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## Effects of Sulfate Modification of Stoichiometric and Lithium-Rich LiNiO2 Cathode Materials

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## Effects of Sulfate Modification of Stoichiometric online and Lithium-Rich LiNiO<sub>2</sub> Cathode Materials

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#### **Abstract**

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Lithium nickel oxide, LiNiO<sub>2</sub>, has attracted considerable interest as a high energy cathode for next generation lithium-ion batteries. Nevertheless, shortcomings such as significant cycling capacity decay and low stability in ambient atmosphere have hindered its practical application, and consequently most work has focused on the more stable Mn and Co doped analogues Li(Ni,Mn,Co)O<sub>2</sub>. Here, we report an investigation of an alternative strategy, sulfate modification, in the LiNiO<sub>2</sub> system. We show that improved performance can be achieved, attributed to the dual effect of a low level of bulk doping and the presence of a self-passivation Li<sub>2</sub>SO<sub>4</sub> layer formed beyond the solid solution limit. Ab initio simulations suggest that the behavior is similar to that of other high valent dopants such as W and Mo. These dual effects contribute to the improved air stability and enhanced electrochemical performance for the sulfate modified lithium-rich LiNiO<sub>2</sub>, leading to high initial capacities (~245 mAhg<sup>-1</sup> at 25 mA/g, and ~205 mAhg<sup>-1</sup> at 100 mA/g) and better capacity retention. Overall, the results show that polyanion modification represents an excellent alternative low-cost strategy to improve the performance of lithium nickel oxide cathode materials.

#### 1. Introduction View Article Online DOI: 10.1039/D4TA00284A

Lithium-ion batteries (LIBs) dominate the energy storage market for portable electronics and electrical vehicles (EVs) due to their high energy densities<sup>1-4</sup>. Ni-rich lithium transition metal oxides, such as LiNi<sub>x</sub>Co<sub>y</sub>Al<sub>1-x-y</sub>O<sub>2</sub> (NCA) and LiNi<sub>x</sub>Mn<sub>y</sub>Co<sub>1-x-y</sub>O<sub>2</sub> (NMC) have attracted great interest and are extensively applied in the field<sup>5-7</sup>. However, the high and volatile cost of Co hinders their long-term sustainability and motivates research into higher Ni content, lower Co content NMC (NMC811, LiNi<sub>0.8</sub>Mn<sub>0.1</sub>Co<sub>0.1</sub>O<sub>2</sub>) materials. Ultimately a key aim is to eliminate Co to give LiNiO<sub>2</sub> (LNO), which is considered as the promising next generation cathode due to its high theoretical capacity of ~270 mAhg<sup>-1</sup> and high average voltage of 3.8V vs Li metal<sup>8, 9</sup>.

However, the intrinsic problems with this stoichiometric LNO phase, such as moisture instability at ambient atmosphere, detrimental phase transitions and particle cracking at deeply charged state remain unresolved  $^{10,\ 11}$ . Under Li extraction, several phase transitions of Li<sub>1-x</sub>NiO<sub>2</sub> - occur during the charging process, which includes hexagonal (H1, 0≤x≤0.25) to monoclinic (M, 0.25≤x≤0.55), monoclinic (M) to hexagonal (H2, 0.55≤x≤0.75), and hexagonal (H2) to hexagonal (H3, 0.75≤x≤1) $^{12-15}$ . In particular, the H2 - H3 phase transition of Li<sub>1-x</sub>NiO<sub>2</sub> above 4.1V triggers the shrinkage of the unit cell and large volume change associated with the large difference of c parameters of H2 (c=14.404(1) Å) and H3 (c=13.363(6) Å) phases  $^{16,\ 17}$ . The resulting microcracks of active cathode material leads to further side reactions of cathode and electrolyte, which accelerates the capacity fade of LiNiO<sub>2</sub>  $^{18}$ . To mitigate these issues, most attempts focus on dopant incorporation and surface modification to stabilise the material  $^{19-22}$ .

It has been demonstrated that Ti or Zr doped LiNiO<sub>2</sub> have limited solid solution ranges, with a small amount of dopant incorporation into the bulk structure, coupled with the remaining dopant forming a surface layer (such as Li<sub>2</sub>TiO<sub>3</sub> and Li<sub>2</sub>ZrO<sub>3</sub>) on the LiNiO<sub>2</sub> particles<sup>23, 24</sup>. In other work, Al or Mg doped LiNiO<sub>2</sub> were found to dramatically reduce the amount of residual surface lithium carbonate in ambient atmosphere owing to strong TM(Al/Mg)-O bonds which inhibits the reaction between water/carbon dioxide and LiNiO<sub>2</sub><sup>25-27</sup>. High valence dopants, such as W and Mo, have also been examined in LiNiO<sub>2</sub><sup>28-32</sup>. In situ XRD results have indicated that W doped LiNiO<sub>2</sub> modified the H2-H3 phase transition into a more gradual solid solution reaction during cycling,

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which helped to alleviate the structural stress and abrupt lattice change, resulting ratio on line on lattice change, resulting ratio of the change of the structural stress and abrupt lattice change, <math>resulting ratio on line on lattice change of the ch

All these previous studies have involved doping with cations of similar octahedral size. As an alternative to such cation incorporation, polyanion doping is a less widely used strategy in altering the structural, chemical or physical properties of inorganic materials. This methodology has attracted much interest in perovskite oxide electrodes for solid oxide fuel cells, where results illustrate that transition-metal octahedra TMO<sub>6</sub> can be replaced with tetrahedral MO<sub>4</sub> (M=Si, S, P) or trigonal planar MO<sub>3</sub> (C, B) to create materials with improved properties<sup>33-41</sup>. In this work, we examine the effect of sulfate modification in LiNiO<sub>2</sub> and Li-rich LiNiO<sub>2</sub>, and the corresponding effect on the structural and electrochemical properties using a combined experimental and modelling approach. The formation of Li-rich phases with Ni-rich compositions has been challenging so far, producing poorly ordered materials. The aim of polyanion doping was also to try to introduce tetrahedral ions with higher charge and oxygen vacancies in order to help to stabilise a Li-rich phase that is otherwise challenging to isolate<sup>42</sup>. Our results show enhanced electrochemical performance on sulfate incorporation compared to undoped materials prepared under comparable conditions.

#### 2. Results and discussion

#### 2.1 Phase formation and crystal structures

#### X-ray/neutron diffraction and spectroscopy.

We investigated the incorporation of the polyanion dopant,  $SO_4^{2-}$ , in LiNiO<sub>2</sub> (LNO). LiNiO<sub>2</sub> and sulfate doped LiNi<sub>1-x</sub>S<sub>x</sub>O<sub>2-\delta</sub>, were initially made via the conventional solid-state route at 700 - 725 °C/12h in O<sub>2</sub>. As shown in Figure 1, the XRD patterns of sulfate doped LiNiO<sub>2</sub> show a single layered phase for x = 0.025. As shown in table 1, the cell volume of sulfate doped LiNiO<sub>2</sub> increased compared to that of the undoped sample, which is consistent with the suggested partial reduction in line with prior work on sulfate doped perovskite systems. The intensity ratio  $I_{003}/I_{104}$  between the (003) and (104) reflections has been intensively used in LNO as an indicator of the Li/Ni antistites defect: the higher the  $I_{003}/I_{104}$  ratio, indicates a more perfect layered LiNiO<sub>2</sub> structure without anti-site disorder. Sulfate doped samples show the increased  $I_{003}/I_{104}$  ratio (table 2) which is linked with more ordered structures.

Following these initial results and given that the doping was expected to lowers the face online anion content (total anion charge), and the dopant (normally S<sup>6+</sup>) had a higher charge than Ni, it was thought that it may help to stabilise higher Li content/lower Ni content phases. Therefore, sulfate doped Li rich LiNiO<sub>2</sub> (S-LRNO) were made under similar conditions used for LNO to increase the capacity. As shown in Figure 2a (XRD data), Li<sub>1.1</sub>Ni<sub>0.85</sub>O<sub>2</sub> shows a mixture of a layered phase with some unknown peaks at lower two theta angles. In contrast, when adding small amount of sulfate, Li<sub>1.1</sub>Ni<sub>0.875</sub>S<sub>0.025</sub>O<sub>2-δ</sub> shows a single-phase sample, indicating the benefits of sulfate in stabilising the phase formation. A small amount of Li<sub>2</sub>SO<sub>4</sub> impurity was detected with increasing sulfate content (Li<sub>1.1</sub>Ni<sub>0.85</sub>S<sub>0.05</sub>O<sub>2-δ</sub>), suggesting only a low-level incorporation of sulfate in the Li rich LiNiO<sub>2</sub> system. The presence of a small amount of bulk sulfate and a surface Li<sub>2</sub>SO<sub>4</sub> layer for this sample is supported by the XPS/HAXPES data (see later).

Structural refinement of  $Li_{1.1}Ni_{0.85}S_{0.05}O_{2-\delta}$  using neutron diffraction data was performed, with the profile fits shown in Figure 2b. Weight fractions of  $Li_2SO_4$ ,  $Li_2CO_3$  and  $Li_{1.1}Ni_{0.85}S_{0.05}O_{2-\delta}$  were refined to give 95.9% S-LRNO, 2.0%  $Li_2SO_4$  and 2.1%  $Li_2CO_3$ , which is consistent to XRD results. 0.03 S was then added to  $Li_2Ni_2$  site (Ni layer), and constraints of same  $U_{iso}$  and full occupancy were made for  $Li_1Ni_1$ ; and  $Li_2Ni_2/S1$ . The atomic position of O1 was refined followed by  $U_{isos}$  of all atoms which were fixed after convergency. The occupancies of  $Li_1Ni_1$ ,  $Li_2Ni_2$  (to give the occupancy of S1) and O1 were refined. A final refinement of the  $U_{iso}$  of all atoms showed only a small change and the final parameters are shown in table 3. From these data, the refined composition was determined to be  $Li_{1.047(3)}Ni_{0.922(3)}S_{0.031}O_{1.98(2)}$ , consistent with the formation of a higher Li content/ lower Ni content phase.

In support of sulfate doping, the Ni K-edge XAS also indicates a small shift for the sulfate doped sample, where the normalised absorption of S-LRNO is shifted to lower energy compared to that of LiNiO $_2$  (Figure 2c). The slight shift of the Ni K-edge for S-LRNO suggests the partial reduction of Ni $^{3+}$  in the bulk material. In order to evaluate the surface states, XPS data were collected. Here, the Ni 2p spectrum (Figure S1) is dominated by the spin-orbit doublet characterized by binding energies of the Ni 2p $_{3/2}$  and Ni 2p $_{1/2}$  core levels of 855.2 and 872.6 eV, respectively. The spectra also show satellites at 862.7, and 879.2 eV. All of these binding energy values are mainly representative for Ni $^{3+}$  in LiNiO $_2$ <sup>43</sup>.

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HAXPES and XPS spectra were collected to investigate the presence of S dopant of ticle Online bulk and surface respectively of S-LNRO. XPS and HAXPES spectra of S 2p of S-LRNO are shown in Figure 3(a,b). The S 2p signal is a spin-orbit doublet and each chemical state consists of 2 peaks. The S 2p (XPS) can be fitted with two components at ~169.2 eV and ~170.5 eV, corresponding to a metal sulfate, here attributed to Li<sub>2</sub>SO<sub>4</sub><sup>44</sup>. The HAXPES did not show the S 2p response but the signal from S 1s was detected. Two components at ~2477.9 eV and ~2480.0 eV can be used to fit S 1s spectra. The 1s core levels are singlet states, however, so the 2 peaks in the S 1s peak suggest more than 1 chemical state, which may be related to sulfate in a different environment to surface Li<sub>2</sub>SO<sub>4</sub> (i.e. bulk incorporation).

The recorded O 1s spectra from XPS and HAXPES are shown in Figure 3(c,d). The O 1s spectra (XPS) can be fitted to two oxygen contributions at ~528.9 eV and ~531.8 eV, and the O 1s spectra (HAXPES) can be fitted to three components at ~528.4 eV, ~531.4 eV and ~533.9 eV. The components at ~528 eV and ~531 eV can be assigned to metal-oxygen bonds and carbonate groups respectively<sup>45, 46</sup>. The O 1s contribution above ~533 eV can be assigned to either water or sulfate bonds (both are located in this region)<sup>47</sup>. Previous studies of sulfate incorporation into perovskite oxides have shown indication of associated oxygen vacancies<sup>33</sup>. The refinement of the structure for S-LRNO, however, reveals only a very small amount of oxygen vacancies. Computational modelling also suggests that generating oxygen vacancies within the layered structure is a high energy process. Hence, given that the amount of oxygen vacancies appears to be very low, their presence is difficult to detect by either XPS or HAXPES.

The chemical stability in ambient atmosphere of polyanion modified LNO was examined by exposing both undoped and sulfate doped samples to air. The XRD results, as shown in Figure 4a, shows a clear degradation in the undoped LNO sample with the detection of additional peaks at ~36 and ~44 degrees after exposing to air for 2 weeks (zoom-in region is shown in Figure S2). In contrast, sulfate modified LRNO showed no change under the same conditions (Figure 4b), illustrating the improved stability in ambient atmosphere of this phase.

Atomic-scale insights from ab initio simulations. The defect chemistry of incorporation of S and excess Li into LiNiO<sub>2</sub> was further investigated by DFT

simulations. To form Li-rich LiNiO2, the excess Li is accommodated on the Niosite and Niosite Within the disproportionated structure of LNO48, the Li<sub>Ni</sub> defect carries minimal structural distortions versus a high-spin Ni (octahedral volume 11.2 Å<sup>3</sup> vs 10.9 Å<sup>3</sup>) and induces a rearrangement of Ni spins around itself that is consistent with having one extra electron relative to a high-spin (formally "2+") Ni. This is in contrast with the common expectation of a double negative effective charge relative to a spin-half (formally "3+") nickel. A single negative charge on an excess Li on Ni (Li<sub>Ni</sub>) relative to the lattice is consistent regardless of which site (high-spin, half-spin, or zero-spin) is substituted with Li to initialize the calculation in the spin-disproportionated structure. To maintain charge neutrality upon the inclusion of a Li<sub>Ni</sub> defect, the compensating hole is accommodated as a hole polaron<sup>48</sup>. At the conditions of synthesis (700 °C, 1 atm O<sub>2</sub>) where layered LNO is in equilibrium with disordered rocksalt from which it is synthesized, the lowest-energy incorporation of dilute Li<sub>Ni</sub> defects into the disproportionated structure is 0.30 eV (Table 4), which decreases further in magnitude with the supplied Li excess (increase in  $\mu_{Li}$ )<sup>42, 49</sup>. The logical limit of such excess Li incorporation is Li<sub>2</sub>NiO<sub>3</sub>, where Li<sub>Ni</sub> defects fully substitute one of three Ni sub-lattices, and all remaining Ni atoms have zero spin and are formally in a "4+" charge state. However, experimental attempts have only approached this limit with substantial Ni disorder and reduction<sup>26</sup>; layered Li<sub>2</sub>NiO<sub>3</sub> has to our knowledge not been synthesized.

Turning to sulfate doping, the predicted lowest-energy site for the bulk incorporation of dilute S is  $S_{Ni}$  at 2.92 eV during synthesis (Table 5). The dopant  $S_{Ni}$  is surrounded and compensated by additional high-spin nickels, which approximate  $Ni^{2+}$ . Such compensation additionally relieves the mechanical stress created by extremely short S–O bonds ( $\approx$ 1.69 Å) of the  $S_{Ni}$  by surrounding it with enlarged Ni octahedra. We find it further instructive to compare the energetics of incorporation of sulfur with other common high-valence dopants such as W and Mo, which are known to phase-separate at interfaces and grain boundaries<sup>31, 32</sup>. The incorporation energies for  $Mo_{Ni}$  and  $W_{Ni}$  are both under 1 eV, and the dopant-oxygen bond lengths are much closer to those of the parent nickel octahedra at 1.93 Å.

The association of  $S_{Ni}$  with oxygen vacancies (formation energy 1.40 eV on their own) is not favorable: the loss of coordination by the surrounding nickel offsets the favorability of approaching tetrahedral S, with association energy 0.85 eV (Table 6).

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This contrasts with polyanion doping of perovskites, where each oxygen anion is two Article Online coordinate, and fewer metal-oxygen bonds are broken to accommodate the sulphur. The association of excess  $\text{Li}_{\text{Ni}}$  with  $\text{S}_{\text{Ni}}$  is only favorable by 20 meV (Table 6); such an effect does not translate to finite temperatures when the octahedra are dynamically disordered. Surface incorporation at Ni sites has similarly unfavorable energetics (Table 5), although the figures are approximate due to the multitude of possibilities for compensation via polarons, O, and Li.

However, since  $\text{Li}_2\text{SO}_4$  forms a eutectic with  $\text{Li}_2\text{CO}_3^{50}$ , its addition can facilitate lithiation and crystallisation during the solid-state synthesis of LNO at the experimental temperatures used here, which are below the melting point of  $\text{Li}_2\text{CO}_3$ . This positive effect is demonstrated by a small decrease in the full width at half maximum of XRD peaks in the sulfate modified sample prepared using the solid state route, as shown in Figure S3.

#### 2.2 Electrochemical performance

**Solid state method.** The electrochemical properties of solid state reaction prepared Li<sub>1.1</sub>Ni<sub>0.85</sub>S<sub>0.05</sub>O<sub>2-δ</sub> (S-LRNO) and LiNiO<sub>2</sub> (LNO) were evaluated in the voltage range of 2.0-4.3 V. Figure 5a shows the initial galvanostatic charge/discharge curves (after the formation cycle) as a function of capacity at 25 mA/g current density (charge rate ≈C/10). The initial discharge capacities of S-LRNO increased to 203 mAhg-1 compared to 176 mAhg<sup>-1</sup> for LNO. The formation cycle (Figure S4 and S5) at 25 mA/g indicates 11.5% and 7.8% capacity loss for LNO and S-LRNO respectively. The H2-H3 two-phase plateau is more prevalent in the S-LRNO sample compared to that of LNO. S-LRNO showed a higher voltage on both charging and discharging processes, leading to a higher energy density than that of LNO. Slightly reduced hysteresis and improved lower-voltage plateau were also observed for S-LRNO, demonstrating the benefits of sulfate modification. The cycling performance of selected cells was further evaluated at 25 mA/g for 100 cycles (Figure 5b). After 100 cycles, the discharge capacities of Li<sub>1.1</sub>Ni<sub>0.85</sub>S<sub>0.05</sub>O<sub>2-x</sub> were ~170 mAhg<sup>-1</sup> compared to 130 mAhg<sup>-1</sup> for LNO, illustrating the improved capacity retention (83.7% for S-LRNO and 73.8% for LNO) for the sulfate modified system.

To confirm the importance both of sulfate incorporation in addition to surface coating, a separate experiment to introduce Li<sub>2</sub>SO<sub>4</sub> as a coating was examined. Here the

 $Li_{1.1}Ni_{0.85}O_2$  was ball milled (500 rpm/1h) with 5%  $Li_2SO_4$  which is the equivalent ticle Online  $A_{1.1}Ni_{0.85}O_2$  was ball milled (500 rpm/1h) with 5%  $A_{1.1}Ni_{0.85}O_2$ sulfate content in the structure (sample named as coated-LRNO), and reheated at 700 °C/12h in O<sub>2</sub>. As shown in Figure 6a, the XRD pattern of ball milled Li<sub>1.1</sub>Ni<sub>0.85</sub>O<sub>2</sub> (with Li<sub>2</sub>SO<sub>4</sub>) showed a layered phase with Li<sub>2</sub>SO<sub>4</sub> impurity and a few unknown peaks. The electrochemical performance of Li<sub>1.1</sub>Ni<sub>0.85</sub>O<sub>2</sub> (LRNO) and the 5% Li<sub>2</sub>SO<sub>4</sub> coated Li<sub>1.1</sub>Ni<sub>0.85</sub>O<sub>2</sub> (coated-LRNO) was examined using the same conditions to that of S-LRNO. As shown in Figure 6b, both LRNO and coated-LRNO showed poorer performance compared to that of S-LRNO, indicating the sulfate incorporation assisted with the formation of lithium excess phase. In addition, while the discharge capacities of coated-LRNO improved a little compared to LRNO, the values are much lower than S-LRNO (Figure 6b and S6), which further supports the successful sulfate incorporation in the original sample. The improved electrochemical performance could result from both the limited sulfate dopant in the structure and the Li<sub>2</sub>SO<sub>4</sub> passivating layers which is spontaneously formed beyond the solid solution limitation, in addition to the Li<sub>2</sub>SO<sub>4</sub>-Li<sub>2</sub>CO<sub>3</sub> eutectic effects facilitating high lithiation and crystallization in the solid state synthesis (as mentioned in the modelling section).

Co-precipitation method. Following promising results from solid-state synthesis, a co-precipitation route, which is the preferred industrial route, was further investigated to enhance the performance, as illustrated previously for undoped stoichiometric LiNiO<sub>2</sub><sup>20</sup>. The LNO prepared using the co-precipitation route exhibited a (003/104) ratio of 1.679 which is higher than that of the sample prepared using the solid state route, demonstrating a more ordered structure. For both LNO and S-LRNO samples, the use of a co-precipitation route was shown to improve the electrochemical performance under cycling between 2.0 V and 4.3 V at 25 mA/g (Figure 7a). The discharge capacity of S-LRNO increases to ~245 mAhg-1 compared to ~223 mAhg-1 for LNO, with the former value representing an excellent initial capacity significantly exceeding traditional Mn and Co doped systems, Li(Ni,Mn,Co)O<sub>2</sub>. A higher average voltage with similar voltage hysteresis was seen for S-LRNO during intercalation above 3.5 V, and a slightly increased hysteresis was seen at lower voltage for S-LRNO. The cycling performance of selected cells was also conducted at 100 mA/g (charge rate ≈ C/2, initial discharge capacity ~205 mAhg<sup>-1</sup>), which was chosen to represent a commercially relevant rate. The results showed that the discharge capacity of S-LRNO after 100 cycles remained ~171 mAhg-1 (Figure 7b). Although a

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first cycle loss of ~12.3% in S-LRNO at 100 mA/g was observed (Figures S7 and S8) price online 83.4% capacity retention was achieved which is higher than 80.5% for LNO over 100 cycles.

Rate capabilities of co-precipitated LNO and S-LRNO were then tested in the voltage range of 2.0-4.3 V at current densities of 25, 50, 100, 200, 400 and 25 mA/g for 5 cycles (Figure 8a). The discharge capacities of co-precipitated S-LRNO and LNO reached 230 and 209 mAhg<sup>-1</sup> at 25 mA/g, 207 and 176 mAhg<sup>-1</sup> at 50 mA/g, 192 and 165 mAhg<sup>-1</sup> at 100 mA/g, 176 and 145 mAhg<sup>-1</sup> at 200 mA/g, 161 and 117 mAhg<sup>-1</sup> at 400 mA/g respectively. The S-LRNO sample exhibits higher discharge capacities than those of the pristine LNO at all different rates, illustrating the improved rate capability of the sulfate modified sample.

Electrochemical impedance spectroscopy analysis for both samples are shown in Figure 8b). The smaller semicircle was seen in S-LRNO than that of LNO, indicating a smaller internal resistance. By fitting the Nyquist plots with the equivalent circuit, the S-LRNO shows a charge transfer resistance of 119  $\Omega$  which is lower than 160  $\Omega$  in LNO (Table 7). To investigate the influence of sulfate modification on the kinetics behavior of Li<sup>+</sup>, GITT (galvanostatic intermittent titration technique) was carried out to determine the apparent diffusion capability of Li<sup>+</sup> in the initial cycle (after formation cycles). The D<sub>Li</sub> calculated from the GITT curve as a function of the state of charge during charging is shown in Figure 8c, where the measured solid-state diffusion coefficient is mainly in the range of 10<sup>-12</sup> - 10<sup>-11</sup>. The sulfate doped sample exhibits an increased D<sub>Li</sub> value compared to LNO, indicating the slower kinetics for Li ion in the undoped sample. Although the SEM analyses of LNO and S-LRNO prepared using the co-precipitation route revealed comparable morphology of particles (Figure 9), the observed enhancements in rate capability and lithium transport kinetics in S-LRNO could be attributed to the excess lithium ions in the structure; this feature helps to promote the formation of face-sharing or edge-sharing lithium octahedra, leading to reduced lithium ion hopping distance and lower activation energy.

The structural transitions during the cycling of doped and undoped LRNO prepared using co-precipitation routes was evaluated using dQ/dV curves, as shown in Figure 10 respectively. H1 to M, M to H2, H2 to H3 phase transitions were observed for both materials, which is consistent with the literature<sup>11</sup>. An interesting observation

was that, in contrast to the quickly decayed H2 to H3 phase transition in undoped Light page 1844 this transition has been preserved after long-term cycling for sulfate doped samples, as shown in Figure 9d, suggesting better reversible structural changes during the cycling for the sulfate modified phase, which most likely accounts for the improved long-term capacity. In this respect, it has been reported that high valence dopants, such as Mo<sup>6+</sup> and W<sup>6+</sup>, in LiNiO<sub>2</sub>, could facilitate the merging of the Li-rich and Li-poor phases into a single phase during the H2-H3 phase transition, resulting in better structural stability<sup>28, 31</sup>. Other studies have shown that high valence dopants help to suppress primary particle growth during synthesis and impart mechanical toughness to counteract the high internal strain<sup>32, 51</sup>. The introduction of excess Zr<sup>4+</sup> into the LiNiO<sub>2</sub> cathode was shown to result in simultaneous doping and coating with Li<sub>2</sub>ZrO<sub>3</sub>, enhancing the phase transition and thermal stability<sup>23</sup>, similar to what we observe for sulfate doping (small incorporation with Li<sub>2</sub>SO<sub>4</sub> coating). The SEM images of S-LRNO and LNO before and after 200 cycles are shown in Figure 11, where cracking was observed for LNO whereas S-LRNO showed better particle preservation, illustrating further the benefits of sulfate modification.

#### 3. Conclusions

Polyanion (sulfate) modified LiNiO<sub>2</sub> and Li-rich LiNiO<sub>2</sub> were synthesised through solid state and co-precipitation methods. Although the solid solution of sulfate doping appears to be limited in line with modelling results, a self-passivation Li<sub>2</sub>SO<sub>4</sub> layer formed beyond the solid solution, which contributed to the improvement in air stability and to the electrochemical performance of sulfate modified Li-rich LiNiO<sub>2</sub> (S-LRNO).

Such improved electrochemical performance as well as better capacity retention for S-LRNO was observed for samples prepared using both solid state and coprecipitation methods. The initial 245 mAhg-1 discharge capacity (25 mA/g) for coprecipitation synthesised S-LRNO represents a significant improvement to conventional Mn and Co co-doped NMC systems. High capacities were also observed at higher rates (205 mAhg-1 initial discharged capacity at 100 mA/g), with improved capacity retention compared to the unmodified system and attributed to the improved preservation of the H2-H3 transition. Overall, this work highlights that as an alternative design strategy, polyanion modification can effectively improve the electrochemical properties of lithium nickel oxide cathode materials, which has the potential to be

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easily applied to other cathode systems.

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#### 4. Experimental

#### Synthesis

For the solid state synthesis method,  $Li_2CO_3$  (99.9%, Alfa Aesar),  $Ni(NO_3)_2 \cdot 6H_2O$  (%, Sigma Aldrich),  $(NH_4)_2SO_4 \cdot H_2O$  (99%, Sigma Aldrich),  $(NH_4)H_2PO_4$  (99%, Sigma Aldrich) and  $SiO_2$  (99%, Sigma Aldrich) were used as reagents.  $Li_{1+z}Ni_{1-z-x}M_xO_{2-y}$  (M=S,P,Si) samples from intimately ground stoichiometric amounts of starting reagents were heated initially to 650 °C for 12 hours at a rate of 2.5 °Cmin<sup>-1</sup> to fully decompose starting reagents. A 2.5% excess  $Li_2CO_3$  was added to the mixture to compensate for Li loss during the synthesis. The mixture was milled ( $ZrO_2$  containers and balls) with hexane solvent for 30 minutes using a Pulverisette 5 planetary ball mill to yield fine powders. The powders were pressed into pellets and reheated one or multiple times at 700 - 725 °C for 12 hours in dry  $O_2$  with a rate of 5 °Cmin<sup>-1</sup> to obtain the final product, which is stored in an Ar-filled glove box.

For co-precipitation method,  $Ni(OH)_2$  precursor were synthesised by precipitation from an aqueous solution of  $Ni(NO_3)_2 \cdot 6H_2O$  and NaOH. Then  $(NH_4)_2SO_4 \cdot H_2O$  (99%, Sigma Aldrich),  $Ni(OH)_2$  (as prepared) and  $LiOH \cdot H_2O$  (99%, Sigma Aldrich) were mixed with 5-10% excess  $LiOH \cdot H_2O$  by ball milling in a zirconia pot at 500 rpm for 1 hour. The mixture was heated to 350 °C for 12 hours at 2.5 °C·min<sup>-1</sup> and followed by heating to 700 °C for 12 hours at 5 °C·min<sup>-1</sup> in  $O_2$  to obtain the final product. The sample was allowed to cool down to room temperature and stored in an Ar-filled glove box.

#### X-ray diffraction

A Bruker D8 X-ray diffractometer (XRD) with CuKα radiation and linear position sensitive detector was used to collect X-ray diffraction data. Patterns were recorded over the 2θ range 15° to 80° with a 0.02° step size. Structural refinement was carried out using the XRD data with the GSAS suite of Rietveld refinement software<sup>52</sup>.

#### Neutron Diffraction

The neutron diffraction experiment was performed on the POWGEN instrument at the Spallation Neutron Source (SNS), Oak Ridge National Laboratory. Approximately 1 g of powder was loaded into a PAC vanadium can with 6 mm diameter. The sample

cans were loaded into POWGEN sample changer (PAC) and the diffraction data was sticle online collected at 293 K for around 2 hour, followed by the standard data reduction routine. The center wavelength was 0.8 Å, covering a d spacing range of 6.2 Å > d > 0.1 Å.

#### Electrochemical Testing

The active materials and carbon black (TimCal, C65) were dried at 110 °C for 24h in a vacuum oven before use. The slurry was prepared by mixing 80% active materials, 10% carbon black and 10% polyvinylidene fluoride (PVDF, PI-KEM) in N-methyl-2-pyrrolidine (NMP, Sigma) using a Thinky mixer, before coating onto an aluminum foil in a dry room. The cathode was dried at 120 °C for 24h in the vacuum oven and punched into 14.8 mm disks. The electrode disks were weighed and the mass loading of active materials on cathodes was  $3\sim4$  mg cm<sup>-2</sup>. Li metal (Aldrich) was used as anode, which was rolled and punched into 15 mm disks. The composition of the electrolyte (R&D 281, Soulbrain) was 1.0 M LiPF<sub>6</sub> in EC: EMC (ethylene carbonate/methyl carbonate, 3/7 V/V) with 1 wt% VC (Vinylene Carbonate) as an electrolyte additive. Microporous trilayer membrane (PP/PE/PP) (H1609, Celgard) was used as the separator.

The half cells using the components above were assembled with CR2032 coin cells in and an argon-filled glove box and all electrochemical measurements were conducted on the BCS805 cell tester (Bio-logic). Galvanostatic charge/discharge with potential limitation (GVPL) measurement was conducted at constant current density of 25 mA/g, 50 mA/g or 100 mA/g in the voltage range between 2 and 4.3V vs Li/Li<sup>+</sup>. Electrochemical impedance spectroscopy (EIS) measurement was performed in half-cell using an amplitude of 5 mV in the frequency range from 10<sup>-2</sup> to 10<sup>5</sup> Hz. For the data analysis, EC-Lab software was used for the equivalent circuit models fitting.

For Galvanostatic intermittent titration technique (GITT) measurement, the cells were charged and discharged at 25 mA/g with a rest (3h) to achieve full equilibrium voltage. Fundamental ideas calculating diffusion coefficients from GITT technique have originated from the research work by Weppner and Huggins<sup>53</sup>. Here we adopted the transformed sand equation to calculate the diffusion of Li<sup>+</sup> in the active particle materials from the following equation (1).

$$D_{Li+} = \frac{4}{\pi} \left(\frac{iV_m}{nFS}\right)^2 \left(\frac{dE/d\delta}{dE/dt^{0.5}}\right)^2 \tag{1}$$

Herein, i denotes the current passing through the electrode with the unit of amps (A); Vm is the molar volume of active materials (cm<sup>3</sup> mol<sup>-1</sup>); n stands for the transferred electrons in the 'reaction' (n=1); F and S are Faraday constant (C mol<sup>-1</sup>) and active surface area between electrode and electrolyte (m<sup>2</sup>); the *Gradient dE/dδ* is the slope of the coulometric titration curve, which is found by plotting the steady state voltages E(V) measured after each titration step. The *Gradient dE/dt*<sup>0.5</sup> means the slope of the linearized plot of the potential E(V) during the current pulse of duration.

The rate tests for LNO and S-LRNO prepared using co-precipitation routes were performed in hall cells using different current densities at 25, 50, 100, 200, 400 mA/g within the voltage window of 2 to 4.3 V vs Li/Li<sup>+</sup>.

X-ray absorption spectroscopy (XAS), X-ray Photoelectron Spectroscopy (XPS) and Hard X-ray Photoelectron Spectroscopy (HAXPES)

Hard X-ray absorption spectroscopy (XAS) data at the Ni K-edge of NiO, LiNiO<sub>2</sub> and S-LNRO were measured at the beamline, Diamond Light Source, X-ray Photoelectron Spectroscopy (XPS) and Hard X-ray Photoelectron Spectroscopy (HAXPES) were measured on one instrument (HAXPES-Lab, Scienta Omicron GmbH). Hard X-ray Photoelectron Spectroscopy (HAXPES) was performed using monochromated Ga Ka metal jet X-ray radiation (9252 eV, 3.57 mA emission at 250 W, micro-focussed to 50 µm) and an EW-4000 high voltage electron energy analyser (HAXPES-Lab, Scienta Omicron GmbH); the instrument has a base vacuum pressure of 5 x 10<sup>-10</sup> mbar<sup>54, 55</sup>. The entrance slit width used was 1.5 mm, and the pass energies used for survey and core level spectra were 500 and 100 eV respectively, with total energy resolutions of 2.0 and 0.6 eV respectively<sup>54</sup>. The HAXPES instrument also has a monochromated Al Kα X-ray source (1486 eV, 20 mA emission at 300 W) for surface sensitive XPS at the same sample position. Charge neutralisation for insulating samples is achieved using a low energy electron flood source as required (FS40A, PreVac). Binding energy scale calibration was performed using Au 4f7/2 at 84 eV of a clean gold reference sample, else C 1s at 285 eV BE if the flood source is used. Analysis and curve fitting was performed using Voigt-approximation peaks using CasaXPS<sup>56</sup>. Core

level relative sensitivity factors for HAXPES quantification were calculated according ticle Online to 55, 57.

#### Computational Methods

All calculations were performed within the density functional theory (DFT) framework using the Vienna *ab initio* Simulation Package (VASP)<sup>58-61</sup>. Following our work on undoped LiNiO<sub>2</sub><sup>48</sup>, the calculations were performed using the meta-generalized gradient approximation  $r^2SCAN$  functional with the revised Vydrov–van Voorhis (rVV10) non-local dispersion correction<sup>62</sup>. All calculations were after convergence tests performed with a plane-wave cutoff of 700 eV, projector augmented wave (PAW) pseudopotentials to describe the core electrons<sup>63</sup>, and k-point spacing of 0.25 Å<sup>-1</sup>. The convergence criteria were set to  $\leq 10^{-5}$  eV for energies and  $\leq 10^{-2}$  eV Å<sup>-1</sup> for forces. Unless otherwise stated, the relaxation calculations were started from the spin-disproportionated hexagonal structure<sup>48</sup>; zigzag P2<sub>1</sub>/c structure was also computed.

The chemical potentials of the elements ( $\mu_O$ ,  $\mu_{Li}$ ,  $\mu_{Ni}$ ,  $\mu_S$ ) have a direct impact on the calculated defect formation energies. All chemical potentials were chosen to represent the conditions of the material synthesis, where the partial pressure of oxygen and temperature are set by the phase equilibrium between the forming LNO phase and a disordered rocksalt  $\text{Li}_x \text{Ni}_{1+x} \text{O}_2$  (x < 1) phase at 700 C and 1 atm  $\text{O}_2$ , which yields  $\mu_{Li} = -3.00 \text{ eV}$  and  $\mu_{Ni} = -1.35 \text{ eV}^{48}$ . The chemical potentials of extrinsic dopants were set by a phase equilibrium with another phase, such as  $\text{Li}_2 \text{SO}_4$  for S, at the same temperature and oxygen pressure ( $\mu_S = -4.52 \text{ eV}$ , Table 5). The magnetic moments of the Ni ions were used as simple proxies for their charge states. Such DFT based methods have been applied to a wide range of Li-ion cathode materials<sup>64-68</sup>.

#### **Author contributions**

Bo Dong: conceptualization, data curation, formal analysis, investigation, methodology, visualization, writing - original draft, writing - review & editing. Andrey Poletayev: data curation, formal analysis, investigation, methodology, visualization, writing - original draft, writing - review & editing. Jonathon P. Cottom: data curation, formal analysis, writing - original draft. Javier Castells-Gil: data curation, formal analysis, writing - original draft. Ben Spencer: data curation, formal analysis, writing - original draft.

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Pengcheng Zhu: data curation, formal analysis, writing - original draft. Yongxiu Cherardocasada data curation, formal analysis, writing - original draft. Jaime-Marie Price: data curation, formal analysis. Laura L. Driscoll: data curation, formal analysis. Phoebe K. Allan: resources, supervision, writing - review & editing. Emma Kendrick: resources, supervision, writing - review & editing. M. Saiful Islam: conceptualization, funding acquisition, investigation, methodology, project administration, resources, supervision, writing - original draft, writing - review & editing. Peter R. Slater: conceptualization, funding acquisition, investigation, methodology, project administration, resources, supervision, writing - original draft, writing - review & editing.

#### **Conflicts of interest**

The authors declare no conflict of interest.

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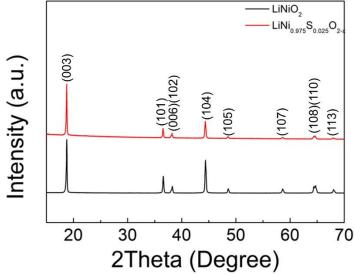
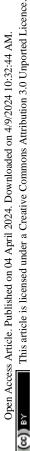


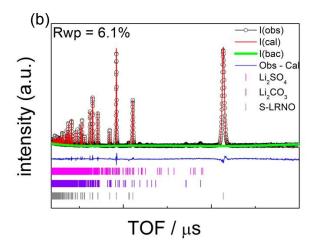
Figure 1 XRD patterns of LiNiO<sub>2</sub> and S doped LiNiO<sub>2</sub> (solid state synthesis route).

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(a) Li<sub>2</sub>SO<sub>4</sub> — Li<sub>1,1</sub>Ni<sub>0,85</sub>O<sub>2</sub> — Li<sub>1,1</sub>Ni<sub>0,85</sub>S<sub>0,02</sub>O<sub>2x</sub> — Li<sub>1,1</sub>Ni<sub>0,85</sub>S<sub>0,05</sub>O<sub>2x</sub> — Li<sub>1,1</sub>Ni<sub>0,85</sub>S<sub>0,05</sub>O<sub>2x</sub>



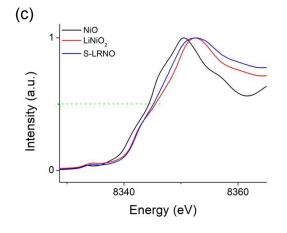


Figure 2 (a) XRD patterns of  $\text{Li}_{1.1}\text{Ni}_{0.85}\text{O}_2$ ,  $\text{Li}_{1.1}\text{Ni}_{0.875}\text{S}_{0.025}\text{O}_{2-x}$  and  $\text{Li}_{1.1}\text{Ni}_{0.85}\text{S}_{0.05}\text{O}_{2-x}$  (solid state synthesis route) (b) Structural refinement of  $\text{Li}_{1.1}\text{Ni}_{0.85}\text{S}_{0.05}\text{O}_{2-\delta}$  using neutron diffraction data (to be added) (c) XAS data of  $\text{Li}_{1.1}\text{Ni}_{0.85}\text{S}_{0.05}\text{O}_{2-x}$ .

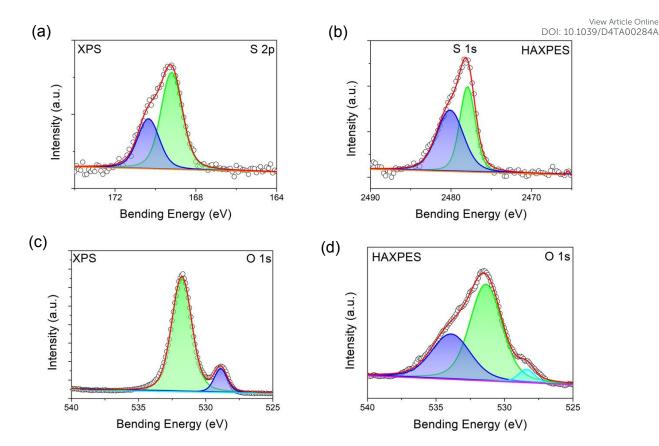


Figure 3. XPS and HAXPES spectra of S-LRNO (solid state route)

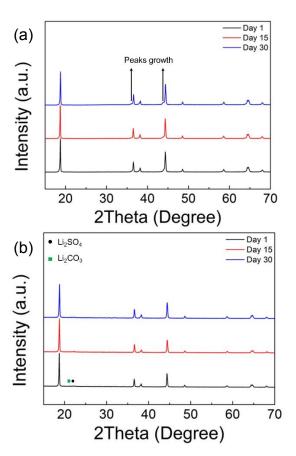


Figure 4 XRD patterns of (a) LiNiO $_2$  and (b) Li $_{1.1}$ Ni $_{0.85}$ S $_{0.05}$ O $_{2-\delta}$  (solid state route) after the exposure in air after 1, 15 and 30 days.

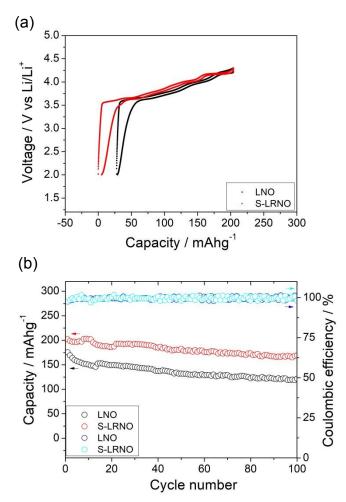
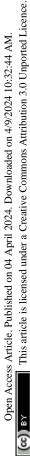
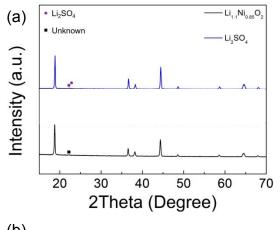


Figure 5 (a) Initial charge-discharge curves of LNO and S-LRNO synthesised by the solid state method between 2 and 4.3V at 25 mA/g (after the formation cycle). (b) the cycling performance of LNO and S-LRNO at 25 mA/g.





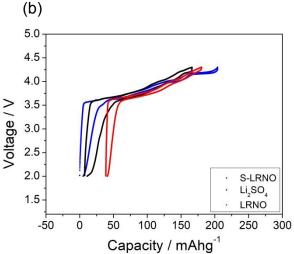


Figure 6 (a) XRD patterns of  $Li_{1.1}Ni_{0.85}O_{2-x}$ , and  $Li_2SO_4$  ball milled  $Li_{1.1}Ni_{0.85}O_{2-x}$  (b) Charge-discharge curves of solid state route synthesised LRNO, S-LRNO and LRNO with 5% $Li_2SO_4$  between 2 and 4.3V at 25 mA/g(after the formation cycle).

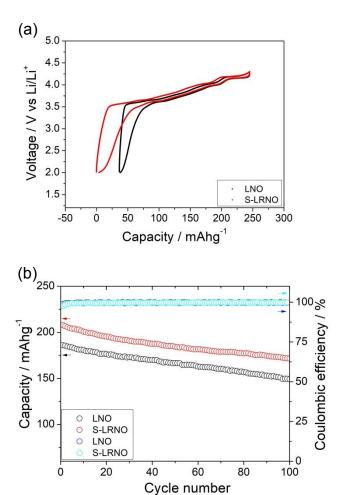


Figure 7 (a) Initial charge-discharge curves LNO and S-LRNO synthesised by the co-precipitation route between 2 and 4.3V at 25 mA/g (after the formation cycle). (b) the cycling performance of LNO and S-LRNO at 100 mA/g.

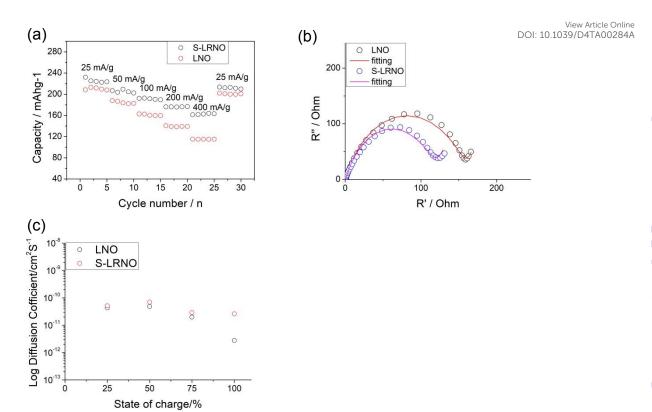


Figure 8 (a) The cycling performance of LNO and S-LRNO prepared using coprecipitation route at different rates. (b) EIS of LNO and S-LRNO prepared using coprecipitation route at the 1<sup>st</sup> cycle. (c) GITT of LNO and S-LRNO prepared using coprecipitation route on charging at the initial cycle (after formation cycles).

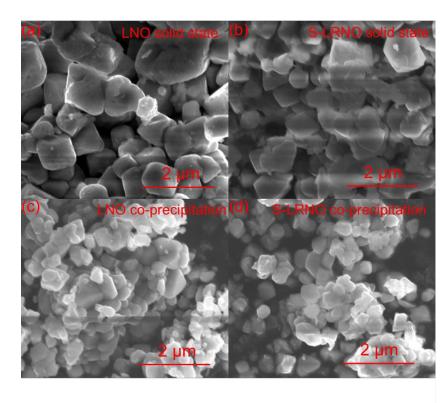


Figure 9. SEM images of samples at 50,000x magnification (a) LNO prepared by solid state route (b) S-LRNO prepared by solid state route (c) LNO prepared by coprecipitation route (d) S-LRNO prepared by co-precipitation route.

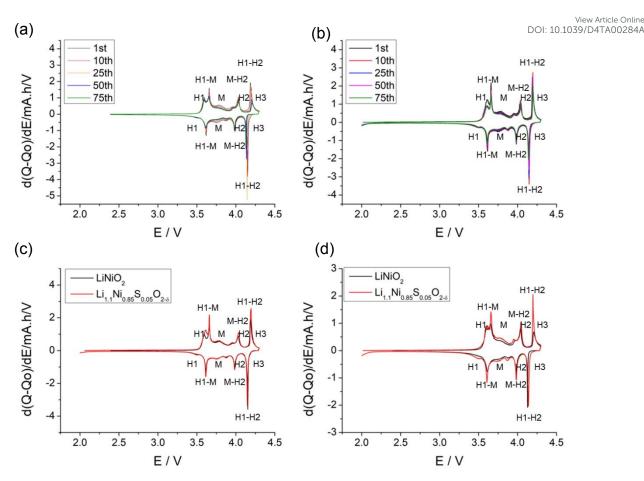


Figure 10 dQ/dV curves of (a) LiNiO $_2$  and (b) Li $_{1.1}$ Ni $_{0.85}$ S $_{0.05}$ O $_{2-x}$  prepared through coprecipitation route; (c) comparison of LiNiO $_2$  and Li $_{1.1}$ Ni $_{0.85}$ S $_{0.05}$ O $_{2-x}$  at 1st cycle. (d) comparison of LiNiO $_2$  and Li $_{1.1}$ Ni $_{0.85}$ S $_{0.05}$ O $_{2-x}$  at 75th cycle showing the clear preservation of H2-H3 transition in the latter.

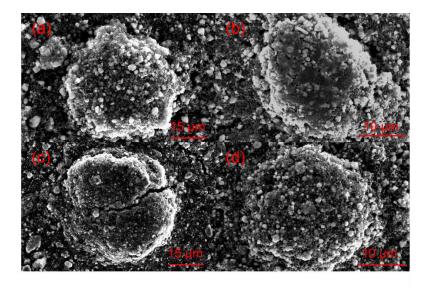


Figure 11 SEM images of (a) pristine LiNiO<sub>2</sub>; (b) pristine Li<sub>1.1</sub>Ni<sub>0.85</sub>S<sub>0.05</sub>O<sub>2-x</sub> (c) LiNiO<sub>2</sub> after 200 cycles. (d) Li<sub>1.1</sub>Ni<sub>0.85</sub>S<sub>0.05</sub>O<sub>2-x</sub> after 200 cycles.

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Table 1 Cell parameters of polyanion doped LiNiO<sub>2</sub>

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Composition	a = b (Å)	c (Å)	V (Å <sup>3)</sup>
LiNiO <sub>2</sub>	2.8814(1)	14.2079(1)	102.16(2)
LiNi <sub>0.975</sub> S <sub>0.025</sub> O <sub>2-x</sub>	2.8817(1)	14.2155(1)	102.23(2)

Table 2 I<sub>003</sub>/I<sub>104</sub> of polyanion doped LiNiO<sub>2</sub>

Composition	I <sub>003</sub> /I <sub>104</sub>
LiNiO <sub>2</sub>	1.569
LiNi <sub>0.975</sub> S <sub>0.025</sub> O <sub>2-x</sub>	2.834

Table 3 Refinement parameters of Li<sub>1.1</sub>Ni<sub>0.85</sub>S<sub>0.05</sub>O<sub>2-x</sub>

Atom	X	У	Z	Mult.	Occupancy	u <sub>iso</sub> (Å <sup>2</sup> )
Li1	0	0	0	3	0.978(2)	0.016
Ni1	0	0	0	3	0.022(2)	0.016
S1	0	0	0.5	3	0.031	0.002
Li2	0	0	0.5	3	0.069(1)	0.002
Ni2	0	0	0.5	3	0.900(1)	0.002
O1	0	0	0.242(1)	6	0.992(4)	0.011

Space group: R-3mh, a = b = 2.8777(1) Å, c = 14.1938(1) Å,  $V = 101.79(1) \text{ Å}^3$ 

Table 4 Computed incorporation energies for select intrinsic defects in spindisproportionated LiNiO<sub>2</sub> at synthesis conditions (700 C, 1 atm O<sub>2</sub>).

Defect	Incorporation	Compensation
	Energy (eV)	
Li <sub>Ni</sub>	0.30	h <sub>Ni</sub>
Vo	1.40	e <sub>Ni</sub>
Ni <sub>Li</sub> <sup>48</sup>	-0.12	e <sub>Ni</sub>

Table 5 Computed incorporation energies for select high-valence dopants in spittor article Online disproportionated LiNiO<sub>2</sub> at synthesis conditions (700 C, 1 atm O<sub>2</sub>). Bond lengths are cited for dopant-oxygen bonds.

Dopant	Incorporation	Chemical	Reference Phase	Bond
	Energy (eV)	Potential (eV)		Length (Å)
Mo <sub>Ni</sub>	0.84	-6.29	Li <sub>4</sub> MoO <sub>5</sub> <sup>31</sup>	1.93
$W_{Ni}$	0.91	-7.16	Li <sub>4</sub> WO <sub>5</sub>	1.93
S <sub>Ni</sub>	2.92	-4.52	Li <sub>2</sub> SO <sub>4</sub>	1.69
S <sub>Ni,(104)</sub>	6.93	-4.52	Li <sub>2</sub> SO <sub>4</sub>	1.59
S <sub>Ni,(012)</sub>	4.57	-4.52	Li <sub>2</sub> SO <sub>4</sub>	1.57
S <sub>Ni,(100)</sub>	4.56	-4.52	Li <sub>2</sub> SO <sub>4</sub>	1.59
S <sub>Ni,(110)</sub>	4.96	-4.52	Li <sub>2</sub> SO <sub>4</sub>	1.57

Table 6 Computed association energies for defect complexes in spindisproportionated LiNiO $_2$  at synthesis conditions (700 C, 1 atm O $_2$ ). Negative is favorable, for consistency with Tables 4 and 5.

Complex	Association Energy (eV)
Li-Ni exchange	-0.05
S <sub>Ni</sub> + Li <sub>Ni</sub>	-0.02
S <sub>Ni</sub> + Ni <sub>Li</sub>	0.20
S <sub>Ni</sub> + Li-Ni exchange	0.23
S <sub>Ni</sub> + V <sub>O</sub>	0.85

Table 7 EIS Fitting Parameters

Parameter	LNO	S-LRNO
R1 (Ω)	1.5	1.4
$R_{CT}(\Omega)$	160	119