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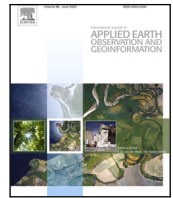
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## Past decade above-ground biomass change comparisons from four multi-temporal global maps

Arnan Araza <sup>a,b,\*</sup>, Martin Herold <sup>a,c</sup>, Sytze de Bruin <sup>a</sup>, Philippe Ciais <sup>d</sup>, David A. Gibbs <sup>e</sup>, Nancy Harris <sup>e</sup>, Maurizio Santoro <sup>f</sup>, Jean-Pierre Wigneron <sup>g</sup>, Hui Yang <sup>d</sup>, Natalia Málaga <sup>a</sup>, Karimon Nisha <sup>a</sup>, Pedro Rodriguez-Veiga <sup>h,i</sup>, Olga Brovkina <sup>j</sup>, Hugh C.A. Brown <sup>k,l</sup>, Milen Chaney <sup>m</sup>, Zlatomir Dimitrov <sup>m</sup>, Lachezar Filchev <sup>m</sup>, Jonas Fridman <sup>n</sup>, Mariano García <sup>o</sup>, Alexander Gikov <sup>m</sup>, Leen Govaere <sup>p</sup>, Petar Dimitrov <sup>m</sup>, Fardin Moradi <sup>q</sup>, Adriane Esquivel Muelbert <sup>r</sup>, Jan Novotný <sup>j</sup>, Thomas A.M. Pugh <sup>s,t</sup>, Mart-Jan Schelhaas <sup>u</sup>, Dmitry Schepaschenko <sup>v</sup>, Krzysztof Stereńczak <sup>w</sup>, Lars Hein <sup>b</sup>

<sup>a</sup> Laboratory of Geo-Information and Remote Sensing, Wageningen University and Research, The Netherlands

<sup>b</sup> Environmental Systems Analysis, Wageningen University and Research, The Netherlands

<sup>c</sup> Helmholtz GFZ German Research Centre for Geosciences, Remote Sensing and Geoinformatics Section, Telegrafenberg, Potsdam, Germany

<sup>d</sup> Laboratoire des Sciences du Climat et de l'Environnement, Université Paris-Saclay, Gif-sur-Yvette, France

<sup>e</sup> World Resources Institute, Washington DC, USA

<sup>f</sup> Gamma Remote Sensing, Worbstrasse 225, Gmülden, Switzerland

<sup>g</sup> ISPA, UMR 1391, INRAE Nouvelle-Aquitaine, Bordeaux Villenave d'Ornon, France

<sup>h</sup> School of Geography, Geology and the Environment, University of Leicester, University Road, Leicester, LE1 7RH, United Kingdom

<sup>i</sup> National Centre for Earth Observation, Space Park Leicester, Leicester, LE4 5SP, United Kingdom

<sup>j</sup> Global Change Research Institute of the Czech Academy of Sciences, Brno, Czech Republic

<sup>k</sup> University of Helsinki, Department of Forest Science, 00790, Helsinki, Finland

<sup>l</sup> Forestry Commission, Ghana. P.O. Box MB434 Accra, Ghana

<sup>m</sup> Space Research and Technology Institute – Bulgarian Academy of Sciences, Bulgaria

<sup>n</sup> Swedish University of Agricultural Sciences (SLU), SE-901 83, Umeå, Sweden

<sup>o</sup> Universidad de Alcalá, Departamento de Geología, Geografía y Medio Ambiente, Environmental Remote Sensing Research Group, Spain

<sup>p</sup> Agency of Nature and Forests, Flanders, Belgium

<sup>q</sup> Aerial Monitoring Research Group, Razi University, Kermanshah 6714414971, Iran

<sup>r</sup> Birmingham Institute of Forest Research, University of Birmingham, United Kingdom

<sup>s</sup> School of Geography, Earth & Environmental Science and Birmingham Institute of Forest Research, University of Birmingham, United Kingdom

<sup>t</sup> Department of Physical Geography and Ecosystem Science, Lund University, Sweden

<sup>u</sup> Wageningen Environmental Research, Wageningen University and Research, The Netherlands

<sup>v</sup> International Institute for Applied Systems Analysis, Austria

<sup>w</sup> Department of Geomatics, Forest Research Institute, Sękocin Stary, 05-090, Raszyn, Poland

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## ABSTRACT

Above-ground biomass (AGB) is considered an essential climate variable that underpins our knowledge and information about the role of forests in mitigating climate change. The availability of satellite-based AGB and AGB change ( $\Delta$ AGB) products has increased in recent years. Here we assessed the past decade net  $\Delta$ AGB derived from four recent global multi-date AGB maps: ESA-CCI maps, WRI-Flux model, JPL time series, and SMOS-LVOD time series. Our assessments explore and use different reference data sources with biomass re-measurements within the past decade. The reference data comprise National Forest Inventory (NFI) plot data, local  $\Delta$ AGB maps from airborne LiDAR, and selected Forest Resource Assessment country data from countries with well-developed monitoring capacities. Map to reference data comparisons were performed at levels ranging from 100 m to 25 km spatial scale. The comparisons revealed that LiDAR data compared most reasonably with the maps, while the comparisons using NFI only showed some agreements at aggregation levels  $<10$  km. Regardless of the aggregation level, AGB losses and gains according to the map comparisons were consistently smaller than the reference data. Map-map comparisons at 25 km highlighted that the maps

\* Corresponding author at: Laboratory of Geo-Information and Remote Sensing, Wageningen University and Research, The Netherlands.

E-mail address: [arnan.araza@wur.nl](mailto:arnan.araza@wur.nl) (A. Araza).

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consistently captured AGB losses in known deforestation hotspots. The comparisons also identified several carbon sink regions consistently detected by all maps. However, disagreement between maps is still large in key forest regions such as the Amazon basin. The overall  $\Delta$ AGB map cross-correlation between maps varied in the range 0.11–0.29 ( $r$ ). Reported  $\Delta$ AGB magnitudes were largest in the high-resolution datasets including the CCI map differencing (stock change) and Flux model (gain-loss) methods, while they were smallest according to the coarser-resolution LVOD and JPL time series products, especially for AGB gains. Our results suggest that  $\Delta$ AGB assessed from current maps can be biased and any use of the estimates should take that into account. Currently,  $\Delta$ AGB reference data are sparse especially in the tropics but that deficit can be alleviated by upcoming LiDAR data networks in the context of Supersites and GEO-Trees.

## 1. Introduction

The above-ground biomass (AGB) content in forests represents the amount of carbon they store and hence changes in AGB correspond to the amount of CO<sub>2</sub> emitted to or removed from the atmosphere. This function of AGB and their changes ( $\Delta$ AGB) defines them as an essential climate variable, being an important input for global climate models and a necessity for countries in their mandated carbon accounting (Herold et al., 2019). Monitoring the spatial and temporal dynamics of AGB benefits from Earth Observation (EO) and a wider range of observations from space that shall enable a more accurate estimation of AGB is anticipated (Rodríguez-Veiga et al., 2017).

Two approaches are commonly used to obtain an estimate of  $\Delta$ AGB. The stock change approach consists of estimating  $\Delta$ AGB by differencing AGB maps from different epochs. Sources of such an approach include the Climate Change Initiative (CCI) Biomass AGB maps for the epochs 2010, 2017 and 2018 with a 100 m pixel size produced using radar remote sensing (Santoro and Cartus, 2021). Another example is the recent L-band Vegetation Optical Depth (LVOD) global AGB dataset with a pixel size of 25 km (Wigneron et al., 2021; Yang et al., 2022). In contrast, with the “gain-loss” approach, land use-specific carbon emission and removal factors are used to derive an estimate of  $\Delta$ AGB starting from an initial estimate of AGB for a given epoch (McRoberts et al., 2020). One example is Harris et al. (2021), wherein a baseline AGB map, annual forest loss and gain maps, and activity data specific to deforestation, fire, agriculture and forestry were used to assess net carbon fluxes in the past two decades at 30 m spatial resolution. Xu et al. (2021) used a similar approach that paired annual AGB maps with activity data for carbon fluxes analysis from 2000 to 2019 at the spatial scale of 10 km.

Independent map assessments should be a standard operating procedure for EO-based products (Nightingale et al., 2010; Duncanson et al., 2021). Map assessment in this context involves the comparison of  $\Delta$ AGB from maps and a reference dataset, i.e., using in-situ data from repeated AGB measurements. A common source of reference data used is represented by measurements collected at sample plots by a National Forest Inventory (NFI) data. Data from NFIs in extra-tropical countries are commonly being used for  $\Delta$ AGB assessments and subsequent analysis mainly because these NFIs are well-established. Most NFIs, however, are not open-access data, which constrains their use for assessments beyond country scales. Yet, countries have used NFI data to report AGB statistics (means and totals) every five years as part of United Nation's Forest Resource Assessment (FRA). The FRA data was used in global-scale studies related to  $\Delta$ AGB analysis, e.g., as a basis for comparison with map-based estimates aggregated to countries in multiple periods (Xu et al., 2021; Araza et al., 2022b). At local scales,  $\Delta$ AGB from airborne LiDAR-based maps provide precise estimates. While forest height derived from LiDAR is highly correlated with AGB, the local estimates they provide are considered more reliable than the  $\Delta$ AGB from plot data as – unlike plots – LiDAR covers whole landscapes (Næsset et al., 2015). These features have allowed LiDAR as a reliable AGB reference data (Duncanson et al., 2021). Given that most LiDAR campaigns are being repeated for monitoring forest ecosystems, a long list of local LiDAR-based  $\Delta$ AGB assessments is available. A

good example is the study of Nguyen et al. (2020) that used periodic LiDAR-based AGB to validate an AGB time series.

The  $\Delta$ AGB map assessments concern the spatial resolution i.e., aggregation level over which  $\Delta$ AGB is assessed. The choice of spatial resolution often depends on the map use (Quegan and Ciais, 2018; Herold et al., 2019). Global map users such as carbon and climate modellers commonly use coarse resolution maps (>1 km) as inputs to global models (Quegan and Ciais, 2018). In contrast, most country-level applications prefer fine-resolution maps that are more informative about highly localized forest area changes such as small-scale mining and slash-and-burn farming. For instance, high-resolution  $\Delta$ AGB maps serve as input for carbon monitoring, reporting and verification (MRV) (Csillik et al., 2022), and ecosystem accounting (Hein et al., 2020). Because of this, it makes sense to iterate map assessments over a range of aggregation levels to determine at what resolution the plot and pixel averages best match (Moreno et al., 2016; Santoro et al., 2022a). Such an exercise would also inform the map users how the maps compare with certain reference data.

Global  $\Delta$ AGB assessment is challenged by several factors. First, there is a lack of readily available and globally suitable reference data because global mapping of multi-temporal AGB has only recently started. Second, the uncertainty about  $\Delta$ AGB assessed from a global product is large. For instance, changes driven by slow regrowth and degradation are challenging to be detected from satellites (Ryan et al., 2011; Santoro and Cartus, 2021). Third, the current good practices for AGB map validation (Duncanson et al., 2021) and map-reference data comparisons (Araza et al., 2022b) concern AGB maps of single epochs. Multi-date AGB mapping studies have either assessed their products for single epochs or skipped map assessments all together. Lastly, not all  $\Delta$ AGB data sources directly provide  $\Delta$ AGB. Converting CO<sub>2</sub> and carbon maps into AGB is straightforward, but sometimes the map products combine the carbon of above-ground and below-ground components (Xu et al., 2021) and occasionally even soil components are included (Harris et al., 2021).

Here we present an exploratory assessment of four  $\Delta$ AGB maps that represent the past decade. The maps were specifically derived from the CCI maps; carbon flux produced using the Harris et al. (2021) method; the Xu et al. (2021) maps and the LVOD maps herein called as CCI, Flux, JPL, LVOD, respectively. Given the lack of a consistent global  $\Delta$ AGB reference dataset, map-map comparisons are used to assess consistency among the maps in reporting AGB gains and losses. We (1) compile several  $\Delta$ AGB reference data sources and assess their suitability for map assessment; (2) compare the  $\Delta$ AGB between reference data and maps at different spatial aggregation levels; and (3) spatially assess the mutual consistency of  $\Delta$ AGB maps.

## 2. Materials and methods

### 2.1. Overview of the methods

The assessment of four global  $\Delta$ AGB Mg ha<sup>-1</sup> is outlined in Fig. 1. Preliminary steps that include forest masking, modification of the original carbon flux model and other pre-processing steps all made sure that the maps have comparable  $\Delta$ AGB. To assess the  $\Delta$ AGB maps, we used three types of AGB dataset with repeated measurements as reference data: airborne LiDAR-based AGB maps (LiDAR), NFI plots and FRA.

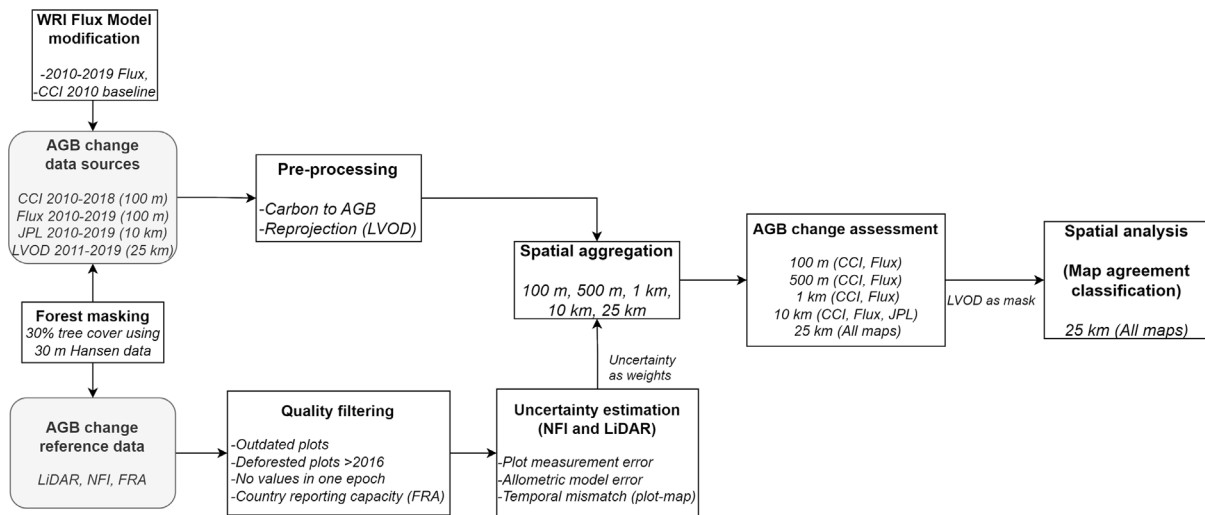


Fig. 1. Overview of the steps undertaken from preliminary steps until the assessment and spatial analysis of  $\Delta$ AGB maps.

To make the map-reference data comparisons meaningful, we further selected subsets of the reference data based on data quality criteria and we applied the same forest mask (used for the maps) based on a forest definition set as >30% tree cover at 30 m pixel size (Hansen et al., 2013). Then, the  $\Delta$ AGB of the reference data and maps were aggregated and compared at five aggregation levels between 100 m and 25 km. The reference data uncertainty were estimated and used as weights for the aggregation step. At 25 km, we assessed the level of agreement among them through spatial analysis and cross-correlation. Note that the units of the aggregation level and map pixel size are both referred similarly e.g.,  $25 \times 25 \text{ km}^2$  and 25 km.

## 2.2. $\Delta$ AGB maps

### CCI Biomass maps

The European Space Agency Climate Change Initiative (CCI) Biomass dataset consist of annual global AGB maps with a pixel size of 100 m. The most recent version includes maps for the epochs 2010, 2017 and 2018, and we selected the 2010 and 2018 epochs for our analysis. Each map was derived from synthetic aperture radar (SAR) data where the signal is in the form of backscatter intensity. The SAR input data are then integrated into a physically-based model, accounting for the individual contributions to the backscattered signal from the forest canopy and the ground below the canopy (Santoro and Cartus, 2021; Santoro et al., 2021). The CCI modelling approach is reinforced by allometric equations that relate the canopy density to forest height and then height to AGB (Santoro et al., 2022b). These allometries were derived from the AGB of GlobBiomass dataset (Santoro et al., 2021), and the canopy density and canopy height metrics based spaceborne LiDAR observations. The CCI map comes with associated standard deviation (SD) layers where propagation of uncertainties from the model inputs and AGB retrieval process are estimated by first-order Taylor series approximation.

### Carbon flux model

The carbon flux model of Harris et al. (2021) was modified to obtain the net above-ground carbon fluxes attributed to AGB change between 2010 and 2019. Net fluxes in this context are defined as the difference between the carbon emitted and removed by woody vegetation. The default starting year of the model (2000) was changed and the model was initiated with the AGB map, the primary forest and the tree cover for the year 2010. The CCI 2010 map provided the baseline AGB

resulting into carbon fluxes at 100 m spatial resolution and we also adjusted the model duration to a 9-year period. We further modified the model to exclude fluxes of other gases aside from  $\text{CO}_2$  ( $\text{CH}_4$  and  $\text{N}_2\text{O}$ ) and excluded below-ground carbon and soil carbon components from the computations. The derived  $\text{CO}_2$  was then converted to carbon flux based on the 12/44 carbon- $\text{CO}_2$  proportion and the carbon flux was converted to  $\Delta$ AGB through division by 0.49 being the conversion factor used by most large-scale carbon mapping. The flux model accounts for the uncertainties (SD) from all major inputs such as the activity data and emission-removal factors, which are estimated at major climatic zone level.

### JPL time series AGB

The JPL product (Xu et al., 2021) retrieves AGB from remote sensing data following a two-step approach. First, in every 10 km grid cell covering field plots, AGB mapping is performed based on the relationship between field plot AGB and vegetation height derived from spaceborne data and airborne LiDAR, and backscatter intensity from spaceborne radar data. The resulting grid cells are then used to train and test machine learning models using covariates from MODIS optical data, topographic and climatic variables. At the pixel level, Xu et al. (2021) estimated and summed the uncertainties related to model residual, model parameter estimation error and plot measurement error. The 10 km time series of above-ground carbon maps were first divided by 0.49 to obtain AGB. We used the average of 2009–2011 and 2017–2019 to derive  $\Delta$ AGB. This step allows comparison with the other  $\Delta$ AGB maps and reduces the inter-annual variability in the original time series.

### SMOS-LVOD time series AGB

Lastly, we used the 25 km LVOD time series AGB products (Wigneron et al., 2021; Yang et al., 2022) derived from observations by the Soil Moisture and Ocean Salinity (SMOS) satellite. Spatially explicit estimates of LVOD were derived every year between 2010 and 2019. Filtering individual images was done to mitigate the signal noises but resulted in partial coverage of east Asia. Temporal aggregates of the LVOD product are results of a temporal decorrelation method to reduce the seasonal effects related to water content. The uncertainty of the LVOD dataset primarily comes from the AGB reference biomass map used. The LVOD dataset was originally projected to an Equal-Area Scalable Earth (EASE) system. We re-projected the map to WGS 84 using bilinear interpolation. Note that owing to data availability, 2011 was used as the starting point for  $\Delta$ AGB assessment by LVOD.

More information about the  $\Delta$ AGB maps are shown in Table S2 including the different remote sensing data applied, spatial resolution, temporal resolution, validation method and forest masking.

### 2.3. $\Delta$ AGB reference data

#### NFIs

The first set of reference data consists of re-measured National Forest Inventories (NFI) plot data from Belgium, the Netherlands, Philippines and Sweden where the first and second measurements were surveyed at least five years apart. The re-measurements allowed estimation of  $\Delta$ AGB. The NFIs have plot-level AGB estimated by the data sources, but without uncertainty estimates. We then estimated plot uncertainty from measurement and allometric model error as a function of AGB, eco-zone and plot size. We used the prediction model described in Araza et al. (2022b) developed from an extensive plot database spread over all major eco-zones. The model followed an error propagation method of parameters from tree measurements such as diameter, height and wood density and the parameters of the AGB allometric model such as the model coefficients and residual standard errors. The AGB of the reference data was also adjusted to reduce the effects of temporal mismatches between the reference and maps. The AGB of datasets surveyed  $\pm >2$  years apart from the map epoch were subjected to this step using growth data from the 2019 Intergovernmental Panel on Climate Change (IPCC) (Buendia et al. 2019). Biomass is either added or reduced depending on the number of years between the inventory date and map epoch. The associated annual uncertainty owing to these adjustments was also estimated based on the reported IPCC growth data uncertainties.

#### LiDAR

The second reference data comprises local AGB maps derived in forests with re-measured plot inventories and two airborne LiDAR campaigns between 2010 and 2019. Maps of  $\Delta$ AGB were derived by differencing AGB maps from the two survey periods. Data sources include maps from Brazil (Longo et al., 2016) and the USA (Johnson et al., 2010) where AGB mapping involved calibration of LiDAR height and plot AGB using power-law models. We also used LiDAR-based maps from research projects in Bulgaria, Czech Republic, Costa Rica, Poland and Spain derived using regression models that relate height and AGB. The LiDAR maps resampled to 100 m were used. Some of these maps have associated SD layers all estimated using Monte Carlo error propagation involving errors from the calibration dataset and the associated height-AGB model parameters.

#### FRA

The third set of reference data are country-level estimates of  $\Delta$ AGB from FRA reports, derived by differencing the reported AGB 2018 and 2010, where 2018 was computed as the average of 2015 and 2020 AGB. We selected the FRA data based on the capability of countries to conduct NFIs and derive FRA variables using remote sensing. We followed the FRA capacity categories based on a scale of 1 to 5 (1=very poor; 5=very good). Selected countries belonging to east Asia were excluded because of incomplete map coverage as explained in Section 2.2. The AGB from the FRA data does not have associated uncertainty estimates.

#### Reference data quality assessment

We further selected subsets of the reference data:

1. NFI plots were filtered using tree cover loss datasets (Hansen et al., 2013) to retain only plots without forest area changes after the latest measurement and prior to 2018 map epoch. We also discarded plots more than 10 years apart from the map epoch;

2. LiDAR pixels were discarded if there are AGB values in one epoch but without values in the other epoch;
3. FRA data were limited to countries with re-measured NFI or with “very good” NFI reporting capacity since 2010.

We reported the number of reference data retained after quality filtering compared to the original data, and mapped the coverage of the selected reference data over eco-zones based on Whittaker's biome (Whittaker, 1975). The coverage per eco-zone and country determined the suitability of reference data for global map assessments. For each reference dataset, histograms of the AGB distribution in two epochs are shown in Figure S1. We also derived the  $\Delta$ AGB density for NFI and LiDAR to assess the  $\Delta$ AGB distribution at every aggregation level (Section 2.4). We aim to assess how the  $\Delta$ AGB (losses, gains and no changes) are depicted from fine to coarse levels depending on the reference data. More information about the reference data is shown in Table S1 and their maps are shown in Figure S2.

### 2.4. Map-reference data $\Delta$ AGB comparisons

The past decade net  $\Delta$ AGB from the map products and the reference data were compared at different spatial resolutions i.e., grid cells. The details of the comparisons are shown in Table 1 showing the grid cell selection.

Grid cells were used if they met the minimum number of reference data inside grid cells (Xu et al., 2021; Araza et al., 2022b), see 2nd column of Table 1. This way, grid cells  $> 1$  km with very few reference data were excluded from the analysis. The AGB averages per epoch from NFI plots and LiDAR pixels at grid cells were estimated as weighted means where reference data with high uncertainty received smaller weights in the averaging. The weights  $W(x)$  were inversely proportional to the variance  $SD_p^2$  of an NFI plot or a LiDAR pixel  $x$  as shown in Eq. (1) (Araza et al., 2022b).

$$W(x) = 1/SD_p^2(x) \quad (1)$$

The AGB averages of both reference data and maps were assured to correspond to a forest mask defined as 30 m pixels  $> 30\%$  tree cover (Hansen et al., 2013). This step was separately done per epoch and for each aggregation level. Subjected to this harmonization are the  $\Delta$ AGB maps from CCI and LVOD (see Table S2) and the LiDAR reference data. Particularly, the maps were resampled to 30 m to match the forest mask pixel size. All non-forest pixels were masked out then the remaining pixels were averaged for each aggregation level. The assessment at 25 km level included FRA as reference data. We simply used the FRA country average AGB for the needed epochs, which are subject to the country forest definition.

The  $\Delta$ AGB comparisons of grid cell averages between the reference data and maps were assessed using statistical measures including mean difference (MD), Root Mean Square Difference (RMSD), coefficient of determination ( $R^2$ ) and Nash–Sutcliffe Efficiency (NSE). These evaluations were implemented for each aggregation level and summarized as colour-coded matrices per  $\Delta$ AGB bin. Herein,  $\Delta$ AGB comparisons pertain to map-reference data  $\Delta$ AGB comparisons, which were also displayed as scatterplots and per  $\Delta$ AGB bin. We also refer to map AGB loss and gain underestimation whenever  $\Delta$ AGB estimates from reference data are higher than the map estimates.

### 2.5. Map-map $\Delta$ AGB comparisons

The 25 km  $\Delta$ AGB maps were masked using the LVOD product for geographical comparability. The latitudinal profiles of the masked  $\Delta$ AGB maps were graphed to depict regional patterns of  $\Delta$ AGB. Then, the level of agreement among the  $\Delta$ AGB maps was spatially assessed depending on the sign of the  $\Delta$ AGB. We first classified  $\Delta$ AGB into “loss” (net loss), “gain” (net gain) and “no change”. Here we assume  $\Delta$ AGB 7 to  $-7$  Mg ha $^{-1}$  as “no change” based on a conservative SD of 9-year growth rate

**Table 1**  
Details of the  $\Delta$ AGB map-reference data comparisons and the selection of grid cells.

Assessment spatial scale	NFI grid cell selection criteria	LiDAR grid cell selection criteria	Map product
100 × 100 m <sup>2</sup> (100 m)	all	all	CCI, Flux
500 × 500 m <sup>2</sup> (500 m)	all	all	CCI, Flux
1 × 1 km <sup>2</sup> (1 km)	>1 plots	all	CCI, Flux
10 × 10 km <sup>2</sup> (10 km)	>4 plots	>14 pixels	CCI, Flux, JPL
25 km	>9 plots	>19 pixels	all

defined in Table 14 of IPCC 2019 for global analysis (Buendia et al., 2019). The threshold avoids erroneous labelling of small  $\Delta$ AGB values, which can be very uncertain (Ryan et al. (2011), Santoro et al. (2022a)). The  $\Delta$ AGB pixels were finally classified as follows: (1) all products agree on “loss”; (2) all products agree on “gain”; (3) all products agree on “no change”; (4) 2 products agree on “loss”, other 2 not “loss” and disagree with each other; (5) 2 products agree on “gain”, other 2 not “gain” and disagree with each other; (6) 2 products agree on “no change”, other 2 not “no change” and disagree with each other; (7) 3 products disagree. We also assessed cross-correlation among the 25 km  $\Delta$ AGB maps (using all pixels) indicated by Pearson’s correlation coefficient ( $r$ ).

### 3. Results

#### 3.1. Reference data for $\Delta$ AGB global comparisons

The spatial distribution of the reference datasets are shown in Fig. 2. The number of discarded data was largest for FRA (90%) since most countries do not have repeated NFIs (see Table S3). More than half of the NFI plots (56%) were excluded either because they were outdated (see Section 2.3) or the sites were deforested after the 2nd measurement and before 2018. Almost no LiDAR pixels (<1%) were filtered out as reference since the repeated LiDAR surveys all took place in the past decade and almost all pixels had valid data in both epochs. The reference data are mostly found in the temperate and tropics but under-represents them as well as the other eco-zones. The selected FRA data, though small in size, come from all eco-zone. Despite its smaller size, the NFI dataset has broader eco-zone coverage than the LiDAR dataset. That is because NFIs are surveyed over entire countries while LiDAR campaigns are typically confined to forests. We had no access to NFIs and LiDAR data from Africa and Australia.

The  $\Delta$ AGB distributions from LiDAR and NFI data at different aggregation levels are shown in Fig. 3. The highest density of data is observed for small  $\Delta$ AGB but there are also several reference data implying large AGB gains and losses. Owing to spatial averaging, the density of data increases towards small  $\Delta$ AGB from fine to coarse aggregation levels, especially for NFI data. The NFI captured larger AGB gains (until 1 km), while LiDAR captured more AGB losses throughout all aggregation levels. These results suggest LiDAR data showed consistent  $\Delta$ AGB distributions across the aggregation levels. These observations are influenced by the forest types where the reference data are located, i.e., forest plantations for NFIs and disturbed forests for LiDAR (Table S1).

#### 3.2. $\Delta$ AGB comparisons at different aggregation levels

Fig. 4 compares the  $\Delta$ AGB derived from the CCI and Flux maps with respect to the corresponding NFI and LiDAR values for all aggregation levels (left to right). While the averaging resulted in a decrease in scattering especially in small  $\Delta$ AGB (i.e., RMSD), the mean difference (MD) is still prominent particularly for AGB loss regardless of the spatial scale. The map-based estimates of  $\Delta$ AGB agreed most with the LiDAR-based values regardless of the spatial scale (e.g., 0.1–0.44  $R^2$ ). When compared to  $\Delta$ AGB values derived from NFI data, the agreement was instead moderate until 1 km (e.g., at most 0.1  $R^2$ ) and poor for coarser spatial scales (e.g., at most 0.03  $R^2$ ). Often, the number of NFI

plots inside coarse grid cells is limited e.g., 5–6 plots per 10 km cell (Figure S2). Another observation in Fig. 4 particularly for the 100–500 m comparisons is the different distribution of  $\Delta$ AGB between CCI and Flux  $\Delta$ AGB bins with no to minimal changes based on reference data. The effect of spatial averaging is further observed in the coloured matrices of MD and RMSD per  $\Delta$ AGB bin in Fig. 5.

Fig. 6 shows the  $\Delta$ AGB comparisons for all map products against reference data aggregated to a common spatial resolution of 25 km. At such a coarse level, map estimates show some level of agreement with LiDAR data. For all maps, agreement is substantially reduced when using NFI data as the reference. The comparisons with the FRA show that countries with re-measured NFI (mostly reporting gains) agree differently with the maps (e.g., 0.03–0.28  $R^2$ ). These variations among maps will be the focus in the spatial analysis results.

#### 3.3. $\Delta$ AGB spatial analysis and map-map comparisons

Fig. 7(a) shows the inter-comparison of  $\Delta$ AGB maps. The magnitude and ranges of  $\Delta$ AGB vary among the maps. The CCI and Flux maps display the largest AGB changes in time as indicated by higher colour contrast in the map and higher fluctuations in their latitudinal profile graphs (Figure S3). Examples are depicted in the CCI results for regions such as east US, south Amazon basin and Madagascar (−18°), and South American countries along −42°; and for high gains in the temperate region for the Flux results. These are regions where the CCI and Flux disagree. Except for LVOD AGB loss, the changes are not very evident in the two time series products (JPL and LVOD). The maps in Fig. 7(a) mostly agree in regions where net AGB loss is prominent such as southwest Amazon, Siberian boreal forests, west and central Africa and Indonesia. Consensus about net forest gains is evident in China, western Canada, African savannahs and in a few patches within Europe and Amazon basin; but disagreement is evident in central Amazonia. Separate maps of these hotspot regions are shown in Figure S4. Map disagreements are further emphasized in Figure S5 where correlation coefficients ( $r$ ) among maps range from 0.11 to 0.29. The majority of the pixels are classified as “no change” as shown in Fig. 7(b). They constitute 66% of total while the other classes constitute: disagree = 21%, gain = 8% and loss = 5%.

### 4. Discussion

#### 4.1. Reference data quality assessment

Current NFI and LiDAR reference data sources under-represent most eco-zones especially in the tropics. We used three European NFIs and one NFI in the tropics, as NFIs are commonly government data and requesting access is often a long process. Aside from crowd-sourcing platforms, online tools such as the *Plot2Map* tool by Araza et al. (2022a) can facilitate access to NFI data. Through the Multi-Mission Algorithm Platform (Albinet et al., 2019), the use of *Plot2Map* has been demonstrated in three countries already. Like for NFIs, the LiDAR reference data were currently limited to specific regions, but there has been an increasing interest and coverage of permanent forest plots with LiDAR campaigns worldwide (Chave et al., 2019). We used NFIs mostly located in European forest plantations while LiDAR data mostly overlapped both disturbed and stable forests. The forest type where

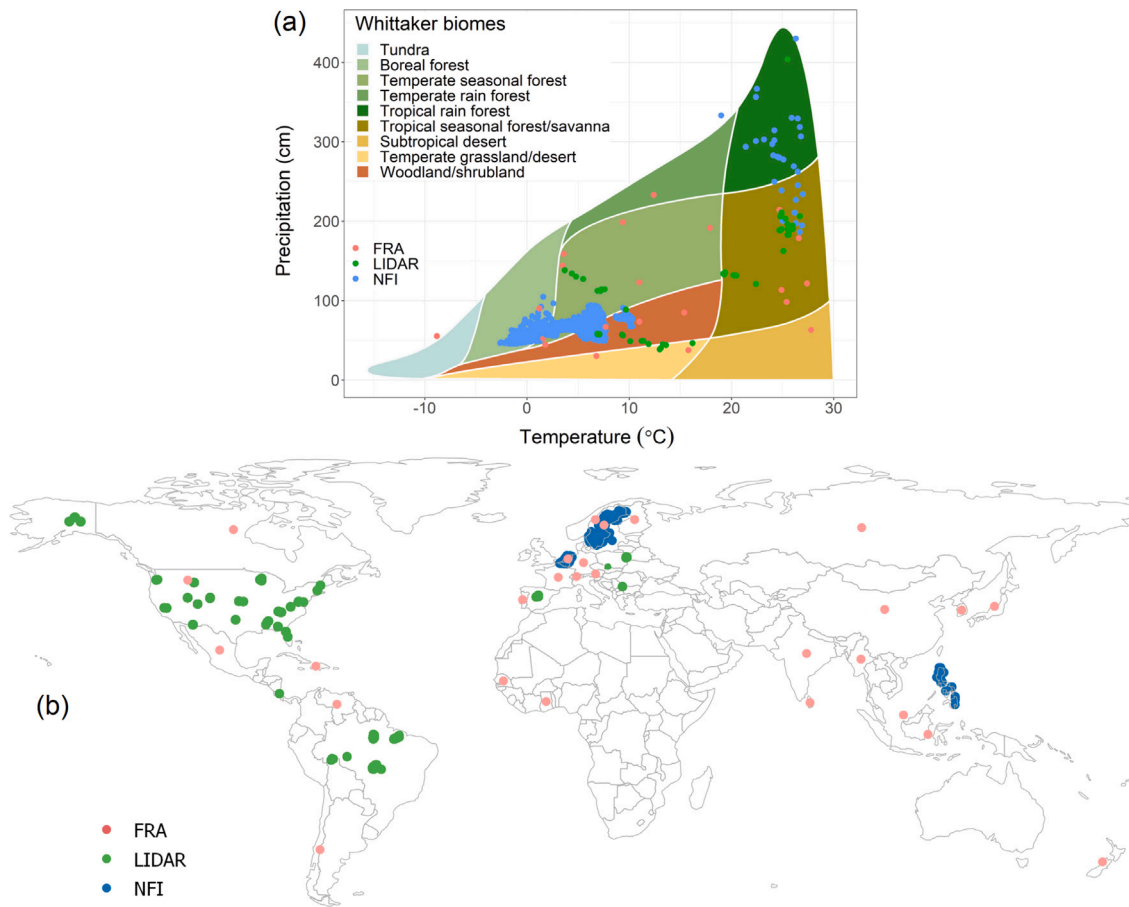


Fig. 2. Coverage of the reference data per major eco-zone (a) and the map of the selected reference data (b). The reference data are already quality-filtered, see Section 2.3.

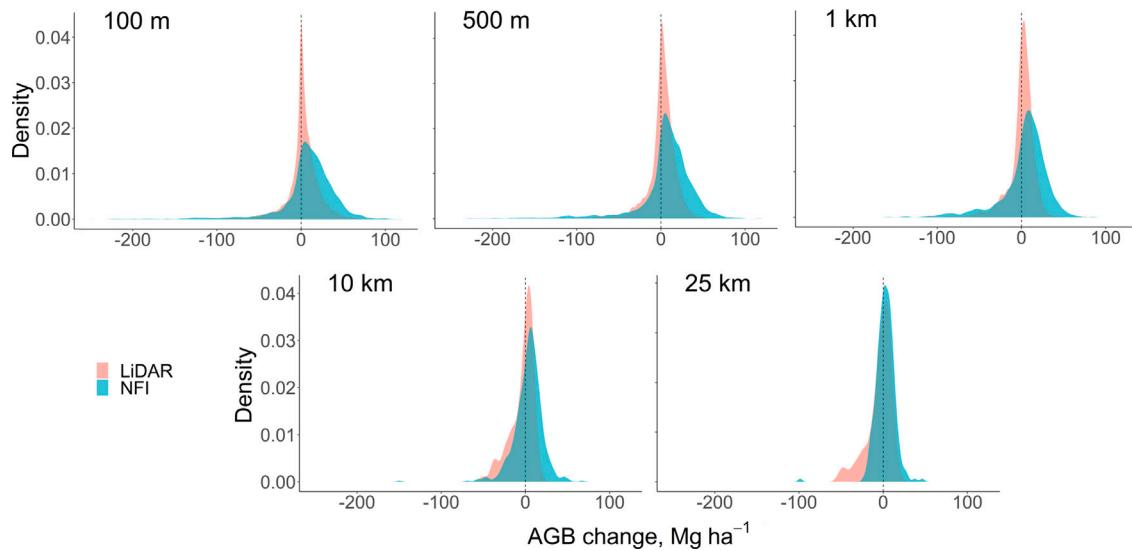


Fig. 3.  $\Delta\text{AGB}$  distributions from NFI and LiDAR data for the five spatial scales.

the reference data are situated also drives the distribution of  $\Delta\text{AGB}$  observed in Fig. 3. Future reference data should also represent different forest land uses.

As the availability of reference datasets increases, the criteria for selecting them for global map assessments can become stricter. While the size of plots may need to be large enough (i.e.,  $> 1$  ha) to fully cover the pixels of map products (Réjou-Méchain et al., 2019), this will limit the reference data to permanent research plots which are always

preferentially sampled. Here the effects of plot size are considered when we weighted our reference data according to plot size-driven uncertainty, and when we excluded grid cells with plots fewer than the minimum number of plots criterion. Stricter grid cell selection can be implied at coarse aggregation levels. The minimum number of plots per grid cell can be increased (Moreno et al., 2016) or grid cells with locally representative plots can only be selected (Araza et al.,

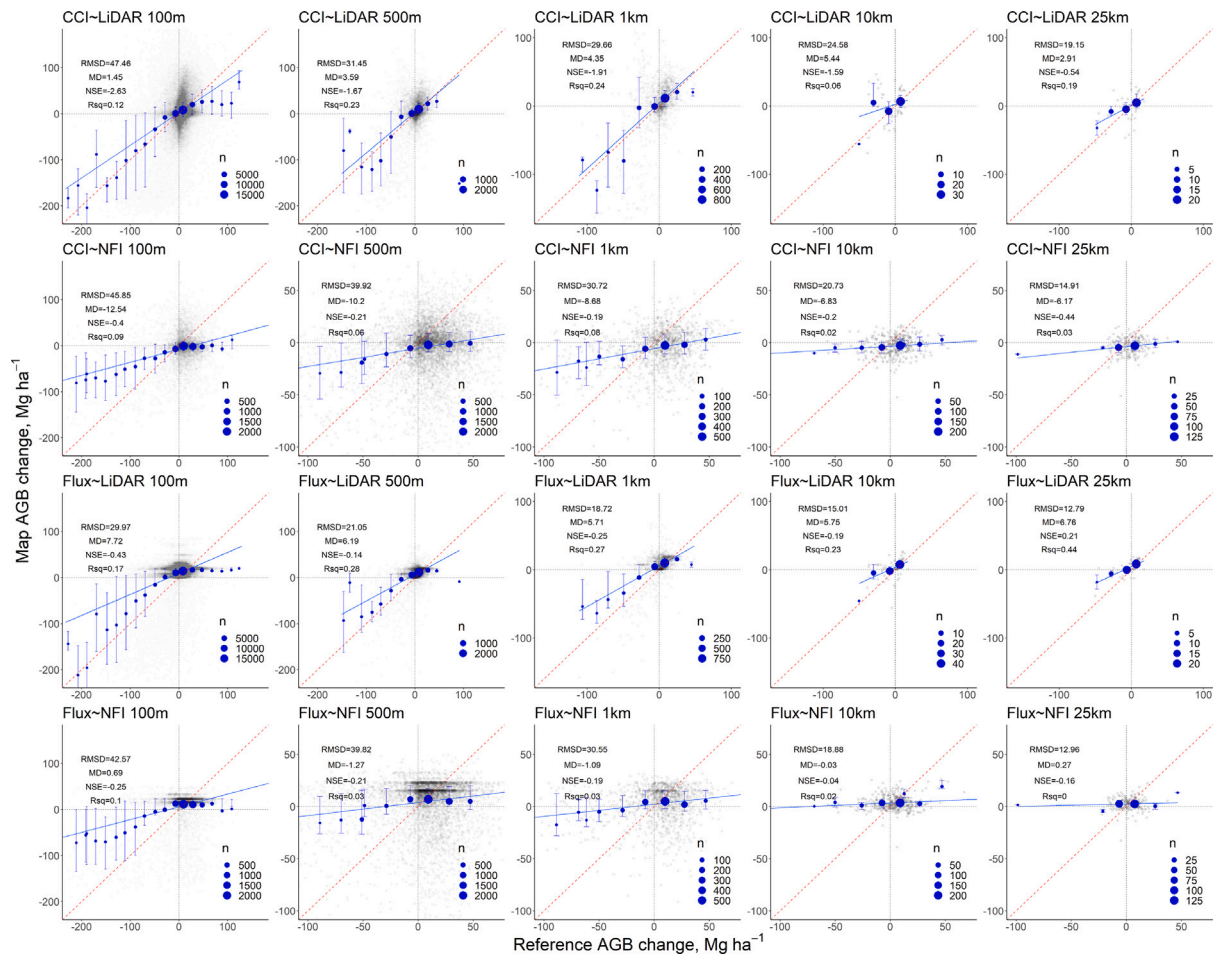


Fig. 4.  $\Delta$ AGB comparisons between the originally 100 m map products (CCI and Flux), and the LiDAR and NFI reference data. The binning symbol size (circles) depends on the number of data, with whiskers indicating the 25th and 75th quartile of the  $\Delta$ AGB map. The bins for the 25 km results are lesser than in Fig. 6. Be aware also of the different axes for NFI and LiDAR assessment i.e., narrower axis for 1 km onwards to increase the visibility of comparisons. Coefficients  $R^2$  and NSE are also shown.

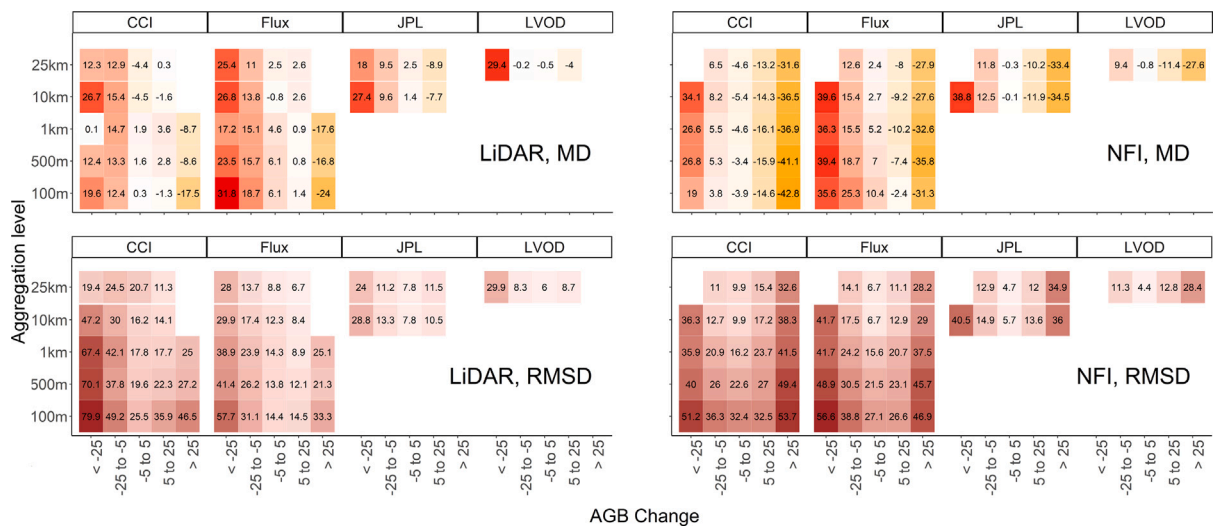


Fig. 5. Matrices of MD and RMSD derived from the comparisons at different aggregation levels and  $\Delta$ AGB bins ( $\text{Mg ha}^{-1}$ ) using NFI and LiDAR data as reference. The colour gradient from light to dark depicts low to high values. For the MD matrix, red gradient refers to AGB loss underestimation while yellow gradient depicts AGB gain underestimation.

2022b). Moreover, additional analysis on global data representativeness and sampling intensity could be initiated. Findings from these can support decisions on whether potential data are still necessary for certain regions (Fig. 2) and identify regions that need more attention

for data requests and measurement campaigns. In cases where NFIs and  $\Delta$ AGB maps need integration for national  $\Delta$ AGB estimates and rigorous map accuracy assessments, the NFI sampling design should be considered (Nesha et al., 2022). Further caution is needed because

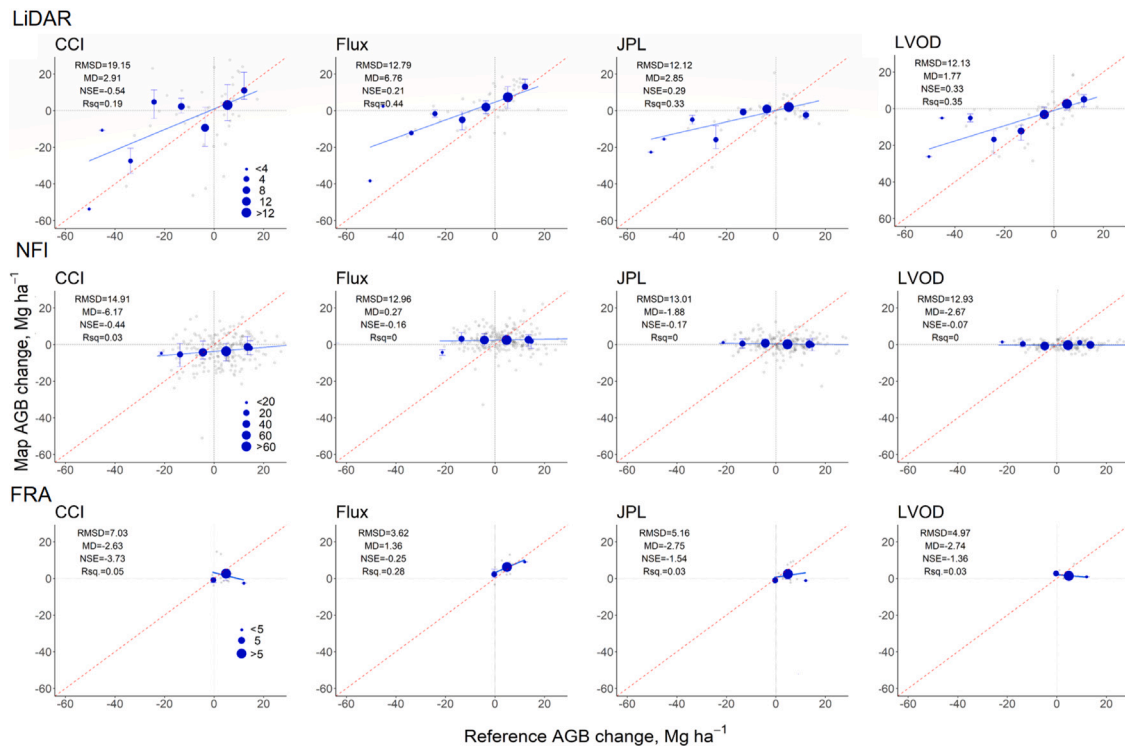


Fig. 6. Comparisons of 25 km  $\Delta$ AGB estimates between the map products and the three types of reference data. The binning symbol size (circles) depends on the number of data, with whiskers indicating the 25th and 75th quartile of the map-based  $\Delta$ AGB. Also shown are the regression line (blue) and the 1:1 line (red). Coefficients  $R^2$  and NSE are also shown.

NFIs are not primarily designed for map comparisons and plots do not properly sample mapped  $\Delta$ AGB especially at coarse aggregation levels. Moreover, NFIs can have different sub-plot configurations that cannot be tessellated over the entire mapped area. If only LiDAR is available for country  $\Delta$ AGB estimation, one should also consider the effect of LiDAR data being preferentially sampled in particular forest types. Lastly, only reference data with estimated uncertainties are preferred for map assessments for uncertainty-weighted AGB averaging. Table S4 summarizes the above discussions geared towards current and future reference data suitability.

#### $\Delta$ AGB comparisons at different aggregation levels

Comparisons of  $\Delta$ AGB between the reference data and maps from 100 m to 25 km levels of aggregation revealed a decrease of scattering in the  $\Delta$ AGB comparisons (i.e., smaller RMSD), and the persistent underestimation of AGB losses, owing mostly to map systematic differences (MD), see Fig. 5. Hence, the MD problem exists regardless of the map product and spatial resolution. It is expected for AGB maps to overestimate smaller AGB and underestimate greater AGB (Réjou-Méchain et al., 2019; Araza et al., 2022b) and this MD is carried over into  $\Delta$ AGB, especially for deforestation and plantation clear-cutting events, e.g., a map-based  $\Delta$ AGB from 350 to 5 Mg ha<sup>-1</sup> where reference data  $\Delta$ AGB shows 450 to 0 Mg ha<sup>-1</sup>. Similarly, gains spanning 9 years (this study) were also expected to be underestimated because of this systematic error.

The comparisons from fine to coarse levels were influenced by the reference data (Fig. 4). The comparisons using NFI and LiDAR at aggregation levels up to 1 km showed moderate agreement with maps and were able to assess large changes i.e., deforestation, clear-cuts and regrowth (Fig. 3). These kinds of  $\Delta$ AGB at fine resolutions are more important when map users require  $\Delta$ AGB maps such as for carbon MRV reporting and ecosystem accounting. Beyond 1 km comparisons where the  $\Delta$ AGB between NFIs and maps disagreed, the NFI plots we

used likely missed to represent local heterogeneous areas with different forest types and forest management activities in Europe (Figure S2). This result is consistent with (Herold et al., 2022) where the  $\Delta$ AGB of NFIs and CCI map were similar when using at least 25 European NFI plots in comparisons aggregated to 12 km. Also using all European NFIs, Moreno et al. (2016) reported that increasing the aggregation level from 1 to 5–25 km is optimal because of increased plot representation over grid cells. The country-level  $\Delta$ AGB comparisons using FRA as reference depicted varying results among maps (Fig. 6). This variation can be attributed to the different forest definitions and AGB estimation methods of countries. Metadata of the FRA dataset is needed as basis to harmonize the map-based estimates and make them more comparable with the FRA. Nevertheless, country-level variations also reflect that there is disagreement of  $\Delta$ AGB among maps.

#### Spatial analysis and map-map differences

The purpose of inter-comparing the  $\Delta$ AGB maps was to assess where maps indicate the same changes. Agreement among maps was gauged by the sign and magnitude of reported  $\Delta$ AGB, and the  $\Delta$ AGB cross-correlation results ranged between 0.11 and 0.29  $r$  (Figure S5). The map products were produced by different remote sensing data types and AGB retrieval methodologies (Section 2.2). The CCI  $\Delta$ AGB is obtained by subtracting the 2018 and 2010 maps i.e., stock change approach. AGB maps are indirect estimates from remote sensing signals and changes in signals are not always equivalent to  $\Delta$ AGB. Past and current spaceborne radar datasets used for CCI lack sufficient sensitivity to measure gradual changes associated with regrowth and degradation especially in dense forests (Santoro and Cartus, 2021). For the Flux model, a gain-loss approach, the IPCC 2019 growth rates were one of the bases for years beyond >2012. Such rates can be very uncertain in Europe (Harris et al., 2021). Interestingly, the CCI and Flux yielded contrasting  $\Delta$ AGB in certain regions (Fig. 7) despite using the same CCI 2010 map as a baseline. This regional variation illustrates the different

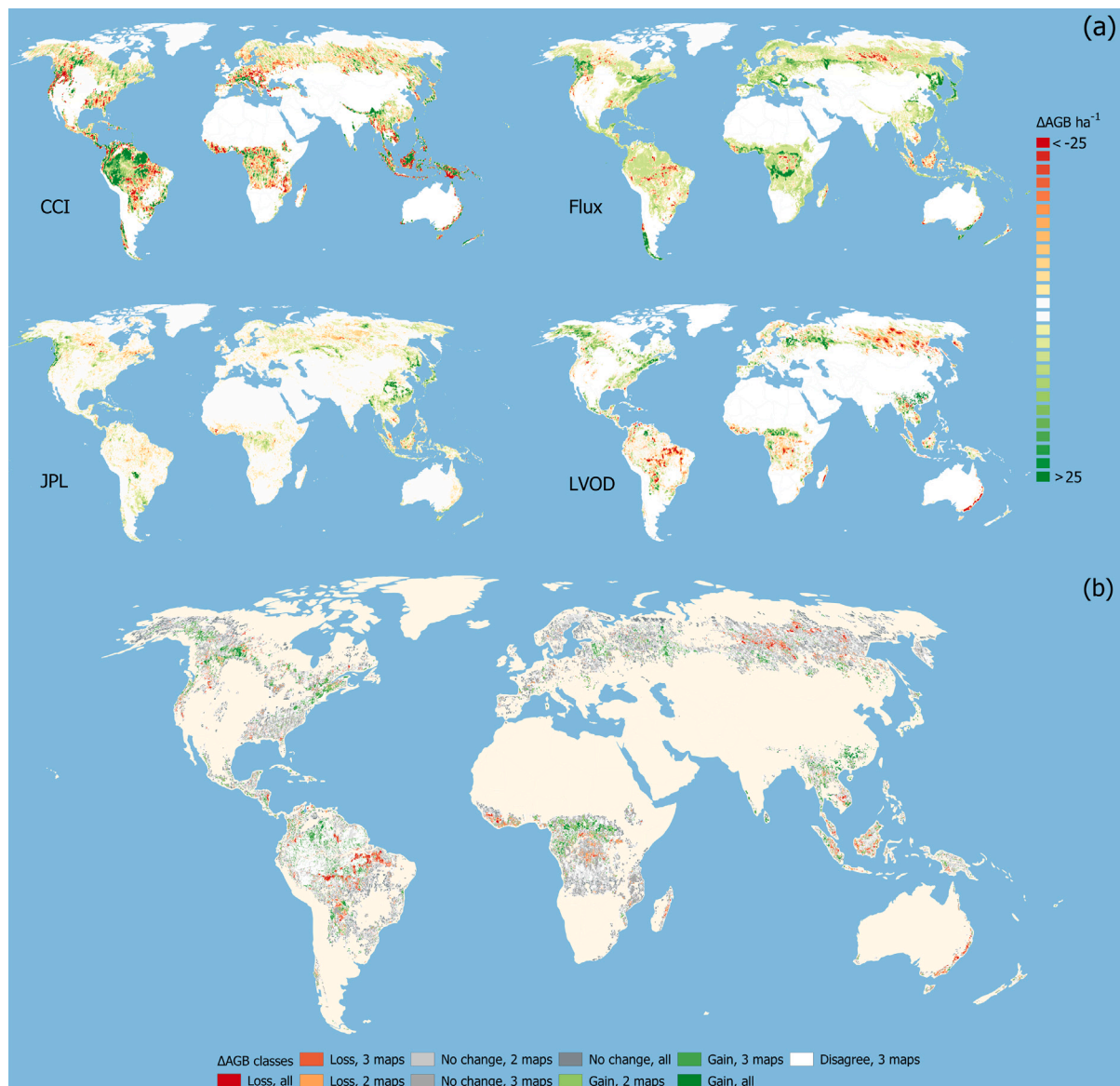


Fig. 7. (a) Map-based  $\Delta$ AGB at 25 km resolution; and (b) Overlap of  $\Delta$ AGB among map products particularly the gain, loss and no change pixels i.e., the stronger the colour, the more agreement there is. The white pixels depict disagreement among 3 products. The rest are areas outside the forest boundary and the masked-out LVOD pixels.

results obtained by stock change and gain-loss approaches. The latter can be strongly influenced by the localized activity data i.e., land uses (McRoberts et al., 2020). Compared to the CCI and Flux, the JPL and LVOD time series products reported smaller  $\Delta$ AGB magnitude especially for AGB gains. Lastly, the  $\Delta$ AGB differences among the maps may also be affected by the different remote sensing data and mapping methods employed by map producers and the slight variations in the map epochs (Table S2).

The overlap of  $\Delta$ AGB maps in Fig. 7 showed that current maps mostly capture AGB losses in known deforestation hotspot regions (Feng et al., 2022). It is known that the satellite signal before and after deforestation is less prone to noise than signals concerning gradual changes like regrowth or degradation (Ryan et al., 2011). Moreover, both JPL and Flux used the same tree cover loss product (Hansen et al., 2013), while the LVOD used the CCI precursor, the GlobBiomass (Santoro et al., 2021), for AGB calibration. All maps reported sinks of carbon in parts of Europe, China, Canada and African savannah, which coincides with several global and regional studies that used remote sensing and in-situ data (Bastin et al., 2017; Tubiello et al., 2021). In contrast, map disagreement was evident in the central Amazon basin — being the

largest and most complex carbon pool region. Previous work of Phillips et al. (2017) reported contrasting views on whether the basin is a source or sink. Most  $\Delta$ AGB pixels were within the interval between  $-7$  and  $7 \text{ Mg ha}^{-1}$ , which were classified as “no change”. These pixels occur mostly in intact and non-forest areas that sequester little to no carbon (Lesiv et al., 2022).

#### Application of the work and outlook

This work provides a confluence of evidence from  $\Delta$ AGB map-reference data and map-map comparisons, which is a source of information for both map producers and users. Map producers can revisit their AGB retrieval process in areas where most maps disagree. We anticipate improvements of the current  $\Delta$ AGB maps. Future releases of the CCI maps will make use of repeated observations instead of a single observation in the form of an image mosaic. In addition, the AGB retrieval will be supported by a much denser dataset of spaceborne LiDAR observations. The Flux model will implement improved activity data and removal factors. In terms of map users, global carbon and climate modellers mainly use global  $\Delta$ AGB maps (Herold et al., 2019;

Quegan et al., 2019). A survey among these users revealed that most modellers prefer > 1 km maps from multiple periods (Peylin et al., 2019). At such levels, the  $\Delta$ AGB is more pronounced compared to 100 m level. Moreover, the > 1 km map-reference data comparisons showed that LiDAR data can be a reliable source of reference data. More airborne LiDAR campaigns including re-measurement campaigns are expected in the coming years, spearheaded by initiatives such as Supersites and GEO-Trees. LiDAR data from two periods were found useful to validate even annual AGB dynamics in stable forests (Nguyen et al., 2020). For national applications, we showed that both NFIs and LiDAR have a certain level of agreement with maps and capture large changes until 1 km, which is useful if users aim to assess specific  $\Delta$ AGB drivers, e.g., deforestation or reforestation-driven changes.

The variability in the 25 km map-reference data comparisons and the map-map comparisons reflect potential limitations of the current global  $\Delta$ AGB assessment. One approach to deal with map disagreements is to produce a new map from an ensemble of existing global AGB maps using model-based approaches (Zhang and Liang, 2020). However, doing so requires a suitable global reference dataset and does not guarantee producing accurate  $\Delta$ AGB estimates. Worth exploring though is the direct mapping of  $\Delta$ AGB using the global  $\Delta$ AGB products and other remote sensing auxiliary data in countries with reference data. The resulting country  $\Delta$ AGB map would benefit national applications that require  $\Delta$ AGB map inputs e.g., for carbon MRV (Csillik et al., 2022), and ecosystem accounting (Hein et al., 2020). Such mapping exercise should account for the uncertainty of sampling variability. This caution also applies for sub-national to national carbon accounting using rigorous estimation methods such as an NFI-based model-assisted  $\Delta$ AGB estimation.

## 5. Conclusions

We compared  $\Delta$ AGB derived from four recent multi-date global AGB maps with three reference datasets at spatial scales between 100 m and 25 km. We also compared the 25 km  $\Delta$ AGB among maps. We conclude the following:

1. The map-based estimates of  $\Delta$ AGB agreed most with the LiDAR-based values regardless of the spatial scale (e.g., 0.1–0.44  $R^2$ ). When compared to  $\Delta$ AGB values derived from NFI data, the agreement was instead moderate until 1 km (e.g., at most 0.1  $R^2$ ) and poor for coarser spatial scales (e.g., at most 0.03  $R^2$ ). The comparison with country averages reported in the FRA revealed different levels of agreement depending on the map (e.g., 0.03–0.28  $R^2$ ). The assessments revealed systematic differences in mapped  $\Delta$ AGB compared to the reference data, i.e., mapped AGB loss was smaller owing to the underestimation of AGB in baseline maps (2010).
2. The global  $\Delta$ AGB map assessments should be considered exploratory because the current NFI and LiDAR reference data sources under-represent most eco-zones especially in the tropics. Nonetheless, this limitation will be alleviated by upcoming airborne LiDAR campaigns that cover most eco-zones and world regions. Moreover, the use of NFI data can be enhanced if more countries decide to support online map assessment tools like *Plot2Map* and data crowd-sourcing platforms. Reference data with uncertainty estimates and within areas where we lack representation in both geographic and feature space (e.g., eco-zones) are preferred for future global  $\Delta$ AGB map assessments.
3. The maps all identified AGB losses in known deforestation hotspots, while also identifying regions that act as sinks. The remaining disagreement among maps (e.g., in the Amazon basin) reflects the different methodology applied such as stock differencing for CCI and gain-loss for Flux; while the two time series products had smaller  $\Delta$ AGB magnitudes. To assure comparable  $\Delta$ AGB among maps and with reference data, preliminary steps were necessary including modification of the original carbon flux model (Flux) and applying a standard forest mask.

The map disagreements and biases need to be understood and addressed to increase the reliability of the maps for applications that require  $\Delta$ AGB estimation and information.

### Models used:

- Modified carbon flux model: <https://github.com/arnanaraza/carbon-budget>
- *Plot2Map*: <https://github.com/arnanaraza/Plot2Map>

### CRedit authorship contribution statement

**Arnan Araza:** Conceptualization, Methodology, Investigation, Formal analysis, Visualization, Writing – original draft. **Martin Herold:** Conceptualization, Writing – review & editing, Resources, Funding, Supervision. **Sytze de Bruin:** Methodology, Formal analysis, Writing – review & editing, Supervision. **Philippe Ciais:** Data curation, Writing – review & editing. **David A. Gibbs:** Data curation, Writing – review & editing. **Nancy Harris:** Data curation. **Maurizio Santoro:** Data curation, Writing – review & editing. **Jean-Pierre Wigneron:** Data curation, Writing – review & editing. **Hui Yang:** Data curation. **Natalia Málaga:** Writing – review & editing. **Karimon Nesha:** Writing – review & editing. **Pedro Rodriguez-Veiga:** Writing – review & editing. **Olga Brovkina:** Data curation. **Hugh C.A. Brown:** Data curation. **Milen Chanev:** Data curation. **Zlatomir Dimitrov:** Data curation. **Lachezar Filchev:** Data curation. **Jonas Fridman:** Data curation. **Mariano García:** Data curation, Writing – review & editing. **Alexander Gikov:** Data curation. **Leen Govaere:** Data curation. **Petar Dimitrov:** Data curation. **Fardin Moradi:** Data curation. **Adriane Esquivel Muelbert:** Data curation. **Jan Novotný:** Data curation. **Thomas A.M. Pugh:** Data curation, Writing – review & editing. **Mart-Jan Schelhaas:** Data curation, Writing – review & editing. **Dmitry Schepaschenko:** Data curation. **Krzysztof Stereńczak:** Data curation. **Lars Hein:** Writing – review & editing, Resources, Funding, Supervision.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

Reference data should be requested. Maps are accessible at [https://figshare.com/articles/dataset/agb\\_change\\_25km\\_cci\\_flux\\_jpl\\_lvod\\_consistency/22349218](https://figshare.com/articles/dataset/agb_change_25km_cci_flux_jpl_lvod_consistency/22349218)

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## Appendix A. Supplementary data

Supplementary material related to this article can be found online at <https://doi.org/10.1016/j.jag.2023.103274>.

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