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ORIGINAL ARTICLE

# Periradicular tissue fluid-derived biomarkers for apical periodontitis: An *in vitro* methodological and *in vivo* cross-sectional study

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#### Abstract

**Background:** Periradicular tissue fluid (PTF) offers a source of diagnostic, prognostic and predictive biomarkers for endodontic disease.

**Aims:** (1) To optimize basic parameters for PTF paper point sampling *in vitro* for subsequent *in vivo* application. (2) To compare proteomes of PTF from teeth with normal apical tissues (NAT) and asymptomatic apical periodontitis (AAP) using high-throughput panels.

**Methodology:** (1) To assess volume absorbance, paper points (n=20) of multiple brands, sizes and sampling durations were inserted into PBS/1%BSA at several depths. Wetted lengths (mm) were measured against standard curves to determine volume absorbance ( $\mu$ L). To assess analyte recovery, paper points (n=6) loaded with  $2\mu$ L recombinant IL-1 $\beta$  (15.6 ng/mL) were eluted into 250 $\mu$ L: (i) PBS; (ii) PBS/1% BSA; (iii) PBS/0.1% Tween20; (iv) PBS/0.25 M NaCl. These then underwent: (i) vortexing; (ii) vortexing/centrifugation; (iii) centrifugation; (iv) incubation/vortexing/ centrifugation. Sandwich-ELISAs determined analyte recovery (%) against positive controls.

(2) Using optimized protocols, PTF was retrieved from permanent teeth with NAT or AAP after accessing root canals. Samples, normalized to total fluid volume (TFV), were analysed to determine proteomic profiles (pg/TFV) of NAT and AAP via O-link Target-48 panel. Correlations between AAP and diagnostic accuracy were explored using principal-component analysis (PCA) and area under receive-operating-characteristic curves (AUC [95% CI]), respectively. Statistical comparisons were made using Mann–Whitney *U*, ANOVA and *post hoc* Bonferonni tests ( $\alpha < .01$ ).

**Results:** (1) UnoDent's 'Classic' points facilitated maximum volume absorbance (p < .05), with no significant differences after 60s ( $1.6 \mu$ L [1.30-1.73]), 1 mm depth and up to 40/0.02 ( $2.2 \mu$ L [1.98-2.20]). For elution, vortexing (89.3%) and PBS/1% BSA (86.9%) yielded the largest IL-1 $\beta$  recovery (p < .05).

(2) 41 (NAT: 13; AAP: 31) PTF samples proceeded to analysis. The panel detected 18 analytes (CCL-2, -3, -4; CSF-1; CXCL-8, -9; HGF; IL-1 $\beta$ , -6, -17A, -18; MMP-1,

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-12; OLR-1; OSM; TNFSF-10, -12; VEGF-A) in  $\geq$ 75% of AAP samples at statistically higher concentrations (p < .01). CXCL-8, IL-1 $\beta$ , OLR-1, OSM and TNFSF-12 were strongly correlated to AAP. 'Excellent' diagnostic performance was observed for TNFSF-12 (AUC: 0.94 [95% CI: 0.86–1.00]) and the PCA-derived cluster (AUC: 0.96 [95% CI: 0.89–1.00]).

**Conclusions:** Optimized PTF sampling parameters were identified in this study. When applied clinically, high-throughput proteomic analyses revealed complex interconnected networks of potential biomarkers. TNFSF-12 discriminated periradicular disease from health the greatest; however, clustering analytes further improved diagnostic accuracy. Additional independent investigations are required to validate these findings.

#### K E Y W O R D S

absorbance, apical periodontitis, biomarkers, elution, inflammation, periradicular tissue fluid

# INTRODUCTION

Periradicular tissue fluid (PTF) is an interstitial fluid derived from the vasculature surrounding the root of a tooth (Nair, 2004). In normal apical tissues (NAT), this serum is transudative and accumulates within extracellular spaces via passive diffusion across osmotic gradients. Stimulation from endodontic pathogens, however, initiates a localized inflammatory response that encourages fluid extravasation by increasing capillary membrane permeability (Hama et al., 2006; Ricucci & Bergenholtz, 2004). In this diseased state, such as asymptomatic apical periodontitis (AAP), PTF is considered an inflammatory exudate that progressively becomes enriched with autocrine and paracrine signalling molecules (Márton & Kiss, 2000). These pro- and anti-inflammatory peptides are responsible for orchestrating a myriad of cellular events involved in local tissue destruction and the development of clinical symptoms (Márton & Kiss, 2014).

In recent years, PTF has been retrieved clinically through root canals using paper points and its composition investigated at the proteomic and transcriptomic levels (Virdee et al., 2019). These experiments have quantified analytes (Martinho et al., 2016; Safavi & Rossomando, 1991; Shimauchi et al., 1996), correlated them with acute endodontic symptoms (Alptekin et al., 2005; Martinho et al., 2016; Pezelj-Ribarić et al., 2007), longitudinally monitored changes throughout treatment (Alptekin et al., 2005; Grga et al., 2013; Liu et al., 2003) and utilized several markers as surrogate outcome measures in clinical trials (Corazza et al., 2021; Teixeira et al., 2022; Zhi et al., 2017). This body of evidence demonstrates PTF's ability to be harvested for local biomarker molecular analysis and proof-of-concept for their diagnostic, prognostic and predictive potential. Thus, a noninvasive chairside sampling procedure targeting individual or groups of analytes could

provide clinicians with more sensitive and specific information regarding periradicular disease status than currently available diagnostic techniques (Dummer et al., 1980; Klausen et al., 1985; Lofthag-Hansen et al., 2007). Similar studies have already identified biomarkers within other oral exudates, notably Matrix Metallopeptidase [MMP]-8 in gingival crevicular fluid (GCF) for use in periodontal disease diagnosis (Sorsa et al., 2020).

Despite recent progress, there still remains an absence of universal protocols for sampling PTF. This could be due to a lack of methodological work-up data, which contrasts other intricate diagnostic procedures where basic parameters have been first optimized in vitro (Hartroth et al., 1999; Inic-Kanada et al., 2012; Zehnder et al., 2014). Additionally, existing PTF studies have limited their investigations to a small array of cytokines using conventional enzyme-linked immunosorbent assays (ELISA; Rechenberg et al., 2014; Teixeira et al., 2022; Zhi et al., 2017). It thus remains one of the only oral exudates that has not been characterized using more contemporary high-throughput methods of proteomic analysis, an approach that better reflects the complex multifaceted nature of periradicular pathophysiology. Furthermore, whilst 45 PTF-derived mediators have been detected across 33 studies (Virdee et al., 2019), data pertaining to their diagnostic performance has yet to be reported. This analysis would be required for determining those individual or molecular groups that could reliably serve as biomarkers for different periradicular disease states, for which there is currently no consensus.

#### AIMS

In order to enhance PTF retrieval for the *in vivo* crosssectional study an *in vitro* methodological study was conducted. The primary aims of the *in vitro* study were to determine the basic parameters facilitating (1) maximum volume absorbance and (2) analyte recovery during paper point sampling of PTF. The primary aim of the *in vivo* cross-sectional study was to use the optimized sampling protocol to identify potential biomarkers for AAP by comparing the proteome of PTF from teeth diagnosed with NAT or AAP. Secondary *in vivo* objectives included (1) exploring associations between peptides and disease states to identify distinct clusters of biomarkers, or biosignatures, for AAP and (2) determining the diagnostic performance of PTF-derived mediators in discriminating periradicular disease from health.

The null hypotheses tested were: (i) different paper point brand, size and sampling durations do not significantly influence absorbed volume; (ii) eluting buffer and technique do not significantly influence analyte recovery; (iii) there are no significant differences in PTF analyte concentrations between NAT and AAP.

# MATERIALS AND METHODOLOGY

This study was performed in accordance with 2021 Preferred Reporting Items for Laboratory studies in Endodontology (PRILE) and 2023 Preferred Reporting items for Observational studies in Endodontics (PROBE) guidelines (Nagendrababu et al., 2021, 2023; Figure 1). Ethical approval was attained from the University of Birmingham's Dentistry Research Tissue Bank (19/ SW/0198) for obtaining PTF as part of routine treatment. Informed consent to retain waste tissue was documented in the participant's electronic medical records.

# In vitro methodological studies

#### Volume absorbance

The laboratory model previously described by Shimauchi et al. (1996), which explored the relationship between fluid volume and paper point wetted length, was adapted to determine the optimal parameters for PTF absorbance. Briefly, paper points from five independent batches were pooled into a polythene bag in accordance with their size and brand and subsequently coded for operator blinding. They were then randomly selected from each bag and with locking college tweezers, perpendicularly clasped at prespecified lengths from their tips depending on the desired insertion depth. These were then suspended within 1.5 mL microfuge tubes (Thermo Fisher Scientific) containing 500  $\mu$ L phosphate-buffered saline (PBS) and 1% bovine serum albumin (BSA; Sigma-Aldrich), which acted

as an *in vitro* substitute for PTF. To improve stability, the gripping component of tweezers was firmly rested upon the coronal aspect of the open microfuge tube, which in itself was supported in a microtube rack. Absorbed volume ( $\mu$ L) was then immediately calculated using a predetermined wetted length (mm) to volume ( $\mu$ L) standard curve. All measurements, including those for testing the parameters below, were taken under magnification using a 0.5 mm graduated stainless-steel ruler. The following parameters, all selected for their use in prior PTF studies, were evaluated using sample sizes consistent with other similar investigations (*n* = 20; Pumarola-Suñé et al., 1998; Shimauchi et al., 1996):

- (i) Brand: Classic (UnoDent), DeTrey (Densply Sirona), DiaDent, HS Maxima (Henry Schein), Maillefer, Orbis, Panadent, QED, Roeko Colour, SybronEndo (Kerr Dental) and VDW tested using 15/0.02 cones for 60s and 1 mm insertion depth. The brand yielding the greatest absorbance was used in subsequent investigations.
- (ii) Insertion depth: 1-, 2-, 3- and 4-mm insertion depths tested using 15/0.02 cones for 60 s.
- (iii) ISO size: 15/0.02, 20/0.02, 30/0.02 and 40/0.02 cones tested for 60 s at 1 mm insertion depth.
- (iv) Sampling duration: 30, 60, 90 and 120s tested using 15/0.02 cones at 1 mm insertion depth.

#### Analyte recovery

The laboratory model previously described by Inic-Kanada et al. (2012), which compared cytokine recovery of different ophthalmic sponges and solutions, was adapted to determine eluting efficacy of several buffers and techniques employed in prior PTF studies. Briefly, recombinant Interleukin [IL]-1 $\beta$  (Bio-Techne), used as a representative analyte (Shimauchi et al., 1996), was reconstituted to 15.6 ng/mL in PBS/1% BSA (Sigma-Aldrich). Two microlitres were loaded onto a single 15/0.02 cone via calibrated pipettor (Gilson), which was then inserted into a 1.5 mL microfuge tube containing 250  $\mu$ L of: (i) PBS; (ii) PBS/1% BSA; (iii) PBS/0.1% Tween20; (iv) PBS/0.25 M Sodium Chloride (NaCl). These then underwent elution via:

- (i) 1 min vortex (Starlab Ltd)
- (ii) 1 min vortex (Starlab Ltd) and 10 min centrifugation (Eppendorf) at 5000g at 4°C
- (iii) 10 min centrifugation (Eppendorf) at 5000g at 4°C
- (iv) 300 min incubation at room temperature, 1 min vortex and 10 min centrifugation (Eppendorf) at 5000g at 4°C

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**FIGURE 1** PRILE and PROBE flowcharts. \**p* < .05.

Under these conditions, 100% analyte recovery equated to 125 pg/mL and the median of the standard curve. For positive controls, 2µL reconstituted IL-1β was inserted directly into buffers whereas neat solutions served as negative controls. Each group had sample sizes consistent with other experiments investigating analyte recovery (n=6; Inic-Kanada et al., 2012). The resulting buffer containing the single loaded cone was then collected, coded

for operator blinding and stored at  $-20^{\circ}$ C until analysis. This was performed using a standard commercial IL-1 $\beta$ sandwich-ELISA kit (Bio-Techne) in accordance with the manufacturer's instructions. Briefly, 100 µL test, control and standard samples were added in duplicates to a clear flat bottom 96-well plate after coating with IL-1β specific monoclonal capture antibody and blocking with the reagent diluent provided. After 2h of incubation at room temperature,

100 μL IL-1β polyclonal antibody conjugated to horseradish peroxidase was added to each well and incubated again for 2h at room temperature. Between each step, plates were washed using an automated plate washer (ELx50, Bio-Tek) and the PBS/0.1% Tween20 wash buffer provided. One hundred microlitres hydrogen peroxidase and chromogen substrate solution were then added for 20 min in the dark followed by 50µL sulfuric acid stop solution. The cytokine concentration in each well was then analysed using the plate reader (ELX800, Bio-Tek) at an optical density of 450 nm and 570 nm, with latter values subtracted from the former to eliminate optical imperfections. The resulting outputs were then calibrated against a standard curve to determine concentration and IL-1 $\beta$ , with percentage recovery (%) calculated by comparing concentrations expressed in test samples with those of positive controls. Pilot investigations revealed that the above-mentioned buffer solutions did not interfere with the assay (data not shown).

#### In vivo cross-sectional study

### Setting

A cross-sectional study was conducted on the undergraduate endodontic specialty teaching clinics at Birmingham Dental Hospital between September 2021 and June 2022. All procedures were performed under a dental operating microscope (Global Surgical Corp.), by the lead investigator (SSV).

#### Participants

Medically fit consenting adults ( $\geq$ 18 years) undergoing root canal treatment in mature permanent teeth diagnosed with NAT or AAP were consecutively recruited. The exclusion criteria consisted of those who had undergone antimicrobial therapy 3 months prior to screening or were immunocompromised. Teeth with previously initiated endodontic treatment or existing root fillings; periodontal pocketing  $\geq$ 5 mm; that were unable to retain a rubber dam; had apices closely associated with the maxillary sinus; exhibited clinical or radiographic signs of a periradicular abscess or cyst (i.e.  $\geq$ 10 mm or corticated radiolucencies), root resorption or fracture were also excluded, alongside whole PTF samples where paper points were contaminated with profuse apical bleeding.

#### Sample size

Statistical methods for determining sample size could not be performed due to lack of prior data. Therefore, researchers collected as many samples as possible during the nine-month study period with minimum of 12 per group, as reported by (Sette-Dias et al., 2016).

#### Diagnostic reference standard

Diagnoses were made by two independent staff with postgraduate endodontic qualifications. Teeth were subjected to clinical (percussion and palpation) and plain-film radiographic examination in conjunction with thermal (Endo-Frost, Roeko) and electric pulp testing. Normal apical tissues were defined as teeth that clinically were not sensitive to percussion or palpation testing and radiographically exhibited an intact laminar dura with uniform periodontal ligament space. Conversely, AAP was defined as teeth that were also clinically not sensitive to percussion or palpation testing but radiographically demonstrated a periradicular radiolucency (Glickman, 2009).

### Clinical protocol

Upon attaining local anaesthesia with 2% lidocaine and 1:80000 adrenaline solution (Dentsply Sirona), teeth were isolated using rubber and liquid dam (Liquidam, Cerkamed). A traditional stable four-walled access cavity to the pulp chamber was then created with cooled diamond burs under high-volume aspiration. After gently washing and air drying away gross dentinal debris and residual moisture with a triple air syringe, a 10/0.02 K-Flex file (Dentsply Sirona) was advanced slowly down the canal whilst connected to a Dentaport ZX electronic apex locator (Morita). Initially, patency was confirmed when only the first red display bar became visible, after which files were retracted to the zero- (i.e. terminal green display bar) and 0.5-reading markers (i.e. fifth green display bar) to determine positions of the apical foramen and constriction, respectively (Connert et al., 2018). All sampling protocols were respective to the former whereas the latter was considered the working length for subsequent root canal preparation and obturation procedures. To improve accuracy, this process was repeated with a 15/0.02 alongside a periapical radiograph taken at the zero-reading via a paralleling technique (ESE, 2006). When file tips were  $\geq 2 \, \text{mm}$  from the radiographic apex or extruded, length modifications were informed by a third electronic apex locator reading. Canals were then pre-flared by watchwinding a 20/0.02 file to the zero-reading in a crown-down manner, which also standardized the apical constrictions' diameter; dried using 25/0.02 paper points set 2mm short of this measurement; and patency filed with a 10/0.02 to disrupt dentinal debris at the apices and encourage intraradicular influx of tissue fluid.

# PTF sampling

Prior to any irrigation, PTF samples were collected with three paper points per tooth using an optimized protocol determined in vitro. Briefly, each cone was gripped with locking college tweezers at the length equating to the zero-reading plus the optimal insertion depth. It was then slowly advanced into the pre-flared canal until reaching this measurement, where the tweezers were unclasped and a digital countdown timer initiated. The exact parameters including brand, insertion depth relative to the zero-reading, ISO size and sampling duration are outlined in the 'volume absorbance' subheading of the results section. If any of the three cones became contaminated by profuse apical bleeding, the entire sample was abandoned. Nevertheless, once sampled, cones were immediately transferred into sterile microfuge tubes, normalized according to total fluid volume (TFV) in 250 µL of sterile buffer solution, eluted using optimized parameters determined in vitro and stored at -80°C until analysis. The exact eluting buffer and technique used can be found in the 'analyte recovery' subheading of the results section. In posterior teeth, samples were retrieved from maxillary palatal and mandibular distal root canals.

# PTF analyses

Wetted lengths (mm) of paper points were measured immediately after sampling and calibrated as per *in vitro* methods to calculate total absorbed PTF volume ( $\mu$ L) per sample.

The Bradford dye-binding assay (Thermo Fisher Scientific) was performed to determine the total protein concentration (TPC;  $\mu$ g/mL). Briefly,  $5\mu$ L of standards and samples were added to a clear flat bottom 96-well plate in duplicates followed by  $250\mu$ L of Bradford reagent. Plates were incubated for 20 min at room temperature with the optical density determined using a plate reader at 595 nm (Tecan Spark). Values were subtracted from those attained from a negative control (i.e. buffer solution only). The TPC was then calculated against a standard series of BSA.

The Target-48 Panel (O-link) was used to quantify the concentration (pg/mL) of 45 different proteins within each sample. Briefly, 1  $\mu$ L of samples, standards and controls were transferred into a 96 well polymerase chain reaction (PCR) plate and incubated overnight at 4°C with 3  $\mu$ L of incubation mix consisting of protein binding antibody pairs alongside conjugated DNA tags. Thereafter, the unique DNA reporting sequences generated were amplified for each target protein by adding 96  $\mu$ L of extension mix to wells and a PCR thermocycler. Thereafter, 2.8  $\mu$ L extension PCR products

and 7.2  $\mu$ L of detection mix was added to a new 96-well PCR plate, with the DNA reported for each target protein being quantified via high-throughput microfluidics real-time quantitative PCR. All outputs were normalized to TFV and presented as pg/TFV (Mente et al., 2016). Cytokines were considered absent, and excluded from analyses, when concentrations fell below the lower limit of detection in >25% of test PTF samples. Data points were assigned zero and maximum values when readings were below or above the respective limits of detection. All assays were performed in duplicates as per manufacturer's instructions.

### Statistical analyses and data presentation

All data were coded by SSV for assessor blinding and statistically analysed per protocol in 'R' (V.4.1.0) software by NZB. Descriptive statistics were presented as medians with [interquartile range] in dot plots using GraphPad Prism software (V.8.0.2). For group comparisons, normality screening was conducted using the Shapiro-Wilks test. Normally distributed groups were compared using one- and two-way ANOVAs with *post hoc* Bonferonni correction tests and skewed groups with Mann–Whitney U tests. Independent-samples *t*- and chi-squared tests were used to compare baseline characteristics between groups. Initial alpha values for the *in vitro* and *in vivo* studies were set at .05 and .01, respectively.

Principal component analysis (PCA) was performed to identify clusters of analytes highly associated with AAP. Briefly, Target-48 data was dimensionally reduced into linear variables termed principal components (PC) with those possessing eigenvalues >1 included in further analyses. Loadings were computed against PCs to determine correlations, which were visualized in PC biplots. These were supplemented with a seriated heat map and network analysis graphs depicting only significant (p < .05) interactions (r > .75) using Gephi (V.0.9.7) software.

Diagnostic performance was determined using receiver operator characteristic (ROC) curves and presented as area under the curve (AUC) with [95% confidence intervals (95%CI)]. Diagnostic accuracy was then classified according to criteria outlined by Šimundić (2009). For individual analytes, optimal cut-offs, and sensitivity and specificity at that concentration, were identified by maximizing the Youden's *J* Index ([sensitivity + specificity] -1; Youden, 1950). For the PCA-derived cluster, continuous data for each constituent peptide was dichotomised using the respective diagnostic thresholds and subsequently entered into a multivariable logistic regression model to calculate AUC. All ROC curves were generated using a leave-one-out cross-validation (LOOCV) approach (Grant et al., 2022).

# RESULTS

Results are summarized in Tables 1 and 2 and Figure 2 for the *in vitro* studies and Tables 3 and 4 and Figures 3–6 for the *in vivo* study. Raw data supporting the findings of this study are available from the corresponding author upon reasonable request.

# In vitro methodological studies

# Volume absorbance

Classic (UnoDent) was the most absorbent brand of paper point (p < .05), with no significant differences occurring after 60 s ( $1.6 \mu$ L [1.30-1.73]), 1 mm insertion depth, which would be relative to the EAL's zero-reading ( $1.6 \mu$ L [1.43-1.71]), and up to a size 40/0.02 ( $2.2 \mu$ L [1.98-2.20]).

# Analyte recovery

IL-1 $\beta$  recovery ranged from 67.6% to 98.3% with significant differences amongst eluting buffers and techniques (p < .05). Overall yields were highest following vortexing (89.3% [82.28–96.41]) and PBS/1%BSA buffer (86.9% [81.44–110.47]) and lowest following incubation, vortexing and centrifugation (80.3% [75.05–84.95]) and PBS (79.9% [73.48–86.80]).

# In vivo cross-sectional study

# Participant characteristics

Fifty-two PTF samples (NAT: 19; AAP: 33) were retrieved after screening 71 individuals; however, only 44 (NAT: 13; AAP: 31) proceeded to analysis due to profuse apical bleeding. The median patient age was 44 [29–55] (NAT: 63 [46–67]; AAP: 37 [28–51]) with an approximate 1:1 male-to-female ratio. Three participants (NAT: 1; AAP: 2) were of Afro-Caribbean descent, 7 were Asian (NAT: 2; AAP: 5) and 34 were Caucasian (NAT: 10; AAP: 24). Eighteen (NAT: 6; AAP: 12) samples were collected from mandibles and 26 (NAT: 7; AAP: 19) maxilla with incisors/canines being the commonest tooth (18; NAT: 2; AAP: 16) followed by molars (14; NAT: 4; AAP: 10) and premolars (12; NAT: 7; AAP: 5). Groups were matched by gender, ethnicity and interarch tooth position.

# PTF characteristics

Wetted lengths were significantly greater in AAP samples (p < .001). This translated into larger PTF volumes ( $1.7 \,\mu\text{L}$  [0.70-2.59]) when compared with the NAT group ( $0.2 \,\mu\text{L}$  [0.10-1.53]; p < .01). Additionally, AAP samples exhibited 249.0  $\mu$ g/mL [63.25-373.42] TPC as opposed to  $3.8 \,\mu\text{g/mL}$  [0-13.75] in controls (p < .01).

**TABLE 1** Optimizing parameters for maximum paper point absorbance (µL) following *in vitro* PTF sampling.

Parameter		Absorbed vo	lume (μL)	
Paper point brand	Classic*	DeTrey	DiaDent	HS Maxima
	1.6 [1.30–1.73]	0.6 [0.40-0.80]	0.7 [0.58-0.83]	0.9 [0.70–1.10]
	Kerr	Maillefer	Orbis	Panadent
	0.9 [0.64–0.10]	0.7 [0.45-0.83]	0.9 [0.60-1.23]	1.0 [0.80–1.30]
	QED DiaDent	Roeka Colour	SybronEndo	VDW
	0.6 [0.40-0.63]	0.7 [0.58-0.90]	1.1 [0.80–1.53]	0.5 [0.40-0.70]
Paper point size (ISO)	15/0.02	20/0.02	25/0.02	30/0.02
	1.6 [1.30–1.73]	1.6 [1.30–1.90]	1.9 [1.55-2.25]	1.9 [1.68-2.43]
	35/0.02	<b>40/0.02</b> <sup>a,b</sup>		
	1.7 [1.40-2.25]	2.2 [1.98-2.20]		
Sampling duration (s)	30*	60	90	120
	0.7 [0.60-0.83]	1.5 [1.30–1.73]	1.5 [1.30–1.73]	1.6 [1.50–1.73]
	150	180		
	1.5 [1.30–1.70]	1.6 [1.35–1.90]		
Insertion depth (mm)	1	2	3	4
	1.6 [1.30–1.73]	1.6 [1.38-1.80]	1.4 [1.20–1.63]	1.4 [1.40–1.63]

*Note*: Results for each group (n = 20) presented as medians and [interquartile ranges]. [\*] versus all other groups; [<sup>a</sup>] versus ISO # 15; [<sup>b</sup>] versus ISO # 20 (p < .05 – One-way ANOVA and *post-hoc* Bonferroni test).

**TABLE 2** Optimizing parameters for maximum analyte recovery (%) following *in vitro* PTF elution.

		IL-1p reco	overy (%)		
Buffer	Vortex <sup>a</sup>	Vortex, centrifuge <sup>b</sup>	Centrifuge <sup>c</sup>	Incubate, vortex, centrifuge <sup>d</sup>	Overall Buffer
PBS <sup>i</sup>	74.7 <sup>b-d</sup>	76.9 <sup>b,c</sup>	81.0	79.2	79.9 <sup>b,c</sup>
	[70.24-78.06]	[74.20-80.94]	[80.66-83.81]	[73.48-82.91]	[73.62-86.80]
PBS & BSA <sup>ii</sup>	94.1 <sup>a,iii</sup>	98.3 <sup>a,d,iii,iv</sup>	$81.8^{i,ii}$	86.1 <sup>ii</sup>	86.9 <sup>a,d</sup>
	[87.74–100.44]	[88.74-106.70]	[80.40-83.79]	[81.57-86.68]	[81.44-110.47]
PBS & Tween20 <sup>iii</sup>	95.4 <sup>a,iv</sup>	94.9 <sup>a,d,iii,iv</sup>	84.0 <sup>i</sup>	73.7 <sup>i,ii</sup>	85.5 <sup>a,d</sup>
	[93.72-97.27]	[92.85-96.91]	[80.92-84.54]	[72.95-77.42]	[79.52–104.17]
PBS & NaCl <sup>iv</sup>	92.5 <sup>a,ii,iii</sup>	67.6 <sup>b,c,i,iv</sup>	$80.0^{i}$	84.5 <sup>ii</sup>	83.9 <sup>b,c</sup>
	[86.81-95.42]	[59.27-77.70]	[73.17-84.69]	[79.27-85.12]	[74.34-99.98]
<b>Overall Technique</b>	89.3 <sup>iii,iv</sup>	84.9	$81.8^{i}$	$80.5^{i}$	
-	[82.28-96.41]	[74.48-94.7]	[80.00-84.65]	[75.05-84.95]	

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*Note*: Results for each group (n = 6) presented as medians and [interquartile ranges]. [<sup>a</sup>] versus corresponding PBS; [<sup>b</sup>] versus corresponding PBS and BSA; [<sup>c</sup>] versus corresponding PBS and Tween20; [<sup>d</sup>] versus corresponding PBS and NaCl; [<sup>i</sup>] versus corresponding vortex; [<sup>ii</sup>] versus corresponding vortex and centrifuge; [<sup>lii</sup>] versus corresponding centrifuge; [<sup>liv</sup>] versus corresponding incubate, vortex and centrifuge (p < .05 - Two-way ANOVA and *post hoc* Bonferroni test). Abbreviations: BSA, bovine serum albumin; NaCl, sodium chloride; PBS, phosphate-buffered saline; IL-1 $\beta$ , interleukin 1 beta.

# Proteomic analysis

The Target-48 panel consistently detected 18, of a potential 45, analytes. These included Chemokine Ligand [CCL]-2, -3 and -4; Colony Stimulating Factor [CSF]-1; Chemokine Ligand [CXCL]-9; Hepatocyte Growth Factor [HGF]; Interleukin [IL]-1 $\beta$ , -6, -8 [CXCL-8], -17A and -18; MMP-1 and -12; Oxidized Low Density Lipoprotein Receptor [OLR]-1; Oncostatin M [OSM]; Tumour Necrosis Factor Superfamily [TNFSF]-10 and 12 and Vascular Endothelial Growth Factor [VEGF]-A. Concentrations were significantly greater (*p* <.001) in AAP samples, with the most abundant cytokines detected being ORL-1 (567.4 pg/TFV [257.98–874.21]), MMP-12 (264.5 pg/TFV [107.80–1314.25]), CXCL-8 (206.8 pg/TFV [54.18–760.58]) and IL-1 $\beta$  (92.6 pg/TFV [23.24–211.80]). The 27 less frequently detected analytes are detailed in Appendix S1.

# Correlations with disease state

The first three PCs, representing 61.6%, 8.5% and 6.3% variance, respectively, were included in PCA. Loadings revealed PC1 to be positively correlated to AAP with higher expressions of all 18 analytes. The second PC exhibited minimal correlations with AAP, lower expression of CCL-3, -4, IL-1 $\beta$ , -6, -17A, -18 and higher expressions of MMP-12, TNFSF-10, VEGF-A. PC3 was negatively correlated with AAP with downregulation of CXCL-8, IL-1 $\beta$ , OLR-1 and upregulation of CXCL-9, IL-6, -17A, MMP-1. The PC biplots and seriated heat maps identified strong positive correlations between AAP and an analyte cluster consisting of CXCL-8, IL-1 $\beta$ , OLR-1, OSM, TNFSF-12. Network analysis graphs illustrate that analyte composition and

number of associations are substantially greater and more complex in diseased tissues. Only 13 analytes formed the NAT network with exclusions of CSF-1, CXCL-9, IL-17A, TNFSF-10, -12. Conversely, all 18 cytokines formed the AAP network and contributed to a greater abundance. Heat maps revealed positive associations between all analytes.

# Diagnostic performance

Individually, TNFSF-12 exhibited the highest diagnostic performance (AUC: 0.94 [0.86-1.00]) and sensitivity (1.00 [1.00-1.00]) and specificity (0.87 [0.77-0.97]) at its diagnostic threshold (0.90 pg/TFV). It was thus considered an 'Excellent' (AUC: >0.9-1.0) discriminator between AAP and NATs. The remaining analytes were deemed 'Very Good' (AUC: >0.8-0.9; HGF, IL-17A, MMP-1, OLR-1, TNFSF-10, VEGF-A), 'Good' (AUC: >0.7-0.8; CCL-3, -4, CSF-1, IL-18, MMP-12, OSM), 'Sufficient' (AUC: >0.6-0.7; CCL-2, IL-1β, IL-6, CXCL-8) or 'Bad' (AUC: >0.5-0.6; CXCL-9). With exception to TNFSF-12, they all generally exhibited higher sensitivity (0.87-0.1) than specificity (0.55-0.8) at their respective cut-offs. The PCA-derived cluster, however, increased diagnostic accuracy and precision (AUC: 0.96 [0.89-1.00]). Supplementary diagnostic performance data is detailed in Appendix S2.

# DISCUSSION

The *in vitro* studies investigated several basic parameters associated with PTF sampling. Unodent's 'Classic' 15/0.02



**FIGURE 2** *In vitro* optimization of PTF during the sampling (n=20) and eluting process (n=6). Mock PTF absorbed volume ( $\mu$ L) with different: (a) brands of paper points; (b) sampling duration and (c) ISO size. Percentage recovery (%) of IL-1 $\beta$  following use of various (d) buffers and (e) eluting techniques. Data presented as dot plots where central bars represent the median alongside interquartile range for whiskers. Significant differences (p < .05; one- and two-way ANOVAs with *post hoc* Bonferroni tests) represented by [horizontal lines] = versus individual groups; [\*] = versus all corresponding groups. BSA, bovine serum albumin; IL-1 $\beta$ , interleukin 1 beta; NaCl, sodium chloride; PBS, phosphate-buffered saline.

paper points inserted for 60s at 1 mm depth relative to the zero-reading, followed by 60s vortexing into  $250 \mu$ L PBS/1% BSA facilitated the largest volume absorbance and IL-1 $\beta$  recovery, respectively. When applied clinically to identify potential biomarkers of endodontic disease, AAP samples had greater paper point wetted length, absorbed volume and TPC than their NAT counterparts. Proteomic analysis consistently identified 18 peptides, all

			Diagnos	is [n (%)]	
Sample characteristics		Total $(n=44)$	NAT ( <i>n</i> =13)	AAP ( <i>n</i> =31)	p Value
Age (years)	Median [IQR]	44 [29–55]	63 [46–67]	37 [28–51]	<.05 <sup>a</sup>
Sex	Female	22 (50.0)	7 (53.8)	15 (46.9)	>.05 <sup>b</sup>
	Male	22 (50.0)	6 (46.2)	16 (53.1)	
Ethnicity	Afro-Caribbean	3 (6.8)	1 (7.7)	2 (6.4)	<.05 <sup>b</sup>
	Asian	7 (16.0)	2 (15.4)	5 (16.1)	
	Caucasian	34 (77.2)	10 (76.9)	24 (77.5)	
Inter-arch position	Mandible	18 (40.9)	6 (46.2)	12 (38.7)	>.05 <sup>b</sup>
	Maxilla	26 (59.1)	7 (53.8)	19 (61.3)	
Intra-arch position	Incisor/Canine	18 (40.9)	2 (15.4)	16 (51.6)	<.05 <sup>b</sup>
	Premolar	12 (27.3)	7 (53.9)	5 (16.1)	
	Molar	14 (31.8)	4 (30.7)	10 (32.3)	

<sup>a</sup>Independent-samples *t*-test.

<sup>b</sup>Chi-squared test.

Abbreviations: AAP, asymptomatic apical periodontitis; NAT, normal apical tissues.

of which were highly expressed in disease and associated with AAP, particularly CXCL-8, IL-1 $\beta$ , OLR-1, OSM and TNFSF-12. Whilst the latter individually demonstrated excellent diagnostic accuracy, the overall PCA cluster exhibited the highest discriminatory power and thus may serve as a reliable biosignature for AAP. All null hypotheses were, therefore, rejected.

# In vitro methodological studies

There are several limitations associated with the present in vitro experiments. The volume absorbance model for instance lacks biofidelity, as it does not take into account surface tensions generated by root canal walls (Karamifar et al., 2012). This would influence fluid movement and could explain the extreme wetted length values observed in vivo. Further support for this phenomenon is derived from a pilot investigation into volume absorbance that utilized an extracted tooth model. When the tips of paper points were inserted into buffer solution via the pre-flared canal of a central incisor, capillary action was consistently observed which oversaturated the cone. As this gave a false representation of paper point absorbance capacity under various parameters, the approach was abandoned, Digital scales would have also been more precise determinants of volume absorbance as opposed to wetted length, which is subject to human measurements of uneven paper point saturation. It was, however, not adopted as both methods have been previously correlated to each other and balances accurate to 1 nanogram are not readily accessible in the clinical environment (da Cunha et al., 2008). For the eluting experiment, IL-1 $\beta$  was the only cytokine used to

determine the effectiveness of several extraction buffers and techniques. Caution should, therefore, be taken when extrapolating these recovery rates to other analytes (Inic-Kanada et al., 2012).

Classic (Unodent) was identified to be the most absorbent paper point brand. This could be attributed to larger pore sizes within its cellulose membrane (Zehnder et al., 2014), which may also explain their less ridged and fibre-shedding properties (Brown, 2017). Surprisingly, no meaningful volume could be further attained after 60s sampling duration, a curvilinear relationship also observed by Shimauchi et al. (1996), and a 1mm insertion depth. The latter would be advantageous in vivo as there would be less risk of cellulose fibres shedding into the periradicular tissues and inducing a persistent foreign body reaction (Nair, 2004). Whilst 40/0.02s were significantly more absorbent, they were impractical for in vivo application as they could not pass through apical constrictions without erroneous enlargement. Instead, 15/0.02s were selected as they demonstrated as much absorbency as 35/0.02s whilst also conforming to the physiological diameter of the minor apical foramen (Chapman, 1969; Dummer et al., 1984). For IL-1 $\beta$  elution, retrieval rates were consistent with those reported by Inic-Kanada et al. (2012), but higher than those found by Shimauchi et al. (1996; 56%-67%). Methodological differences such as more porous paper point material, which has been proposed to lead to less physical entrapment of cytokines (Inic-Kanada et al., 2012), and larger initial loading doses may explain these discrepancies. Nevertheless, when the overall buffer effectiveness was considered, PBS achieved the lowest yields whereas the remaining solutions were relatively interchangeable. These findings are consistent

	Diagnosis		PC lo	adings		Diagnostic perfo	rmance [	95% CI]	
PTF characteristics	NAT $(n = 13)$	AAP $(n=31)$	PC1	PC2	PC3	AUC	Cut-off	Sensitivity	Specificity
Total absorbed volume (μL)	0.2 [0.10 - 1.53]	1.7 [0.70–2.59]*							
Total protein concentration (µg/mL)	3.8 [0-13.75]	249.0 [63.25–373.42]*							
Analyte concentration (pg/TFV)									
Chemokine ligand [CCL]-2	$0.1 \left[ 0.06 - 0.96 \right]$	7.8 [1.87–26.10]*	0.21	0.02	0.15	0.69 [0.56-0.83]	1.12	0.87 [0.77-0.83]	0.69 [0.56-0.83]
Chemokine ligand [CCL]-3	$0.2 \left[ 0.06 - 0.61 \right]$	7.4 [1.74-21.15]*	0.25	-0.32	0.05	0.80 [0.69–0.92]	1.13	$0.93 \left[ 0.85 - 1.00 \right]$	0.69 [0.55-0.82]
Chemokine ligand [CCL]-4	$0.8 \left[ 0.055 - 1.67 \right]$	25.5 [8.35-68.10]*	0.26	-0.20	0.12	0.74 [0.62–0.87]	4.38	$0.92 \ [0.84 - 1.00]$	0.58 [0.43-0.72]
Colony stimulating factor [CSF]-1	0.1 [0.06 - 0.18]	1.3 [0.59–3.12]*	0.28	0.10	0.02	0.80 [0.69–0.92]	0.34	$0.93 \left[ 0.85 - 1.00 \right]$	0.69 [0.55-0.82]
Chemokine ligand [CXCL]-9	$1.0 \left[ 0.57 - 1.37 \right]$	2.7 [0.36–5.78]*	0.16	0.17	0.34	0.60 [0.46–0.75]	0.10	$0.92 \left[ 0.84 - 1.00 \right]$	$0.55 \left[ 0.40 - 0.70 \right]$
Hepatocyte growth factor [HGF]	0.8 [0.31-1.54]	57.4 [27.73–113.93]*	0.28	0.09	0.07	0.86 [0.76–0.96]	5.56	$0.96 \left[ 0.91 - 1.00 \right]$	0.75 [0.62-0.88]
Interleukin [IL]-1β	0.9 [0.16–2.17]	92.6 [23.24–211.80]*	0.18	-0.31	0.23	0.69 [0.54–0.83]	10.03	$0.93 \left[ 0.85 - 1.00 \right]$	0.69 [0.55-0.82]
Interleukin [IL]-6	0.3 [0.028-0.63]	3.2 [0.88–9.69]*	0.24	-0.25	-0.05	0.68 [0.56-0.83]	1.14	$0.95 \left[ 0.89 - 1.00 \right]$	0.55[0.40-0.69]
Interleukin [CXCL]-8	4.6 [0.28-40.85]	206.8 [54.18-760.58]*	0.24	0.05	-0.33	0.68 [0.54–0.82]	200.17	$0.91 \ [0.82 - 0.99]$	$0.50 \left[ 0.35 - 0.65 \right]$
Interleukin [IL]-17A	$0.1 \pm [0.08 - 0.12]$	0.8 [0.41–2.78]*	0.19	-0.19	-0.50	0.84 [0.73–0.95]	0.23	$1.00 \left[ 1.00 - 1.00 \right]$	0.68 [0.55-0.82]
Interleukin [IL]-18	0.4 [0.26–1.11]	46.6 [28.32–93.75]*	0.16	-0.44	0.22	0.79 [0.67 - 0.91]	4.05	$0.93 \ [0.86 - 1.00]$	0.79 [0.66–0.91]
Matrix metallopeptidase [MMP]-1	0.2 [0.034-0.837]	58.2 [12.19–177.26]*	0.22	-0.06	0.31	0.87 [0.77-0.97]	2.02	$1.00 \left[ 1.00 - 1.00 \right]$	0.76 [0.64–0.89]
Matrix metallopeptidase [MMP]-12	0.3 [0.11–6.18]	264.5 [107.80-1314.25]*	0.20	0.50	0.16	$0.74 \left[ 0.62 - 0.87 \right]$	37.98	0.88 [0.79–0.98]	0.56 [0.41–0.70]
Oxidized low density lipoprotein receptor [OLR]-1	13.6 [2.36–36.44]	567.4 [257.98-874.21]*	0.25	0.08	-0.24	0.83 [0.72–0.94]	91.76	0.97 [0.91 - 1.00]	0.80 [0.68-0.92]
Oncostatin M [OSM]	0.7 [0.17 - 1.62]	$14.5 [4.01 - 37.87]^{*}$	0.24	-0.09	-0.12	0.74 [0.61 - 0.87]	3.88	$1.00 \left[ 1.00 - 1.00 \right]$	0.62 [0.48–0.76]
Tumour necrosis factor superfamily [TNFSF]-10	2.1 [1.69–2.60]	$18.3 \left[7.16 - 46.38 ight]^{*}$	0.26	0.28	0.02	0.87 [0.77-0.97]	3.54	$0.93 \left[ 0.85 {-}1.00 \right]$	0.69 [0.55–0.82]
Tumour necrosis factor superfamily [TNFSF]-12	0.6 [0.40-0.75]	12.5 [5.18–26.55]*	0.25	0.12	-0.07	$0.94 \left[ 0.86 - 1.00 \right]$	0.90	$1.00 \left[ 1.00 - 1.00 \right]$	0.87 [0.77–0.97]
Vascular endothelial growth factor [VEGF]-A	2.1 [0.35-4.35]	47.3 [23.79–93.37]*	0.27	0.25	0.06	0.83 [0.72-0.94]	8.37	$0.97 \left[ 0.91 - 1.00 \right]$	0.80 [0.68–0.92]
Presence of AAP			0.16	0.03	-0.41				
PCA cluster (CXCL—8; IL-1β; OLR-1; OSM; TNFSF-12)		I	Ι	Ι	Ι	0.96 [0.89–1.00]		$1.00 \left[ 1.00 - 1.00 \right]$	0.87 [0.77–0.97]
<i>Note</i> : Results presented as median and [interquartile range].									

**TABLE 4** Analysis of PTF collected from *in vivo* sampling.

operator characteristic; TFV, total fluid volume. p < .01 Mann–Whitney U test.

Abbreviations: 95% CI, confidence interval; AAP, asymptomatic apical periodontitis; AUC, area under curve; NAT, normal apical tissues; PC, principal component; PCA, principal component analysis; ROC, receiver

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FIGURE 3 Characteristic of PTF following *in vivo* sampling. (a) absorbed PTF volume (µL); (b) total protein concentration (µg/mL). Data presented as dot plots where central bars represent the median alongside interquartile range for whiskers. Significant differences (p < .05; Mann-Whitney U tests) represented by [\*]. AAP, asymptomatic apical periodontitis (n = 31); NAT, normal apical tissues (n = 13);PTF, periradicular tissue fluid.



**FIGURE 4** Concentration (pg/TFV) of analytes detected within PTF following in vivo sampling. Data presented as dot plots where central bars represent the median alongside interquartile range for whiskers. Significant differences (p < .001; Mann–Whitney U tests) represented by [\*]. AAP, asymptomatic apical periodontitis (n=31); NAT, normal apical tissues (n = 13). CCL-2, chemokine ligand-2; CCL-3, chemokine ligand-3; CCL-4, chemokine ligand-4; CSF-1, colony stimulating factor-1; CXCL-8, interleukin/ chemokine ligand-8; CXCL-9, chemokine ligand-9; HGF, hepatocyte growth factor; IL-17A, interleukin-17A; IL-18, interleukin-18; IL-1β, interleukin-1β; IL-6, interleukin-6; MMP-1, matrix metallopeptidase-1; MMP-12, matrix metallopeptidase-12; OLR-1, oxidized low density lipoprotein receptor-1; OSM, oncostatin M; TNFSF-10, tumour necrosis factor superfamily-10; TNFSF-12, tumour necrosis factor superfamily-12; VEGF-A, vascular endothelial growth factor-A.



FIGURE 4 (Continued)



FIGURE 5 Principal component analysis evaluating the correlation of various analytes to each other and disease states. PC1 versus PC2 biplot.



**FIGURE 6** Heat map and network analysis graphs. (a) Seriated heat map demonstrating positive (blue) and negative (orange) correlations between analytes and presence of asymptomatic apical periodontitis. Variables plotted closer together were more similar. (b) Network analysis graphs demonstrating positive (green) and negative (red) correlations between analytes relative to disease state. Cytokines and significant correlations (r > .75; p < .05) were represented as nodes and connections, respectively. Node size was relative to the median concentration of analytes, and their degree of connectivity, with stronger associations depicted as darker and wider connections.

with the favourable properties of BSA, Tween20 and NaCl in that they preserve macromolecular integrity and reduce nonspecific protein binding (Mao et al., 2007; Steinitz, 2000). With respect to eluting techniques, vortexing alone revealed the greatest percentage of recovery. The high velocities of oscillating forces generated may thus be more suited to shedding proteins entrapped in the paper point meshwork or bound to plastic column walls than centrifugal forces (Zhou et al., 2006).

# In vivo cross-sectional study

The Target-48 panel was selected due to several advantages over other arrays. Only  $1\,\mu L$  of sample was required to

simultaneously quantify 45 cytokines, the proximity extension technology offered exceptionally high sensitivity and specificity and prior q-values demonstrate low false positive rates of analyte detection (Katz et al., 2022; Wik et al., 2021). Furthermore, pilot investigations confirmed that the eluting buffer or exudate matrix did not interfere with internal assay controls, as was observed with other immunoassays.

The present results nevertheless, still need to be interpreted with caution due to several methodological limitations. Most notably, it was not possible to normalize crude analyte values to TPC due to low yields found in NATs, an issue similarly observed by Zehnder et al. (2014) in dentinal tubular fluid. Normalizing to TFV, an alternative strategy utilized in several prior investigations (Ballal et al., 2017; Mente et al., 2016; Teixeira et al., 2022; Wahlgren et al., 2002; Zehnder & Belibasakis, 2022) and suggested by Zehnder and Belibasakis (2022), may thus be necessary when comparing transudates to exudates. This approach, however, increases risk of type 1 errors as differences in analyte concentration may be overestimated, which is why initial alpha values were set to 0.01. The apical constrictions physiological diameter may also limit the volume of PTF that can enter into the canal and thus, be absorbed. Whilst teeth with a minor apical foramen width of ≤0.2 mm will have been standardized via the above protocol (Chapman, 1969), those naturally larger than this may, therefore, have introduced a sample bias. This could have contributed to the substantial variations observed in total absorbed volume. Attempting to standardize to diameters larger than this, however, may inadvertently introduce noxious insults to the periradicular tissues during root canal preparation and obturation. Other limitations include a small sample size, uneven recruitment rate and several unmatched baseline characteristics; all of which introduce selection bias. Contributing factors include convenient sampling and difficulties in attaining PTF from healthy teeth, as they were less frequently encountered and more prone to profuse apical bleeding. Cytokines demonstrating only modest concentration increases (i.e. IL-6, -17A and CSF-1) or a broad spread of data (i.e. CXCL-8 and MMP-12) may, therefore, be underpowered and their significance should be interpreted with caution. This, however, will not be applicable to all mediators with many exhibited highly significant differences.

The objective reference gold standard for confirming endodontic diagnosis is histological examination. As this is not clinically applicable, the presence or absence of periradicular disease in the current study was based on clinical and radiographic signs and symptoms outlined in the American Association of Endodontist guidelines (Glickman 2009). It is acknowledged greater accuracy could have been achieved with cone-beam computed tomography (Patel et al., 2009); however, this facility was not readily accessible. Therefore, there may have been PTF samples obtained from diseased teeth perceived to have NATs and to a lesser extent, vice versa. This was, however, unlikely as most control samples were retrieved from teeth undergoing elective root canal treatment and only unambiguous radiographic lesions were included in the AAP group. Moreover, to improve generalizability of the diagnostic data, it would have been ideal to perform the current investigation in a similar but independent cohort across several sites as per Grant et al. (2022). The present funding could not permit this and so a LOOCV statistical method was used to reduce overlap between training and test data during performance analyses. Each sample would have, therefore, mimicked an externally validating observation, ultimately leading to more robust and less bias AUC values.

The in vivo study consistently identified 18 analytes in PTF samples from teeth with AAP. These comprised of chemokines (CCL2, -3, -4, CXCL-8, -9) cytokines (CSF-1, IL-1β, -6, -17A, -18, OSM, TNFSF-10, -12), growth factors (HGF, VEGF-A), enzymes (MMP-1, -12) and receptors (OLR-1), all of which are of macrophage and/ or T cell origin (Marton & Kiss, 1993; Silva et al., 2007). Their detection is supported by numerous immunohistochemical investigations into periapical lesions that have previously identified 12 of these biomarkers (Hasegawa et al., 2021; Kabashima et al., 2001; Nonaka et al., 2008; Tsai et al., 2008; Weber et al., 2019). Interleukin-1β, -6, -8, -17A and MMP-1 specifically have already been quantified at comparable levels in PTF (Virdee et al., 2019), with this study being the first to report on the remaining 13. Amongst this panel were several analytes with little prior association with apical periodontitis, including the proangiogenic and -regenerative HGF (Grant et al., 1993); IL-18, a modulator of lymphocytic activity (Nakanishi et al., 2001); MMP-12, otherwise known as elastase; OLR-1, an up-regulator of osteoclastogenesis (Ohgi et al., 2018); and TNFSF-10 and -12, both of which induce apoptosis (Kataria et al., 2010). Interestingly, several wellestablished pro-inflammatory mediators, namely Tumour Necrosis Factor [TNF]- $\alpha$  and Interferon [IFN]- $\gamma$ , were undetected. These data conflicts with prior PTF studies and the high concentrations of CXCL-9 and IL-18 observed in this investigation, as the former upregulates expressions of both whilst the latter stimulates IFN-γ production (Kwak et al., 2005; Martinho et al., 2015; Nakanishi et al., 2001; Pezelj-Ribarić et al., 2007). One explanation for this may be that these analytes are more active in acute, as opposed to quiescent, phases of apical disease (Ferreira et al., 2016; Rechenberg et al., 2014).

When data were analysed for correlations, CXCL-8, IL- $1\beta$ , OLR-1, OSM and TNFSF-12 were found to be highly associated with the presence of AAP. PTF-derived IL-1 $\beta$ has previously been correlated to several clinical symptoms characteristic of periradicular disease (Ataoğlu et al., 2002; Matsuo et al., 1994; Shimauchi et al., 1998); whilst GCF studies reported similar relationships for the remaining molecules; with exception of OLR-1 for which there is limited literature (Majeed et al., 2016). Moreover, network analyses revealed the diseased state to be significantly more complex than health. Numerous connections were present for any individual mediator, suggesting a capacity for the periradicular inflammatory response to adapt and persist. This reflects the complexity of the periapical disease process, which is not yet fully understood, and makes it difficult to identify an individual analyte to therapeutically target. Notable correlations that add validity to the data were found between CCL-2, -3 and -4, all of which share similar receptors and have demonstrated

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cross-functionality (Repeke et al., 2010); and HGF and VEGF-A, with the former known to upregulate expression of the latter during wound healing (Reisinger et al., 2003). Similar associations requiring further investigation include VEGF-A and TNFSF-10; VEGF-A and OLR-1; and HGF and CSF-1.

The present diagnostic analysis reveals PTF as being a valid source of biomarkers for distinguishing periradicular disease from health. This objective information would be clinically useful for confirming the resolution of periapical inflammation immediately prior to obturation, particularly considering treatment outcomes are currently determined via temporal analysis of plain-film radiographs (ESE, 2006). Specific clinical situations that would benefit include orthograde treatment of larger lesions where root end surgery may be anticipated or assisting diagnosis of nonspecific orofacial pain in patients with previously initiated root canal treatment. Generally, PTF-derived analytes independently exhibited excellent sensitivity, but it is acknowledged that they lacked the same levels of specificity thus clinically risking over treatment. This was, however, abated when a combination of consistently present biomarkers were used, as the PCAderived cluster increased diagnostic accuracy and precision (AUC: 0.96 [95% CI: 0.89-1.00]). These observations were previously observed by Grant et al. (2022) for combinations of GCF-derived peptides and also conform to the recently published guidelines for biomarker analysis which promotes the notion of biosignatures (Zehnder & Belibasakis, 2022). Of note, when analytes were evaluated individually, TNFSF-12 possessed the most discriminatory power and so according to this study is considered the most reliable individual biomarker for AAP. This reflects other diagnostic reports for marginal periodontal and peri-implant diseases (Yakar et al., 2019). Further independent analyses, however, need to be conducted to externally validate this data.

# CONCLUSION

This two-part study optimized PTF sampling protocols *in vitro* and applied them clinically, alongside a high-throughput panel, to characterize the proteome of healthy and inflamed periapical tissues. A complex interconnected network of 18 potential biomarkers were identified, with CXCL-8, IL-1 $\beta$ , OLR-1, OSM and TNFSF-12 being most associated with the presence of AAP. Both TNFSF-12 and this overall cluster exhibited an excellent diagnostic ability to discriminate periradicular disease from health. The latter could thus be considered a biosignature for AAP. Longitudinal investigations with larger sample sizes are warranted to validate findings and

correlate these mediators with long-term treatment outcomes. Furthermore, cell culture experiments to determine the exact roles of OLR-1, OSM, TNFSF-10 and -12 in periradicular pathophysiology are also required.

### AUTHOR CONTRIBUTIONS

S. S. Virdee involved in conceptualization, data curation, funding acquisition, investigation, visualization, writing the original draft preparation, review and editing. N. Z.
Bashir involved in formal analysis, investigation, visualization, writing the original draft preparation, review and editing. M. Krstic involved in data curation, investigation, visualization, review and editing. M. M. Grant involved in conceptualization, formal analysis, investigation, methodology, resources, supervision, review and editing.
J. Camilleri involved in conceptualization, funding acquisition, supervision, review and editing. P. R. Cooper involved in conceptualization, funding acquisition, methodology, supervision, review and editing.

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#### CONFLICT OF INTEREST STATEMENT

The authors deny any conflicts of interest related to this study.

#### DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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### SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

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