

The neural basis of independence versus interdependence orientations

Wang, Fei; Peng, Kaiping; Chechlac, Magdalena; Humphreys, Glyn W.; Sui, Jie

DOI:

[10.1177/0956797616689079](https://doi.org/10.1177/0956797616689079)

License:

None: All rights reserved

Document Version

Peer reviewed version

Citation for published version (Harvard):

Wang, F, Peng, K, Chechlac, M, Humphreys, GW & Sui, J 2017, 'The neural basis of independence versus interdependence orientations: a voxel-based morphometric analysis of brain volume', *Psychological Science*, vol. 28, no. 4, pp. 519-529. <https://doi.org/10.1177/0956797616689079>

[Link to publication on Research at Birmingham portal](#)

Publisher Rights Statement:

Author's post-print on author's personal website, departmental website or institutional repository immediately.

General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

- Users may freely distribute the URL that is used to identify this publication.
- Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.
- User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?)
- Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

1 **The neural basis of orienting independence vs. interdependence: A voxel-based**
2 **morphometric analysis of brain volume**

3
4 Wang Fei^{1, 2}, Kaiping Peng¹, Magdalena Chechlacz³, Glyn W. Humphreys³, and Jie
5 Sui^{1, 4}

6
7 ¹Department of Psychology, Tsinghua University, Beijing, 100084, China

8 ²Department of Biomedical Engineering, School of Medicine, Tsinghua University,
9 Beijing, 100084, China

10 ³Department of Experimental Psychology, University of Oxford, OX1 3UD, UK

11 ⁴Department of Psychology, University of Bath, BA2 7AY, UK

12
13
14 Corresponding authors:

15 Jie Sui

16 j.sui@bath.ac.uk

17 Department of Psychology, University of Bath, BA2 7AY, UK

18
19 Kaiping Peng

20 pengkp@mail.tsinghua.edu.cn

21 Department of Psychology, Tsinghua University, Beijing, 100084, China

22
23 running head: CULTURAL ORIENTATIONS & BRAIN

25

Abstract

26 Social-cultural research has established *independence* and *interdependence* as two
27 fundamental ways of thinking about oneself and the social world. Recent
28 neuroscience studies further demonstrate that these orientations modulate brain
29 activity in various self- and socially-related tasks. In the current study, we explored
30 whether the traits of independence and interdependence are reflected in anatomical
31 variations in brain structure. We carried out structural brain imaging on a large sample
32 of healthy participants (n=265) who also completed self-report questionnaires of
33 cultural orientations. Voxel-based morphometry (VBM) analysis demonstrated that a
34 relative focus of independence (vs. interdependence) was associated with increased
35 gray matter volume (GMV) in a number of self-related regions, including the
36 ventro-medial prefrontal cortex (vmPFC), right dorsolateral prefrontal cortex
37 (DLPFC), and right rostralateral prefrontal cortex (RLPFC). These results provide
38 novel insights into the biological basis of social-cultural orientations.

39

40 **Keywords:** independence orientation, interdependence orientation, gray matter
41 volume, voxel-based morphometry

42

43 **Introduction**

44 People vary greatly in their ways of thinking about themselves and the social world
45 around them. There is now a great deal of cross-cultural research indicating that the
46 contrast between *independence vs interdependence* is an important dimension
47 distinguishing behaviors in different cultures and social contexts (Kitayama et al.,
48 2014; Markus & Kitayama, 1991)¹. Independence, most prominent in Western
49 cultures, is associated with an emphasis on personal agency and uniqueness from
50 others. In contrast, interdependence, most prominent in Eastern cultures, is associated
51 with an emphasis on the relations between people and with the maintenance of
52 collectivist values, emphasizing social harmony. The overarching
53 independence-interdependence dimension is linked to cultural differences in various
54 domains, (e.g. Carpenter, 2000; S. Kitayama, Duffy, Kawamura, & Larsen, 2003).
55 Furthermore, although the concept was initially developed from cross-cultural
56 research, subsequent studies indicate that independent vs. interdependent orientations
57 can also be treated as individual-level dispositional constructs within a single culture
58 (e.g. Cross & Madson, 1997), and they can be temporally manipulated by priming
59 (Gardner, Gabriel, & Lee, 1999).

60 With the emergence of social-cultural neuroscience in recent years, a growing
61 literature shows that independent vs. interdependent orientations modulate neural
62 activity in various tasks. For example, Zhu et al. (2007) found that, consistent with an

¹ In social psychology and cross-cultural psychology, various related terms has been used such as *independent-interdependent self-construals* or *individualism-collectivism*. In the current paper, following Kitayama et al. (2014), we use the term *independence-interdependence* to refer to these general orientations.

63 interdependent orientation towards incorporating close others into one's own
64 self-concept, Chinese participants showed greater overlap in their neural
65 representations of themselves and their mother, compared with Western participants.
66 This overlap was centered on the ventromedial prefrontal cortex (vmPFC), an area
67 typically associated with self judgments (Northoff et al., 2006; Sui, Rotshtein, &
68 Humphreys, 2013). Chiao et al. (2009) also found increased activity of the vmPFC
69 during general vs. contextual self-judgments for those scored relatively higher on
70 measures of independence vs. interdependence. Although these studies provide
71 valuable insight into the interaction of social-culture and brain, they are all functional
72 in nature. Previous research in voxel-based morphometry (VBM) has shown that
73 experience shapes the structure of the brain, and proficiency in a certain domain of
74 processing is typically associated with enlargement of relevant brain regions (May &
75 Gaser, 2006). As suggested by Kitayama & Tompson (2010), repeated engagement
76 with one's own culture may lead not only to functional changes in brain activity but
77 also to anatomical changes in anatomical structure. To date, there have been several
78 attempts to compare the brain structural characteristics of Easterners and Westerners.
79 For example, Kochunov and colleagues (2003) have reported that, compared to
80 English-speaking Caucasians, Chinese-speaking Asians had larger left middle frontal
81 gyrus, inferior middle temporal gyrus and right superior parietal lobule, but smaller
82 left superior parietal lobule. Chee and colleagues (2011) have also reported higher
83 cortical thickness and gray matter density in young Chinese Singaporean than in
84 young non-Asian Americans in a number of regions, including bilateral ventrolateral

85 and anterior medial prefrontal cortex, right supramarginal gyrus, superior parietal
86 lobule, and middle temporal gyrus. These studies shed new light on how culture may
87 shape the structural characteristics of the brain. However, these results were obtained
88 from cross-cultural comparisons and thus might be attributed to factors other than the
89 independence-interdependence orientations, such as other cultural values and
90 environmental factors.

91 Contrasting to prior work, in the present study we administered two widely-used
92 self-report measures of independent and interdependent orientations, namely
93 Singelis's (1994) Self-Construal Scale (SCS) and Singelis et al.'s (1995) Individualism
94 and Collectivism Scale (INDCOL), in a large sample of healthy Chinese participants,
95 and performed voxel-based morphometry (VBM) analysis to examine its anatomical
96 correlates of the profiles on these subjective measures. This study provided a direct
97 examination of the relations between brain structure and
98 independence-interdependence orientations.

99 Converging existing evidence from VBM and fMRI studies, we expect that
100 individuals showing a relative focus of independence would have enhanced brain
101 volume in the vmPFC. This hypothesis is in line with Chee et al.'s study (2011)
102 showing increased cortical thickness in the frontal regions in Americans than in
103 Singaporeans. However, it should be noted that cortical thickness and gray matter
104 volume are highly-correlated but separated measures (Hutton, Draganski, Ashburner,
105 & Weiskopf, 2009). This idea is also consistent with previous studies showing
106 increased activity in the vmPFC associated with stronger self-bias in cognition (Sui et

107 al., 2013). It has been argued that the vmPFC plays a central role in processing of
108 stimuli relevant to personal self (Northoff et al., 2006; Sui, 2016). Additional evidence
109 comes from neuropsychological studies demonstrating that the lesions in the vmPFC
110 result in impairments in self-referential memory (Philippi, Duff, Denburg, Tranel, &
111 Rudrauf, 2012) and in self matching where participants match shapes to labels
112 referring to the self and others (Sui, Enock, Ralph, & Humphreys, 2015). This
113 neuropsychological evidence suggests that the vmPFC may play a necessary role in
114 establishing and maintaining self-bias.

115

116 **Methods**

117 **Participants**

118 Data were obtained from two-hundred and sixty-five young and healthy Chinese
119 participants (128 females, age mean \pm SD = 23.01 \pm 2.69), all of whom were
120 undergraduate and graduate students recruited from nearby universities through online
121 advertisement. The participants were taking part in various neuroimaging studies, and
122 anatomical images of their brains were acquired as part of the scanning protocols.
123 Informed consent was obtained from all participants prior to the experiment according
124 to procedures approved by the local ethics committee. Data were accumulated during
125 December, 2011 to July, 2015, after which we decided that the sample size was
126 adequate for the research problem (approximately 90% statistical power for an effect
127 size of $r=.20$ at $p<.005$).

128 **Image Acquisition**

129 Participants were scanned via a 3.0T Philips Achieva 3.0T TX system with a
130 SENSE 8-channel head coil. A High-resolution T1-weighted image was acquired for
131 each participant with 160 contiguous sagittal slices of 1 mm thickness and 8° flip
132 angle. SENSE factor was 2/1.5 for AP/RL. Time of repetition was 8.2 ms and time of
133 echo was 3.8 ms. The acquisition matrix was $256 \times 256 \times 160$ with voxel size of
134 $0.938 \text{ mm} \times 0.938 \text{ mm} \times 1 \text{ mm}$.

135 **Measurement of Independence-interdependence Orientations**

136 After the scanning session, participants completed the following two widely-used
137 measures of trait independence-interdependence:

138 *Self-Construal Scale.* The Self-Construal Scale (SCS; Singelis, 1994) consists of
139 30 items, half of which measure independent self-construals (e.g. “*I do my own thing,*
140 *regardless of what others think*”), while the other half measure interdependent
141 self-construals (e.g. “*I will sacrifice my self interest for the benefit of the group I am*
142 *in*”). Participants rated the extent to which they agreed with each item using a
143 7-point Likert-like scale from 1= *strongly disagree* to 7=*strongly agree*. In this study,
144 the alpha coefficient for the independence and interdependence subscales were .75
145 and .75, respectively.

146 *Individualism and Collectivism Scale.* The Individualism and Collectivism Scale
147 (INDCOL; Singelis et al., 1995) consists of 32 items belong to four dimensions:
148 vertical individualism (VI, e.g. “*Winning is everything*”), horizontal individualism
149 (HI, e.g. “*I often do ‘my own thing’*”), vertical collectivism (VC, e.g. “*I hate to*
150 *disagree with others in my group*”), horizontal collectivism (HC, e.g. “*I like sharing*

151 *little things with my neighbors*”). Participants rated the extent to which they agreed
152 with each item using a 7-point Likert-like scale from 1= *strongly disagree* to
153 7=*strongly agree*. In this study, the alpha coefficient for VI, HI, VC, HC
154 were .69, .66, .65 and .70, respectively.

155 *Scores of Independence-Interdependence.* The independence and interdependence
156 orientations was initially proposed as a contrast between Eastern and Western cultures.
157 Later, there have been debates regarding whether they should be treated as a bipolar
158 dimension or two separate dimensions (Brewer & Chen, 2007; Oyserman, Coon, &
159 Kimmelmeier, 2002). In the field of cultural neuroscience, however, a great many of
160 the existing studies took the unidimensional approach by making contrast between
161 either Easterners and Westerners (e.g. Zhu et al., 2007) or participants primed with
162 different cultural mindset (e.g. Sui & Han, 2007), or by administering self-reported
163 measures and computing a composite score (e.g. Chiao et al., 2009).

164 Following Kitayama et al.’s (2014) recent work, we combine the unidimensional
165 approach with a factor analysis approach, calculating a composite score of
166 independence-interdependence through following steps. Firstly, we computed the
167 mean ratings of each subscale (independent self-construal, interdependent
168 self-construal, VI, HI, VC, HC) based on the two questionnaires. These six indexes
169 were then submitted to a factor analysis, extracting factors with the Principal Axis
170 Factoring (PAF) method and Oblimin rotation with Kaiser Normalization. Based on
171 Kaiser’s rule (dropping all components with eigenvalues under 1.0) and visual
172 inspection of the scree plot, we decided that a 2-factor solution was most appropriate.

173 As shown in Table 1, in this solution, factor 1 represented an interdependent
 174 orientation and factor 2 represented an independent orientation. Loadings of all
 175 indexes, with the exception of VI, were greater than .6 on the expected factor and
 176 lower than .3 on the other. VI's loadings on both factors were lower than .3. The
 177 regression-based factor score was computed for each factor. Finally, a composite
 178 factor score was derived by subtracting the score for factor 1 (the interdependence
 179 factor) from the score for factor 2 (the independence factor), such that higher score
 180 indicated more inclination towards independence relative to interdependence. This
 181 approach would allow us to control for the response bias to affirm cultural values
 182 (Kitayama et al., 2009). Furthermore, scores derived from factor analysis accounted
 183 for measurement errors and differentiated item weights, which helps to tackle the
 184 lingering issue of the poor validity of self-reported measures in the field of
 185 independence-interdependence (Brewer & Chen, 2007; Oyserman et al., 2002), thus
 186 providing an edge over raw scale scores. In addition, results using separate factors of
 187 independence-interdependence were also reported, and analyses using raw scores of
 188 independence-interdependence are shown in the Supplementary Materials.

189

190 **Table 1. Factor Loadings for six measures extracted from the Self-construal Scale**
 191 **and Individualism-collectivism Scale.**

	Factor 1	Factor 2
Interdependent Self-Construal	.88	-.02

Vertical Collectivism	.78	-.20
Horizontal Collectivism	.68	.18
Vertical Individualism	.24	.13
Independent Self-Construal	.09	.79
Horizontal Individualism	-.05	.63

192

193 **Image Pre-processing**

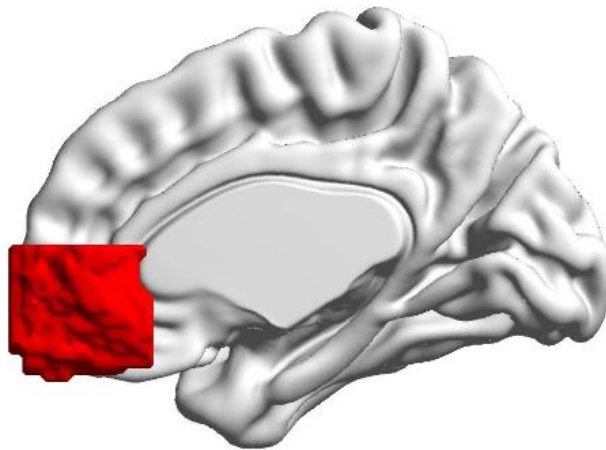
194 Images were pre-processed using SPM8 (Wellcome Department of Cognitive
195 Neurology, London, United Kingdom; www.fil.ion.ucl.ac.uk/spm). Participants' T-1
196 weighted images were examined individually, and the orientation and origin point
197 were manually adjusted to match the template for better registration. The adjusted
198 images were segmented into different tissue types, including gray matter, white matter,
199 and cerebrospinal fluid, using SPM8's 'New Segmentation' module. A study-specific
200 template of gray matter was created using the Diffeomorphic Anatomical Registration
201 through Exponential Lie (DARTEL) algorithm (Ashburner, 2007) implemented in
202 SPM8, and then affine-registered to the Montreal Neurological Institute (MNI) space.
203 Individual segmented gray matter images were non-linearly warped to match the
204 space of DARTEL template and were modulated to preserve gray matter volumes.
205 Finally, the modulated images were smoothed with a Gaussian kernel of FWHM =
206 4mm.

207 **Statistical Analysis**

208 Statistical analyses were performed on pre-processed gray matter images using
209 SPM8.

210 *ROI analysis.* An anatomical-defined mask of vmPFC was created using WFU
211 Pickaltas Toolbox by combining the IBASPM71 labels of the bilateral medial frontal
212 gyrus, cingulate region, and medial orbital-frontal gyrus, and then cropping to
213 $-15 < X < 15, Y > 3s0 \text{ \& } Z < 10$.

214



215

216 **Figure 1. Illustration of the anatomical mask of vmPFC, visualized with**
217 **BrainNet Viwer** (Xia, Wang, & He, 2013).

218 A voxel-wise generalized linear modeling (GLM) was performed within the mask
219 to identify regions whose GMV was significantly correlated with the composite score
220 of independence-interdependence, controlling for global GMV, gender and age. A
221 dichotomous covariate representing pre- and post-update was also included due to a
222 major update of the MRI scanner during the collection of the data. Statistical maps
223 were thresholded at $p_{ucorr} < .005$ and clusters were considered as significant if passing a

224 cluster-level threshold of $p < .05$ after familywise error correction using small-volume
225 correction (SVC). Furthermore, clusters passing a more liberal cluster-level threshold
226 of $p_{uncorr} < .05$ were considered as trending results, which were reported in detail in the
227 Supplementary Materials. Non-stationary extent correction (Hayasaka, Phan,
228 Liberzon, Worsley, & Nichols, 2004) was applied during calculation of the
229 cluster-level p -value to address the issue of non-isotropic smoothness in the VBM
230 data.

231 *Whole brain analyses.* To identify other regions where GMV correlated with the
232 independence-interdependence scores, a similar GLM was performed across the
233 whole-brain. A sample-specific gray matter mask was created using the automatic
234 optimal-thresholding method implemented in the masking toolbox in SPM8
235 (<http://www0.cs.ucl.ac.uk/staff/g.ridgway/masking/>). This approach has been shown
236 to be superior in reducing the risk of false negatives relative to other commonly used
237 approaches such as absolute or relative threshold masking (Ridgway et al., 2009).
238 Statistical maps were again thresholded at $p_{ucorr} < .005$ and clusters were considered as
239 significant if passing a cluster-level threshold of $p < .05$ after familywise error
240 correction. Furthermore, clusters passing a more liberal cluster-level threshold of
241 $p_{uncorr} < .05$ were reported as trending results, which were reported in detail in the
242 Supplementary Materials. Non-stationary extent correction was applied during
243 calculation of the cluster-level p -value.

244 Scatter plots were also created for each significant cluster for demonstrating
245 purpose, in which correlation coefficients were calculated using the

246 independence-interdependence scores and the peak GMW of the clusters adjusted for
 247 global GMW, gender and age.

248 The above analyses were performed again using the independence and
 249 interdependence factors as separate predictors in the GLMs. Contrasts for the two
 250 factors were examined separately.

251

252 **Results**

253 **Demographics and Self-report Measures**

254 Table 2 presents descriptive statistics of demographics and self-report measures.
 255 There was no significant gender difference for the independence-interdependence
 256 scores, $t(263) = -0.43, p = .66$.

257

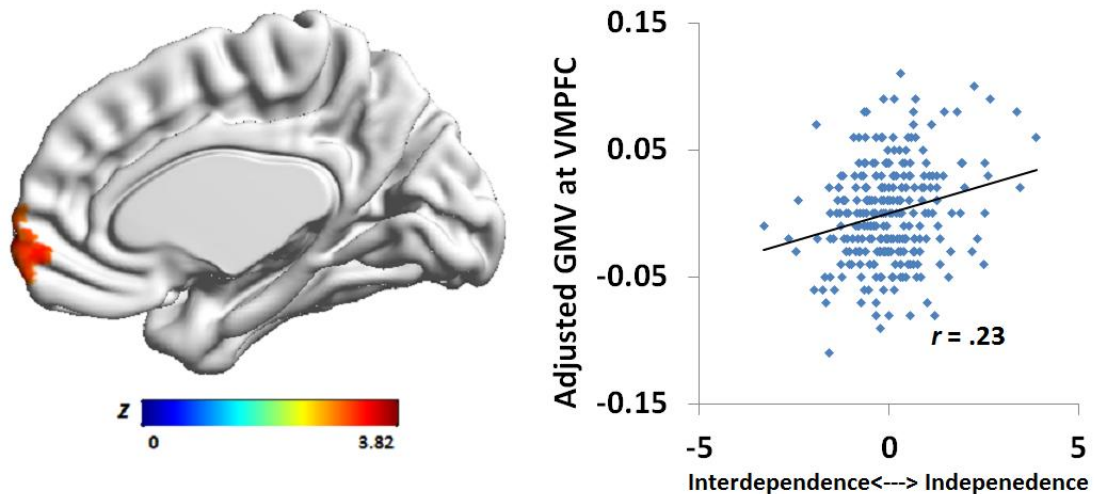
258 **Table 2. Descriptive statistics of demographics and self-report data**

	Total	Male	Female
	(n=265)	(n=137)	(n=128)
Age	23.01	23.57	22.41
	±2.69	±2.45	±2.82
Independence-Interdependence	0.00	-0.001	0.001
Score	±1.04	±0.91	±0.89

259

260 **VBM Results – Composite Score**

261 **ROI analysis.** Within the vmPFC mask, a cluster was identified as having GMV
 262 significantly positively correlated with trait independence, $k = 195$, BA10, $p_{FWE} = .04$
 263 at a cluster level; peaking at [6 69 -18], $Z = 3.82$ (**Figure 2**). The stronger the
 264 orientation to independence, the larger the size of GMV in the vmPFC.



265
 266 **Figure 2.** A clusters within the VMPFC mask showing significant positive
 267 correlations between gray matter volume (GMV) and trait independence
 268 (independence-interdependence) ($p_{FWE} < .05$ at a cluster level after small volume
 269 correction). (Statistical map was thresholded at $p_{uncorr} < .005$ voxel-wise).

270
 271 **Whole brain analyses.** Whole-brain VBM results are presented in **Table 3-5** and
 272 **Figure 3 & 4**. The analysis showed that the independence-interdependence score was
 273 positively correlated with the GMV in the right DLPFC ($k = 427$, BA 9/10/46, p_{FWE}
 274 $= .02$ at cluster level; peaking at [48 42 21], $Z = 4.66$) and right rostralateral prefrontal
 275 cortex (RLPFC, $k = 351$, BA 10, $p_{FWE} = .02$ at cluster level; peaking at [31.5 63 -3], Z
 276 $= 4.64$) (**Figure 3, Table 3**). More the greater trait independence, the larger the GMV
 277 found in the right DLPFC and RLPFC. In addition, five clusters showed trends for

278 positive correlations (**Figure S1, Panel A; Table S3**): left DLPFC, right fusiform and
 279 inferior temporal gyrus, VMPFC, left temporoparietal junction (TPJ) including
 280 superior, middle temporal and postcentral gyrus, and another cluster at right DLPFC.

281 For trait interdependence, two clusters were found covering the bilateral calcarine
 282 sulcus extending to the lingual gyrus and precuneus (**Figure S1, Panel B; Table S4**),
 283 and these both showed trends for negative correlations with the
 284 independence-interdependence score.

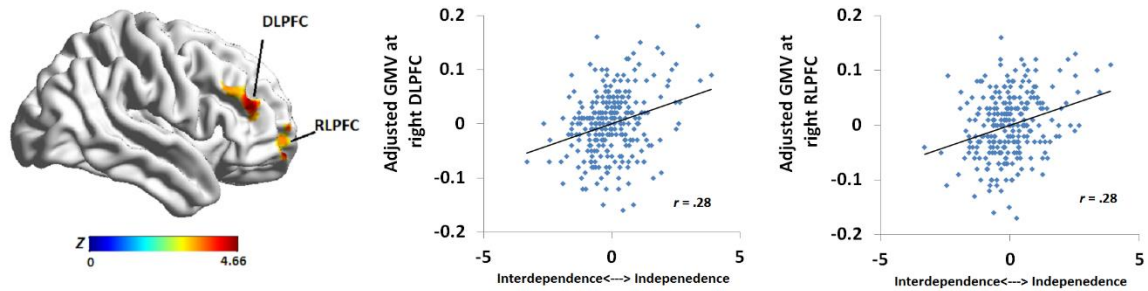
285

286 **Table 3. Regions with gray matter volume (GMV) significantly correlated with**
 287 **trait independence (independence-interdependence) in a whole-brain analysis.**

Regions	Side	BA	Cluster		Peak			
			<i>k</i>	<i>Volume</i> (mm ³)	<i>x</i>	<i>y</i>	<i>z</i>	<i>Z-value</i>
(+) DLPFC	R	9/10/46	427	1441 mm ³	48	42	21	4.66
(+) RLPFC	R	10	351	1185 mm ³	31.5	63	-3	4.64

288 Note. + represents positive correlations between GMV and independence orientation
 289 (independence-interdependence); DLPFC=dorsolateral prefrontal cortex;
 290 RLPFC=rostrolateral prefrontal cortex. Statistical maps were thresholded at
 291 $p_{uncorr} < .005$; all clusters were $p_{FWE} < .05$ at cluster level.

292



293

294 **Figure 3. Two clusters within right DLPFC and RLPFC showed significant**
 295 **positive correlations between gray matter volume (GMV) and trait independence**
 296 **(independence-interdependence) ($p_{FWE} < .05$ at cluster level) (Statistical maps**
 297 **were thresholded at $p_{uncorr} < .005$, $k > 300$).**

298

299 **VBM Results – Separate Factor Scores**

300 **ROI analysis.** No cluster was found with significant or trending positive or
 301 negative correlation with regional GMV for either the independence or
 302 interdependence factor score.

303 **Whole brain analysis.** For the independence factor score, no cluster was found
 304 with significant positive or negative correlation with regional GMV, but four clusters
 305 showed trending positive correlations: a cluster covering middle occipital gyrus, a
 306 cluster covering left TPJ including the superior temporal and postcentral gyrus, a
 307 cluster covering right fusiform gyrus, and a cluster covering left DLPFC (see
 308 Supplementary Materials for details). Furthermore, a cluster at right posterior superior
 309 frontal gyrus showed trending negative correlation. For the interdependence factor
 310 score, a cluster covering left calcarine sulcus extending to the lingual gyrus and
 311 precuneus showed significantly positive correlation ($k = 893$, BA 18/30, $p_{FWE} = .04$ at

312 cluster level; peaking at [-10.5 -63 6], $Z = 4.37$). Additionally, a cluster covering right
 313 calcarine sulcus, a cluster covering right cerebellum, and a cluster covering left
 314 supramarginal gyrus showed trending positive correlations. Three clusters showed
 315 significant negative correlations: two clusters covering bilateral DLPFC (right: $k =$
 316 404, BA 9/10/46, $p_{FWE} = .02$ at cluster level; peaking at [52.5 27 27], $Z = 4.86$; left: $k =$
 317 390, BA 10/46, $p_{uncorr} = .01$ at cluster level; peaking at [-46.5 36 18], $Z = 4.71$) and
 318 one cluster covering right RLPFC ($k = 393$, BA 10, $p_{FWE} = .01$ at cluster level; peaking
 319 at [28.5 60 -9], $Z = 4.61$). Two additional clusters were identified as showing trending
 320 negative correlations: a cluster covering left medial frontal gyrus, middle cingulate
 321 cortex, and supplementary motor area, and a cluster covering left DLPFC.

322

323 **Inter-correlations of regional GMVs between the vmPFC and other regions, and**
 324 **the mediating role of independence-interdependence.**

325 Table 4 presents the partial inter-correlations among GMVs at peak coordinates
 326 of the vmPFC and other clusters, controlling for global GMV, gender and age. GMV
 327 of the vmPFC was positively correlated with bilateral DLPFC, right RLPFC and right
 328 fusiform gyrus, and negatively correlated with left Calcarine, $|r/s| > .12$, $ps < .05$.

329

330 **Table 4. Inter-correlations among regional GMVs (controlling for global GMV,**
 331 **gender, age, and wave).**

	2	3	4	5	6	7	8	9
1.vmPFC	.13*	.25**	.19**	.13*	.05	.04	-.17**	-.08
2.Right DLPFC		.26**	.30**	.06	-.05	.25**	-.09	-.02

3.Right RLPFC	.22**	.10	-.01	.20**	-.04	-.07
4.Left DLPFC		.05	-.04	.23**	.02	-.02
5.Right fusiform			<i>.11</i>	.10	-.08	-.09
6.Left postcentral				-.07	-.09	-.17**
7.Right DLPFC 2					-.14*	-.15*
8.Left Calcarine						.51**
9.Right Calcarine						

332 Note. **= $p < .01$; *= $p < .05$; italic represents marginally significance ($p < .10$).

333

334 Discussion

335 As predicted, individuals expressing greater relative focus of independence was
336 associated with greater GMV in the vmPFC. Enlargement of a brain region is usually
337 linked to proficiency in the relevant processing domain (May & Gaser, 2006). For the
338 vmPFC, previous functional neuroimaging studies have shown that it serves a critical
339 role in self-related processing in a range of tasks (Sui, 2016), including perceptual
340 matching (Sui et al., 2013), self-referential thinking and memory (Northoff et al.,
341 2006), and that the activity in the vmPFC evoked by self-related processing is
342 enhanced in individuals from independence-focused cultures relative to those from
343 interdependent-focused cultures (e.g. Chiao et al., 2009, 2010; Sui & Han, 2007; Zhu
344 et al., 2007). Therefore, our result is consistent with the theoretical view that trait
345 independence (v.s. interdependence) focuses more on personal self (Markus &
346 Kitayama, 1991) and provided novel evidence showing that such broad social-cultural
347 orientations are also reflected in anatomical features of the brain.

348 Besides the hypothesized vmPFC, we further found that
349 independence-interdependence was significantly correlated with GMV in the right
350 DLPFC and RLPFC. The DLPFC has been argued to play a crucial role in creating
351 and maintaining a sense of self-agency (e.g. Fink et al., 1999). On this view then,
352 increased GMV in the DLPFC linked to trait independence is consistent with more
353 independent individuals having a greater drive for personal agency (Shinobu
354 Kitayama & Uchida, 2005). The function of the RLPFC is even less well-understood
355 (Gilbert et al., 2006); however, there are reports that the RLPFC is involved in
356 processing self-generated information (Christoff, Ream, Geddes, & Gabrieli, 2003)
357 and self-referential processing during retrieval from episodic memory (Sajonz et al.,
358 2010). It is possible then that the tendency of independently oriented people to focus
359 on the inner self (Markus & Kitayama, 1991) results in increased GMV in the RLPFC.
360 In sum, the results in the whole-brain analysis can also be explained through the
361 personal self account.

362 Interestingly, we also found that the GMV of the vmPFC was positively
363 correlated with the GMV of the bilateral DLPFC. These results are in line with the
364 theory of Self-Attention Network (Humphreys & Sui, 2015) which proposed that the
365 functional coupling between the vmPFC and the DLPFC is linked to participants
366 having to effect greater attentional control over biases to self-related stimuli compared
367 with other stimuli. This idea is also supported by Northoff (2015), who suggests that
368 these functional neural couplings reflect the interaction between internal
369 self-specificity and external stimuli. Based on this theory, the current results can be

370 interpreted as people with a relative focus of independence have strengthened
371 self-attention network. Future work might focus on the relationship between
372 independence-interdependence and the functional coupling between vmPFC and
373 DLPFC using the resting-state network or self-related tasks.

374 Beyond these significant results, some regions further showed trending results.
375 For example, we found increased GMV in relation to trait independence in the right
376 fusiform gyrus, which is a key region in processing faces, and right fusiform is
377 especially sensitive to self-face identity (Ma & Han, 2012). Furthermore, Sui,
378 Chechlacz and Humphreys (2015) found that reduced GMV in the right fusiform
379 cortex of neuropsychological patients was associated with reduced self-bias; these
380 authors proposed that these regions contained self-related memories. In contrast, a
381 relative focus of interdependence was associated with increased GMV bilaterally in
382 the calcarine sulcus extending to lingual gyrus. As a visual region, the results of this
383 area might be linked with previous studies showing that people with interdependence
384 focus (e.g. East Asians) and independence focus (e.g. Westerners) are different in their
385 scope of visual attention, such that East Asians are more likely to perceive visual
386 scene as a whole and their attention is more evenly distributed between objects and
387 background (Nisbett et al., 2001). However, it should be noted that these results were
388 significant only at trending level. Future research may clarify these relationships by
389 examining the relationship between independence-interdependence and the activity of
390 these regions when performing the related behavioral tasks (e.g. a face processing task
391 for the fusiform gyrus, or an attention task for the calcarine).

392 When the independence and interdependence orientations were examined
393 separately, most of the significant results re-emerged for the interdependence score,
394 and a cluster in the calcarine, which was a trending region in the unidimensional
395 analysis, also reached significance, while the independence score only yielded
396 trending results. The pattern of weaker results for the independence score has also
397 been observed in Ray et al. (2009), in which only interdependent self-construal, but
398 not independent self-construal, predicts MPFC and PCC's relative activations in
399 self-referential vs. mother-referential judgment. One possibility is that the
400 self-reported measures for independence may be noisier. For example, in Ray et al.
401 (2009), the independent subscale had an alpha of .53, and in our study the VI subscale
402 loaded poorly on both factors, leaving only two indicators for the independence factor.
403 Although the independence-interdependence orientations were initially proposed as a
404 contrast between Eastern and Western cultures, there have been debates on whether
405 independence and interdependence should be treated as one bipolar dimension or two
406 separate construals (Brewer & Chen, 2007; Oyserman et al., 2002). Nevertheless, our
407 results are in line with previous cultural neuroscience studies which dominantly took a
408 unidimensional approach and reported the links between the relative focus of
409 independence and activities of self-related regions. Also, using relative score could
410 control for the response bias artifacts of affirming cultural values, thus leading to a
411 clearer result.

412 One limitation of the current study is that the analyses are correlational in nature,
413 and a longitudinal design is needed to determine the causal direction between

414 independent and interdependent traits and changes in brain structure. What's more,
415 the results in the present study may also reflect the influences of environmental or
416 genetic factors. Recently there is emerging evidence for the correlations between the
417 independence-interdependence orientations and certain genotypes (e.g. Chiao &
418 Blizinsky, 2010). Future research could pursue to establish the link of
419 gene-brain-culture. Furthermore, our approach of treating
420 independence-interdependence as individual difference variable within a single
421 culture, while allowing us to control for confounds such as language, might also limit
422 the range of distribution of the traits in our sample. Clearly a cross-cultural analysis
423 would be helpful to test this. Actually, some of the regions reported here were also
424 identified in Chee et al.'s (2011) comparison between young Easterners and
425 Westerners. Nevertheless, our results provide novel evidence that there are anatomical
426 variations of brain structure underlying the social-cultural orientations of
427 independence-interdependence, even within a single culture.

428

429 **Acknowledgements**

430 This work was supported by the National Nature Science Foundation of China
431 (31371017 & 31471001), Economic and Social Research Council (UK,
432 ES/K013424/1), and Tsinghua University Foundation.

433 **Author Contributions**

434 F. Wang developed the study concept and design with K. Peng and J. Sui. Data
 435 collection were performed by teams from K. Peng and J. Sui's laboratory. F. Wang
 436 performed the data analysis and interpretation under the supervision of J. Sui. F. Wang
 437 drafted the manuscript. All authors contributed to discussion of the manuscript. J. Sui
 438 and G. Humphreys provided critical revisions. All authors approved the final version
 439 of the manuscript for submission.

440

441 **References**

- 442 Ashburner, J. (2007). A fast diffeomorphic image registration algorithm. *NeuroImage*,
 443 38(1), 95–113. <http://doi.org/10.1016/j.neuroimage.2007.07.007>
- 444 Brewer, M. B., & Chen, Y.-R. (2007). Where (who) are collectives in collectivism?
 445 Toward conceptual clarification of individualism and collectivism. *Psychological*
 446 *Review*, 114(1), 133–51. <http://doi.org/10.1037/0033-295X.114.1.133>
- 447 Buckner, R. L., Andrews-Hanna, J. R., & Schacter, D. L. (2008). The brain's default
 448 network: anatomy, function, and relevance to disease. *Annals of the New York*
 449 *Academy of Sciences*, 1124, 1–38. <http://doi.org/10.1196/annals.1440.011>
- 450 Carpenter, S. (2000). Effects of Cultural Tightness and Collectivism on Self-Concept
 451 and Causal Attributions. *Cross-Cultural Research*, 34(1), 38–56.
 452 <http://doi.org/10.1177/106939710003400103>
- 453 Chee, M. W. L., Zheng, H., Oon, J., Goh, S., Park, D., & Sutton, B. P. (2011). Brain
 454 Structure in Young and Old East Asians and Westerners : Comparisons of
 455 Structural Volume and Cortical Thickness. *Journal of Cognitive Neuroscience*,
 456 23(5), 1065–1079. <http://doi.org/10.1162/jocn.2010.21513>
- 457 Chiao, J. Y., & Blizinsky, K. D. (2010). Culture-gene coevolution of
 458 individualism-collectivism and the serotonin transporter gene. *Proceedings.*
 459 *Biological Sciences / The Royal Society*, 277(1681), 529–537.
 460 <http://doi.org/10.1098/rspb.2009.1650>
- 461 Chiao, J. Y., Harada, T., Komeda, H., Li, Z., Mano, Y., Saito, D., ... Iidaka, T. (2009).
 462 Neural basis of individualistic and collectivistic views of self. *Human Brain*
 463 *Mapping*, 30(9), 2813–20. <http://doi.org/10.1002/hbm.20707>
- 464 Chiao, J. Y., Harada, T., Komeda, H., Li, Z., Mano, Y., Saito, D., ... Iidaka, T. (2010).

- 465 Dynamic cultural influences on neural representations of the self. *Journal of*
466 *Cognitive Neuroscience*, 22(1), 1–11. <http://doi.org/10.1162/jocn.2009.21192>
- 467 Christoff, K., Ream, J. M., Geddes, L. P. T., & Gabrieli, J. D. E. (2003). Evaluating
468 self-generated information: anterior prefrontal contributions to human cognition.
469 *Behavioral Neuroscience*, 117(6), 1161–8.
470 <http://doi.org/10.1037/0735-7044.117.6.1161>
- 471 Cross, S. E., & Madson, L. (1997). Models of the self: self-construals and gender.
472 *Psychological Bulletin*, 122(1), 5–37. Retrieved from
473 <http://www.ncbi.nlm.nih.gov/pubmed/9204777>
- 474 Fink, G. R., Marshall, J. C., Halligan, P. W., Frith, C. D., Driver, J., Frackowiak, R. S.,
475 & Dolan, R. J. (1999). The neural consequences of conflict between intention
476 and the senses. *Brain : A Journal of Neurology*, 122 (Pt 3), 497–512. Retrieved
477 from <http://www.ncbi.nlm.nih.gov/pubmed/10094258>
- 478 Gardner, W. L., Gabriel, S., & Lee, A. Y. (1999). “I” Value Freedom, but “We”
479 Value Relationships: Self-Construal Priming Mirrors Cultural Differences in
480 Judgment. *Psychological Science*, 10(4), 321–326.
481 <http://doi.org/10.1111/1467-9280.00162>
- 482 Gilbert, S. J., Spengler, S., Simons, J. S., Steele, J. D., Lawrie, S. M., Frith, C. D., &
483 Burgess, P. W. (2006). Functional specialization within rostral prefrontal cortex
484 (area 10): a meta-analysis. *Journal of Cognitive Neuroscience*, 18(6), 932–48.
485 <http://doi.org/10.1162/jocn.2006.18.6.932>
- 486 Hayasaka, S., Phan, K. L., Liberzon, I., Worsley, K. J., & Nichols, T. E. (2004).
487 Nonstationary cluster-size inference with random field and permutation methods.
488 *NeuroImage*, 22(2), 676–87. <http://doi.org/10.1016/j.neuroimage.2004.01.041>
- 489 Humphreys, G. W., & Sui, J. (2015). Attentional control and the self: The Self
490 Attention Network (SAN). *Cognitive Neuroscience*, (June), 150506074704000.
491 <http://doi.org/10.1080/17588928.2015.1044427>
- 492 Hutton, C., Draganski, B., Ashburner, J., & Weiskopf, N. (2009). A comparison
493 between voxel-based cortical thickness and voxel-based morphometry in normal
494 aging. *NeuroImage*, 48(2), 371–380.
495 <http://doi.org/10.1016/j.neuroimage.2009.06.043>
- 496 Kitayama, S., Duffy, S., Kawamura, T., & Larsen, J. T. (2003). Perceiving an Object
497 and Its Context in Different Cultures: A Cultural Look at New Look.
498 *Psychological Science*, 14(3), 201–206. <http://doi.org/10.1111/1467-9280.02432>
- 499 Kitayama, S., King, A., Yoon, C., Tompson, S., Huff, S., & Liberzon, I. (2014). The
500 dopamine D4 receptor gene (DRD4) moderates cultural difference in
501 independent versus interdependent social orientation. *Psychological Science*,
502 25(6), 1169–77. <http://doi.org/10.1177/0956797614528338>
- 503 Kitayama, S., & Tompson, S. (2010). Envisioning the future of cultural neuroscience.
504 *Asian Journal of Social Psychology*, 13, 92–101.
505 <http://doi.org/10.1111/j.1467-839X.2010.01304.x>

- 506 Kitayama, S., & Uchida, Y. (2005). Interdependent Agency: An Alternative System
507 for Action. In *Cultural and social behavior: The Ontario Symposium, Vol 10*. (pp.
508 137–164).
- 509 Kochunov, P., Fox, P., Lancaster, J., Tan, L. H., Amunts, K., Zilles, K., ... Gao, J. H.
510 (2003). Localized morphological brain differences between English-speaking
511 Caucasians and Chinese-speaking Asians: new evidence of anatomical plasticity.
512 *Neuroreport, 14*(7), 961–964.
513 <http://doi.org/10.1097/01.wnr.0000075417.59944.00>
- 514 Markus, H. R., & Kitayama, S. (1991). Culture and the self: Implications for
515 cognition, emotion, and motivation. *Psychological Review, 98*(2), 224–253.
- 516 May, A., & Gaser, C. (2006). Magnetic resonance-based morphometry: a window into
517 structural plasticity of the brain. *Curr Opin Neurol, 19*(4), 407–411.
518 <http://doi.org/10.1097/01.wco.0000236622.91495.21>
- 519 Northoff, G. (2015). Is the self a higher-order or fundamental function of the brain?
520 The “basis model of self-specificity” and its encoding by the brain’s spontaneous
521 activity. *Cognitive Neuroscience, 8928*(January 2016), 17588928.2015.1111868.
522 <http://doi.org/10.1080/17588928.2015.1111868>
- 523 Northoff, G., Heinzl, A., de Greck, M., Bermpohl, F., Dobrowolny, H., & Panksepp,
524 J. (2006). Self-referential processing in our brain--a meta-analysis of imaging
525 studies on the self. *NeuroImage, 31*(1), 440–57.
526 <http://doi.org/10.1016/j.neuroimage.2005.12.002>
- 527 Oyserman, D., Coon, H. M., & Kemmelmeier, M. (2002). Rethinking individualism
528 and collectivism: evaluation of theoretical assumptions and meta-analyses.
529 *Psychological Bulletin, 128*(1), 3–72. <http://doi.org/10.1037/0033-2909.128.1.3>
- 530 Philippi, C. L., Duff, M. C., Denburg, N. L., Tranel, D., & Rudrauf, D. (2012). Medial
531 PFC damage abolishes the self-reference effect. *Journal of Cognitive
532 Neuroscience, 24*(2), 475–81. http://doi.org/10.1162/jocn_a_00138
- 533 Raichle, M. E., MacLeod, A. M., Snyder, A. Z., Powers, W. J., Gusnard, D. A., &
534 Shulman, G. L. (2001). A default mode of brain function. *Proceedings of the
535 National Academy of Sciences of the United States of America, 98*(2), 676–82.
536 <http://doi.org/10.1073/pnas.98.2.676>
- 537 Ray, R. D., Shelton, A. L., Hollon, N. G., Matsumoto, D., Frankel, C. B., Gross, J. J.,
538 & Gabrieli, J. D. E. (2009). Interdependent self-construal and neural
539 representations of self and mother. *Social Cognitive and Affective Neuroscience,*
540 *5*(2-3), 318–323. <http://doi.org/10.1093/scan/nsp039>
- 541 Ridgway, G. R., Omar, R., Ourselin, S., Hill, D. L. G., Warren, J. D., & Fox, N. C.
542 (2009). Issues with threshold masking in voxel-based morphometry of atrophied
543 brains. *NeuroImage, 44*(1), 99–111.
544 <http://doi.org/10.1016/j.neuroimage.2008.08.045>
- 545 Sajonz, B., Kahnt, T., Margulies, D. S., Park, S. Q., Wittmann, A., Stoy, M., ...
546 Bermpohl, F. (2010). Delineating self-referential processing from episodic

- 547 memory retrieval: common and dissociable networks. *NeuroImage*, 50(4), 1606–
548 17. <http://doi.org/10.1016/j.neuroimage.2010.01.087>
- 549 Singelis, T. M. (1994). The Measurement of Independent and Interdependent
550 Self-Construals. *Personality and Social Psychology Bulletin*, 20(5), 580–591.
551 <http://doi.org/10.1177/0146167294205014>
- 552 Singelis, T. M., Triandis, H. C., Bhawuk, D. P. S., & Gelfand, M. J. (1995).
553 Horizontal and Vertical Dimensions of Individualism and Collectivism: A
554 Theoretical and Measurement Refinement. *Cross-Cultural Research*, 29(3), 240–
555 275. <http://doi.org/10.1177/106939719502900302>
- 556 Sui, J. (2016). Self-Reference Acts as a Golden Thread in Binding. *Trends in*
557 *Cognitive Sciences*. <http://doi.org/10.1016/j.tics.2016.04.005>
- 558 Sui, J., Chechlacz, M., Rotshtein, P., & Humphreys, G. W. (2015). Lesion-Symptom
559 Mapping of Self-Prioritization in Explicit Face Categorization: Distinguishing
560 Hypo- and Hyper-Self-Biases. *Cerebral Cortex*, 25, 374–383.
561 <http://doi.org/10.1093/cercor/bht233>
- 562 Sui, J., Enock, F., Ralph, J., & Humphreys, G. W. (2015). Dissociating hyper and
563 hypoself biases to a core self-representation. *Cortex*.
564 <http://doi.org/10.1016/j.cortex.2015.04.024>
- 565 Sui, J., & Han, S. (2007). Self-construal priming modulates neural substrates of
566 self-awareness. *Psychological Science*, 18(10), 861–6. Retrieved from
567 <http://pss.sagepub.com/content/18/10/861.full>
- 568 Sui, J., Rotshtein, P., & Humphreys, G. W. (2013). Coupling social attention to the
569 self forms a network for personal significance. *Proceedings of the National*
570 *Academy of Sciences of the United States of America*, 110(19), 7607–12.
571 <http://doi.org/10.1073/pnas.1221862110>
- 572 Xia, M., Wang, J., & He, Y. (2013). BrainNet Viewer: A Network Visualization Tool
573 for Human Brain Connectomics. *PLoS ONE*, 8(7).
574 <http://doi.org/10.1371/journal.pone.0068910>
- 575 Zhu, Y., Zhang, L., Fan, J., & Han, S. (2007). Neural basis of cultural influence on
576 self-representation. *NeuroImage*, 34(3), 1310–6.
577 <http://doi.org/10.1016/j.neuroimage.2006.08.047>

578