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DOI: 10.1016/j.jalgebra.2018.03.030

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Document Version Peer reviewed version

## Citation for published version (Harvard):

Goodwin, S & Brown, J 2018, 'On the variety of 1-dimensional representations of finite W-algebras in low rank', *Journal of Algebra*. https://doi.org/10.1016/j.jalgebra.2018.03.030

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# ON THE VARIETY OF 1-DIMENSIONAL REPRESENTATIONS OF FINITE W-ALGEBRAS IN LOW RANK

#### JONATHAN BROWN AND SIMON M. GOODWIN

ABSTRACT. Let  $\mathfrak{g}$  be a simple Lie algebra over  $\mathbb{C}$  and let  $e \in \mathfrak{g}$  be nilpotent. We consider the finite W-algebra  $U(\mathfrak{g}, e)$  associated to e and the problem of determining the variety  $\mathcal{E}(\mathfrak{g}, e)$  of 1-dimensional representations of  $U(\mathfrak{g}, e)$ . For  $\mathfrak{g}$  of low rank, we report on computer calculations that have been used to determine the structure of  $\mathcal{E}(\mathfrak{g}, e)$ , and the action of the component group  $\Gamma_e$  of the centralizer of e on  $\mathcal{E}(\mathfrak{g}, e)$ . As a consequence, we provide two examples where the nilpotent orbit of e is induced, but there is a 1-dimensional  $\Gamma_e$ -stable  $U(\mathfrak{g}, e)$ -module which is not induced via Losev's parabolic induction functor. In turn this gives examples where there is a "non-induced" multiplicity free primitive ideal of  $U(\mathfrak{g})$ .

#### 1. INTRODUCTION

Let G be a simple algebraic group over  $\mathbb{C}$ , let  $\mathfrak{g} = \text{Lie } G$  be the Lie algebra of G, and let  $e \in \mathfrak{g}$  be nilpotent. We write  $U(\mathfrak{g}, e)$  for the finite W-algebra associated to  $\mathfrak{g}$  and e. Finite W-algebras were introduced into the mathematical literature by Premet in [Pr1] in 2002, and have subsequently attracted a lot of research interest, we refer to [Lo2] for a survey up to 2010. The problem of understanding the 1-dimensional representations of  $U(\mathfrak{g}, e)$  has been of particular interest due the relationship with completely prime and multiplicity free primitive ideals in  $U(\mathfrak{g})$ , and consequently quantizations of the algebra of regular functions on the nilpotent orbit of e; see for example [Pr4] and [Lo5], and the references therein. This paper makes a contribution by giving an explicit description of the variety of 1-dimensional representations of  $U(\mathfrak{g}, e)$  for  $\mathfrak{g}$  of low rank.

We introduce some notation required to discuss the background to and the contents of this paper further. Let  $I_c$  be the two-sided ideal of  $U(\mathfrak{g}, e)$  generated by the commutators uv - vu for  $u, v \in U(\mathfrak{g}, e)$ , and let  $U(\mathfrak{g}, e)^{ab} := U(\mathfrak{g}, e)/I_c$ . The maximal spectrum  $\mathcal{E} = \mathcal{E}(\mathfrak{g}, e)$ of  $U(\mathfrak{g}, e)^{ab}$  parameterizes the 1-dimensional representations of  $U(\mathfrak{g}, e)$ . As explained in [PT, §5.1], there is an action of the component group  $\Gamma = \Gamma_e$  of the centralizer of e in G on  $U(\mathfrak{g}, e)^{ab}$  and thus on  $\mathcal{E}$ . The fixed point variety of  $\Gamma$  in  $\mathcal{E}$  is denoted by  $\mathcal{E}^{\Gamma}$  and is identified with the maximal spectrum of  $U(\mathfrak{g}, e)_{\Gamma}^{ab} := U(\mathfrak{g}, e)^{ab}/I_{\Gamma}$ , where  $I_{\Gamma}$  is the two sided ideal of  $U(\mathfrak{g}, e)^{ab}$  generated by all elements of the form  $u - \gamma \cdot u$  for  $u \in U(\mathfrak{g}, e)^{ab}$  and  $\gamma \in \Gamma$ . We let  $\mathfrak{g}^e$  denote the centralizer of e in  $\mathfrak{g}$ , and note that there is an action of  $\Gamma$  on  $\mathfrak{g}^e/[\mathfrak{g}^e, \mathfrak{g}^e]$ as explained in [PT, §5.1]. Let  $c(e) := \dim(\mathfrak{g}^e/[\mathfrak{g}^e, \mathfrak{g}^e])$  and  $c_{\Gamma}(e) := \dim((\mathfrak{g}^e/[\mathfrak{g}^e, \mathfrak{g}^e])^{\Gamma})$ . We write  $\mathcal{O}_e \subseteq \mathfrak{g}$  for the nilpotent orbit of e.

We briefly give an overview of previous research on 1-dimensional representations of  $U(\mathfrak{g}, e)$ , and refer to the introductions to [PT] and [Pr4] for a more detailed account.

In [Pr2, Conjecture 3.1], Premet predicted that  $\mathcal{E} \neq \emptyset$  for all  $\mathfrak{g}$  and e, i.e. that there exists a 1-dimensional representation of  $U(\mathfrak{g}, e)$ . For  $\mathfrak{g}$  of classical type, Losev proved the existence of 1-dimensional representations of  $U(\mathfrak{g}, e)$  in [Lo1, Theorem 1.2.3]. In [Pr3, Theorem 1.1],

<sup>2010</sup> Mathematics Subject Classification: 17B10, 17B37.

Premet gave a reduction of the conjecture to the case e is rigid, and further showed in [Pr3, Section 3] that  $\mathcal{E}$  is finite for rigid e. We recall that e is said to be *rigid* if  $\mathcal{O}_e$  cannot be obtained via Lusztig–Spaltenstein induction from a nilpotent orbit in a Levi subalgebra of  $\mathfrak{g}$ . Using computational methods, it was verified that  $\mathcal{E} \neq \emptyset$  when e is rigid and  $\mathfrak{g}$  is of types  $G_2$ ,  $F_4$ ,  $E_6$  and  $E_7$  by Röhrle, Ubly and the second author in [GRU, Theorem 1.1]; a number cases for  $\mathfrak{g}$  of type  $E_8$  and e rigid are covered in [GRU, Remark 5.1], and some further cases are dealt with in the PhD thesis of Ubly, [Ub]. In [Lo4, Theorem 1.1.1], Losev gave an alternative reduction to the case of rigid nilpotent orbits by introducing a parabolic induction functor; we give a brief account of this functor in Section 5. Further, Losev gave a method for finding 1-dimensional representations of  $U(\mathfrak{g}, e)$  in [Lo4, Theorem 5.2.1], which was used to deal with a further  $E_8$  case. Subsequently, this method was successfully exploited by Premet in [Pr4] for all cases where  $\mathfrak{g}$  is of exceptional type and e is rigid, which allowed him to verify that in fact  $\mathcal{E}^{\Gamma} \neq \emptyset$  for all  $\mathfrak{g}$  and e, see [Pr4, Theorem A].

We now recall known results on the structure of the varieties  $\mathcal{E}$  and  $\mathcal{E}^{\Gamma}$ . For  $\mathfrak{g}$  of type A, in which case  $\Gamma$  is trivial, it was proved by Premet that  $U(\mathfrak{g}, e)^{\mathrm{ab}}$  is a polynomial algebra of degree c(e), so that  $\mathcal{E} \cong \mathbb{C}^{c(e)}$ , in [Pr3, Theorem 3.3]. For  $\mathfrak{g}$  of other types, Premet and Topley consider  $U(\mathfrak{g}, e)_{\Gamma}^{\mathrm{ab}}$  when e is an induced nilpotent element in [PT, Theorems 2 and 4]. It is shown that  $U(\mathfrak{g}, e)_{\Gamma}^{\mathrm{ab}}$  is a polynomial algebra of degree  $c_{\Gamma}(e)$  for most cases, but seven cases for the pair  $(\mathfrak{g}, \mathcal{O}_e)$  are excluded. These seven cases are listed in [PT, Table 0] and we note that  $\mathfrak{g}$  is of exceptional type in all of them. Moreover, in the proof of [PT, Theorem 5], it was shown that in the non-excluded cases all the 1-dimensional representations corresponding to points in  $\mathcal{E}^{\Gamma}$  are obtained via the parabolic induction from [Lo4, Theorem 1.1.1]. In addition, in [PT, Theorems 1 and 4], it was proved that if  $\mathcal{O}_e$  is induced and nonsingular, and not one of six of the cases from [PT, Table 0], then  $U(\mathfrak{g}, e)^{\mathrm{ab}}$  is a polynomial algebra of degree c(e); we recall that e is *nonsingular* if it lies in a unique sheet of  $\mathfrak{g}$  and refer to the introduction to [PT] for more details.

In this paper, we complete the picture for  $\mathfrak{g}$  of low rank by explicitly describing the structure of  $\mathcal{E}$  and  $\mathcal{E}^{\Gamma}$  in cases not dealt with in [PT]. More specifically, we deal with the cases where  $\mathcal{O}_e$  is singular or listed in [PT, Table 0] and  $\mathfrak{g}$  has rank 4 or less, and also such cases for  $\mathfrak{g}$  of type  $E_6$ . Our methods are computational and build on those used in [GRU]. It is interesting to observe that the structure of  $\mathcal{E}$  and the action of  $\Gamma$  can already become quite complicated in these low rank cases.

The cases of most interest are the two cases from [PT, Table 0] for  $\mathfrak{g}$  of type  $F_4$  and e with Bala–Carter label  $C_3(a_1)$ , and for  $\mathfrak{g}$  of type  $E_6$  and e with Bala–Carter label  $A_3 + A_1$ . In these cases, we find that  $\mathcal{E}^{\Gamma}$  has two irreducible components and is not equidimensional: one component is isomorphic to  $\mathbb{C}$  and the other an isolated point. From this we can deduce that there are  $\Gamma$ -stable 1-dimensional representations of  $U(\mathfrak{g}, e)$  that are not induced using the parabolic induction functor from [Lo4, Theorem 1.1.1]. The result that we require to make the deduction is Proposition 5.1 which implies that if a 1-dimensional representation of  $U(\mathfrak{g}, e)$  is parabolically induced, then it lies in a positive dimensional component of  $\mathcal{E}$ . We mention that under the standard embedding of  $\mathfrak{g}_{F_4}$  into  $\mathfrak{g}_{E_6}$  the nilpotent orbit  $C_3(a_1)$  maps into the nilpotent orbit  $A_3 + A_1$ .

Next we recall that there is a bijection between the  $\Gamma$ -orbits of finite dimensional irreducible representations of  $U(\mathfrak{g}, e)$  and the primitive ideals of  $U(\mathfrak{g})$  with associated variety  $\overline{\mathcal{O}}_e$ . This bijection is constructed by Losev, see [Lo1, Theorem 1.2.2] and [Lo3, Theorem 1.2.2], and we note that it can also be described in terms of Skryabin's equivalence from [Sk]. Under this bijection, the points in  $\mathcal{E}^{\Gamma}$  correspond to multiplicity free primitive ideals. We refer for example to the introduction to [Pr4] for the definition of multiplicity free primitive ideals, and note that as explained there any multiplicity free primitive ideal is completely prime, but that the converse holds only if  $\mathfrak{g}$  is of type A. Further, we note that [Lo4, Theorem 6.4.1] shows that the parabolic induction functor for finite dimensional modules of finite W-algebras intertwines in an appropriate sense with the induction of primitive ideals. We refer for example to [PT, §1.6] for a discussion of induction of primitive ideals of universal enveloping algebras; the definition is recalled in Section 5.

The intertwining alluded to above forms a key step in the proof of [PT, Theorem 5], where it is shown that if e is induced, and not one of the seven excluded cases in [PT, Table 0], then any multiplicity free primitive ideal of  $U(\mathfrak{g})$  with associated variety  $\overline{\mathcal{O}}_e$  is induced from a completely prime ideal  $I_0$  of  $U(\mathfrak{l})$  for some Levi subalgebra  $\mathfrak{l}$  of  $\mathfrak{g}$ . It is said that [PT, Theorem 5] may be considered as a generalization of Mœglin's theorem in type A from [Mœ]. In the discussion following [PT, Theorem 5] it is speculated that it is "quite possible" that the statement also holds for the cases listed in [PT, Table 0]. However, given that our computations give non-induced 1-dimensional representations of  $U(\mathfrak{g}, e)$ , we can deduce the following theorem regarding existence of "non-induced" multiplicity free primitive ideals.

**Theorem 1.1.** Let  $\mathfrak{g}$  be of type  $F_4$  and  $\mathcal{O}_e$  with Bala-Carter label  $C_3(a_1)$ , or let  $\mathfrak{g}$  be of type  $E_6$  and  $\mathcal{O}_e$  with Bala-Carter label  $A_3 + A_1$ . Then there is a multiplicity free primitive ideal of  $U(\mathfrak{g})$  with associated variety  $\overline{\mathcal{O}}_e$  that cannot be induced from a primitive ideal of  $U(\mathfrak{l})$  for any proper Levi subalgebra  $\mathfrak{l}$  of  $\mathfrak{g}$ .

In Section 6, we recall a theorem of Premet, [Pr3, Theorem 1.2], which is fundamental in understanding the set  $\text{Comp}(\mathcal{E})$  of irreducible components of  $\mathcal{E}$ . Then we are able to explain how this can be interpreted for the cases where we have calculated  $\mathcal{E}$ , and that this verifies low rank cases of a recent conjecture of Losev from [Lo6, §5.4].

Lastly in the introduction, we mention that there are potential applications of our results to the representation theory of modular Lie algebras. This requires the reduction modulo pof finite W-algebras introduced by Premet, see for example [Pr3]. The applications would be in the study of minimal dimensional representations of reduced enveloping algebras, and we note that the nature of the computations put some restrictions on the characteristic.

An outline of this paper is as follows. In Section 2 we recall the background on finite W-algebras that we require to explain our algorithm and results. An outline of the algorithm is presented in Section 3 and then results of the computations are explained in Section 4. In Section 5, we give a recollection of the parabolic induction from [Lo4], prove Proposition 5.1 and then deduce Theorem 1.1. Lastly in Section 6 we relate our results to Premet's map on irreducible components.

Acknowledgments. We thank A. Premet and L. Topley for very helpful discussions and email correspondence about this work. The first author is grateful for travel funds from SUNY, Oneonta to visit the University of Birmingham.

#### 2. Background on $U(\mathfrak{g}, e)$ and its PBW basis

We recall the relevant facts about  $U(\mathfrak{g}, e)$  necessary to calculate  $\mathcal{E}$ . This is mostly taken from [Pr1] or [Pr2], and we only reference results not contained there.

2.1. **Definition of**  $U(\mathfrak{g}, e)$ . We continue to use the notation given in the introduction, so that G is a simple algebraic group over  $\mathbb{C}$ , and e is a nilpotent element in the Lie algebra  $\mathfrak{g}$ of G. We write  $\Gamma := G^e/(G^e)^\circ$  for the component group of the centralizer of e in G. Also we fix  $(\cdot, \cdot)$  to be a non-degenerate invariant symmetric bilinear form on  $\mathfrak{g}$  (for example the Killing form) and let  $\chi := (e, \cdot) \in \mathfrak{g}^*$ . We embed e into an  $\mathfrak{sl}_2$ -triple (e, h, f). A maximal toral subalgebra  $\mathfrak{t}$  of  $\mathfrak{g}$  is said to be *compatible* with (e, h, f) it  $h \in \mathfrak{t}$  and  $\mathfrak{t}$  contains a maximal toral subalgebra  $\mathfrak{t}^e$  of the centralizer  $\mathfrak{g}^e$  of e in  $\mathfrak{g}$ . We fix  $\mathfrak{t}$  to be a compatible maximal toral subalgebra of  $\mathfrak{g}$ . We denote the restricted root system of  $\mathfrak{g}$  with respect to  $\mathfrak{t}^e$  by  $\Phi^e$ , as defined in [BG, Section 3]. Also we define the *normalizer* of e in  $\mathfrak{t}$  to be  $\mathfrak{t}^{[e]} := \{x \in \mathfrak{t} \mid [x, e] \in \mathbb{C}e\}$ , and note that this is equal to  $\mathfrak{t}^e \oplus \mathbb{C}h$ .

The ad h eigenspace decomposition determines a grading

$$\mathfrak{g} = \bigoplus_{j \in \mathbb{Z}} \mathfrak{g}(j),$$

where  $\mathfrak{g}(j) := \{x \in \mathfrak{g} \mid [h, x] = jx\}$ . We define a symplectic form  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{g}(-1)$  by  $\langle x, y \rangle := \chi([x, y])$ , for  $x, y \in \mathfrak{g}(-1)$ . Let  $\mathfrak{l}$  be an isotropic subspace of  $\mathfrak{g}(-1)$  with respect to the form  $\langle \cdot, \cdot \rangle$  and let  $\mathfrak{l}^{\perp} := \{x \in \mathfrak{g}(-1) \mid \langle x, y \rangle = 0\}$ . Also let  $\mathfrak{l}'$  be a subspace of  $\mathfrak{g}(-1)$  which is complementary to  $\mathfrak{l}$ . We may, and do, assume that  $\mathfrak{l}$  and  $\mathfrak{l}'$  are stable under the adjoint action of  $\mathfrak{t}$  as this is suitable for our calculations.

Let  $\mathfrak{m} := \mathfrak{l} \oplus \bigoplus_{i \leq -2} \mathfrak{g}(i)$  and  $\mathfrak{n} := \mathfrak{l}^{\perp} \oplus \bigoplus_{i \leq -2} \mathfrak{g}(i)$ , which are nilpotent subalgebras of  $\mathfrak{g}$  stable under the adjoint action of  $\mathfrak{t}$ . Then  $\chi$  restricts to a character of  $\mathfrak{m}$  and we let I be the left ideal of  $U(\mathfrak{g})$  generated by  $\{x - \chi(x) \mid x \in \mathfrak{m}\}$ . There is an adjoint action of  $\mathfrak{n}$  on  $U(\mathfrak{g})/I$  and the *finite W-algebra* is defined to be

$$U(\mathfrak{g}, e) := (U(\mathfrak{g})/I)^{\mathfrak{n}} = \{u + I \in U(\mathfrak{g})/I \mid [x, u] \in I \text{ for all } x \in \mathfrak{n}\}.$$

We note that the definition of  $U(\mathfrak{g}, e)$  below depends on the choice of  $\mathfrak{l}$ , but only up to isomorphism thanks to [GG, Theorem 4.1].

2.2. The component group  $\Gamma$ . Let C be the centralizer of h in G, so that Lie  $C = \mathfrak{g}(0)$ , and let  $C^e$  be the centralizer of e in C. The component group  $\Gamma$  is isomorphic to  $C^e/(C^e)^{\circ}$ . For the case  $\mathfrak{l} = 0$ , there is an adjoint action of  $C^e$  on  $U(\mathfrak{g}, e)$ . In the cases considered in this paper, it turns out that we can choose lifts in  $C^e$  of the elements of  $\Gamma$ , which generate a subgroup of  $C^e$  isomorphic to  $\Gamma$ . Thus, in this paper, we allow ourselves to speak of an action of  $\Gamma$  on  $U(\mathfrak{g}, e)$ , though we do not claim that there is an action of  $\Gamma$  on  $U(\mathfrak{g}, e)$  in general. Also if  $C^e$  is connected, so that  $\Gamma$  is trivial, then we can still speak of the action of  $\Gamma$  on  $U(\mathfrak{g}, e)$ , when  $\mathfrak{l}$  is chosen to be a nonzero isotropic subspace of  $\mathfrak{g}(-1)$ . We note that this action of  $\Gamma$  on  $U(\mathfrak{g}, e)$  induces an action on  $U(\mathfrak{g}, e)^{\mathrm{ab}}$ , which is the same as the action considered in the introduction.

2.3. **PBW bases for**  $U(\mathfrak{g})$  and  $U(\mathfrak{g}, e)$ . Let  $\overline{\mathfrak{p}} := \mathfrak{l}' \oplus \bigoplus_{i \geq 0} \mathfrak{g}(i)$ . Note that if  $\mathfrak{l} \neq 0$ , then  $\overline{\mathfrak{p}}$  is not necessarily a subalgebra of  $\mathfrak{g}$ ; it is just a  $\mathfrak{t}$ -stable subspace of  $\mathfrak{g}$ . We fix a Chevalley basis  $\{x_1, \ldots, x_m, y_1, \ldots, y_s\}$  of  $\mathfrak{g}$  with respect to  $\mathfrak{t}$  such that  $\{x_1, \ldots, x_m\}$  is a basis of  $\overline{\mathfrak{p}}$  and  $\{y_1, \ldots, y_s\}$  is a basis of  $\mathfrak{m}$ . This is chosen so that  $\{y_1, \ldots, y_l\} \subseteq \{y_1, \ldots, y_s\}$  is a minimal generating set of  $\mathfrak{m}$ . We have that  $x_1, \ldots, x_m$  are weight vectors for  $\mathfrak{t}^{[e]}$ : we write  $n_i$  for the eigenvalue of h and  $\alpha_i \in \Phi^e \cup \{0\}$  for the  $\mathfrak{t}^e$ -weight of  $x_i$ .

We obtain a PBW basis of  $U(\mathfrak{g})$  with elements  $x^{a}y^{b} := x_{1}^{a_{1}} \dots x_{m}^{a_{m}}y_{1}^{b_{1}} \dots y_{s}^{b_{s}}$  for  $a \in \mathbb{Z}_{\geq 0}^{m}$ ,  $b \in \mathbb{Z}_{>0}^{s}$ . This PBW basis can be used to give an isomorphism of vector spaces  $S(\overline{\mathfrak{p}}) \xrightarrow{\sim}$ 

 $U(\mathfrak{g})/I$  defined by  $x^{\mathfrak{a}} \mapsto x^{\mathfrak{a}} + I$ ; this isomorphism is helpful when making calculations, as it allows to us to work in the vector space  $S(\overline{\mathfrak{p}})$  instead of the quotient space  $U(\mathfrak{g})/I$ .

To give a PBW basis for  $U(\mathfrak{g}, e)$ , we fix a basis  $\{z_1, \ldots, z_r\}$  of  $\mathfrak{g}^e$ , consisting of  $\mathfrak{t}^{[e]}$ -weight vectors; chosen so that  $\{z_1, \ldots, z_p\} \subseteq \{z_1, \ldots, z_r\}$  is a minimal generating set of  $\mathfrak{g}^e$ . Let  $m_i$ 

be the ad *h*-eigenvalue and  $\beta_i \in \Phi^e \cup \{0\}$  be the  $\mathfrak{t}^e$ -weight of  $z_i$ . For  $\boldsymbol{a} \in \mathbb{Z}_{\geq 0}^m$  we define  $|\boldsymbol{a}| := \sum_{i=1}^m a_i$  to be the *total degree*,  $|\boldsymbol{a}|_e := \sum_{i=1}^m (n_i + 2)a_i$  to be the *Kazhdan degree*, and wt( $\boldsymbol{a}$ ) :=  $\sum_{i=1}^m a_i \alpha_i \in \mathbb{Z}\Phi^e$  to be the  $\mathfrak{t}^e$ -weight of  $x^a$ . We make similar definitions for  $\boldsymbol{b} \in \mathbb{Z}_{\geq 0}^r$ , i.e. we define  $|\boldsymbol{b}| := \sum_{i=1}^r b_i$ ,  $|\boldsymbol{b}|_e := \sum_{i=1}^r b_i(m_i + 2)$ , and  $\operatorname{wt}(\boldsymbol{b}) := \sum_{i=1}^r b_i \beta_i.$ 

By the PBW theorem for  $U(\mathfrak{g}, e)$ , there is a (non-unique) vector space monomorphism  $\Theta: \mathfrak{q}^e \to U(\mathfrak{q}, e)$ (2.1)

equivariant under the action of  $\mathfrak{t}^e$  and  $\Gamma$ , and such that  $\{\Theta(z_i) \mid i = 1, ..., r\}$  generates  $U(\mathfrak{g}, e)$ and the PBW monomials

$$\{\Theta(z_1)^{b_1}\cdots\Theta(z_r)^{b_r}\mid \boldsymbol{b}\in\mathbb{Z}_{\geq 0}^r\}$$

form a basis of  $U(\mathfrak{g}, e)$ . Moreover,  $\Theta$  can be chosen so that

$$\Theta(z_i) = z_i + \sum_{\substack{\boldsymbol{a} \in \mathbb{Z}_{\geq 0}^m, \\ |\boldsymbol{a}|_e \le n_i + 2, \\ \operatorname{wt}(\boldsymbol{a}) = \beta_i}} \lambda_{\boldsymbol{a}}^i x^{\boldsymbol{a}} + I_i$$

where  $\lambda_{\boldsymbol{a}} \in \mathbb{C}$  satisfy  $\lambda_{\boldsymbol{a}} = 0$  whenever  $|\boldsymbol{a}|_e = n_i + 2$  and  $|\boldsymbol{a}| = 1$ .

We abbreviate notation and write  $\Theta_i := \Theta(z_i)$ , and  $\Theta^{\boldsymbol{b}} := \Theta_1^{b_1} \cdots \Theta_r^{b_r}$  for  $\boldsymbol{b} = (b_1, \dots, b_r) \in$  $\mathbb{Z}_{\geq 0}^r$ . We also write  $z^{\boldsymbol{b}} := z_1^{b_1} \dots z_r^{b_r} \in U(\mathfrak{g}^e)$  for  $\boldsymbol{b} \in \mathbb{Z}_{\geq 0}^r$ .

2.4. Commutators in  $U(\mathfrak{g}, e)$ . We recall the form of the commutators of the PBW generators of  $U(\mathfrak{g}, e)$ , and explain how these can be used to determine the variety of 1-dimensional representations  $\mathcal{E}$  of  $U(\mathfrak{g}, e)$ .

For our generating set  $\Theta_1, \ldots, \Theta_r$  of  $U(\mathfrak{g}, e)$ , the commutators are of the form

(2.2) 
$$[\Theta_i, \Theta_j] = \Theta([z_i, z_j]) + \sum_{\substack{\boldsymbol{b} \in \mathbb{Z}_{\geq 0}^r, \\ |\boldsymbol{b}|_e \le m_i + m_j + 2, \\ \operatorname{wt}(\boldsymbol{b}) = \beta_i + \beta_j}} \nu_{\boldsymbol{b}}^{i,j} \Theta^{\boldsymbol{b}},$$

For convenience we incorporate  $\Theta([z_i, z_j])$  into this sum and write  $[\Theta_i, \Theta_j] = \sum_{\boldsymbol{b} \in \mathbb{Z}_{\geq 0}^r} \nu_{\boldsymbol{b}}^{i,j} \Theta^{\boldsymbol{b}}$ . A 1-dimensional representation of  $U(\mathfrak{g}, e)$  is determined by  $(\theta_1, \ldots, \theta_r) \in \mathbb{C}^r$  such that

(2.3) 
$$\sum_{\boldsymbol{b}\in\mathbb{Z}_{\geq 0}^r}\nu_{\boldsymbol{b}}^{i,j}\theta^{\boldsymbol{b}}=0$$

for all  $i, j \in \{1, \ldots, r\}$ ; here  $\theta^{\mathbf{b}} := \theta_1^{\mathbf{b}_1} \ldots \theta_r^{\mathbf{b}_r}$ . So that we can identify  $\mathcal{E}$  with the variety formed by such  $(\theta_1, \ldots, \theta_r) \in \mathbb{C}^r$ .

In general the most computationally expensive part in our algorithm for determining  $\mathcal{E}$  is finding the commutators  $[\Theta_i, \Theta_i]$ . The results in [GRU, §3] allow us only find the minimal number of commutators in order to solve the equations (2.3). First, we note that for  $(\theta_1, \ldots, \theta_r) \in \mathbb{C}^r$  to give a 1-dimensional representation we must have  $\theta_i = 0$  if  $\beta_i \neq 0$ . In particular, this implies that we do not need to find the commutators  $[\Theta_i, \Theta_j]$  when  $\beta_i \neq -\beta_j$ . Also we do not need to find the commutators  $[\Theta_i, \Theta_j]$  when neither  $z_i$  or  $z_j$  is in our minimal generating set  $\{z_1, \ldots, z_p\}$  of  $\mathfrak{g}^e$ , as these commutators can be deduced from the others. Let  $I := \{i \in 1, \ldots, r \mid \beta_i = 0\}$  and

(2.4) 
$$J := \{(i,j) \in \{1,\ldots,p\} \times \{1,\ldots,r\} \mid \beta_j = -\beta_i\}.$$

Summarizing the discussion above, [GRU, Proposition 3.5] says  $\mathcal{E}$  is completely determined by solutions to the equations

(2.5) 
$$\sum_{\boldsymbol{b}\in\mathbb{Z}_{\geq 0}^{I}}\nu_{\boldsymbol{b}}^{i,j}\theta^{\boldsymbol{b}}=0$$

for  $(i, j) \in J$ , where by  $\mathbb{Z}_{\geq 0}^{I}$  we mean the subset of  $\mathbb{Z}_{\geq 0}^{r}$  of those  $\boldsymbol{b} \in \mathbb{Z}_{\geq 0}^{r}$  for which  $b_{i} = 0$  for  $i \notin I$ .

## 3. The Algorithm

Our algorithm for determining  $\mathcal{E}$  and  $\mathcal{E}^{\Gamma}$  is based on the algorithm in [GRU, §4], though we have incorporated some significant improvements, which allow us to deal with more complicated cases. This includes taking account of the action of  $\Gamma$  in the definition of the map  $\Theta$  from (2.1), which tends to make the commutators simpler. Also we work directly with the Chevalley basis for  $\mathfrak{g}$ , which appears to improve the efficiency of the algorithm. Many other optimizations are included, but in the outline of the algorithm below we do not include the details of all of these for simplicity.

We have programmed the computational steps of the algorithm in the computer algebra language GAP, [GAP], so that the internal functions for Lie algebras can be used. Custom classes and functions were programmed to do calculations in  $U(\mathfrak{g})$  (as opposed to the built-in universal enveloping algebra functions), as this was more convenient for our calculations.

In the description of the algorithm below, we use the notation introduced in the previous section. Also we use *italics* to give some comments to help with understanding.

**Input:** A simple Lie algebra  $\mathfrak{g}$  over  $\mathbb{C}$  with maximal toral subalgebra  $\mathfrak{t}$ , a nilpotent element e of  $\mathfrak{g}$ , and generators  $\{g_1, \ldots, g_a\}$  for  $\Gamma$  viewed as a subgroup of Aut( $\mathfrak{g}$ ).

The nilpotent element e input must be compatible with  $\mathfrak{t}$ : it is chosen by using pyramids from [EK, Sections 5 and 6] for classical types, and for exceptional Lie algebras an orbit representative for each orbit can be found in [LT, Section 11]. The lifts of the generators of  $\Gamma$  are found as elements of  $C^e$  as explained in the previous section, and then viewed as elements of Aut( $\mathfrak{g}$ ): for classical Lie algebras, explicit formulas can be given in terms of the Dynkin pyramids by extending the methods used in [Br, Section 6]; and for exceptional types explicit generators can be found in [LT, Section 11].

# Steps in the algorithm:

- (1) Find an  $\mathfrak{sl}_2$ -triple (e, h, f),
- (2) Make a choice l of an isotropic subspace of g(-1) stable under the adjoint action of Γ, and a complement l' of l in g(-1).
  In the cases that we consider, we take l = 0 when Γ is nontrivial, and l to be La-

grangian when  $\Gamma$  is trivial. (3) Choose bases  $\{x_1, \ldots, x_m\}$  of  $\overline{\mathfrak{p}}$  and  $\{y_1, \ldots, y_s\}$  of  $\mathfrak{m}$ . The basis of  $\mathfrak{m}$  is chosen so

- (5) Choose bases  $\{x_1, \ldots, x_m\}$  of  $\mathfrak{p}$  and  $\{y_1, \ldots, y_s\}$  of  $\mathfrak{m}$ . The basis of  $\mathfrak{m}$  is chosen so that  $\{y_1, \ldots, y_l\}$  is a minimal subset that generates  $\mathfrak{m}$  as a Lie algebra.
- (4) Calculate a basis  $\{z_1, \ldots, z_r\}$  for  $\mathfrak{g}^e$  such that each  $z_i$  is a weight vector for  $\mathfrak{t}^{[e]}$ , and such that  $\{z_1, \ldots, z_p\}$  is a minimal generating set of  $\mathfrak{g}^e$ .

- (5) For each basis element  $z_i$  of  $\mathfrak{g}^e$  we find  $\Theta_i \in U(\mathfrak{g}, e)$  using the following steps:
  - (a) Determine the set

 $\tilde{A}_i := \{ \boldsymbol{a} \in \mathbb{Z}_{\geq 0}^m \mid \text{wt}(\boldsymbol{a}) = \beta_i, \text{ and } |\boldsymbol{a}|_e \leq n_i \text{ or } |\boldsymbol{a}|_e = n_i + 2, |\boldsymbol{a}| > 1 \}.$ 

Let T be the maximal torus of G whose Lie algebra is  $\mathfrak{t}$ . For  $\sigma \in \Gamma \cap T$ , let  $c_{\sigma} \in \mathbb{C}$  be such that  $\sigma(z_i) = c_{\sigma} z_i$  and let

$$A_i := \{ \boldsymbol{a} \in \tilde{A}_i \mid \sigma(x^{\boldsymbol{a}}) = c_{\sigma} x^{\boldsymbol{a}} \text{ for all } \sigma \in \Gamma \cap T \}.$$

Enumerate  $A_i = \{ \boldsymbol{a}^1, \dots, \boldsymbol{a}^M \}.$ 

For indeterminants  $t_1, \ldots, t_M$ , let  $\tilde{\Theta}_i := z_i + \sum_{j=1}^M t_j x^{a^j} + I$ .

- (b) For k = 1, ..., l, calculate  $[y_k, \tilde{\Theta}_i]$  in the form  $\sum_{\boldsymbol{a} \in \mathbb{Z}_{\geq 0}^m} \lambda_{\boldsymbol{a}}(t_1, ..., t_M) x^{\boldsymbol{a}} + I$ , where  $\lambda_{\boldsymbol{a}}(t_1, ..., t_M)$  is a linear combination of  $t_1, ..., t_M$ . Of course only finitely many of the  $\lambda_{\boldsymbol{a}}$  are nonzero.
- (c) Determine a solution to the system of linear equations  $\lambda_{\boldsymbol{a}}(t_1, \ldots, t_M) = 0$ , for  $\boldsymbol{a} \in \mathbb{Z}_{\geq 0}$ .

This system will be very large in general, so finding a solution requires employing methods from linear algebra involving sparse matrices.

- (d) Let  $c_1, \ldots, c_M$  be this solution and set  $\Theta_i := z_i + \sum_{j=1}^M c_j x^{a^j}$ .
- (6) Calculate the set of commutators  $[\Theta_i, \Theta_j]$  for  $(i, j) \in J$ , where J is defined in (2.4). These commutators are calculated in the form given in (2.2) by using the following procedure to write an element  $u \in U(\mathfrak{g}, e)$  (which we assume to be a  $\mathfrak{t}^e$ -weight vector of weight  $\gamma \in \mathbb{Z}\Phi^e$ ) as a linear combination of the PBW basis  $\{\Theta^b \mid b \in \mathbb{Z}_{\geq 0}^r\}$ .
  - (a) Write  $u = \sum_{\boldsymbol{a} \in \mathbb{Z}_{\geq 0}^{r}} \mu_{\boldsymbol{a}} x^{\boldsymbol{a}} + I \in U(\mathfrak{g}, e)$ , let R(u) be maximal in  $\{|\boldsymbol{a}|_{e} \mid \mu_{\boldsymbol{a}} \neq 0\}$ , let S(u) be minimal in  $\{|\boldsymbol{a}| \mid |\boldsymbol{a}|_{e} = R(u)\}$ . Let  $M(u) := \{\boldsymbol{a} \in \mathbb{Z}_{\geq 0}^{m} \mid |\boldsymbol{a}|_{e} = R(u), |\boldsymbol{a}| = S(u)\}$ .
  - (b) From the PBW theorem for  $U(\mathfrak{g}, e)$  it follows that  $\sum_{a \in M(u)} \mu_a x^a$  can be expressed in the form  $\sum_{b \in \mathbb{Z}_{\geq 0}^r} \delta_b z^b$ , where  $\lambda_b \in \mathbb{C}$ , and the sum is over those  $b \in \mathbb{Z}_{\geq 0}^r$  with |b| = S(u) and  $\mathfrak{t}^e$ -weight  $\gamma$ . The coefficients  $\delta_b$  are found by solving a system of linear equations.
  - (c) We consider

$$v := u - \sum_{\boldsymbol{b} \in \mathbb{Z}_{\geq 0}^r} \delta_{\boldsymbol{b}} \Theta(z)^{\boldsymbol{b}}.$$

We have that R(v) < R(u), or R(v) = R(u) and S(v) > S(u). Thus we can recursively continue to subtract terms and obtain an expression for u as a linear combination of the PBW basis  $\{\Theta^{\mathbf{b}} \mid \mathbf{b} \in \mathbb{Z}_{>0}^r\}$ .

(7) Use the commutators found in the previous step to find the values  $\theta_1, \ldots, \theta_r \in \mathbb{C}$  that are solutions of

$$\sum_{\boldsymbol{a}\in\mathbb{Z}_{\geq 0}^r}\nu_{\boldsymbol{a}}^{i,j}\theta^{\boldsymbol{a}}=0.$$

In fact we consider the reduced system of equations given by (2.5).

Finding the solution to a system of non-linear equations is done with ad hoc methods as the degrees of the equations are low in the examples we are considering.

(8) Use the action of  $\Gamma$  on  $\{z_1, \ldots, z_r\}$  to calculate the action of  $\Gamma$  on  $\mathcal{E}$  and to determine  $\mathcal{E}^{\Gamma}$ .

**Output:** The description of  $\mathcal{E}$  and  $\mathcal{E}^{\Gamma}$  in terms of the values  $\theta_1, \ldots, \theta_r$  that can be taken by  $\Theta_1, \ldots, \Theta_r$  when  $U(\mathfrak{g}, e)$  acts on a 1-dimensional module.

# 4. Results

We have run our program to determine  $\mathcal{E}$  and  $\mathcal{E}^{\Gamma}$  for all cases of induced orbits that are not covered by [PT, Theorems 2 and 4] and for which  $\mathfrak{g}$  has rank 4 or less, or is of type  $E_6$ . The calculations were done on a typical desktop computer. Nearly all of the computationally intensive steps are highly parallelizable, so with access to a large enough distributed system of computers it is plausible that similar calculations would be able deal with more of the orbits in exceptional Lie algebras from [PT, Table 0].

We label each of the cases that we have calculated by the type of  $\mathfrak{g}$ , and a label for the nilpotent orbit: for exceptional types we give the Bala–Carter label and for classical types we give the partition giving the Jordan type. We present the results of these computations below. For each case we explain the structure of  $\mathcal{E}$ , and then the action of  $\Gamma$  on  $\mathcal{E}$ . To do this we have implicitly introduced some coordinates on the irreducible components. Then we state the structure of  $\mathcal{E}^{\Gamma}$ ; we note this is known from [PT, Theorems 2 and 4] in all except the cases (F<sub>4</sub>, C<sub>3</sub>(a<sub>1</sub>)) and (E<sub>6</sub>, A<sub>3</sub> + A<sub>1</sub>), but we include it for completeness.

 $\mathbf{G}_2:\mathbf{G}_2(a_1).$ 

- $\mathcal{E}$  has four components isomorphic to  $\mathbb{C}$  which pairwise meet at a common point.
- $\Gamma \cong S_3$  fixes one of the components and the other three are permuted by  $\Gamma$ .
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}$ .

 $\mathbf{F}_4:\mathbf{C}_3(a_1).$ 

- $\mathcal{E}$  has four components: one isomorphic to  $\mathbb{C}$  and three isolated points.
- $\Gamma \cong S_2$ . The 1-dimensional component and one of the points are fixed by  $\Gamma$  and the other two points are transposed.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C} \sqcup \{ \mathrm{pt} \}.$

 $\mathbf{F}_4:\mathbf{F}_4(a_1).$ 

- $\mathcal{E}$  has two components isomorphic to  $\mathbb{C}^3$  and their intersection is isomorphic to  $\mathbb{C}^2$ .
- $\Gamma \cong S_2$ . One component is fixed by  $\Gamma$  and the other is reflected in the intersection of the two components.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}^3$ .

 $\mathbf{F}_4:\mathbf{F}_4(a_2).$ 

- $\mathcal{E}$  has two components isomorphic to  $\mathbb{C}^2$  and their intersection is isomorphic to  $\mathbb{C}$ .
- $\Gamma \cong S_2$ . One component is fixed by  $\Gamma$  and the other is reflected in the intersection of the two components.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}^2$ .

 $\mathbf{F}_4:\mathbf{F}_4(a_3).$ 

- $\mathcal{E}$  has three components isomorphic to  $\mathbb{C}^2$  and five components isomorphic to  $\mathbb{C}$ : all pairwise intersections are a common point.
- $\Gamma \cong S_4$ . The three components isomorphic to  $\mathbb{C}^2$  are permuted by the quotient of  $\Gamma$  isomorphic to  $S_3$ . One of the components isomorphic to  $\mathbb{C}$  is fixed by  $\Gamma$ . The other four components isomorphic to  $\mathbb{C}$  are permuted by  $\Gamma$  in a natural way.

•  $\mathcal{E}^{\Gamma} \cong \mathbb{C}.$ 

 $E_6: A_3 + A_1.$ 

- $\mathcal{E}$  has two components: one isomorphic to  $\mathbb{C}$ , and the other a point.
- $\Gamma$  is trivial.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C} \sqcup \{ \mathrm{pt} \}.$

 $E_6 : E_6(a_3).$ 

- $\mathcal{E}$  has two components, one isomorphic to  $\mathbb{C}^4$ , the other isomorphic to  $\mathbb{C}^3$ , and their intersection is isomorphic to  $\mathbb{C}^2$
- $\Gamma \cong S_2$ . The component isomorphic to  $\mathbb{C}^3$  is fixed by  $\Gamma$ . The nonidentity element acts on the component isomorphic to  $\mathbb{C}^4$  via (x, y, z, w) = (-x, -y, z, w), where the intersection of the two components is  $\{(0, 0, z, w) \mid z, w \in \mathbb{C}\}$ .
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}^3$ .

 $\mathbf{E}_6:\mathbf{D}_4(a_1).$ 

- $\mathcal{E}$  has 5 components, four of which are isomorphic to  $\mathbb{C}^2$  and the other is isomorphic to  $\mathbb{C}$ . All of the components pairwise intersect in a common point.
- Γ ≃ S<sub>3</sub>. Three of the components isomorphic to C<sup>2</sup> are permuted by Γ in the natural way. The action of Γ on the fourth component isomorphic to C<sup>2</sup> is via the irreducible 2-dimensional representation of Γ. The component isomorphic to C is fixed by Γ. *E*<sup>Γ</sup> ≃ C.

 $C_2: (2,2).$ 

- $\mathcal{E}$  has two components isomorphic to  $\mathbb{C}$ , which intersect in a point.
- $\Gamma \cong S_2$ . One component is fixed by  $\Gamma$  and the other is reflected in the intersection of the two components.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}.$

 $C_3: (4,2).$ 

- $\mathcal{E}$  has two components isomorphic to  $\mathbb{C}^2$  and their intersection is isomorphic to  $\mathbb{C}$ .
- $\Gamma \cong S_2$ . One component is fixed by  $\Gamma$  and the other is reflected in the intersection of the two components.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}^2$ .

 $B_3: (5, 1, 1).$ 

- $\mathcal{E}$  has two components isomorphic to  $\mathbb{C}^2$  and their intersection is isomorphic to  $\mathbb{C}$ .
- $\Gamma \cong S_2$ . One component is fixed by  $\Gamma$  and the other is reflected in the intersection of the two components.

•  $\mathcal{E}^{\Gamma} \cong \mathbb{C}^2$ .

 $C_4: (4, 2, 2).$ 

- $\mathcal{E}$  has two components: one isomorphic to  $\mathbb{C}^2$ , the other isomorphic to  $\mathbb{C}$ , and they intersect in a point.
- $\Gamma \cong S_2$ . The component isomorphic to  $\mathbb{C}^2$  is fixed by  $\Gamma$ . The component isomorphic to  $\mathbb{C}$  is reflected in the intersection of the two components.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}^2$ .

 $C_4: (6, 2).$ 

- $\mathcal{E}$  has two components isomorphic to  $\mathbb{C}^3$ , and their intersection is isomorphic to  $\mathbb{C}^2$ .
- $\Gamma \cong S_2$ . One component is fixed by  $\Gamma$  and the other is reflected in the intersection of the two components.
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}^3$ .

 $B_4: (7, 1, 1).$ 

- $\mathcal{E}$  has two components isomorphic to  $\mathbb{C}^3$ , and their intersection is isomorphic to  $\mathbb{C}^2$ .
- $\Gamma \cong S_2$ . One component is fixed by  $\Gamma$  and the other is reflected in the intersection of the two components.

•  $\mathcal{E}^{\Gamma} \cong \mathbb{C}^3$ .

 $B_4: (5, 3, 1).$ 

- $\mathcal{E}$  has three components isomorphic to  $\mathbb{C}^2$ , where each pair of components intersects in a variety isomorphic to  $\mathbb{C}$  and the three components intersect in a point.
- $\Gamma \cong S_2 \times S_2$ . Denote the components by A, B and C and let r, s be generators of  $\Gamma$ . The component A is fixed by  $\Gamma$ ; while r fixes B and acts on C by the reflection in  $A \cap C$  and s fixes C and acts on B by the reflection in  $A \cap B$ .
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}^2$ .

 $D_4: (3, 3, 1, 1).$ 

- $\mathcal{E}$  has two components: one isomorphic to  $\mathbb{C}^2$  and the other isomorphic to  $\mathbb{C}$ , and they intersect in a point.
- $\Gamma \cong S_2$ . The component isomorphic to  $\mathbb{C}$  is fixed by  $\Gamma$ , and the non-identity element of  $\Gamma$  acts on the component isomorphic to  $\mathbb{C}^2$  by  $(x, y) \mapsto (-x, -y)$ .
- $\mathcal{E}^{\Gamma} \cong \mathbb{C}$ .

# 5. Parabolic induction for finite W-algebras

The goal of this section is to prove Theorem 1.1. We need to provide some preliminaries beginning with the Lusztig–Spaltenstein induction of nilpotent orbits.

Let  $\mathfrak{g}'$  be a Levi subalgebra of  $\mathfrak{g}$ , with  $\mathfrak{g}' \neq \mathfrak{g}$ , and let  $\mathfrak{q} = \mathfrak{g}' \oplus \mathfrak{u}$  be a parabolic subalgebra of  $\mathfrak{g}$  with Levi factor  $\mathfrak{g}$  and nilradical  $\mathfrak{u}$ . Lusztig-Spaltenstein induction provides a way to induce a nilpotent orbit  $\mathcal{O}'$  in  $\mathfrak{g}'$  to a nilpotent orbit  $\mathcal{O}$  in  $\mathfrak{g}$ ; it is defined by declaring that  $\mathcal{O}$ is the unique orbit such that  $(\mathcal{O}' + \mathfrak{u}) \cap \mathcal{O}$  is open in  $\mathcal{O}' + \mathfrak{u}$ . We fix a nilpotent orbit  $\mathcal{O}'$  in  $\mathfrak{g}'$ , let  $\mathcal{O}$  be the nilpotent orbit in  $\mathfrak{g}$  obtained from  $\mathcal{O}'$  by Lusztig–Spaltenstein induction, and let  $e' \in \mathcal{O}'$  and  $e \in \mathcal{O}$ . Let  $\mathcal{E}'$  and  $\mathcal{E}$  be the varieties of one-dimensional  $U(\mathfrak{g}', e')$ -modules and  $U(\mathfrak{g}, e)$ -modules respectively.

In [Lo4, Theorem 1.2.1] Losev introduced a parabolic induction functor

$$\rho^{\mathfrak{g}}_{\mathfrak{q}}: U(\mathfrak{g}', e')\operatorname{-mod}_{\mathrm{fd}} \to U(\mathfrak{g}, e)\operatorname{-mod}_{\mathrm{fd}}$$

from the category of finite dimensional  $U(\mathfrak{g}', e')$ -modules to the category of finite dimensional  $U(\mathfrak{g}, e)$ -modules. Moreover,  $\rho_{\mathfrak{q}}^{\mathfrak{g}}$  is dimension preserving, so determines a morphism  $\mathcal{E}' \to \mathcal{E}$ , which by [Lo4, Theorem 6.5.2] is a finite morphism.

We are now ready to state and prove Proposition 5.1, which is the key result we require to prove Theorem 1.1. In the statement rank  $\mathfrak{g}$  denotes the rank of  $\mathfrak{g}$ , and ssrank  $\mathfrak{g}'$  denotes the semisimple rank of  $\mathfrak{g}'$ .

**Proposition 5.1.** Let M' be a be a 1-dimensional  $U(\mathfrak{g}', e')$ -module corresponding to a point in  $\mathcal{E}'$  and let  $M = \rho_{\mathfrak{q}}^{\mathfrak{g}}(M')$ . Then the point of  $\mathcal{E}$  corresponding to M lies in an irreducible component of  $\mathcal{E}$  of dimension at least rank  $\mathfrak{g}$  – ssrank  $\mathfrak{g}'$ .

Proof. We have  $\mathfrak{g}' = [\mathfrak{g}', \mathfrak{g}'] + \mathfrak{z}(\mathfrak{g}')$ , where  $\mathfrak{z}(\mathfrak{g}')$  denotes the centre of  $\mathfrak{g}'$ . From the definition of  $U(\mathfrak{g}', e')$  it is straightforward to see that  $U(\mathfrak{g}', e') \cong U([\mathfrak{g}', \mathfrak{g}'], e') \otimes S(\mathfrak{z}(\mathfrak{g}'))$ . Let  $\sigma' : U(\mathfrak{g}', e) \to \mathbb{C}$  be the representation of  $U(\mathfrak{g}', e)$  corresponding to M'. Given any character  $\zeta : S(\mathfrak{z}(\mathfrak{g}')) \to \mathbb{C}$  we let  $\sigma_{\zeta}' : U(\mathfrak{g}', e) \to \mathbb{C}$  be the 1-dimensional representation with  $\sigma_{\zeta}'(u \otimes z) := \sigma'(u \otimes z)\zeta(z)$ , and let  $M_{\zeta}'$  be the corresponding 1-dimensional  $U(\mathfrak{g}', e)$ -module. We identify each  $M_{\zeta}'$  for  $\zeta$  a character of  $S(\mathfrak{z}(\mathfrak{g}'))$  with a point of  $\mathcal{E}'$ . The closure of  $\{M_{\zeta}' \mid \zeta$  is a character of  $S(\mathfrak{z}(\mathfrak{g}'))\}$  is an irreducible subvariety of  $\mathcal{E}'$  of dimension rank  $\mathfrak{g}$  – ssrank  $\mathfrak{g}'$ . Since the parabolic induction functor gives a finite morphism  $\mathcal{E}' \to \mathcal{E}$ , the image of this irreducible subvariety in  $\mathcal{E}$  has the same dimension. The closure of this image is also irreducible and contains the point corresponding to M. Thus M lies in an irreducible component of  $\mathcal{E}$  of dimension at least dim  $\mathfrak{z}(\mathfrak{g}') = \operatorname{rank} \mathfrak{g} - \operatorname{ssrank} \mathfrak{g}'$ .

Before moving on to prove Theorem 1.1, we need to recall Losev's map of ideals and how Losev's parabolic induction functor intertwines with the induction of ideals.

We write  $\cdot^{\dagger}$ : Id $(U(\mathfrak{g}, e)) \to$  Id $(U(\mathfrak{g}))$  for Losev's map from (two-sided) ideals of  $U(\mathfrak{g}, e)$  to (two-sided) ideals of  $U(\mathfrak{g})$ , see [Lo1, Theorem 1.2.2]. By parts (v) and (vi) of that theorem, the restriction of  $\cdot^{\dagger}$  to the set of ideals of  $U(\mathfrak{g}, e)$  of finite codimension maps into the set of ideals of  $U(\mathfrak{g})$  with associated variety equal to  $\overline{\mathcal{O}}$ . Further by [Lo1, Theorem 1.2.2(viii)], the restriction of  $\cdot^{\dagger}$  to the set of primitive ideals of  $U(\mathfrak{g}, e)$  of finite codimension maps surjectively onto the set of primitive ideals of  $U(\mathfrak{g})$  with associated variety equal to  $\overline{\mathcal{O}}$ , and by [Lo3, Conjecture 1.2.1] (which is deduced from [Lo3, Theorem 1.2.2]) the fibres are  $\Gamma$ -orbits.

We recall the definition of parabolic induction from ideals of  $U(\mathfrak{g}')$  to ideals of  $U(\mathfrak{g})$ . Given a ideal I' of  $U(\mathfrak{g}')$  we let  $\mathcal{I}^{\mathfrak{g}}_{\mathfrak{q}}(I')$  be the largest two-sided ideal of  $U(\mathfrak{g})$  contained in the left ideal  $U(\mathfrak{g})(\mathfrak{u} + I')$ .

Let M' be a finite dimensional  $U(\mathfrak{g}', e')$ -module. Then  $\operatorname{Ann}_{U(\mathfrak{g}', e')}(M')^{\dagger}$  is an ideal of  $U(\mathfrak{g}')$  with associated variety  $\overline{\mathcal{O}'}$ , so that  $\mathcal{I}^{\mathfrak{g}}_{\mathfrak{q}}(\operatorname{Ann}_{U(\mathfrak{g}', e')}(M')^{\dagger})$  is an ideal of  $U(\mathfrak{g})$ ; we note that by a minor abuse of notation we also write  $\cdot^{\dagger}$  for the map from ideals of  $U(\mathfrak{g}', e')$  to ideals of  $U(\mathfrak{g}')$ . Also we have that  $\rho^{\mathfrak{g}}_{\mathfrak{q}}(M') \in U(\mathfrak{g}, e)$ -mod<sub>fd</sub>, so that  $\operatorname{Ann}_{U(\mathfrak{g}, e)}(\rho^{\mathfrak{g}}_{\mathfrak{q}}(M'))^{\dagger}$  is a ideal of  $U(\mathfrak{g})$  with associated variety  $\overline{\mathcal{O}}$ . By [Lo4, Corollary 6.4.2] there is an equality  $\mathcal{I}^{\mathfrak{g}}_{\mathfrak{q}}(\operatorname{Ann}_{U(\mathfrak{g}',e')}(M')^{\dagger}) = \operatorname{Ann}_{U(\mathfrak{g},e)}(\rho^{\mathfrak{g}}_{\mathfrak{q}}(M'))^{\dagger}$ . We illustrate the discussion above in the diagram below.

$$\begin{array}{ccc} M' & \longmapsto & \rho_{\mathfrak{q}}^{\mathfrak{g}}(M') \\ & & & \downarrow \\ \operatorname{Ann}_{U(\mathfrak{g}',e')}(M')^{\dagger} & \longmapsto \mathcal{I}_{\mathfrak{q}}^{\mathfrak{g}}(\operatorname{Ann}_{U(\mathfrak{g}',e')}(M')^{\dagger}) = \operatorname{Ann}_{U(\mathfrak{g},e)}(\rho_{\mathfrak{q}}^{\mathfrak{g}}(M'))^{\dagger} \end{array}$$

We make a useful observation about inducing primitive ideals. Let I' be a primitive ideal of  $U(\mathfrak{g}')$  with associated variety  $\overline{\mathcal{O}'}$ . Then we can find an irreducible module  $M' \in U(\mathfrak{g}', e')$ -mod<sub>fd</sub> such that  $\operatorname{Ann}_{U(\mathfrak{g}', e')}(M')^{\dagger} = I'$ . Therefore,  $\mathcal{I}^{\mathfrak{g}}_{\mathfrak{q}}(I') = \operatorname{Ann}_{U(\mathfrak{g}, e)}(\rho^{\mathfrak{g}}_{\mathfrak{q}}(M))^{\dagger}$  and, in particular, it has associated variety  $\overline{\mathcal{O}}$ .

We are now in a position to prove Theorem 1.1. For the proof we no longer consider  $\mathfrak{g}'$  to be a fixed Levi subalgebra of  $\mathfrak{g}$ .

Proof of Theorem 1.1. Let M be the 1-dimensional  $U(\mathfrak{g}, e)$ -module corresponding to the isolated point in  $\mathcal{E}^{\Gamma}$ ; we note that this point is also an isolated point of  $\mathcal{E}$ . Let  $I = \operatorname{Ann}_{U(\mathfrak{g}, e)}(M)^{\dagger}$ . Then I is a multiplicity free primitive ideal of  $U(\mathfrak{g})$  with associated variety  $\overline{\mathcal{O}}$ 

Suppose that I is obtained from a primitive ideal I' of  $U(\mathfrak{g}')$  by parabolic induction for some Levi subalgebra  $\mathfrak{g}'$  of  $\mathfrak{g}$  contained in the parabolic subalgebra  $\mathfrak{q} = \mathfrak{g}' \oplus \mathfrak{u}$ . By the observation before this proof we see that the associated variety of I' must be  $\overline{\mathcal{O}'}$  for some nilpotent orbit  $\mathcal{O}'$  in  $\mathfrak{g}'$  such that  $\mathcal{O}$  is obtained from  $\mathcal{O}'$  by Lusztig–Spaltenstein induction. Let  $e' \in \mathcal{O}'$ . Since I' is primitive and has associated variety  $\overline{\mathcal{O}'}$ , there is a primitive ideal J' of  $U(\mathfrak{g}', e')$  with finite codimension such that  $(J')^{\dagger} = I'$ , and thus there exists a (finite dimensional)  $U(\mathfrak{g}', e')$ -module M' with  $\operatorname{Ann}_{U(\mathfrak{g}', e')}(M')^{\dagger} = I'$ . We deduce that

$$\operatorname{Ann}_{U(\mathfrak{g},e)}(M)^{\dagger} = I = \mathcal{I}^{\mathfrak{g}}_{\mathfrak{q}}(I') = \mathcal{I}^{\mathfrak{g}}_{\mathfrak{q}}(\operatorname{Ann}_{U(\mathfrak{g}',e')}(M')^{\dagger}) = \operatorname{Ann}_{U(\mathfrak{g},e)}(\rho^{\mathfrak{g}}_{\mathfrak{q}}(M'))^{\dagger},$$

where [Lo4, Corollary 6.4.2] is applied for the last equality. In particular, this implies that  $\operatorname{Ann}_{U(\mathfrak{g},e)}(M)$  and  $\operatorname{Ann}_{U(\mathfrak{g},e)}(\rho_{\mathfrak{q}}^{\mathfrak{g}}(M'))$  are in the same  $\Gamma$ -orbit by [Lo3, Conjecture 1.2.1]. Since M corresponds to a point in  $\mathcal{E}^{\Gamma}$ , we deduce that  $\operatorname{Ann}_{U(\mathfrak{g},e)}(M) = \operatorname{Ann}_{U(\mathfrak{g},e)}(\rho_{\mathfrak{q}}^{\mathfrak{g}}(M'))$ , so that  $M \cong \rho_{\mathfrak{q}}^{\mathfrak{g}}(M')$ . This implies that M' is a 1-dimensional  $U(\mathfrak{g}', e')$ -module, and thus we obtain a contradiction by Proposition 5.1. Hence, we deduce that I is not induced from a primitive ideal of  $U(\mathfrak{g}')$  for any Levi subalgebra  $\mathfrak{g}'$  of  $\mathfrak{g}$ .

#### 6. PREMET'S MAP OF IRREDUCIBLE COMPONENTS

We need to give some notation to allow us to recall [Pr3, Theorem 1.2]. Let  $S_1, \ldots, S_t$ denote the sheets of  $\mathfrak{g}$  containing e. Fix an  $\mathfrak{sl}_2$ -triple (e, h, f) in  $\mathfrak{g}$ , write  $\mathfrak{g}^f$  for the centralizer of f in  $\mathfrak{g}$ , and let  $e + \mathfrak{g}^f$  be the Slodowy slice to the nilpotent orbit of e. For  $i = 1, \ldots, t$ we write  $\mathcal{X}_i := \mathcal{S}_i \cap (e + \mathfrak{g}^f)$ . For a variety  $\mathcal{X}$  we write  $\operatorname{Comp}(\mathcal{X})$  for the set of irreducible components of  $\mathcal{X}$ .

Premet proved in [Pr3, Theorem 1.2] that there is a surjection

$$\tau : \operatorname{Comp}(\mathcal{E}) \twoheadrightarrow \operatorname{Comp}(\mathcal{X}_1) \sqcup \cdots \sqcup \operatorname{Comp}(\mathcal{X}_t)$$

such that for any  $Y \in \text{Comp}(\mathcal{E})$  we have  $\dim Y \leq \dim \tau(Y)$ , and this bound on dimension is attained in each fibre of  $\tau$ . We note that there is an action of  $\Gamma$  on both  $\text{Comp}(\mathcal{E})$  and  $\text{Comp}(\mathcal{X}_i)$  for each  $i = 1, \ldots, t$ . One can check from the construction of  $\tau$  in [Pr3, Section 3] that it is  $\Gamma$ -equivariant; we note that a slight modification is needed to the approach given in [Pr3] to work with the definition of  $U(\mathfrak{g}, e)$  with the choice of isotropic space  $\mathfrak{l} = 0$ , so that the action of  $\Gamma$  on  $\mathcal{E}$  can be seen. We also recall that, by Katsylo's theorem from [Ka], the action of  $\Gamma$  on  $\text{Comp}(\mathcal{X}_i)$  is transitive.

Following the terminology of Losev in [Lo6, §5.4] we say that  $Y \in \text{Comp}(\mathcal{E})$  is *large* if  $\dim Y = \dim \tau(Y)$ . It is conjectured in *loc. cit.* that all components of  $\mathcal{E}$  are large for  $\mathfrak{g}$  of classical type, and also stated that if all components of  $\mathcal{E}$  are large, then  $\tau$  is actually a bijection.

In the cases that we have calculated one can verify that all irreducible components of  $\mathcal{E}$  are large except in the cases (F<sub>4</sub>, C<sub>3</sub>( $a_1$ )) and (E<sub>6</sub>, A<sub>3</sub> + A<sub>1</sub>). This is done by verifying that

- the number of  $\Gamma$ -orbits on  $\operatorname{Comp}(\mathcal{E})$  equals the number of sheets of  $\mathfrak{g}$  containing e, and
- the dimensions of components of  $\mathcal{E}$  in each  $\Gamma$ -orbit match up with the dimensions of the  $\mathcal{S}_i \cap (e + \mathfrak{g}^f)$  for  $i = 1, \ldots, t$ .

Our results along with [PT, Theorems 1 and 4] verify the conjecture of Losev holds for classical Lie algebras with rank at most 4. We emphasise that in the cases  $(F_4, C_3(a_1))$  and  $(E_6, A_3 + A_1)$  our calculations show that there are non-large components of  $\mathcal{E}$ .

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