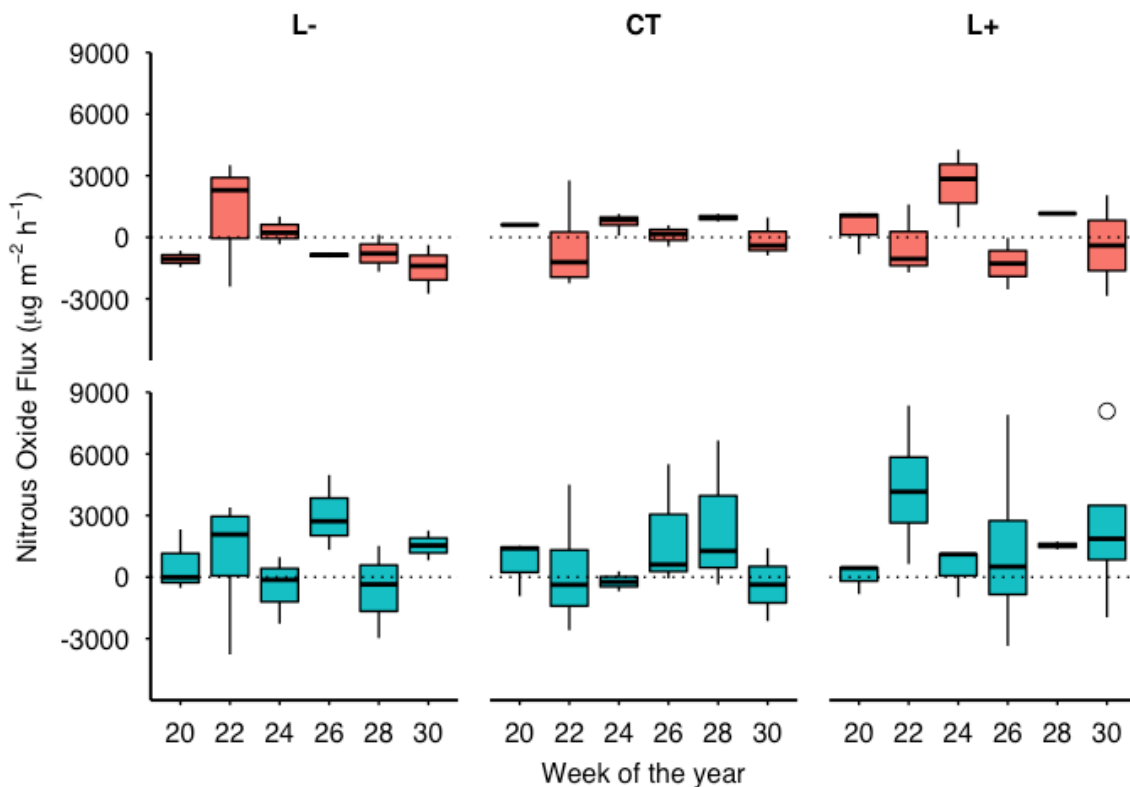


Figure 7. Nitrous oxide (N₂O) fluxes from tree stems of *Heisteria concinna* (top panels) and *Simarouba amara* (bottom panels) in experimental litter removal (L-), control (CT) and litter addition (L+) treatments in a lowland tropical forest on upland soil in Panama, Central America; fluxes were measured weekly at 0.3-m height during the wet season (weeks 20-30); ranges (boxes and whiskers) and median lines are shown for $n = 4$ individuals per species and treatment.

291

292 **Discussion**

293 We demonstrate that upland soils in this seasonal tropical forest represented a sink for CH₄
294 during the dry season but became a source of CH₄ and N₂O during the transition to the wet
295 season. Importantly, tree stems consistently emitted CH₄ during both the wet and dry seasons,
296 which may offset the dry season soil sink. Tree stem fluxes of N₂O were only detectable in the
297 wet season but were also mostly positive, indicating that tropical tree stems on upland soils
298 could be a hitherto unaccounted for source of N₂O.

299

300 *Seasonal patterns of soil CH₄ and N₂O fluxes*

301 Soil trace GHG fluxes were strongly seasonal. The soil acted as a CH₄ sink during the dry
302 season, when upper horizons of the soil profile have low soil water content and are well aerated
303 and methanogenic archaea are probably dormant. With the onset of the wet season at the
304 beginning of May (week 20; Fig. 2b), soil CH₄ fluxes shifted from strongly negative towards
305 positive values, i.e. net CH₄ emissions. The linear relationship between soil CH₄ emissions and
306 soil water content (Fig. 1) is typical of tropical and subtropical swamp forest and rainforest sites
307 (Yu et al., 2008; Rowlings et al., 2012; Hall et al., 2013), and indicates that the higher soil water
308 content during the wet season at our site created conditions that were more favourable for
309 methanogenesis but less so for methanotrophy.

310 N₂O emissions usually increase with soil water content as the more productive anaerobic
311 process of denitrification becomes dominant (Keller and Reiners, 1994) but we observed no
312 relationship between soil water content and soil N₂O emissions in our study. However, we were
313 unable to statistically assess seasonal patterns in N₂O fluxes because fluxes were not
314 quantifiable for the majority of dry season measurements from the soil or tree stem bases. The
315 lack of measurable N₂O production during the dry season at our study site is nonetheless

316 consistent with strong seasonality in N₂O fluxes, as the low variability in soil water content
317 during the wet season explains why there was no relationship with N₂O. Alternatively, the
318 timing and frequency of measurements may have been insufficient to detect relationships
319 between soil water content and N₂O fluxes, as soil water saturation at our study site occurred
320 as a result of heavy rainfall, rather than rising water table depth or flooding, and mesocosm
321 studies have demonstrated that N₂O emissions were greatest 24 hours (Machacova et al. 2013)
322 or ~45 hours (Lienggaard et al. 2014) after rewetting but then declined rapidly.

323 We focussed primarily on soil water content as a control of trace GHG fluxes because
324 temperature differences have a more profound effect on trace GHG fluxes when temperatures
325 drop below 15°C (Castro *et al.*, 1995), and soil temperature was consistently >24°C in our study.
326 Other factors such as diffusion rate (affected by e.g. soil density; Le Mer and Roger, 2001; Liu
327 et al., 2007) and drought effects (Davidson et al., 2008; Itoh et al., 2010) become relatively
328 more important at higher temperatures. It is also worth noting that the 2014 El Niño event
329 resulted in a strong dry season in Panama, with lower rainfall than average, and seasonal effects
330 in the present study may therefore have been exaggerated by the more severe dry season
331 compared with other years.

332

333 *Trace GHG fluxes from tree stems*

334 Despite substantial changes in soil trace GHG fluxes between the dry and the wet season,
335 there was no clear seasonal pattern in trace GHG fluxes from tree stems and therefore no support
336 for our hypothesis of a relationship between CH₄ and N₂O fluxes from the soil and adjacent tree
337 stems. Emissions of CH₄ and N₂O from tree stems in floodplain and wetland systems reflect the
338 composition of soil trace GHG concentrations (Terazawa et al., 2007; Purvaja et al., 2004;
339 Pangala et al., 2013) because the majority of CH₄ and N₂O emitted via the plant pathway
340 originates from methanogenic consortia and denitrifying communities in the soil. However,

341 variation in tree water uptake and trace GHG production with soil depth could explain why we
342 found no relationship between soil and tree stem CH₄ or N₂O fluxes. Previous work at the study
343 site demonstrated that soil water content and N₂O concentrations increase with depth, whereas
344 CH₄ concentrations were highest at *c.* 20-cm depth and then decreased with soil depth to *c.*
345 1.25-m (Koehler et al., 2012). Accordingly, we would expect higher CH₄ fluxes from stems
346 when trees source water from shallower soil horizons, and higher N₂O fluxes when trees access
347 water from deeper in the soil profile. Hence, the relationship between soil and tree stem GHG
348 fluxes is likely to be influenced by the location of CH₄ or N₂O production in the soil profile,
349 the rooting architecture of the tree species, and the preferential water uptake patterns of
350 individual trees. Further, there is increasing evidence that CH₄ is also produced within tree
351 stems (Wang et al., 2016) and methanogens can predominate in heartwood microbial
352 communities (Yip et al., 2018), which could also contribute to CH₄ emissions from tree stems
353 even when the soils were acting as a sink.

354 The majority of CH₄ and N₂O fluxes from tree stems were positive throughout the study
355 (Figs. 4 & 7) and, unlike soil trace GHG fluxes, the rates did not change significantly between
356 seasons. Consistent trace GHG emissions from tree stem bases has also been observed in
357 temperate upland forests (Wang et al. 2016; Pitz & Megonigal, 2017; Warner et al., 2017) and
358 suggests that GHGs may be produced within the tree stem (Covey et al. 2012; Wang et al.
359 2016), or that the transport of trace GHGs through tree stems bypassed the oxygenated surface
360 horizons, where the majority of CH₄ oxidation (Teh et al., 2005; Wolf et al., 2012) and more
361 complete denitrification (Koehler et al., 2012; Wieder et al., 2011) occurs. Hence, the
362 production or transport of trace GHGs in tree stems could represent a large and currently
363 unaccounted for source of N₂O and CH₄ emissions from tropical forests on upland soils. The
364 median tree stem fluxes of CH₄ ($\sim 74 \mu\text{g m}^{-2} \text{h}^{-1}$) and N₂O ($\sim 99 \mu\text{g m}^{-2} \text{h}^{-1}$) we measured during
365 the transition from the dry to the rainy season are comparable to fluxes measured in temperate

366 upland trees (Machacova et al., 2013; Wang et al., 2016; Wen et al., 2017; Pitz and Megonigal
367 2018) and our tree stem CH₄ fluxes also lie within the range of tropical peatland forests (17-
368 185 μg m⁻² h⁻¹; Pangala et al., 2013).

369 We measured trace GHG fluxes from the stems of two common tree species with distinct
370 life history strategies and wood densities because stem GHG fluxes are also likely to vary by
371 species' physiological traits (Pangala et al., 2013). In our study, both CH₄ and N₂O fluxes were
372 generally higher from stems of the fast-growing pioneer *Simarouba* (Fig. 4 & 7) except in the
373 litter addition plots, where stem CH₄ fluxes were greater from the slow-growing shade-tolerant
374 tree *Heisteria*. Higher stem emissions from the canopy species *Simarouba* could possibly be
375 explained by greater rates of evapotranspiration and lower wood density compared to the
376 subcanopy species *Heisteria*. Mesocosm studies of two temperate tree species common to
377 forests on upland soils found that fast-growing species created channels of greater gas
378 diffusivity because they had higher fine root density and greater maximum root depth (Fender
379 et al., 2013). Further, higher rates of net primary productivity in canopy species may result in
380 greater root exudation, which could stimulate CH₄ and N₂O production (Butterbach-Bahl et al.,
381 2002). The potential influence of different tree species traits on stem trace GHG fluxes makes
382 it challenging to assess ecosystem-level fluxes in highly diverse tropical forests, especially as
383 CH₄ and N₂O concentrations within soil pore gas and water are also influenced tree diversity
384 (Machacova et al., 2013; Warner et al., 2017). However, we demonstrate that tree species with
385 distinct life history strategies and ecological niches emit CH₄ and N₂O, from their stems, which
386 suggests that trace GHG fluxes from tree stems could be widespread in tropical forests on
387 upland soils.

388 Interestingly, tree stems also acted as a sink for trace GHG gases in this study (Figs. 4 and
389 7). It is conceivable that changes in CH₄ and N₂O concentrations in soil water at different depths
390 could generate a diffusion gradient from the atmosphere into tree stems, thus resulting in tree

391 stem uptake of trace GHGs, possibly as a result of active consumption by epiphytic and
392 endophytic methanotrophs. This intriguing possibility merits further attention.

393

394 *Links between trace GHG fluxes, seasonality, and decomposition processes*

395 The decomposition of plant litter plays a role in methanogenesis and nitrous oxide emissions
396 because it releases the labile carbon that supplies electron donors for methanogens (Megonigal
397 and Guenther 2008) and the nitrate for denitrification (Teh et al., 2008). In upland tropical forest
398 soils, the combined spatial variability in soil water content, decomposition processes, and
399 electron donors may represent a greater control on trace GHG uptake or emission than any
400 single factor. At our study site, a large proportion of the annual litterfall occurs during the dry
401 season (Sayer & Tanner 2010), and the rapid increase in soil fluxes of CH₄ and N₂O at the start
402 of the wet season are likely to result from a combination of increased water-filled pore space
403 and the decomposition of the thick litter that accumulates during the dry season (Wieder and
404 Wright, 1995). Enhanced decomposition immediately after wetting (Vasconcelos *et al.*, 2007)
405 could lead to spikes in N₂O fluxes that coincide with rainfall (e.g. weeks 24 and 28 in Fig. 5).
406 Correspondingly, the low rates of decomposition could also partly explain why we were unable
407 to detect N₂O fluxes in the majority of dry season measurements.

408 Given the influence of decomposition processes on trace GHG production, we hypothesised
409 that both CH₄ and N₂O emissions would be higher in litter addition plots as a result of greater
410 availability in substrate for soil microorganisms. Although there were no consistent effects of
411 the litter treatments on trace GHG emissions, higher N₂O emissions in litter addition plots
412 towards the end of the study period (weeks 24-30; Fig. 6 & 7) and the additive or interactive
413 effect of litter addition and species on tree stem N₂O and CH₄ fluxes (Fig. 4) suggest that there
414 is a connection between trace GHG fluxes and litter quantity. However, increased litter inputs
415 are only likely to result in increased N₂O emissions where there are sufficiently large

416 communities of denitrifying bacteria that can respond quickly to wetting events, creating
417 hotspots and 'hot moments' (McClain et al. 2003). The high inter-week variation in tree stem
418 N₂O fluxes in the present study (Fig. 7) could therefore also be explained by the timing and
419 presence of such hotspots within the rooting zone.

420 Overall, the discrepancies between soil and stem trace GHG fluxes, and the apparent stronger
421 effect of litter manipulation on fluxes of CH₄ and N₂O from *Heisteria* tree stems compared to
422 *Simarouba* stems (Fig. 4 and 7) may be attributed to differences in species' rooting depths and
423 the gradients of trace GHG production with soil depth. The possible role of rooting depth as a
424 control of trace GHG fluxes from tree stems on upland soils is another avenue of investigation
425 that requires further attention.

426

427 **3.5 Conclusions**

428 Our results suggest that tropical tree stems on upland soils may represent a hitherto
429 unaccounted for conduit of trace GHG emissions, which likely originate from deeper soil
430 horizons. Together, litter addition and tree species identity influenced stem CH₄ and N₂O fluxes,
431 which suggests that tree uptake and emissions of trace GHGs is influenced both by
432 decomposition processes and species traits. Given that tropical forests on upland soils cover a
433 larger area than tropical wetland forests, the mechanisms underlying the fluxes of CH₄ and N₂O
434 from tree stems, and their contribution to global trace GHG budgets, merits further examination.

435

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444

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