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The influence of particle composition upon the evolution of urban ultrafine diesel particles on the neighbourhood scale

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3	The influence of particle composition upon
4	the evolution of urban ultrafine diesel
5	particles on the neighbourhood scale
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42 ABSTRACT

when advected to a cleaner atmosphere (Harrison et al., 2016,). We explore, in a structured and 44 systematic way, the sensitivity of nucleation-mode diesel particles to changes in particle 45 composition and saturation vapour pressure. We use a multi-component aerosol microphysics 46 model based on surrogate molecule (C₁₆-C₃₂n-alkane) volatilities. For standard atmospheric 47 conditions (298 K, 1013.25hPa), and over timescales (ca. 100 s) relevant for dispersion on the 48 neighbourhood scale (up to 1 km), the choice of a particular vapour pressure dataset changes the 49 range of compounds that are appreciably volatile by 2-6 carbon numbers. The nucleation-mode 50 peak diameter, after 100 s of model runtime, is sensitive to the vapour pressure parameterisations 51 for particles with compositions centred on surrogate molecules between C₂₂H₄₆ and C₂₄H₅₀. The 52 vapour pressures of components in this range are therefore critical for the modelling of 53 nucleation-mode aerosol dynamics on the neighbourhood scale and need to be better constrained. 54 Laboratory studies have shown this carbon number fraction to derive predominantly from engine 55 lubricating oil. The accuracy of vapour pressure data for other (more and less volatile) 56 components from laboratory experiments, is less critical. The influence of a core of involatile 57 material is also considered. 58 59 The new findings of this study may also be used to identify the Semi-Volatile Organic Compound 60 (SVOC) compositions that play dominating roles in the evaporative shrinkage of the nucleation 61 62 mode observed in field measurements (e.g. Dall'Osto et al., 2011). As well as reconciling model and observations, identifying the most significant vapour pressure regime for nucleation-mode 63 64 dynamics offers a way to improve the computing efficiency of urban aerosol models by adopting simplified schemes for those less important components: e.g., an equilibrium scheme for low-65 carbon-number components and a linear scheme for high-carbon-number components. 66

A recent study demonstrated that diesel particles in urban air undergo evaporative shrinkage

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67 1. INTRODUCTION

Ultrafine particles (UFP, with particle diameter Dp < 100 nm) have been increasingly a focus of 68 69 urban air research over the last two decades. The main source of UFP in outdoor urban air is typically road traffic (Kumar et al., 2014). Harrison et al. (2011) reported that on a busy highway 70 in central London, UK, 71.9% of particles by number were traffic-generated; of which 27.4% are 71 found in the semi-volatile exhaust nucleation mode, 38% are in the exhaust solid mode and the 72 remaining 6.5% are from brake dust and resuspension (Harrison et al., 2011). The proximity of 73 the UFP traffic source to the public, and the large number of UFP emitted by traffic, have 74 prompted health-related research that has accrued evidence pointing to the toxicity and 75 potentially harmful effects of UFP on human health (Atkinson et al., 2010). Experimental and 76 modelling studies have advanced our understanding of the behaviour of urban air UFP, e.g. the 77 relevant aerosol dynamics important to the evolution of the UFP in space and time (Allen et al., 78 2007; Biswas et al., 2007; Dall'Osto et al., 2011; Nikolova et al., 2011; Karnezi et al, 2014). 79 80 Nonetheless, key information regarding the size-resolved composition of the UFP is missing, 81 which limits our ability to determine the impact of gas-transfer processes on UFP evolution. 82 Progress has been made in identifying the composition of traffic-generated particles (including 83 the ultrafine fraction) by resolving the so-called 'unresolved complex mixture' (largely 84 uncharacterised organics in traditional gas chromatography) via two-dimensional gas 85 chromatography (GC×GC; Chan et al., 2013). Alam et al. (2016) show that emitted ultrafine 86 diesel particles consist of a substantial amount of organic material from both unburnt 87 88 diesel fuel and engine lubricating oil. They attribute the low molecular weight Semi-Volatile Organic Compounds (SVOCs, having carbon number < 18) predominantly to the unburnt diesel 89 90 fuel, whereas heavier SVOCs (carbon number > 18) are attributed predominantly to the engine lubricating oil. A typical GC×GC separation is shown in the chromatogram (Figure 1) for diesel 91 engine exhaust emissions in the particulate-phase Aitken mode (56 < D p< 100 nm). Compounds 92

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are separated by volatility along the x-axis (first separation dimension) and by polarity in the y-93 axis (second dimension). Peak identification is based on retention indices and mass spectral data 94 95 from the National Institute of Standards and Technology (NIST) library. The majority of chromatography peaks (identified as aliphatic alkanes, lower black polygons) are present between 96 C₁₈ to C₂₆, corresponding to the compounds identified in the engine lubricating oil and particulate 97 phase engine emissions (Alam et al. 2017). Bar charts above the chromatogram show the 98 volatility distribution of total alkanes (red) and total identified compounds (black), indicating 99 that, although many hundreds of individual chemical compounds are detected, the majority of the 100 SVOCs emissions consist of alkanes. Both the alkane composition and the total composition 101 distributions show a broad peak centred at C25. 102 103 Most primary organic particle emissions are semi-volatile in nature and thus they are likely to 104 evaporate with atmospheric dilution and moving away from the source (Robinson et al., 2007). 105 This has been observed by Dall'Osto et al. (2011; see also Figure 1- S in Supplementary 106 Information) as part of the REPARTEE campaign (Harrison et al., 2012). Dall'Osto et al. (2011) 107 108 reported a remarkable decrease in the measured nucleation-mode peak particle diameter ($D_{pg,nuc}$) between a street canyon ($D_{Pg,nuc} = 23 \text{ nm}$) and the downwind neighbourhood ($D_{Pg,nuc} = 8-9 \text{ nm}$) ca. 109 650 m distant in central London (UK). Nucleation formation of new particles in the atmosphere was ruled out as a possible reason for the observed behaviour. Instead, the decrease in particle 111 diameter was attributed to the effect of evaporation and substantial mass loss from the particle surface (hereafter referred to as REPARTEE-like aerosol dynamics). Alam et al. (2016) present 113 114 the composition of diesel UFP particles measured on a laboratory test-rig (cf. Figure 2-S in Supplementary Information), however the range of variability of the particle composition in 115 116 emissions is still unknown. It is also not known how the organic material is distributed onto the nucleation and Aitken modes of the UFP distribution in the atmosphere. 117

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Numerical experiments can test the plausibility of possible missing components of the system,

and can advise on which experimental studies will be most likely to resolve the existing 120

121 knowledge gaps. Nikolova et al. (2016) describe a modelling framework that can produce

nucleation-mode dynamics consistent with observations. However, missing in that study is the 122

identification of critical thermodynamic parameters and size-resolved composition that could

determine or point to a REPARTEE-like aerosol dynamics. 124

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In the present study, we develop a method to search the particle composition space — i.e. the 126

volatility parameter space — to identify a group of surrogate n-alkanes in the C₁₆H₃₄-C₃₂H₆₆ range 127

that could explain a decrease in the nucleation-mode particle diameter to 10 nm or below as seen 128

in the measurements in London (Dall'Osto et al., 2011). We provide a more robust approach to 129

identify crucial parameters responsible for the UFP behaviour in the atmosphere on the 130

neighbourhood scale including the identification of parameter sets that are incompatible with the

observed behaviour in urban air of nucleation mode UFP. We describe a new way to simulate and

evaluate the role of the SVOCs composition on the atmospheric behaviour of the size-resolved

urban UFP and examine more complex sets of composition involving a non-volatile core. We also

assess the critical role of saturation vapour pressure on the size-resolved aerosol dynamics. 135

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In this study we use Lagrangian box-model simulations of the evolution of urban ultrafine diesel

particles on the neighbourhood scale (up to 1 km). Key results will be presented and discussed in

the main text; more details are provided in the Supplementary Information. The Methodology 139

140 section describes the modelling approach. The Results section presents the model output. In the

Discussion and Conclusions sections, the key findings are summarised with suggestions for 141

further work.

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2. **METHODOLOGY** 144

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shown in analyses such as Figure 1. The composition of UFP is simulated as comprising n-146 alkanes from C₁₆H₃₄ to C₃₂H₆₆, which are the most abundant compounds in Figure 1. Previously 147 (Nikolova et al., 2016), we initialised the n-alkane abundance in gas and particle phases using 148 roadside and urban background observations in Birmingham, U.K. (Harrad et al., 2003). In what 149 follows, we retain this roadside gas-phase initialisation (see below), but choose a more general 150 method for initialising the particle composition, in order to test the sensitivity of the results to the 151 initialisation in a systematic way. By adopting a surrogate molecule approach, we are effectively 152 anchoring the model volatility basis set in physico-chemical data, as discussed further below. 153 154 The SVOC mass fractions in a particle are represented by a truncated Gaussian distribution that is 155 centred for each model run at a given n-alkane in the range from C₁₆H₃₄ to C₃₂H₆₆ with a standard 156 deviation, σ, varying from 1 to 5. Below we call the surrogate n-alkane on which the composition 157 distribution is centred, the modal composition. Example compositions are shown in Figure 2 for a 158 Gaussian distribution centred at $C_{24}H_{50}$. A narrower mass distribution, with $\sigma = 1$, focuses 159 predominantly (ca. 40%) on the component, j ($C_{24}H_{50}$), at which the distribution is centred, with a smaller (ca. 24%) contribution from the adjacent compounds $C_{23}H_{48}$ and $C_{25}H_{52}$, and a minor 161 contribution (ca. 5%) from C₂₂H₄₆ and C₂₆H₅₄. The contribution of the remaining compounds from 162 the tail of the distribution is very low and less than 1%. However, a wider mass distribution (e.g. 163 σ = 5) approximates a flat distribution and includes a contribution from the majority or all of the 164 compounds in the n-alkane range C₁₆H₃₄-C₃₂H₆₆. Monotonically decreasing distributions occur for 165 166 distributions centred at either end of the $C_{16}H_{34}$ - $C_{32}H_{66}$ range. Overall, if one excludes the compounds with less than 1% contribution, modal compositions centred at carbon number, j, with 167 $\sigma = 1, 2, 3, 4, \& 5$, contain surrogate compounds +/- 2, 4, 7, 9, and 11 carbon numbers of j 168 (formally, to remain in the 16-32 carbon number range, [max(16, j-2):min(32, j+2)], [max(16, j-2):min(3 169 4):min(32, j+4)], [max(16, j-7):min(32, j+7], [max(16, j-9):min(32, j+9], & [max(16, j-170

We adopt a 'surrogate molecule' approach to UFP composition, based on the chemical speciation

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171 11):min(32, j+11)]).

We use a Gaussian distribution to represent the composition of the particles because it provides a structured and systematic way to evaluate the organic-aerosol phase partitioning and the amount of organic matter in the UFP. This is important for the behaviour and evolution of the UFP at various timescales relevant for the urban atmosphere. Although there is no reason to discount other functional forms for the composition distribution (e.g., skew Gaussian, log-normal, Pareto, linear, etc), the Gaussian distributions chosen represent a simple two-parameter approach to explore the volatility/composition space available.

2.1 Box Model

The model used in this study is the UFP version (Nikolova et al., 2016) of CiTTy-Street (Pugh et al., 2012); that is, a box-model configuration that accounts for the multicomponent nature of the urban ultrafine particles. The CiTTy-Street-UFP model is used with 15 discrete size bins, with an initial diameter range between 5.8-578 nm in a uniform log-scale. The model can operate in two modes with respect to the aerosol dynamics: Eulerian (fixed particle-diameter grid) or Lagrangian (moving particle-diameter grid). The Eulerian mode is selected when the UFP size distribution is evaluated in the presence of emissions and exchange of particles between boxes (Nikolova et al., 2016). The Lagrangian mode can be selected when the UFP size distribution is evaluated for an isolated air parcel, i.e., when no emissions or transport between boxes are present. In this study, the Lagrangian mode is selected in a zero-dimensional configuration with no emissions or transport in/out of the box. The UFP dynamics (only condensation/evaporation) are simulated such that particles are allowed to grow/shrink to their exact size without any redistribution onto fixed bins in a grid with bin bounds left open in a fully moving diameter scheme (see, for example, Jacobson et al., 1997). The condensation/evaporation process accounts for Raoult's Law, the Kelvin effect, and a mass accommodation coefficient $\alpha = 1$ (Julin et al., 2014) for all

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components. The model results are evaluated at 1, 10 and 100 s. The timescale of 100 s is based on estimate of the travel time on the neighbourhood scale (i.e., horizontal travel distances << 1 km).

2.2 Modal Composition and Initial Size-Resolved UFP distribution

The initial size-resolved UFP distribution is based on the measurements of Dall'Osto et al. (2011) 201 and reproduced in Figure 1-S in the Supplementary Information. This ultrafine size distribution 202 represents the typical street canyon bimodal size distribution found next to a traffic site, e.g. next 203 to Marylebone Road in London (UK). The distribution has a well-defined nucleation mode with a 204 peak number concentration at $D_{pg,nuc} \sim 23-24$ nm. The Aitken mode appears as a shoulder attached 205 to the nucleation mode with a peak number concentration found at $D_{pg,aim}$ between 50-60 nm. 206 The initial UFP size-resolved composition is represented by modal compositions in the range 207 $C_{16}H_{34}$ - $C_{32}H_{66}$, as detailed above, and a standard deviation σ from 1 to 5. A non-volatile core is 208 209 included in the ultrafine particles. While studies broadly agree on the existence of a non-volatile core in the Aitken mode (Biswas et al., 2007; Wehner et al., 2004; Ronkko et al., 2013), it is 210 unclear if nucleation-mode particles contain some non-volatile material or if they are entirely 211 composed of (semi-)volatile SVOC. We have tested the sensitivity to the existence of non-volatile material in the nucleation mode particles by initialising with 1%, 5% or 10% by mass non-213 volatile material for each modal composition (see Supplementary Information for details of the initialisation); results are discussed later in this paper. Simulations are performed by considering 215 the initialised Aitken mode predominantly non-volatile and coated only with 10% volatile material. This is based on the observations during the REPARTEE campaign (Harrison et al., 217 2012) that show a fairly stable Aitken mode between the street canyon and the neighbourhood. The initial size-resolved modal compositions, composition standard deviations and non-volatile 219 220 core in the nucleation and Aitken modes are detailed in Tables 1-S, 2-S, 3-S and 4-S in the Supplementary Information. We also provide information on the input parameters of the log-221 normal UFP size distribution for Nucleation and Aitken modes.

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2.3 Saturation Vapour Pressures and Gas-Phase Concentrations

225 The driving force for condensation/evaporation is the difference between the partial pressure of each representative SVOC and its saturation vapour pressure (hereafter vapour pressure) over the 226 ideal solution in the nucleation mode condensed phase. Figure 3 shows vapour pressures above 227 pure, flat, supercooled liquids for n-alkanes in the range C₁₆H₃₄-C₃₂H₆₆, following Chickos and 228 Lipkind (2008), Compernolle et al. (2011), the Epi Suite calculator (US EPA, 2017), and the 229 UmanSysProp tool (Topping et al., 2016). The UmanSysProp tool provides vapour pressure data 230 based on the work of Nannoolal et al. (2008) and Myrdal and Yalkowsky (1997) with the boiling 231 points of Joback and Reid (1987), Stein and Brown (1994), and Nannoolal et al. (2004). There is 232 very substantial range of estimated vapour pressures for the same compounds in Figure 3, 233 especially for the high molecular weight n-alkanes. The reported data agrees within an order of 234 magnitude between C₁₆H₃₄ and C₁₉H₄₀, but discrepancies of much more than an order of 235 magnitude are evident for the high molecular weight compounds. An enormous difference in the 236 vapour pressure for C₃₂H₆₆ (from 2.66x10⁻⁵ Pa in Epi Suite down to 3.20x10⁻¹⁵ Pa in A-a) is clearly 237 238 seen in Figure 3. Epi Suite (U.S. Environmental Protection Agency) provides the highest vapour pressures for all selected species in comparison with the rest of the data. Nannoolal et al. (2008) 239 and Myrdal-Yalkowsky (1997) data, both using the boiling point of Joback and Reid (1987), 240 provide similar results and present the lowest vapour pressures among the selected n-alkanes. For 241 the purpose of our sensitivity study, three representative datasets are nominated as an input, 242 namely Myrdal-Yalkowsky (1997) with the boiling point of Nannoolal et al. (2004, called B-c in 243 244 Figure 3 and hereafter), Compernolle et al. (2011, called Co) and Nannoolal et al. (2008) with the boiling point of Joback and Reid (1987, called A-a). Hereafter we use the legend abbreviations in 245 246 Figure 3 when referring to these selected vapour pressures, which are towards the upper, mid- and lower end of the reported data. The vapour pressure from the EPI Suite calculator has been 247 omitted from the analysis below because it has been considered in our previous study (Nikolova 248

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et al., 2016). 249 250 251 The gas-phase concentration in the box is initialised with measured gas-phase concentrations in the $C_{16}6H_{34}$ - $C_{32}H_{66}$ range from a traffic site (Harrad et al., 2003) and reported in Table 6-S in the 252 Supplementary Information. All model simulations are run at 298 K; the effects of temperature on 253 vapour pressure differences as a function of carbon number are discussed in the Supplementary 254 Information. 255 256 We have performed a total of (17 modal compositions) x (5 σ values) x (3 non-volatile core 257 amounts) x (3 vapour pressures) = 765 model runs to explore the sensitivity of particle dynamics 258 on the neighbourhood scale. 259 260 The Supplementary Information contains information regarding the initial size distribution, 261 modal composition in the nucleation and Aitken modes, and gas-phase concentrations. 262 Accumulation-mode aerosol (particles diameter Dp > 100 nm) is not considered in this study. 263 Accumulation-mode particles have much smaller number concentrations than the nucleation and 264 Aitken modes in polluted urban areas, and are influenced by aging and transport over larger 265 scales. 266 267 3. RESULTS 268 3.1 Effect of composition on Nucleation-Mode Peak Diameter 269 270 We consider first model runs in which the vapour pressure data follows Compernolle et al. (2011) and nucleation mode particles initialised with 1% non-volatile material. The nucleation mode 271 272 peak diameter $D_{pg,nuc}$ is evaluated at 1 s and 100 s of model run-time in runs with varying modal composition and composition standard deviations. Figure 4 shows $D_{\text{pg,nuc}}$ (y-axis) at 1s simulation 273 time, for each model run, plotted with respect to the modal composition and composition standard

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275 deviation, σ.

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277 Figure 4 maps out the effect of nucleation-mode composition at this very early stage in the model simulation. For example, at $\sigma = 1$ and initial mass distribution centred at $C_{20}H_{42}$ (purple solid line 278 with a square marker), the $D_{pg,nuc}$ decreased from 23 nm (initial diameter at t = 0 s) to 12 nm in 279 one second due to evaporation of volatile material from the particles. At $\sigma = 2$, $D_{pg,nuc} = 15$ nm, a 280 somewhat larger diameter than for $\sigma = 1$, due to the inclusion of material of lesser volatility in the 281 particle composition and, hence, a decrease in evaporation overall. For modal compositions 282 between $C_{16}H_{34}$ and $C_{20}H_{44}$, an increase in σ leads to a pronounced deceleration in overall 283 evaporation and, hence, a much larger nucleation mode peak diameter at 1 s simulation time. The 284 opposite effect occurs for modal compositions of $C_{22}H_{46}$ and above, i.e. increasing σ for a given 285 modal composition decreases D_{pg,nuc} at 1 s. This is due to the addition of quickly evaporating 286 lower molecular weight n-alkanes. 287

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For a modal composition of $C_{21}H_{44}$, increasing σ makes almost no difference to the model 289 290 outcome at 1 s; below, we call the modal composition that shows insensitivity to σ for a given model output time, the threshold modal composition. The model output time of 1 s corresponds to 291 the evaporation timescale of C₂₁H₄₄ under the current model setting, in analogy to the e-folding time for an exponentially decaying process. That is, at this time, a significant proportion (e.g. $1-e^{-}$ 293 1 ~ 63% for one e-folding time, and 1- e^{-2} ~ 86% for two e-folding times) of the initial mass has 294 been evaporated. Furthermore, the timescales are much shorter for those lower-carbon-number 295 296 compositions (e.g. C₂₀H₄, C₁₉H₄₀, ...) and much longer for those higher-carbon-number compositions (e.g. $C_{22}H_{46}$, $C_{23}H_{48}$,...). 297

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To continue the previous example of the modal composition of $C_{20}H_{42}$, the case with $\sigma = 2$ includes not only less volatile materials (i.e. higher-carbon-number SVOCs), but also an equal

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amount of more volatile materials (i.e. lower-carbon-number SVOCs), as indicated by Figure 2. One might suppose that inclusion of the more volatile material would balance the effect of 302 303 including less volatile materials. However, following our argument above, most of the lowercarbon-number compounds including $C_{20}H_{42}$ will have evaporated before the given time of 1 s 304 due to their having much shorter evaporation timescales than C21H44. Thus any material 305 repartitioned from C₂₀H₄₂ to the lower-carbon-number compounds, in changing the model settings 306 from to $\sigma = 1$ to $\sigma = 2$, will not alter the total amount of evaporation and thus the shrinkage rate. 307 308 To take a second example: for C₂₂H₄₆, any material reallocated from C₂₂H₄₆ to the higher-carbon-309 number compounds (due to changing the model setting from $\sigma = 1$ to $\sigma = 2$) will contribute 310 negligibly to the shrinkage simply because the evaporation timescales for those higher-carbon-311 number components are much longer than 1 s, whilst the materials repartitioned from $C_{22}H_{46}$ to the lower-carbon compounds will contribute significantly to evaporation in the first second of 313 model run-time, causing the decreasing trend of the curve shown in Figure 4. 314 315 316 One implication of this finding is that, if a timescale of 1 s is of interest, the aerosol dynamics of the system is dominated by the threshold modal composition of C21H44. Those lower-carbon-317 number compositions evaporate in less than 1 s and are approximately in equilibrium with their 318 respective gas concentrations in the environment. The higher-carbon-number compositions 319 evaporate slowly and at this time of 1 s, only a small or a negligible proportion has been 320 evaporated. A few compositions with highest carbon numbers (e.g. C₃₁H₆₄, C₃₂H₆₆) have 321 322 evaporated almost nothing. Therefore these compositions are effectively involatile for these conditions. 323 324 Nucleation-mode particles have an initial non-volatile mass of 2.9 ng m⁻³. Modal compositions 325 from $C_{16}H_{34}$ to $C_{19}H_{40}$ and $\sigma = 1$ will lose all their volatile mass in 1 s (Table 1). The initial $D_{pg,nuc}$ 326

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decreases from 23 nm to 9 nm and no volatile material is present, i.e. particles are composed of non-volatile core only. Little or no change is simulated in terms of mass and diameter for modal 328 329 composition $C_{32}H_{66}$. 330 At 100 s, the evaporation of existing mass from the surface of the particles is evident also for 331 higher molecular weight components (Table 1). The D_{pg,nuc} at 100 s is plotted in Figure 5. The 332 diameter has further decreased with a more pronounced drop for all σ and modal compositions up 333 to C₂₅H₅₂. C₂₅H₅₂ is, therefore, the threshold modal composition at this model output time. 334 335 The horizontal line drawn at 10nm on Figure 5 corresponds to evaporation approximating 336 REPARTEE-like behaviour. At $\sigma = 1$, modal compositions in the range $C_{16}H_{34}$ - $C_{23}H_{48}$ — and 337 vapour pressures and gas-phase partial pressures as detailed in the methodology — could 338 plausibly explain a particle diameter decrease from 23 nm to ~9 nm. Such a narrow range of 339 surrogate molecular compounds is incompatible with experimental observations such as Figure 1. 340 At $\sigma = 2$ and $\sigma = 3$, modal compositions from $C_{16}H_{34}$ up to $C_{22}H_{46}$ and $C_{21}H_{44}$, respectively, can 341 342 plausibly approximate REPARTEE-like behaviour. At σ = 4 and σ = 5 modal compositions from $C_{16}H_{34}$ up to $C_{19}H_{40}$ and $C_{17}H_{36}$, respectively, plausibly simulate REPARTEE-like behaviour. 343 344 3.2 Effect of Vapour Pressure on the Nucleation-Mode Peak Diameter 345 We compare the simulated nucleation-mode peak diameter, $D_{pg,nuc}$, at 100 s using the vapour 346 pressure parameterisations B-c, Co and A-a (cf. Figure 3). The nucleation mode particles are 347 348 initialised with 1% non-volatile material in these simulations. $D_{pg,nuc}$ at 100 s using vapour pressure parameterisations A-a and B-c (see Supplementary Information), shows the same general 349 350 behaviour as for vapour pressure parameterisation Co, but with a marked change in threshold modal composition. In order of decreasing vapour pressure (Figure 3), the threshold modal 351 composition value changes from C₂₇H₅₆ for the B-c parameterisation (Figure 4-S in the 352

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Supplementary Information), to C₂₅H₅₂ for Co (Figure 5), to C₂₂H₄₆ for A-a (Figure 5-S in the Supplementary Information). We restrict ourselves to integer values of threshold modal 354 355 composition to maintain a straightforward connection back to the homologous chemical series in Figure 1, although there is nothing in principle to prevent us from attributing real number values 356 to the threshold modal composition. 357 358 There is no composition with $\sigma = 4$ and $\sigma = 5$, at the lower volatility A-a vapour pressure 359 parameterisation, that produces REPARTEE-like behaviour; i.e., decrease of the nucleation-mode 360 peak diameter from 23 nm to 10 nm or below. At σ = 5, the nucleation-mode particles can lose a 361 maximum of ~9 nm of their initial diameter for modal composition C₁₆H₃₄ (please refer to Figure 362 5-S in the Supplementary Information). Little or no change in mode diameter is simulated for 363 modal compositions between $C_{24}H_{50}$ and $C_{32}H_{66}$ and $\sigma = 1$, indicating that these combinations of 364 composition and vapour pressure parameterisation are essentially involatile for the 100 s 365 simulation time. Modal compositions $C_{20}H_{42}$ ($\sigma = 1$), $C_{19}H_{40}$ ($\sigma = 2$) and $C_{17}H_{36}$ ($\sigma = 3$) can 366 produce REPARTEE-like aerosol dynamics. 367 368 Vapour pressure parameterisation B-c has the highest vapour pressure for all compounds in 369 comparison with Co and A-a. Hence, particles in the nucleation mode are subject to a more 370 pronounced evaporation, even for modal compositions C₂₈H₅₈ to C₃₂H₆₆. Nonetheless, only modal 371 compositions $C_{25}H_{52}$ ($\sigma = 1$), $C_{24}H_{50}$ ($\sigma = 2$), $C_{23}H_{48}$ ($\sigma = 3$), $C_{21}H_{44}$ ($\sigma = 4$) and $C_{20}H_{42}$ ($\sigma = 5$) are able to produce the REPARTEE-like behaviour. Table 2 provides details on the modal 373 374 compositions and composition standard deviations that approximate the REPARTEE-like aerosol dynamics for B-c, Co and A-a vapour pressure parameterisations. 375 376 The difference in 100-s D_{pg,nuc} between the highest vapour pressure (B-c) and the lowest vapour 377 pressure (A-a) for all values of σ , is shown in Figure 6. The largest differences (10-14 nm) 378

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between the $D_{pg,nuc}$ occur for modal compositions between $C_{22}H_{46}$ and $C_{24}H_{50}$ and $\sigma = 1$, 2, 3. For model run-time of 100 s, the variability of the UFP shrinkage due to the uncertainty of vapour pressure data is highest for the compositions between $C_{22}H_{46}$ and $C_{24}H_{50}$. From Figure 3, we see that the uncertainty of vapour pressure data increases monotonically with carbon number and is highest for $C_{32}H_{66}$. However this high level of uncertainty for high-carbon compositions does not exert a significant impact on the model results. We thus conclude that the accuracy of vapour pressure values for very high or very low carbon compositions are not important for neighbourhood-scale aerosol dynamics.

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3.3 Effect of Non-Volatile Core on the Nucleation Mode Peak Particle Diameter

To consider how the fraction of involatile core interacts with the SVOCs composition and the 389 vapour pressure parameterisations, we define a '100-s effective involatile core': the nucleation 390 mode peak diameter at 100 s of evaporation. Figure 7 shows results for three non-volatile 391 fractions (initial 1%, 5% and 10% based on mass) and vapour pressures A-a, B-c and Co (cf. 392 Figure 3), for a modal composition of C₁₆H₃₄. Results for the remaining modal compositions are 393 394 not plotted here because using modal composition C₁₆H₃₄ and an evaporation time of 100 s gives the maximum reduction of the nucleation-mode peak diameter for all σ in our model runs. 395 However, we show the results for modal compositions C₂₄H₅₀ and C₃₂H₆₆ for completeness in the 396 Supplementary Information (Figure 7-S). 397

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Because the mass-size distribution is held constant for each model initialisation (see Supplementary Information), an increase of the non-volatile material in the nucleation mode leads to a decrease in the total amount of n-alkane SVOC available for evaporation, and hence leads to an increase in the nucleation mode 'dry' (i.e. involatile core only) diameter from \sim 9 nm to \sim 12 nm. For the lowest volatility parameterisation (A-a), only the lightest surrogate compounds near $C_{16}H_{34}$ are sufficiently volatile over the timescale of the model run to drive

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evaporation of nucleation mode particles. As σ increases, an increasing number of lower volatility components are added into the particle composition, causing the 100-s effective involatile core to 406 407 increase. 408 Considering REPARTEE-like behaviour, i.e., shrinkage of the nucleation mode diameter to ca. 10 409 nm, initial involatile core fractions of 5% or greater do not reproduce the observed behaviour. 410 411 412 DISCUSSION AND CONCLUSIONS 413 The purpose of this study was to evaluate the importance of particle composition and saturation vapour pressure on the evolution of urban ultrafine diesel particles on the neighbourhood scale 415 (<< 1 km) by means of numerical simulations. We present the effect of evaporation on the size-416 resolved ultrafine particles and looked at the evolution of the nucleation-mode peak diameter 417 D_{pg,nuc} depending on particle SVOC composition, vapour pressure, and fraction of involatile core in the particles. We have used laboratory measurements of the size-resolved composition of the 419 420 ultrafine particles as an additional strong constraint on the plausibility of model parameter sets. We identified a group of surrogate n-alkane compounds in the range C₁₆H₃₄-C₃₂H₆₆ that could 421 explain REPARTEE-like aerosol dynamics measured in London (Dall'Osto et al., 2011): i.e., a final nucleation-mode peak diameter at 10 nm or below when particles were subject to 423 evaporation in a timescale of 100 s. Table 2 highlighted the set of parameters in terms of vapour pressure and modal compositions that produce such REPARTEE-like behaviour. 425 426 Table 2 presents the sets of model parameters consistent with diameter reduction due to 427 428 evaporation. The question remains, however, to what extent these results are realistic and relevant for the real-world atmosphere. Standard deviation $\sigma = 1$ for all vapour pressures narrows 429

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significantly the contribution from the n-alkanes ([max(16, j-2):min(32, j+2)] for modal

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composition j), present in the initial composition of the nucleation mode particles. At $\sigma = 2$, the main contributing compounds involved in particle composition are the modal composition j and 432 433 the surrogate molecules $[\max(16, j-4):\min(32, j+4)]$. This means that for the given vapour 434 pressure parameterisation, A-a, and modal composition C₁₉H₄₀, the compounds found in the particles would be between C₁₅H₃₂ and C₂₃H_{48.\} However, C₁₆H₃₄ is the lower limit of surrogate 435 compounds in the model, so the Gaussian distribution of composition is truncated at the low-436 carbon-number end in this case. At $\sigma = 3$, the contributing compounds found in the particles are 437 the surrogate molecules in the range [max(16, j-7):min(32, j+7)]. For a modal composition $C_{17}H_{36}$ 438 and A-a vapour pressure, the range of participating compounds is $C_{16}H_{34}$ - $C_{24}H_{50}$, similar to the 439 case of $\sigma = 2$. At $\sigma = 4$ and 5, the majority of the surrogate molecules in our range of n-alkanes 440 participate in the composition of particles, thus providing a reasonable range over the 441 contribution from diesel fuel and engine lubricating oil. The range at $\sigma = 3$ could be considered as 442 a transition range, while examples at $\sigma = 2$ would have compositions that are rather more limited 443 than available measurements in the Aitken mode (e.g. Figure 1), with a focus on the contribution from the engine lubricating oil. Overall, narrow compositions would imply a strong gradient of 445 SVOCs across the nucleation and Aitken modes whereas broad compositions imply that SVOCs 446 are more or less evenly distributed across the ultrafine size range. 447 448 Table 3 shows an additionally constrained range of modal compositions consistent with what we 449 know from field and laboratory measurements combined. The lowest vapour pressure 450 parameterisations (A-a and the very similar B-a, see Figure 3) are less likely, at any modal 451 composition standard deviation (σ), to represent the laboratory and field observations together. 452 The results reported in Alam et al. (2016) and in Figure 1 show that diesel ultrafine particle 453 454 emissions are composed of a wealth of SVOCs that are mainly identified as straight and branched alkanes in the range C₁₁-C₃₃, cycloalkanes (C₁₁-C₂₅), PAHs, various cyclic aromatics, alkyl 455 benzenes and decalins. They report emitted particulate size fractionated concentrations of n-456

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alkanes (cf. Figure 2-S in Supplementary Information) and point out that particles in the 5-100nm diameter range consist mainly of high molecular weight SVOCs (>C24H50) associated with engine 458 459 lubricating oil. 460 Vapour pressure parameterisations used in this study and plotted in Figure 3, are one of the 461 crucial input parameters in assessing the rate at which condensation/evaporation can occur, 462 though they are poorly constrained. We introduced a new concept of threshold modal 463 composition, i.e. modal composition that is not sensitive to σ for a given model output time. In an 464 order of decreasing vapour pressure (Figure 3) and timescale of 100 s, the threshold modal 465 composition value changes from C₂₇H₅₆ for the B-c parameterisation (Figure 4-S, Supplementary 466 Information), to C₂₅H₅₂ for Co (Figure 5), to C₂₂H₄₆ for A-a (Figure 5-S, Supplementary 467 Information). Overall, the largest differences (\sim 14 nm) in the 100-s $D_{pg,nuc}$ occur between the 468 highest (B-c) and the lowest (A-a) vapour pressure parameterisations for modal compositions 469 between C₂₂H₄₆ and C₂₄H₅₀ and composition standard deviation from 1 to 3. The vapour pressures of components in this range are therefore critical for the modelling of nucleation-mode aerosol 471 dynamics on the neighbourhood scale. For components with volatility less than that for the C₂₂H₄₆ surrogate compound used here, all available vapour pressure parameterisations render these 473 compounds volatile over the 100-s timescale. These components will equilibrate with the gas phase on these short timescales. Components with volatility greater than that of the $C_{24}H_{50}$ 475 surrogate are effectively involatile over this timescale for all vapour pressure parameterisations, and so will remain condensed and out-of-equilibrium with the gas phase on these timescales. 477 478 The other variable which will influence evaporation rate is the concentration of vapour 479 480 surrounding the particles. In this work, measured roadside vapour concentrations reported by Harrad et al. (2003) are used (see also Nikolova et al., 2016). These represent an upper estimate 481 of gas-phase partial pressures away from roadside. Mixing of cleaner urban background air into 482

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3 the simulated air parcel would lower partial pressures and increase evaporation rates.

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increased from \sim 9 nm to \sim 12 nm. This was attributed to the decrease in the total amount of nalkane surrogate compounds present for evaporation. As composition standard deviation σ increased, an increasing number of lower volatility components added into the particle composition caused the 100-s effective involatile core to further increase. Considering

The 100-s effective involatile core (the nucleation mode peak diameter at 100 s of evaporation)

490 REPARTEE-like behaviour, i.e., shrinkage of the nucleation mode diameter to ca. 10 nm, an

initial involatile core of 5% by mass or greater was not capable of reproducing the observed

behaviour in the atmosphere. Because the higher molecular weight (lower volatility) surrogate

493 molecules in the model are essentially involatile over the modelling timescale, the nucleation

mode dynamics due to SVOC is confounded with that due to the size of any involatile core

495 present in the particles.

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Results (Figure 7) suggest that urban nucleation mode particles should be predominantly volatile in order to produce REPARTEE-like behaviour. In these numerical experiments, the nature of the non-volatile core need not be specified. This core could be composed of one or more low vapour pressure compounds, not affected by condensation/evaporation on the timescale of the model and measurements. On the other hand, as discussed in Nikolova et al. (2016), a non-volatile core could be composed mainly of carbon and possibly some contribution from metal oxides and sulphates. This difference in composition could be relevant to effects on human health. Li et al. (2010) show that diesel truck emissions during idle induce a high level of oxidative stress in human aortic endothelial cells, due to the type of metals and trace metals found in the exhaust, while Xia et al. (2015) argue that traffic-related UFP act to promote airway inflammation due to the rich content of organic species. The relative importance of these particles in affecting human health merits further investigations.

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Laboratory exhaust diesel ultrafine particulate measurements are highly dependent on the sampling methods. Measurements of the ultrafine particle composition from a diesel-fuelled engine are still at an early stage and therefore more efforts should be put into developing sampling protocols that target the composition of the nucleation and Aitken modes particles in a realistic manner. There are no robust UFP chemical composition measurements at street scale and therefore such measurements devoted to address in detail the composition of the traffic emitted UFP in the atmosphere are urgently needed. Saturation vapour pressure is another source of large uncertainties; our study lays out a strategy to determine which vapour pressures are most significant in a given modelling scenario. **ACKNOWLEDGEMENTS** This work is part of the FASTER project, ERC-2012-AdG, Proposal No. 320821 sponsored by the European Research Council (ERC).

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55 TABLE LEGENDS

Total mass M (ng m $^{-3}$) of nucleation mode peak particles at 1 s and 100 s of simulation for modal compositions $C_{16}H_{34}$ - $C_{32}H_{66}$ and composition standard deviations, sigma. For comparison, the initial mass of the non-volatile material in the nucleation mode peak particles is 2.9 ng m $^{-3}$.

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Table 2. Modal composition ranges and composition standard deviations, sigma, producing
 model results that approximate REPARTEE-like behaviour (see main text), for
 different vapour pressure parameterisations. Initial involatile core in the nucleation
 mode is set to 1%.

675

676 **Table 3.** Modal composition range and composition standard deviations, sigma, producing
677 more realistic results that approximate REPARTEE-like behaviour. Vapour pressure
678 parameterisation follows Myrdal and Yalkowski (1997; B-c in Figure 3),
679 Compernolle et al. (2011; Co in Figure 3), and Nannoolal 2008; A-a in Figure 3).
680 Column 'cn' indicates the carbon number of compounds n in the modal composition
681 with a contribution bigger than 1%.

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684 FIGURE LEGENDS

Figure 1. A GC×GC chromatogram (contour plot) indicating homologous series of compounds identified in diesel engine exhaust emissions. Emissions from a light-duty diesel engine operating at 1800 revolutions per minute and 1.4 bar brake mean effective pressure. Compounds identified in the contour plot are indicated by the coloured polygons – Lower black polygons are n- + i-alkanes; red polygons are monocyclic alkanes; green polygons are bicyclic alkanes; pink polygons are aldehydes + ketones;

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and upper black polygons are monocyclic aromatics. Each peak in the contour plot represents a compound present in the emissions; warmer colours (e.g. red) are more intense peaks while colder colours (blue) are smaller peaks. Contour plot were produced by GC Image v2.5. Bar charts above show the volatility distribution of total alkanes (red) and total identified species (black), indicating that the majority of the emissions consist of alkanes. For details of the compound attribution method, see Alam et al. (2017). Figure 2. An example of nucleation mode UFP compositions, represented as mass fractions for surrogate compounds $C_nH_{(2n+2)}$, n = [16:32], and described by a Gaussian distribution centred on $C_{24}H_{50}$ with standard deviation, σ , from 1 to 5. Figure 3. Vapour pressure data for selected n-alkanes $C_nH_{(2n+2)}$ where n =[16:32] at 298K. Abbreviations in the legend point to the source as follows: A and B refer to the vapour pressure data from Nannoolal et al. (2008) and Myrdal and Yalkowsky (1997), respectively; -a, -b and -c refer to the boiling point of Joback and Reid (1987), Stein and Brown (1994) and Nannoolal et al. (2004), respectively; ES refers to Epi Suite calculator (U.S. Environmental Protection Agency); Co to Compernolle et al. (2011); Ch to Chickos and Lipkind (2008). Figure 4. Nucleation mode peak diameter Dp [nm] at 1 s of simulation depending on the modal composition and the composition standard deviation. The initial nucleation mode peak diameter is at 23nm (not shown on the figure). Vapour pressure data follows Compernolle et al. (2011).

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Figure 5. Nucleation mode peak diameter Dp [nm] at 100 s of simulation depending on the modal composition and the composition standard deviation. The initial nucleation mode peak diameter is at 23nm (not shown on the figure). Vapour pressure data follows Compernolle et al. (2011). D_{pg,nuc} difference between the nucleation mode peak diameter (nm) when using B-c Figure 6. vapour pressure and the nucleation mode peak diameter when using A-a vapour pressure for modal compositions $C_nH_{(2n+2)}$ where n = [16:32]. Nucleation mode peak diameter Dp [nm] at 100 s: the '100-s effective involatile Figure 7. core' for the nucleation mode. Results are shown at 1%, 5% and 10% initial non-volatile material in the nucleation mode particles, modal composition $C_{16}H_{34}$ and for various composition standard deviations.

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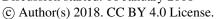
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742	1s	C ₁₆ H ₃₄	СН	СН	СН	СП	СН	СН	СН	СН	СН	СН	CH	СН	СН	СН	СН	СН
743	Centre @ Sigma 1	2.9	2.9	2.9	2.9	7.4	23.6	38.1	46.8	51.0	52.6	53.2	53.4	53.4	53.5	53.5	53.5	53.5
744	2	2.9 3.7	2.9 5.4	3.2 8.4	6.9 12.9	14.3 18.5	24.1 24.9	34.0 31.6	42.1 38.1	47.0 43.5	50.3 46.8	52.1 49.3	52.9 51.0	53.4 53.3 52.1	53.4 52.8	53.4 53.1	53.5 53.3	53.5 53.4
745	4 5	8.0 12.8	10.6 15.3	13.7 18.1	17.6 21.1	21.8 24.4	26.4 27.7	31.0 31.2	35.4 34.4	39.7 37.6	43.7 40.2	46.2 43.0	48.2 45.4	49.8 47.0	50.9 48.4	51.8 49.5	52.3 50.4	52.7 51.2
746	100s Centre @																	
747	Sigma																	
	1 2	2.9 2.9	2.9 2.9	2.9 2.9	2.9 2.9	2.9 2.9	2.9 2.9	2.9 3.0	2.9 6.2	6.1 14.3	23.8 24.8	38.9 34.8	47.5 42.5	51.3 47.6	52.8 50.6	53.3 52.1	53.5 52.9	53.6 53.2
748	3	2.9	2.9	2.9	2.9	3.1	4.2	7.1	11.9	18.2	25.2	31.9	37.8	42.6	46.1	48.6	50.3	51.4
749	4 5	2.9 3.7	3.0 4.4	3.3 5.4	4.1 6.9	5.6 8.7	7.9 11.1	11.1 13.8	15.1 17.0	19.7 20.2	24.6 23.7	29.3 27.2	33.8 30.6	37.7 33.7	41.1 36.6	43.8 39.2	46.1 41.4	47.8 43.4
750																		
751	Table 1	. Total	mass	5 M (1	ng m	³) of	nucle	ation	moo	le pe	ak pa	rticle	s at 1	s an	d 100	s of	simu	lation
752	for modal compositions $C_{16}H_{34}\text{-}C_{32}H_{66}$ and composition standard deviations, sigma. For																	
753	comparison, the initial mass of the non-volatile material in the nucleation mode peak particles is																	
754	2.9 ng m ⁻³ .																	
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769				
	Vapour pressure	B-c	Co	A-a
770	Sigma			
	1	$<= C_{25}H_{52}$	$<= C_{23}H_{48}$	<= C ₂₀ H ₄₂
771	2	$<= C_{24}H_{50}$	<= C ₂₂ H ₄₆	<= C ₁₉ H ₄₀
772	3	$<= C_{23}H_{48}$	<= C ₂₁ H ₄₄	<= C ₁₇ H ₃₆
772	4	$<= C_{21}H_{44}$	$<= C_{19}H_{40}$	-
773	5	$<= C_{20}H_{42}$	<= C ₁₇ H ₃₆	-

Table 2. Modal composition ranges and composition standard deviations, sigma, producing

776 model results that approximate REPARTEE-like behaviour (see main text), for different vapour

pressure parameterisations. Initial involatile core in the nucleation mode is set to 1%.

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796	Vapour pressure Sigma	В-с	Co	A-a	cn -/+
797	1	_	-	-	2
	2	$C_{21}H_{44}-C_{24}H_{50}$	$C_{21}H_{44}-C_{22}H_{46}$	-	4
798	3	$C_{19}H_{40}-C_{23}H_{48}$	$C_{19}H_{40}-C_{21}H_{44}$	-	7
799	4	<= C ₂₁ H ₄₄	$<= C_{19}H_{40}$	-	9
, 55	5	<= C ₂₀ H ₄₂	<= C ₁₇ H ₃₆	-	11

Table 3. Modal composition range and composition standard deviations, sigma, producing more
 realistic results that approximate REPARTEE-like behaviour. Vapour pressure parameterisation

follows Myrdal and Yalkowski (1997; B-c in Figure 3), Compernolle et al. (2011; Co in Figure

 $\,$ 3), and Nannoolal et al., 2008; A-a in Figure 3). Column 'cn' indicates the carbon number of

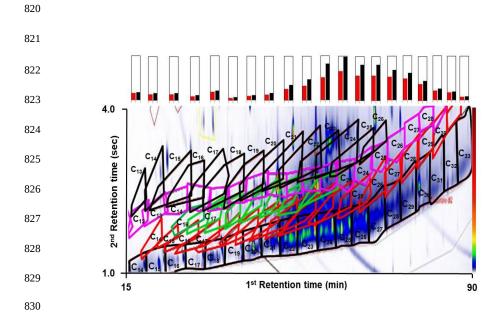
806 compounds n in the modal composition with a contribution bigger than 1%.

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Figure 1. A GC×GC chromatogram (contour plot) indicating homologous series of compounds identified in diesel engine exhaust emissions. Emissions from a light-duty diesel engine operating at 1800 revolutions per minute and 1.4 bar brake mean effective pressure. Compounds identified in the contour plot are indicated by the coloured polygons – Lower black polygons are n- + ialkanes; red polygons are monocyclic alkanes; green polygons are bicyclic alkanes; pink polygons are aldehydes + ketones; and upper black polygons are monocyclic aromatics. Each peak in the contour plot represents a compound present in the emissions; warmer colours (e.g. red) are more intense peaks while colder colours (blue) are smaller peaks. Contour plot were produced by GC Image v2.5. Bar charts above show the volatility distribution of total alkanes (red) and total identified species (black), indicating that the majority of the emissions consist of alkanes. For details of the compound attribution method, see Alam et al. (2017).

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33 65

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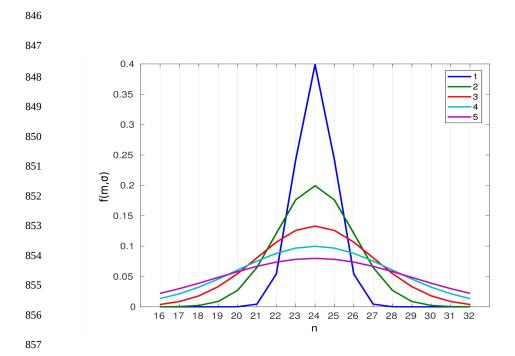


Figure 2. An example of nucleation mode UFP compositions, represented as mass fractions for surrogate compounds $C_nH_{(2n+2)}$, n=[16:32], and described by a Gaussian distribution centred on $C_{24}H_{50}$ with standard deviation, σ , from 1 to 5.

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Vapour pressure, Pa

Figure 3. Vapour pressure data for selected n-alkanes $C_nH_{(2n+2)}$ where n =[16:32] at 298K.

Abbreviations in the legend point to the source as follows: A and B refer to the vapour pressure data from Nannoolal et al. (2008) and Myrdal and Yalkowsky (1997), respectively; -a, -b and -c refer to the boiling point of Joback and Reid (1987), Stein and Brown (1994) and Nannoolal et al. (2004), respectively; ES refers to Epi Suite calculator (U.S. Environmental Protection Agency); Co to Compernolle et al. (2011); Ch to Chickos and Lipkind (2008).

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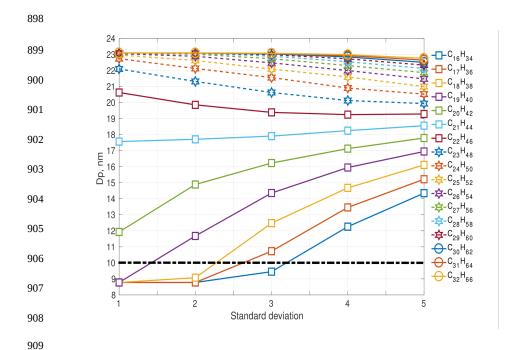


Figure 4. Nucleation mode peak diameter Dp [nm] at 1 s of simulation depending on the modal composition and the composition standard deviation. The initial nucleation mode peak diameter is at 23nm (not shown on the figure). Vapour pressure data follows Compernolle et al. (2011).

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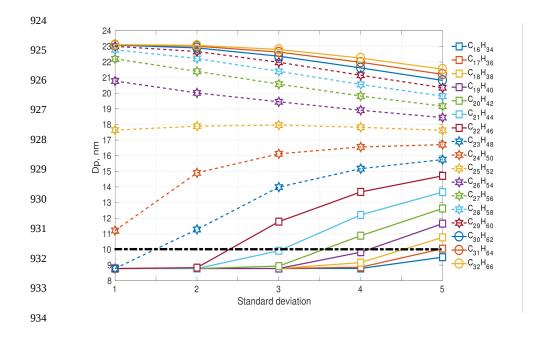


Figure 5. Nucleation mode peak diameter Dp [nm] at 100 s of simulation depending on the modal composition and the composition standard deviation. The initial nucleation mode peak diameter is at 23nm (not shown on the figure). Vapour pressure data follows Compernolle et al. (2011).

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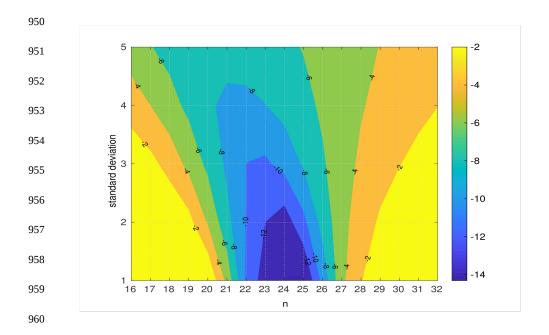


Figure 6. $D_{pg,nuc}$ difference between the nucleation mode peak diameter (nm) when using B-c vapour pressure and the nucleation mode peak diameter when using A-a vapour pressure for modal compositions $C_nH_{(2n+2)}$ where n=[16:32].

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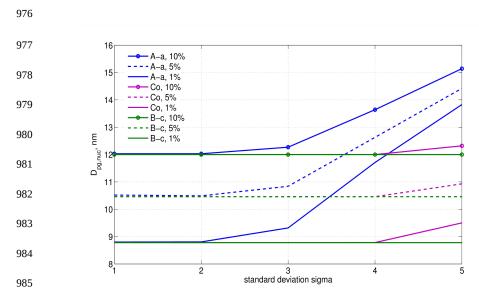


Figure 7. Nucleation mode peak diameter Dp [nm] at 100 s: the '100-s effective involatile core' for the nucleation mode. Results are shown at 1%, 5% and 10% initial non-volatile material in the nucleation mode particles, modal composition $C_{16}H_{34}$ and for various composition standard deviations.