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# A Method for the Analysis of AP Foot Convexity: Insights into Smooth Muscle Biophysics

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## 2 ABSTRACT

3 Action potential (AP) profiles vary based on the cell type, with cells of the same type typically  
4 producing APs with similar shapes. But in certain syncytial tissues, such as the smooth muscle  
5 of the urinary bladder wall, even a single cell is known to exhibit APs with diverse profiles.  
6 The origin of this diversity is not currently understood, but is often attributed to factors such as  
7 syncytial interactions and the spatial distribution of parasympathetic nerve terminals. Thus, the  
8 profile of an action potential is determined by the inherent properties of the cell, and influenced  
9 by its biophysical environment. The analysis of an AP profile, therefore, holds potential for  
10 constructing a biophysical picture of the cellular environment. An important feature of any AP is  
11 its depolarization to threshold, termed the AP foot, which holds information about the origin of the  
12 AP. Currently, there exists no established technique for the quantification of the AP foot. In this  
13 study we explore several possible approaches for this quantification, namely exponential fitting,  
14 evaluation of the radius of curvature, triangulation altitude, and various area based methods. We  
15 have also proposed a modified area-based approach ( $C_{X,Y}$ ) which quantifies foot convexity as  
16 the area between the AP foot and a predefined line. We assess the robustness of the individual  
17 approaches over a wide variety of signals, mimicking AP diversity. The proposed ( $C_{X,Y}$ ) method  
18 is demonstrated to be superior to the other approaches, and we demonstrate its application on  
19 experimentally recorded AP profiles. The study reveals how the quantification of the AP foot could  
20 be related to the nature of the underlying synaptic activity, and help shed light on biophysical  
21 features such as the density of innervation, proximity of varicosities, size of the syncytium, or  
22 the strength of intercellular coupling within the syncytium. The work presented here is directed  
23 towards exploring these aspects, with further potential towards clinical electrodiagnostics by  
24 providing a better understanding of whole-organ biophysics.

25 **Keywords:** Action Potential, Parasympathetic Neurotransmission, Convexity, Quantification, Propagation

## 1 INTRODUCTION

26 Excitable cells are characterized by their ability to produce action potentials (APs). Typically, cells of the  
27 same tissue, or specific region of tissue, exhibit a common AP profile characteristic of that cell type. But  
28 in certain syncytial tissues, such as the smooth muscle layer of the mouse urinary bladder wall (called  
29 the detrusor), individual cells are known to exhibit diversity in AP shapes (Meng et al., 2008). These APs  
30 do not exhibit any pattern of changes in shape, rather the variation of shape from any given AP to the  
31 succeeding ones is seemingly random in nature. Some of the diverse shapes recorded intracellularly - as  
32 described in Section 2.4 - from detrusor smooth muscle cells (DSMCs) are shown in Fig. 1. Neither their  
33 origin nor the physiological role of this diversity is currently understood, but has often been attributed to  
34 syncytial interactions and the spatially distributed pattern of parasympathetic innervation (Manchanda,  
35 1995). It may be assumed that changes in the underlying cellular or tissue features influence the shape of  
36 the produced AP. These changes can affect the cellular biophysics and result in a variation of the AP profile  
37 (Appukuttan et al., 2015a, 2017a). As the APs holds the ability to generate phasic and tonic contractions  
38 of the DSM tissue, their analysis of AP shapes holds potential to help identify and diagnose pathological  
39 conditions.

40 The first step towards interpreting the observed AP diversity in the detrusor would involve the  
41 characterization of AP profiles to enable their comparison and analysis. Historically, APs are most  
42 often characterized in terms of their height and width (full width at half maximum or FWHM) as shown  
43 in Fig. 2. Other parameters used to describe APs include overshoot, after-hyperpolarization (AHP) and  
44 after-depolarization (ADP) (Bean, 2007). An important feature of any AP is its rising phase, which can be  
45 divided into two parts: (i) the passive depolarization from the resting state (resting membrane potential or  
46 RMP) to a threshold value, and (ii) the rapid, active depolarization beyond the threshold to the peak of the  
47 AP, propelled by voltage-gated channels. The former region, termed the foot of the AP, holds information  
48 about the biochemical and spatial origin of the AP. For example, in skeletal muscle fibers it has been shown  
49 that APs produced close to the end-plate have a convex-upward rise to peak. With increasing distance  
50 from the end-plate, this gradually changes from convex-upward to a concave-upward rise to peak (Fatt  
51 and Katz, 1951). Thus from the AP profile it can be determined whether it was recorded close to the  
52 site of synaptic activity or far from it. Similar observations have been reported in case of neurons during  
53 the propagation of AP away from the soma (Magee and Carruth, 1999). For simplicity we shall refer to  
54 convex-upward and concave-upward rise to AP peak as convex and concave AP foot, respectively. In  
55 the case of the detrusor, it has been hypothesized that the diversity in action potential shapes is owing to  
56 the variable superposition of spontaneous transient depolarizations (STDs; similar to miniature end-plate  
57 potentials in skeletal muscle) and an unmodulated AP profile (Padmakumar et al., 2012), as illustrated in  
58 Fig. 3. Spontaneous neurotransmitter release from parasympathetic varicosities produces STDs in DSMCs,  
59 which on crossing the AP threshold of the cell, elicits an AP. The STD, being a passive signal, decays with  
60 increasing distance from the varicosities, as in (Fatt and Katz, 1951). The active AP signal, on the other  
61 hand, has the capacity to propagate, often without attenuation. The presence of a STD underlying an AP is  
62 reflected in the convexity of the AP foot. The propagating APs which travel significant distances from the  
63 source will have no underlying STD component (Appukuttan et al., 2015b), and hence would exhibit a  
64 concave foot. Such AP profiles are denoted as 'native' APs in the current study. The extent of STD content  
65 is reflected in the degree of convexity of the AP foot.

66 The quantitative analysis of AP foot can provide leads concerning the nature of an AP, e.g. the location  
67 of the point at which AP is observed vis-à-vis site of neurotransmission. This can help construct a  
68 'biophysical picture' of pattern and density of parasympathetic innervation in the vicinity of a given

69 recording point. In the past, similar profiling of electrical activity has helped interpret the nature of  
70 autonomic neurotransmission in tissues such as the vas deferens and arterioles (Hirst and Neild, 1978;  
71 Blakeley and Cunnane, 1979; Cunnane and Stjärne, 1984; Brock and Cunnane, 1988). In past studies in  
72 mouse DSM, the differences in the convexity of the foot of the AP was observed to distinguish between  
73 neurogenic and myogenic APs (Young et al., 2008; Meng et al., 2008).

74 Currently there is no well-defined method for the quantification of the AP foot convexity. The ideal  
75 technique that quantifies the AP foot should be able to provide us an idea about the underlying passive  
76 signals which brings the membrane potential to threshold and gives rise to the AP. In the present work  
77 we have attempted to explore various approaches to measure AP foot convexity. It could be demonstrated  
78 that all these methods suffer from certain shortcomings which restrict their utility for our purpose. We  
79 have thus designed a novel approach which overcomes these drawbacks, and was found to be appropriate  
80 for the quantification of AP foot convexity. The various approaches have been evaluated and compared  
81 using a set of test data mimicking AP diversity, where the actual convexity trends were known. Finally, we  
82 demonstrate how the proposed technique performs on experimentally recorded AP profiles, and discuss its  
83 possible utility in interpreting electrophysiological activity in health and disease.

## 2 METHODS

84 This section is divided into three parts, dealing with the synthesis of the test data, the evaluation criteria,  
85 and the description of the various quantification methods explored, including our proposed technique.

### 86 2.1 Synthesis of Test Data

87 A data set consisting of diverse AP shapes was required for evaluating the various quantification  
88 approaches. A prerequisite was foreknowledge about the convexity trends of the data set, against which  
89 the approaches could be compared. Experimental data could be used for the evaluation of the proposed  
90 techniques because prior knowledge of their extent of AP foot convexities was unavailable. It was thus  
91 necessary to generate the test data computationally. Here, one of the possibilities was to obtain AP profiles  
92 from simulations in a syncytial model (Appukuttan et al., 2015a) designed in NEURON platform and by  
93 recording at each model cell.

94 This approach had a couple of drawbacks. Firstly, even though syncytial spread of APs leads to change in  
95 the AP shape (Appukuttan et al., 2015a), the number of widely differing AP profiles so obtained would be  
96 limited. This owes to the fact that the syncytium is homogeneous and well coupled, and the variabilities in  
97 DSM bundle sizes and the neurotransmitter release profiles were not implemented in the available model,  
98 restricting the AP shape diversity observable from the model cells. The second drawback was the inability  
99 to accurately predict the foot convexity trends for varying superpositions of the passively spreading synaptic  
100 stimulus and the AP. This is because when the signals are recorded from the syncytium, the underlying  
101 STD undergoes multiparametric variations such as its amplitude, time course, and the latency with respect  
102 to the AP. Further, the inability to explicitly set the AP threshold in models, such as in the HH model,  
103 proved another hindrance and limited the range of STD amplitudes that could be availed.

104 To overcome these shortcomings, the test data were synthesized by direct linear superposition of two  
105 template signals: (i) an AP profile with a concave foot, and (ii) a STD representing membrane response to  
106 synaptic stimulus. These template signals were obtained from simulations on the NEURON environment, a  
107 compartmental modeling platform (Hines and Carnevale, 2001), using a syncytial smooth muscle model  
108 (Appukuttan et al., 2015a). In order to obtain the AP template, all cells in the syncytium were endowed

109 with Hodgkin-Huxley (HH) channels and the stimulus, implemented via an alpha function mimicking an  
110 STD profile (Purves, 1976; Bennett et al., 1993), applied at the centroid cell. The AP recorded at the vertex  
111 cell had the most concave foot by virtue of being farthest from the site of stimulation, and thus was chosen  
112 as the AP template. The amplitude was normalized such that RMP was at 0 and the peak had a value of  
113 +1. The STD template was obtained from the centroid cell when all cells in the syncytium were rendered  
114 purely passive. Experimental studies have shown STDs in smooth muscle to be of varying amplitudes and  
115 time courses (Manchanda, 1995). The test data was thus generated using varying levels of superposition  
116 of the AP template with modulations of the STD template. This was achieved by varying three principal  
117 parameters: (i) the amplitude of the STD (*amp*), (ii) the time course of the STD (*scale*), and (iii) the latency  
118 of AP onset from STD onset (*lat*). Parameter *amp* was relative to the normalized AP template; a *scale*  
119 value of 1 represented the original STD time course, with values lesser and greater than one indicating  
120 shortening and elongation, respectively, in time domain by that factor; *lat* of 0 and 1 indicate alignment of  
121 AP onset with STD onset and with STD peak, respectively. The effects of varying each of the parameters  
122 are illustrated in Fig. 4. Different test data sets were created by varying a single parameter, while keeping  
123 the other two constant. For every data set thus generated, it was possible to predict their intrinsic foot  
124 convexity trends, as explained in the following section. This information was used to evaluate and compare  
125 the robustness of various quantification techniques described ahead. The details of the generated data sets  
126 are provided in the Results section.

## 127 2.2 Evaluation Criteria

128 Knowledge of the origin of the test data sets allows us to make certain assertions, such as the relative  
129 ordering of signals with respect to their foot convexities. Such orderings are possible within each data set  
130 individually, but cannot be ascertained for the combination of the data sets together. This is because the  
131 convexity ranges would overlap between the sets, and the rank of individual signals in the combined set  
132 cannot be predicted easily. It can be observed from Fig. 4 that the convexity of the AP foot increases with  
133 each of the parameters *amp*, *scale* and *lat*. Thus, an increase in the amplitude and time course of the STD  
134 would result in an AP with a more convex foot, and this would be further heightened with a longer latency  
135 of AP onset.

136 Another assertion that could be made with regard to the test data, is the relation between the AP foot  
137 convexity and the magnitude of ADP. The ADP is defined as a membrane depolarization observed after  
138 the AP peak, but before the membrane potential settles back to its RMP. Here, the ADP is evaluated as  
139 the difference between the first local maximum, following the AP peak, and the RMP. The nature of the  
140 relation between convexity and ADP varies depending on the parameter that is changed. Here, an increase  
141 in the amplitude and time course of the STD leads to a increase in the ADP of the AP profile, whereas a  
142 longer latency of AP onset would reduce the extent of this ADP. Thus, a positive positive correlation is  
143 expected between each of *amp* and *scale* with ADP, and a negative correlation between ADP and *lat*. As  
144 the increments in convexity in each data set are not strictly linear, but the intrinsic ordering is known, the  
145 Spearman's rank correlation coefficient ( $\rho$ ) was used to test the correlation between two variables.

146 We employ the above two ground truths to evaluate the various quantification approaches described in  
147 the following section. Before proceeding further, it would be useful to formally demarcate the AP foot  
148 region. In our present study, the AP onset for each signal in the test data is predetermined by the instance  
149 of synaptic activation (50 ms from the start of the simulation). This is set as the onset of the AP foot. The  
150 end of the foot (EOF) is determined differently for convex and concave rise to AP. For each signal we  
151 attempt to locate an inflection point between the foot onset and the AP peak. In case of APs with convex

152 feet, such a point would exist discernibly and is chosen as the EOF. But in the case of APs with concave  
 153 feet, discernible inflection points would be absent and thus, as an alternative, the EOF is set as the instant  
 154 where the AP has the maximum rising slope. Through simulations it has been verified that this corresponds  
 155 to the time instance at which the capacitive current, arising from local circuit pathways, attains its peak.  
 156 Also, it was observed that this occurs 0.1 ms after the initiation of the regenerative mechanism of Na<sup>+</sup>  
 157 channels, leading to rapid depolarization beyond the threshold.

158 Finally, we demonstrate the application of the proposed method in quantifying the foot convexities in a  
 159 set of experimentally recorded APs. The experimental recordings are different from the simulated signals  
 160 in three major aspects: (1) there is significant noise content, (2) there exists much greater diversity in AP  
 161 shapes, and (3) the exact location of the onset of the signal and the EOF cannot be easily identified. These  
 162 differences demand certain amendments to the signal processing techniques employed in analyzing the  
 163 simulated signals, as discussed by Padmakumar et al. (2016). Once the AP foot is isolated, the convexity  
 164 detection algorithms can be directly applied on the experimental signals, as in the case of test data sets.  
 165 However, the noise content in the foot might affect the efficiency of some techniques that involve the first  
 166 and second derivatives. This problem was overcome by low pass filtering (cut off frequency = 40Hz) of the  
 167 signal foot before applying the technique.

## 168 2.3 Convexity Quantification Approaches

### 169 2.3.1 Radius of Curvature

170 The Radius of Curvature (RoC),  $r_i$ , at the  $i^{\text{th}}$  instant of a signal  $s$  is given by the following equation  
 171 (Kreyszig, 1991):

$$r_i = \frac{\{1 + (s'_i)^2\}^{\frac{3}{2}}}{s''_i} \quad (1)$$

172 The physical significance of  $r_i$  is shown in Fig. 5.  $r_i$  evaluated using Eq. 1 would yield negative values  
 173 for convex-foot APs and positive for concave-foot APs. To ensure that the sign is consistent with the  
 174 convention followed in other techniques described below, we define  $\check{r}_i$  as the negation of  $r_i$ :

$$\check{r}_i = -r_i \quad (2)$$

175 and employ this for the quantification of convexity. The value of  $\check{r}_i$  is used to quantify the convexity of  
 176 the foot in three different ways, as follows:

- 177 1. **Minimum Radius:** The minimum absolute value among the radii measured along the foot is taken as  
 178 the measure of convexity, while maintaining its sign.
- 179 2. **Mean Radius:** The convexity measure is obtained by averaging the instantaneous radii along the entire  
 180 AP foot.
- 181 3. **Total Radius:** The radius measured at each instant along the foot is added to obtain a measure of the  
 182 convexity. This method differs from the mean radius in its ability to account for the foot width, i.e.  
 183 if the foot is wider, the convexity measure becomes larger for convex signals, and smaller in case of  
 184 concave signals.

185 Here, we shall denote these convexity measures by  $C_{\text{rad}}$ . Evaluation of the mean and total cases require  
 186 certain adjustments to eliminate errors arising from extremely low curvature regions ( $\sim 0$ ), i.e. extremely  
 187 high  $|\check{r}_i|$ , and can be managed by setting a ceiling for  $|\check{r}_i|$ .

### 188 2.3.2 Exponential Fit

189 The rising part of the STD, which constitutes the foot of convex APs, can be approximated as a saturating  
 190 rising exponential having the following form:

$$v = A \left( 1 - e^{-t/\tau} \right) \quad (3)$$

191 where  $v$  is the membrane potential and  $t$  the time instant relative to the AP onset. The parameters for  
 192 the exponential fit, namely the time constant  $\tau$  and the scaling factor  $A$ , could be used as a measure of  
 193 convexity, as shown in Fig. 6. Cable theory predicts that the passive signals upon transmission through a  
 194 cable-like structure would widen in its time course, resulting in an increase in the time constant  $\tau$  (Jack  
 195 et al., 1975; Bywater and Taylor, 1980). Also, the amplitude of the STD would fall with propagation,  
 196 resulting in reduced amplitude of the scaling factor  $A$ . In our test data, since we have considered both  
 197 variations in the underlying STD amplitude and also its time course, the quantification of the convexity  
 198 would need to consider both the factors,  $A$  and  $\tau$ .

199 In case of concave AP foot, Eq. 3 cannot be used, and is better approximated by a non-saturating  
 200 exponential rise of the following form:

$$v = A \left( e^{t/\tau} - 1 \right) \quad (4)$$

201 During quantification, we denote the time constant of the exponential fits using Eq. 4 as negative, in order  
 202 to differentiate it from the convex rise. When analyzing an unknown signal, exponential fits are determined  
 203 using both Eqs. 3 and 4, and the one with the least root of sum of squares of errors is selected. We shall  
 204 denote these convexity values by  $C_{\text{exp}}$ .

### 205 2.3.3 Triangulation Altitude

206 In this method, a triangle is formed using the onset of the AP foot 'O', the end of the foot 'I', and a point  
 207 'A' chosen on the AP foot such that the altitude of the triangle OAI from the base OI is maximized. It could  
 208 be noted that this condition is satisfied when 'A' is located at a point where the tangent at 'A' is parallel to  
 209 the base OI. The altitude  $h$  provides a measure of the convexity of the foot. This approach is illustrated in  
 210 Fig. 7. For APs with concave foot, the orientation of the triangle would be inverted over the base OI, and  
 211 the altitude so measured is assigned a negative sign. We shall denote the convexities measured using this  
 212 approach as  $C_{\text{alt}}$ .

### 213 2.3.4 Area Based Approaches

214 It is possible to quantify the convexity of the foot by evaluating the area associated with the AP foot. This  
 215 area could be measured in multiple ways, as follows:

- 216 1. *Area under the AP foot:* Here, the area measured is always positive, as the foot never goes below  
 217 the resting membrane potential (RMP). The larger the value, higher is the convexity. This method is  
 218 illustrated in Fig. 8(a). We denote these convexities as  $C_{\text{area}}$ .

- 219 2. *Area between the AP foot and the line joining the foot onset and EOF*: Here the reference line is  
220 changed from the X-axis (in above case) to a line joining the two ends of the foot (see Fig. 8(b)). The  
221 regions where the curve is above the line are considered as positive, and below it as negative. We shall  
222 denote this convexity measure as  $C_{\text{line}}$ . It can be noted that the length and the slope of the line will  
223 vary between signals.
- 224 3. *Area between the AP foot and a predefined line*: This is illustrated in Fig. 8(c). One end of the line  
225 is located on the AP at the instant where the AP signal crosses a predefined depolarization level  $Y$   
226 above the RMP. The other end of the line is set  $X$  ms away, towards the foot onset, with ordinate equal  
227 to the RMP. The area between the curve and this line is then evaluated, with regions above the line  
228 being considered positive, and the area below it as negative. The convexity measure is denoted as  $C_{X,Y}$ ,  
229 representing the measure of Convexity ( $C$ ) determined for a depolarization of  $Y$  over a time period of  
230  $X$  ms. In this approach, the length and the slope of the line remains the same across all signals for a  
231 given pair of  $X$  and  $Y$ . While demonstrating this approach on the test data set, we shall evaluate  $C_{20,0.6}$ ,  
232 i.e,  $C_{X,Y}$  with  $X = 20$  and  $Y = 0.6$ . Later we discuss how this method behaves for different values of  $X$   
233 and  $Y$ , and how to select appropriate values for these parameters.

## 234 2.4 Electrophysiological Recordings

### 235 Ethics Statement

236 The Institutional Animal Care and Use Committee (IACUC) covering the Department of Pharmacology,  
237 University of Oxford, approved and had oversight of all animal experiments. All experiments were carried  
238 out during or before 2009, and were hence approved under Animals (Scientific Procedures) Act 1986, but  
239 are also consistent with both UK Animals (Scientific Procedures) Act (2013) and European Communities  
240 Council Directive 2010/63/EU.

### 241 Experimental Protocol

242 Mice of the C57BL/6 strain, of either gender, weighing 18–30 g, were used to collect electrophysiological  
243 recordings. They were killed by head concussion, followed by cervical dislocation. Efforts were undertaken  
244 to minimize the number of animals used in the study, and to reduce their suffering. The urinary bladder  
245 was removed with the connective tissue surrounding the bladder removed, while the urothelium was left  
246 intact. The ventral wall of the bladder was opened longitudinally from the bladder neck (posterior) to the  
247 top of the dome (anterior). Tissue strips, which contained a few bundles of smooth muscle, 3–4 mm long  
248 and 1–2 mm wide, were dissected. Strips were pinned out on a Sylgard-lined plate at the bottom of a  
249 shallow chamber (volume, approximately 1 ml). This was mounted on the stage of an upright microscope.  
250 Preparations were superfused with physiological saline solution (PSS) (composition, mM: NaCl, 120; KCl,  
251 5.9; MgCl<sub>2</sub>, 1.2; CaCl<sub>2</sub> 2.5; NaHCO<sub>3</sub>, 15.5; NaH<sub>2</sub>PO<sub>4</sub>, 1.2, and glucose, 11.5; gassed with 95% O<sub>2</sub> and  
252 5% CO<sub>2</sub>) warmed to 35°C, at a constant flow rate of 100 ml/h, and maintaining a pH of 7.2 - 7.3 (Hashitani  
253 and Brading, 2003).

254 Preparations, once pinned, were allowed to equilibrate for at least 30 min before initiating  
255 electrophysiological recording. Individual bladder smooth muscle cells in muscle bundles were impaled  
256 with glass capillary microelectrodes, filled with 0.5 M KCl, with a tip resistance of 100 - 300 M $\Omega$ .  
257 Changes in the membrane potential were recorded using a high input impedance amplifier (Axoclamp-2B,  
258 Axon Instruments, Inc., Sunnyvale, CA, USA), and were digitized using PowerLab/4SP (ADInstruments,  
259 Chalgrove, UK) at either 1 kHz or 4 kHz, and stored on computer for later analysis.



**Table 1.** Variations in parameter values to generate test data sets

Data Set	amplitude	scale	latency
Dataset1	0.08 to 0.5	1.5	1.0
Dataset2	0.2	0.2 to 1.4	1.0
Dataset3	0.2	1.0	0.0 to 1.5
Dataset4	0.2	1.0	-0.2 to 0.25

### 3 RESULTS

#### 260 3.1 The Test Data Sets

261 Three independent data sets (Dataset1, Dataset2, Dataset3) were created; one for variations in each of the  
 262 three parameters *amp*, *scale*, and *lat* described in Section 2.1, while the remaining two were kept constant.  
 263 Each data set was designed to contain 25 different AP profiles. As these data sets lack the presence of  
 264 concave footed APs, an additional data set (Dataset4) was generated comprising a near proportionate  
 265 mix of APs with concave and convex AP feet (concave: 11, convex: 14). Table 1 describes the ranges  
 266 of parameter values employed in generating the test data. As explained in Section 2.2, the level of foot  
 267 convexity increases with an increase in the varying parameter in each data set.

#### 268 3.2 Comparison of Convexity Measurement Algorithms

269 For each of the data sets, the AP foot convexity was evaluated using the algorithms described in Section  
 270 2.3. As established in Section 2.2, a strong positive correlation was expected between the parameter being  
 271 varied in the data set (*amp*, *scale*, *lat* and *lat* for Datasets 1, 2, 3 and 4, respectively) and the measured  
 272 convexity. The Spearman's rank correlation values were evaluated between the measured convexities and  
 273 the parameter varied in each of the data sets, and the results are tabulated in Table 2. It can be observed  
 274 that the proposed method,  $C_{X,Y}$ , yields the best result by producing the expected correlation of +1 for all  
 275 data sets. Other area based methods  $C_{area}$  and  $C_{line}$  also perform well in all data sets except Dataset4  
 276 (correlation = 0.83 for both). Since  $C_{area}$  is a special case of  $C_{line}$  where the ordinate of the reference line  
 277 is set to RMP, it was reasonable that a similar trend should be obtained from both methods. The uniqueness  
 278 of Dataset4 was in its composition of a mix of AP profiles with varying extents of AP foot concavity and  
 279 convexity, while the other data sets predominantly consisted of AP profiles having convex AP feet, with  
 280 at most a single concave AP profile in each set. On closer examination, it was noted that the nature of  
 281 convexity trends shown by  $C_{area}$  and  $C_{line}$  were opposite for convex and concave feet. They produce a  
 282 positive correlation with *lat* for convex feet, and a negative correlation for concave feet, causing the overall  
 283 correlation value to drop for Dataset4, as seen in Table 2.

284 The triangulation altitude,  $C_{alt}$  performs well for Datasets 1 and 3. However, it presents the same issue as  
 285 that faced by the  $C_{area}$  and  $C_{line}$  methods; i.e. the opposing sub-trends within Dataset4. It is interesting  
 286 to note that  $C_{alt}$  generated a strong negative correlation (-1.0) for Dataset2, where the parameter *scale* is  
 287 varied. This could be explained by the fact that  $C_{alt}$  measures the depth of the curvature formed by the AP  
 288 foot by the STD, which drops with increase in *scale* parameter.

289 The algorithms based on the radius of curvature produce maximum correlations for Datasets 1 and 2  
 290 but with opposite signs. The variation in *amp* produced a negative correlation with the convexity values  
 291 measured by radius of curvature. This can be attributed to the increase in the foot curvature when the foot  
 292 height is enhanced, while its width remains unchanged; resulting in a decrease in the radius of curvature,  
 293 and thus the negative correlation. Increase in *scale*, however, causes an increase in the measured radius of

**Table 2.** Spearman's rank correlation between the convexity measures obtained via the different quantification approaches and the parameter varied for each data set

Approach	Dataset			
	1	2	3	4
$C_{rad:min}$	-1.00	1.00	0.77	0.86
$C_{rad:mean}$	-1.00	0.99	1.00	0.82
$C_{rad:total}$	-1.00	1.00	1.00	0.83
$C_{alt}$	1.00	-1.00	1.00	0.83
$C_{exp:A}$	1.00	1.00	-0.77	0.73
$C_{exp:\tau}$	-1.00	1.00	-0.37	0.21
$C_{area}$	1.00	1.00	1.00	0.83
$C_{line}$	1.00	1.00	1.00	0.83
$C_{20,0.6}$	1.00	1.00	1.00	1.00

**Table 3.** Spearman's rank correlation between the convexity measures obtained via the different quantification approaches and the extent of ADP

Approach	Correlation with ADP in Dataset			
	1	2	3	4
$C_{rad:min}$	-1.00	1.00	-0.77	-0.86
$C_{rad:mean}$	-1.00	0.99	-1.00	-0.82
$C_{rad:total}$	-1.00	1.00	-1.00	-0.83
$C_{alt}$	1.00	-1.00	-1.00	-0.83
$C_{exp:A}$	1.00	1.00	0.77	-0.73
$C_{exp:\tau}$	-1.00	1.00	0.37	-0.21
$C_{area}$	1.00	1.00	-1.00	-0.83
$C_{line}$	1.00	1.00	-1.00	-0.83
$C_{20,0.6}$	1.00	1.00	-1.00	-1.00

294 curvature. For Dataset3, the reduction in the correlation obtained by  $C_{rad:min}$  was caused by the dual trend  
 295 of the  $C_{rad:min}$  values obtained before and after  $lat = 1$ . The radius of curvature increases monotonically  
 296 and attains maximum value at  $lat = 1$  and then stays roughly constant, with a negligible decrement in RoC  
 297 as the  $lat$  parameter increases further. The reason for such behavior lies in the curvature profile of the STD.  
 298 At  $lat = 1$ , the AP onset is aligned to the STD peak. However, the change of trend seen in  $C_{rad:min}$  was  
 299 absent for the  $C_{rad:mean}$  and  $C_{rad:total}$  values because the instantaneous RoC values measured at the initial  
 300 part of the foot compensates for the decrement at the end points. For Dataset4, as expected, the curvature  
 301 based methods could not produce similar trends for convex and concave footed APs and thus have lower  
 302 correlation values.

303 While evaluating the convexity using  $C_{exp}$ , it is expected that the scaling factor  $A$  would solely depend  
 304 upon the STD amplitude ( $amp$ ). Hence, maximum variation in  $A$  occurs during the evaluation of Dataset1,  
 305 where the parameter  $amp$  is varied. For other data sets, the variation in  $A$  is negligible. Similarly, the time  
 306 constant  $\tau$  depends on the STD rising phase which is varied using the  $scale$  parameter in Dataset2. For  
 307 other data sets, the variation in  $\tau$  is negligible. These trends are clearly observed in Table 2 where the above  
 308 correlations (Dataset1:  $A$  vs  $amp$ ; Dataset2:  $\tau$  vs  $scale$ ) have the expected value of +1. In the other data  
 309 sets, the minute changes in  $A$  and  $\tau$  are prone to changes introduced by the addition of the AP template and  
 310 the STD, leading to inconsistency.

311 The efficiencies of the convexity measurement algorithms were confirmed by evaluating their correlations  
 312 with the ADP. As the ADP shares a strong positive correlation with the varied parameter in data sets 1

313 and 2, and a strong negative correlation in data sets 3 and 4 (see 2.2), a similar trend is expected with the  
 314 measured convexity as well. The results obtained by different algorithms for each of the four data sets are  
 315 given in Table 3. As predicted, the findings were identical to those in Table 2 except for a change in the  
 316 sign for Datasets 3 and 4. Apart from testing the efficacy of the convexity quantification algorithms, this  
 317 also establishes the fact that if the ADP is caused by an STD underlying the AP (Fig. 3), the nature of the  
 318 underlying STD could be studied by observing the correlation between the convexity of the AP foot and  
 319 the ADP exhibited by the AP.

320 In summary, we conclude that the  $C_{X,Y}$  algorithm is recommended as the most suitable algorithm for  
 321 quantifying the AP foot convexity, as it exhibited the best performance by yielding the expected correlations  
 322 for all the test data sets.

### 323 3.3 Selection of Parameters X and Y in $C_{X,Y}$

324 The proposed method of evaluating convexity,  $C_{X,Y}$ , requires two parameters to be input, namely X and  
 325 Y. While evaluating  $C_{X,Y}$  on the test data sets, we had employed  $X = 20$  ms and  $Y = 0.6$ . Here, we shall  
 326 discuss the selection of values for these two parameters. Table 4 summarizes the mutual correlations for  
 327  $C_{(X=20, Y=0.6)}$ , which has been demonstrated to be accurate, and other combinations of X and Y for each of  
 328 the four data sets. We find that the first three cases ( $C_{20,0.8}$ ,  $C_{30,0.6}$ ,  $C_{50,0.9}$ ) are in agreement to  $C_{20,0.6}$  for  
 329 all the data sets, whereas  $C_{5,0.6}$  and  $C_{20,0.3}$  differ. A simple rule of thumb in selecting values of X and Y  
 330 is to set X to be larger than the maximum AP foot width and Y to be greater than the maximum AP foot  
 331 height. This is illustrated in Fig. 9 with examples of both appropriate and inappropriate choices of X and  
 332 Y. In Fig. 9 (a), the region of interest comprises only a part of the AP foot with the region above Y being  
 333 excluded. Similarly, in Fig. 9 (b), even though it covers the entire height of the AP foot, it does not span  
 334 the entire foot duration. In such cases, as in (a) and (b), the convexity evaluation would be unreliable as  
 335 variations in the foot shape outside the region of interest is ignored in the quantification. The choice of  
 336 parameters in Fig. 9 (c) is such that the entire AP foot is taken into consideration. In the case of our test  
 337 data, as we have prior knowledge of the variation in the AP profiles, it is trivial to set these values. For  
 338 example, the maximum STD amplitude in our data sets is 0.5, and thus any value  $> 0.5$  is appropriate for  
 339 Y. This rule is confirmed by the good correlation results for data sets 2, 3 and 4 under  $C_{20,0.3}$  (max *amp* =  
 340 0.2) as seen in Table 4, whereas it is found inappropriate for Dataset 1 (max *amp* = 0.5). It should also be  
 341 noted that  $C_{5,0.6}$  appears a suitable choice for Dataset4, but not Dataset3. This is owing to the shorter range  
 342 of *lat* parameter in Dataset4, resulting in smaller AP onset latencies and thereby shorter AP foot widths.

343 As long as these conditions are satisfied, it is found that the choice of X and Y can be arbitrary. The  
 344 magnitude of convexities evaluated would vary, based on the choice of X and Y, but the overall trends and  
 345 relative values would remain consistent. When analyzing experimental signals, it might not be feasible  
 346 to accurately identify these limits on X and Y. But as there is no upper bound on these parameters, it is  
 347 advisable to overestimate their values. The strong correlation of  $C_{20,0.6}$  with  $C_{50,0.9}$  indicates that such  
 348 large values provide equivalent results.

### 349 3.4 Analyzing Experimentally Recorded APs

350 Fig. 10 shows four APs handpicked from a pool of intracellular recordings exhibiting varying extents of  
 351 convexity in the AP foot, with the convexity increasing from A to D. These signals were analyzed using  
 352 the  $C_{X,Y}$  approach, which was found to perform best amongst all the approaches tested. We set  $X = 50$  ms  
 353 and  $Y = 30$  mV, in accordance with the rule of thumb described earlier. The quantification for these four  
 354 APs are shown in Fig. 11, with the measures being -630.98, -525.68, -366.16 and -4.69 for A, B, C and D,

**Table 4.** Comparing correlations of  $C_{X,Y}$  for different combinations of X, Y parameter values with  $C_{20,0.6}$ 

Data Set	Spearman correlation of $C_{20,0.6}$ with				
	$C_{20,0.8}$	$C_{30,0.6}$	$C_{50,0.9}$	$C_{5,0.6}$	$C_{20,0.3}$
Dataset1	1.00	1.00	1.00	1.00	-0.61
Dataset2	1.00	1.00	1.00	0.98	1.00
Dataset3	1.00	1.00	1.00	0.73	1.00
Dataset4	1.00	1.00	1.00	1.00	1.00

355 respectively. It can be observed that the measured convexity trend matches the visually expected ordering  
 356 of convexities for all the APs.

## 4 DISCUSSION

357 Traditionally, APs are characterized mainly in terms of their height and width, and in case of bursting  
 358 APs, also in terms of their spiking frequency. A feature of the AP that is often neglected, but can contain  
 359 valuable information from which physiological inferences may be drawn, is the foot of the AP. To our  
 360 knowledge, ours is the only study that focuses on quantifying the foot of APs, which describes their  
 361 depolarization to threshold phase. As no established technique exists for this purpose, we explored some  
 362 common mathematical paradigms that could be employed for such analysis. The various approaches were  
 363 examined closely for their robustness when evaluating APs of a wide variety, and their shortcomings were  
 364 identified. To overcome these inadequacies, we designed a new approach for quantifying the AP foot.

365 According to cable theory, when a passive signal, such as an STD, is observed at increasing distances  
 366 from the site of origin, its amplitude is decreased, its time course is increased, and if an AP is elicited owing  
 367 to that STD, the latency of the AP with respect to the STD is also increased. These variations are captured  
 368 using the three parameters used to generate the test data set, namely *amp*, *scale*, and *lat*. Even though  
 369 these parameters are interconnected in the physiological scenario, certain meaningful inferences may be  
 370 derived from individual variations of these parameters, as follows: *amp* –increase in amp indicates higher  
 371 input resistance of the cell, or a larger quantity of neurotransmitter release, or proximity to varicosity;  
 372 *scale* –wider time course of STD suggests propagation of STD from the site of neurotransmission, thereby  
 373 offering an indication of distance from varicosity; *lat* –larger latency between the STD and AP can be an  
 374 indicator of the higher threshold value of the cell to generate AP, and/or relatively large distance traveled  
 375 by the STD-AP pair from the origin. As these physiological inferences, derived from the parameters that  
 376 determine foot convexity, offer considerable insight into the intricacies of smooth muscle physiology, a  
 377 quantification method that performs well for changes in each of the parameters is necessary.

378 The method to characterize action potential foot convexity thus forms an important tool on multiple  
 379 fronts. These can broadly be classified into the following two categories

380 *Analysis of experimental signals:* We are working towards the classification and decomposition of  
 381 individual AP profiles to identify the ‘native’ AP profile and the profile of the underlying synaptic potential.  
 382 The latter is then compared against the collection of subthreshold synaptic potentials exhibited by the cell,  
 383 to obtain inferences regarding the nature of innervation in the vicinity of the cell. Preliminary studies have  
 384 shown that such comparisons show best results when employing the method presented here for quantifying  
 385 the foot convexity.

386 *Comparison to computational model:* Simulations are run under a variety of syncytial configurations,  
 387 such as variations in the size of the syncytium, density of innervation, gap junctional coupling, and so on

388 (Appukuttan et al., 2015a, 2017a). These all translate to differences in action potential profiles exhibited  
389 across the syncytium. The features of these diverse action potential profiles would then be correlated with  
390 experimental data to obtain inferences of the cellular environment.

391 Test data consisting of diverse AP shapes was essential for evaluating the various quantification  
392 approaches. These were carefully developed to ensure foreknowledge of convexity trends, which were later  
393 used as benchmarks for the evaluation of the approaches. All the approaches, excluding  $C_{X,Y}$ , failed in  
394 evaluating the correlations for Dataset4, with varying levels of success for the other data sets. Dataset4 was  
395 the only data set containing an equitable proportion of APs with variations in their concave feet, along  
396 with APs having convex feet. This is particularly important in view of the fact that the bulk of the APs  
397 in an electrically coupled syncytium is likely to be comprised of propagated APs having concave feet  
398 (Appukuttan et al., 2015a). In view of the results presented here, we conclude that  $C_{X,Y}$  is most efficient in  
399 quantifying the foot of action potentials. Fig. 12 presents an example where  $C_{line}$  falters in quantifying the  
400 foot. Though it is evident that the AP in (a) has a less convex rise than that in (b),  $C_{line}$  assigns a higher  
401 convexity measure to the former (a: 0.23 vs b: 0.16), whereas  $C_{20,0.6}$  correctly assigns (b) a higher value (a:  
402 -4.78 vs b: -4.22).

403 Our approach is also shown to be effective in analyzing experimentally recorded signals, which are  
404 inherently noisy and offer greater diversity in profiles, thereby confirming the robustness of the approach. It  
405 is interesting to note from Figs. 10 and 11 that the convexity vs ADP correlation seems to be in opposition  
406 to the proposed hypothesis (Padmakumar et al. 2014). The reason for this is beyond the scope of the current  
407 work, which purely aims at presenting an efficient approach for quantifying AP foot convexity. A detailed  
408 study directed at analyzing the variety of action potential profiles observed in mouse detrusor smooth  
409 muscle cells is currently underway. The first step in this study involves quantification of the various AP  
410 features, with the foot convexity being evaluated using the approach described here. It should be noted  
411 that erroneous quantification of the foot convexity could lead to incorrect interpretations regarding the  
412 biophysical environment. For example, a common issue with all the approaches, except  $C_{X,Y}$ , is their  
413 inability to evaluate concavity of varying degrees in the AP foot, which could evolve an incorrect estimate  
414 of the size of syncytium.

415 One drawback of the  $C_{X,Y}$  technique is that it is not capable of differentiating between APs having convex  
416 and concave feet, as intuitively as in other techniques such as  $C_{line}$ ,  $C_{alt}$ ,  $C_{rad}$ , or  $C_{exp}$ . However, detection  
417 of convexity is not the objective here, but rather the quantification of the relative extents of convexity  
418 or concavity. In terms of the overall efficiency in quantification,  $C_{X,Y}$  surpasses all the other approaches  
419 presented here, displaying maximal accuracy with each data set, thereby making it the recommended  
420 method. Classification of APs into convex and concave categories, whenever required, could be achieved  
421 via clustering using the  $C_{X,Y}$  measures for each AP.

422 The profile of an action potential recorded in a cell is determined by an ensemble of intra-, extra- and  
423 inter-cellular mechanisms. These include the composition of ionic channels, cytosolic calcium dynamics,  
424 synaptic input, and also, in the case of syncytial tissues, factors such as gap junctional coupling and the  
425 arrangement of cells in bundles. Certain pathologies of the bladder, such as detrusor overactivity, are  
426 reported to have a myogenic origin (Brading, 1997), while some others have a neurogenic basis (de Groat,  
427 1997). In the former, changes are believed to take place in the SMCs and/or their interaction with other  
428 SMCs in the syncytium (Fry et al., 2002), whereas the latter involves changes in the pattern and/or density  
429 of parasympathetic innervation. Changes in any of these factors will affect the AP profile exhibited by  
430 the cell. For example, an increase in the frequency of APs with a convex foot could suggest an increase  
431 in the density of parasympathetic innervation, while a higher proportion of APs with concave feet could

432 be indicative of stronger coupling and the formation of syncytia of larger sizes, e.g. those in which APs  
433 propagate over such distances that the convex foot is lost. With intracellular recordings, therefore, an  
434 analysis of the AP shapes holds potential for understanding the cellular environment within the detrusor  
435 smooth muscle, and may help evaluate biophysical changes that occur in pathology.

436 Intracellular recordings cannot directly be used for clinical investigations, because of the difficulties  
437 inherent in in-vivo intracellular investigations. However, insights obtained from analysis of intracellular  
438 APs can be extended and combined with other types of recordings that are feasible in clinical or para-clinical  
439 settings. For instance, in extracellular or tissue-surface recordings which are performed clinically, spikes  
440 recorded extracellularly are either biphasic in shape (negative-positive in polarity) when recorded at or very  
441 close to their sites of origin, or triphasic in shape (positive-negative-positive in polarity) when recorded  
442 at a distance following propagation from the source (Jack et al., 1975; Obien et al., 2014; Lewandowska  
443 et al., 2015; Appukuttan et al., 2017b). The curvature or the degree of convexity present in the different  
444 phases can shed light on underlying biophysical mechanisms, akin to the case of intracellular recordings.  
445 In the case of muscles, a precise understanding of whole-organ biophysics based on such techniques  
446 can be of considerable clinical use, as has been demonstrated in the case of skeletal muscle, helping to  
447 distinguish between, for example, disorders that affect primarily the neuromuscular junction as against  
448 those that affect primarily the propagation of spikes or excitation-contraction coupling (Daube, 2002).  
449 Profiling of the electrical activity in excitable cells has proven valuable, in the past, towards interpreting the  
450 nature of autonomic neurotransmission. For example, the differentiation of the rising phase of excitatory  
451 junction potentials (EJPs) in the vas deferens and their subsequent analysis identified the presence of  
452 discrete events (DEs) representing the release of neurotransmitter from a single varicosity (Blakeley and  
453 Cunnane, 1979). These DEs have enabled fingerprinting of different neurotransmitter release sites around  
454 the recorded cell (Cunnane and Stjärne, 1984). Similarly, spontaneous discrete events (SDEs) were obtained  
455 by differentiation of the rising phase of spontaneous excitatory junction potentials (sEJPs). Subsequent  
456 analysis found that when a particular release site is activated, the resultant evoked DE corresponded  
457 precisely with a particular SDE recorded from that cell (Cunnane and Stjärne, 1984; Manchanda, 1995).  
458 Further studies found that the currents underlying sEJPs and EJPs shared similar amplitude distributions,  
459 and template matching showed that certain spontaneous excitatory junction currents (sEJCs) and excitatory  
460 junction currents (EJCs) were identical in their amplitude and time course (Brock and Cunnane, 1988).  
461 These findings provided considerable insight into the biophysical environment of the recorded cells, such  
462 as the properties of quantal neurotransmitter release in sympathetic nerve terminals, and the probability  
463 of stimulation-evoked release. Extensions of the work reported here could result in similar analytical  
464 approaches to detrusor smooth muscle that could lend themselves eventually to clinical electrodiagnostics.

## ACKNOWLEDGMENTS

465 We would like to thank Dr. John Young (University of Portsmouth) for sharing electrophysiological  
466 recordings of the mouse detrusor.

## SUPPLEMENTAL DATA

467 Supplementary Material should be uploaded separately on submission, if there are Supplementary Figures,  
468 please include the caption in the same file as the figure. LaTeX Supplementary Material templates can be  
469 found in the Frontiers LaTeX folder

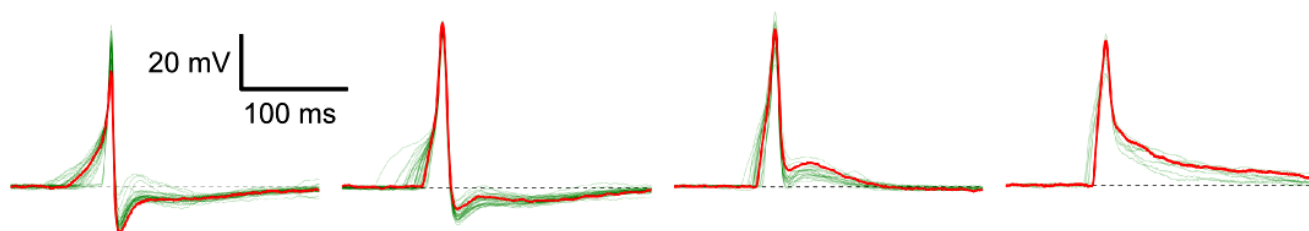
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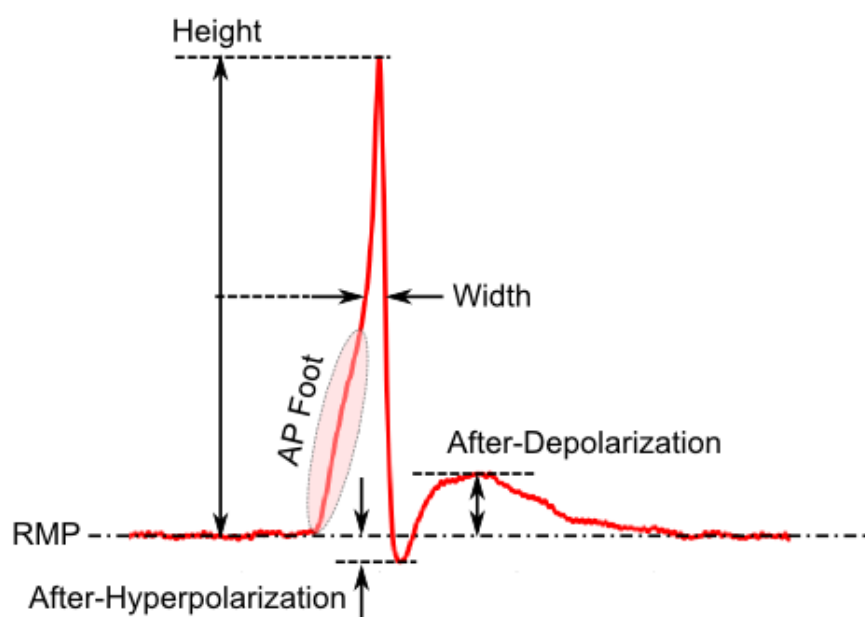
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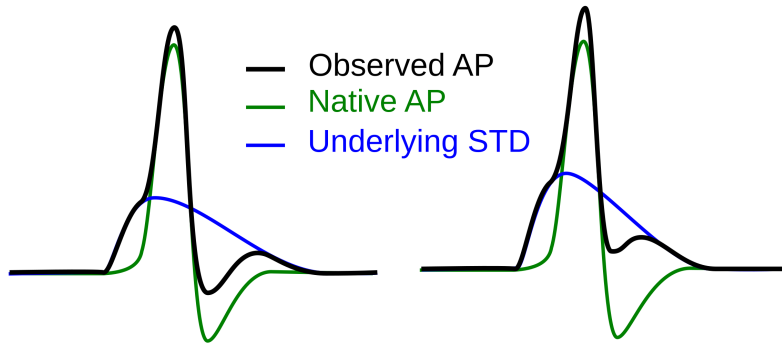
## FIGURE CAPTIONS



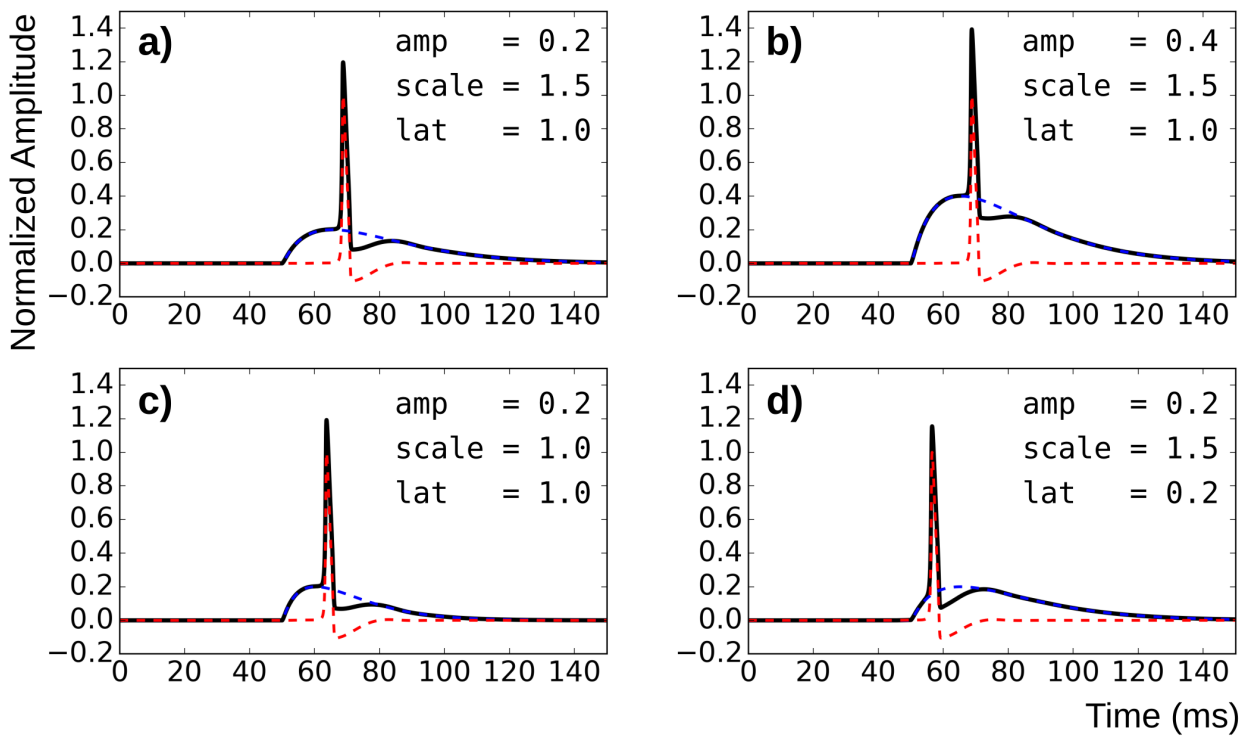
**Figure 1.** Diversity in action potentials observed in detrusor smooth muscle cells. The green traces in each panel represent different instances of APs having a similar profile. A typical AP shape belonging to each group is highlighted in red.



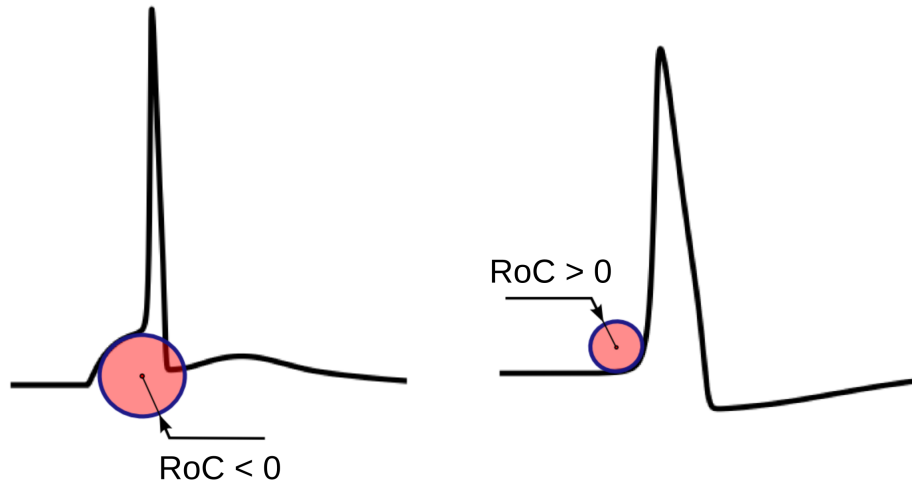
**Figure 2.** Parameters employed for quantification of AP profile



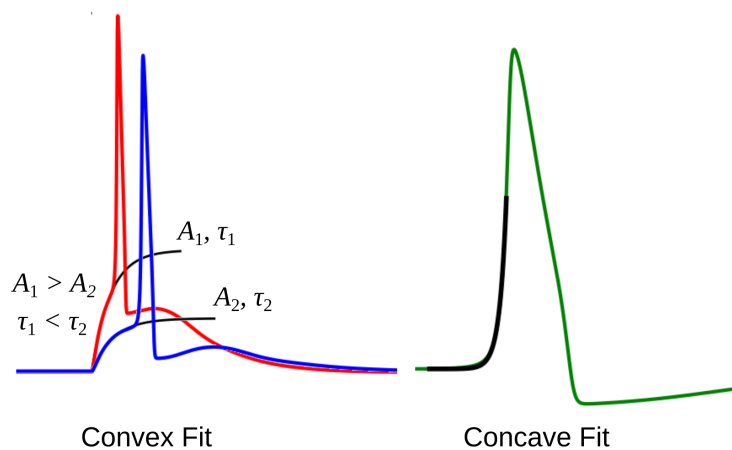
**Figure 3.** Hypothesis for the generation of sAPs involving the variable superposition of an underlying STD and a native AP profile



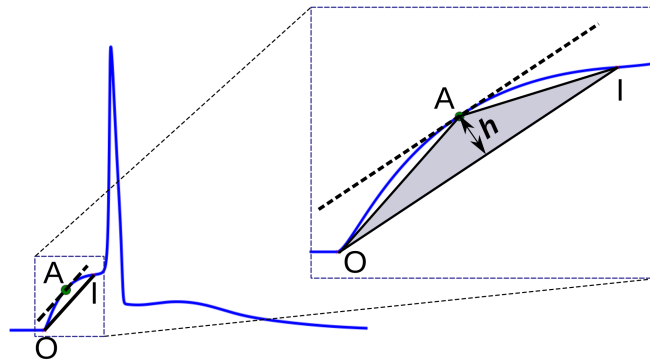
**Figure 4.** Method for generating test data by varying superposition of a modulated STD with an AP profile. a) reference panel, b) change in STD amplitude, c) change in STD time course, d) change in AP latency



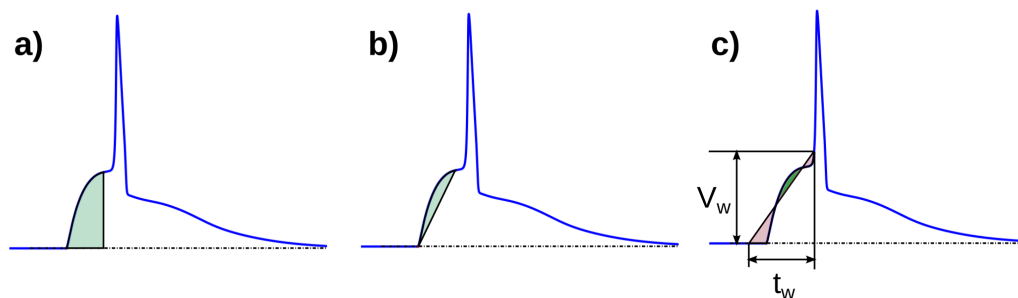
**Figure 5.** The physical significance of the Radius of Curvature (RoC). The RoC values measured at convex foot is negative and that in concave foot is positive. These signs are flipped to match the convention followed in other techniques.



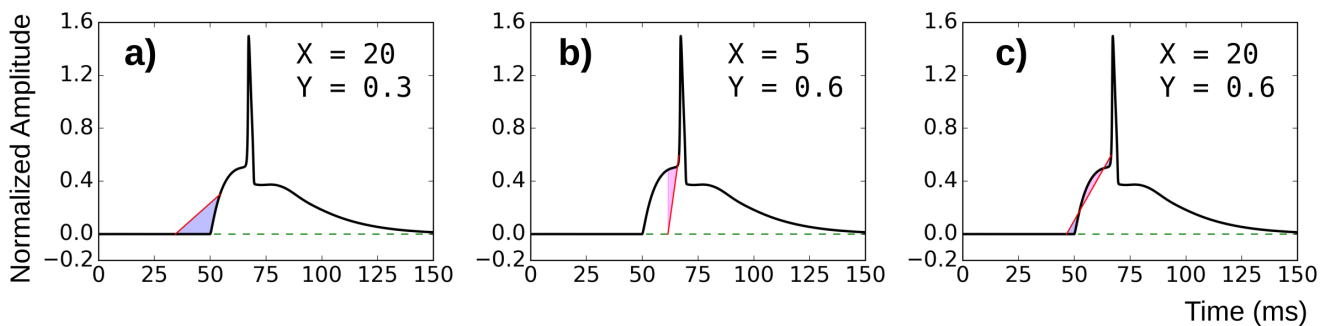
**Figure 6.** The exponential fit for two convex footed APs (left) and one concave footed AP (right). The comparison of the fitting parameters corresponding to the two convex foots are also shown. See text for details.



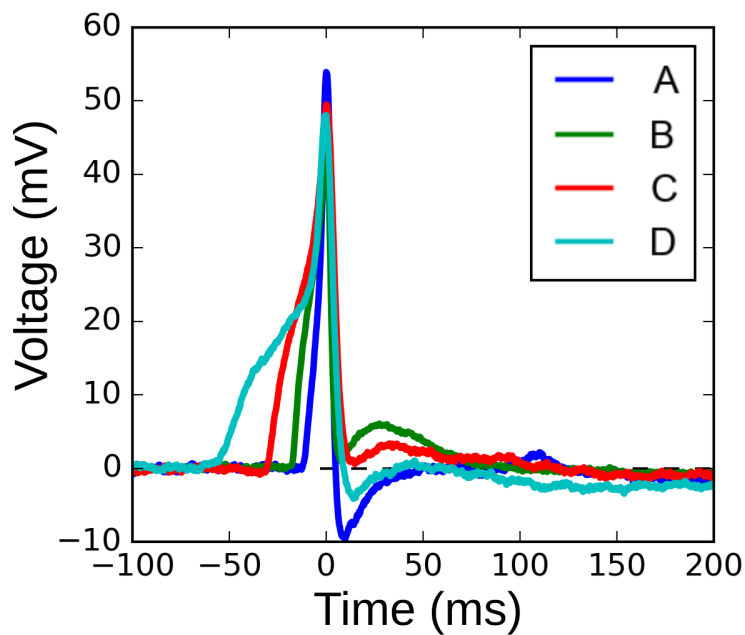
**Figure 7.** Convexity evaluation using triangulation altitude method. O and I represent the onset and end of the foot, respectively. Point A represents the apex of the triangle at which the tangent of the foot is parallel to the base OI of the triangle.  $h$  is the altitude used as a measure of foot convexity.



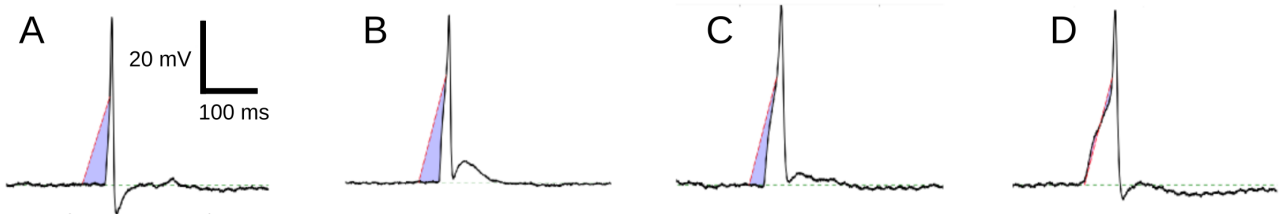
**Figure 8.** Different schemes of area based convexity measures: (a) Area under the foot. (b) Area between the foot and the line joining the foot onset and EOF, and (c) Area between the foot and a predefined line having width  $X$  and height  $Y$ . The areas shaded with green are measured positive and those shaded red are measured negative, For details see text.



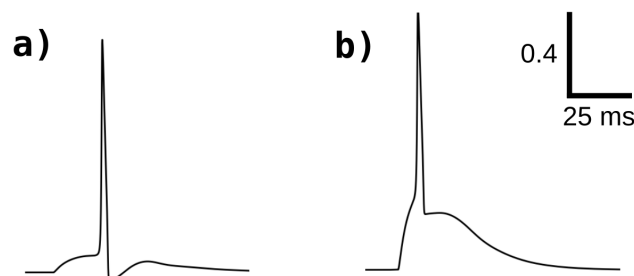
**Figure 9.** Choice of parameters  $X$  and  $Y$ . (a) and (b) are examples of inappropriate choices of  $x$  and  $y$ . (c) covers both the entire height and width of the AP foot and is consequently a good choice of parameter values.



**Figure 10.** Four instances of experimentally recorded action potentials from the mouse detrusor. The peaks have been aligned at  $t = 0$  ms.



**Figure 11.** Quantification of convexities of experimentally recorded APs. The  $C_{25,30}$  convexity measures of signals A, B, C and D are -630.98, -525.68, -366.16 and -4.69, respectively.



**Figure 12.** Comparison of convexity evaluation for  $C_{20,0.6}$  and  $C_{line}$ . For (a):  $C_{line} = 0.23$ ,  $C_{20,0.6} = -4.78$ ; for (b):  $C_{line} = 0.16$ ,  $C_{20,0.6} = -4.22$