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# Ensemble source apportionment of air pollutants and carbon dioxide based on online measurements

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## 28 Abstract

Air pollution and climate change have attracted worldwide attention due to their significant threats to human health and the environment. To maximize the co-benefits of clean air policies on greenhouse gas emissions and vice versa, it is imperative to coordinate emission control measures for air pollutants and CO<sub>2</sub>. To do this, we first need to better quantify the impacts of different sources to air pollutants and CO<sub>2</sub> at once. Based on a 2-year observation of fine particulate matter (PM<sub>2.5</sub>), gaseous pollutants (including sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), initial volatile organic compounds (In-VOCs)), as well as carbon dioxide (CO<sub>2</sub>), we apportioned the sources of criteria air pollutants and CO<sub>2</sub> simultaneously. We then developed a new source apportionment method to quantitatively determine the relative impacts of different emission sources to (1) a gaseous pollutant ensemble ( $SO_2$ - $NO_x$ -In-VOCs) and (2) PM<sub>2.5</sub> and CO<sub>2</sub> ensemble (PM<sub>2.5</sub>-CO<sub>2</sub>). The results demonstrate that vehicle exhaust (35%), industrial emissions (31%), biomass burning (18%), and coal combustion (16%) were the main control targets for the SO<sub>2</sub>-NO<sub>x</sub>-In-VOCs ensemble, and they were also the dominant contributors to PM<sub>2.5</sub>-CO<sub>2</sub> ensemble with similar contribution rates. Not surprisingly, the source impacts are substantially different for the two studied ensembles to those of individual air pollutant alone. This study provides a new source apportionment method to deliver scientific evidence for developing a coordinated strategy to maximize the benefits of clean air and carbon policies to air quality and the climate. 

## 50 Keywords

51 Ensemble source apportionment; Particulate matter; Gaseous pollutants; Carbon

52 dioxide; Coordinated control





## 57 Highlights

- Concentrations and sources of PM<sub>2.5</sub>, gaseous pollutants and CO<sub>2</sub> were analyzed.
- Developed weighted average method that can quantify ensemble source impacts.
- 61 Reduce combustion source emissions benefit control of gaseous pollutants and PM<sub>2.5</sub>-CO<sub>2</sub>.

63 Manuscript words: 8498 (including the whole text file, table and figure captions, and

64 references)

## **1. Introduction**

Air pollution and climate change substantially threaten public health and the environment (Chen et al., 2020; Schnell and Prather, 2017), which is commonly attributed to the increase in anthropogenic source emissions caused by rapid economic development (Zheng et al., 2015). As a major air pollutant, fine particulate matter (PM<sub>2.5</sub>) has detrimental impacts on the cardiovascular, immune and nervous systems, increases morbidity and mortality, and causes massive disruption to economic activity (Aguilera et al., 2021; Shi, Y. et al., 2018; Wang, Y. et al., 2021). To reduce PM<sub>2.5</sub> pollution, China implemented an action plan, the Air Pollution Prevention and Control Action Plan in 2013 (http://www.gov.cn/zwgk/2013-09/12/content 2486773.htm) and launched the Three-Year Action Plan for Winning the Blue Sky War in 2018 (http://www.gov.cn/zhengce/content/2018-07/03/content 5303158.htm). Although PM<sub>2.5</sub> concentrations have significantly decreased by 30-40% (Li, K. et al., 2019; Xue et al., 2019; Zhai et al., 2019; Zhang et al., 2019), a large enhancement in secondary components was reported for Northern China due to the formation of sulfates, nitrates, ammonium and secondary organic aerosols (SOA) through nonlinear photochemistry of gaseous precursors (sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs)) (Qu et al., 2021; Wang, B. et al., 2021). Secondary aerosols typically contribute more than half of the total PM<sub>2.5</sub> mass in China (Huang et al., 2014; Zheng et al., 2016), thus, variations of PM<sub>2.5</sub> can be largely driven by the changes in secondary aerosols.

87 In addition, climate change has been demonstrated to adversely affect human health,

88	including a rise in vector-borne diseases, increased heat-related morbidity and mortality
89	and potential negative impacts on water resources and crop production (Hayes and
90	Poland, 2018; Piao et al., 2010; Watts et al., 2015), which may affect human habitability
91	in the future (Kang and Eltahir, 2018; Pal and Eltahir, 2016). Since the industrial
92	revolution, the increasing population, intensive agricultural activities and the use of
93	energy (such as fossil fuels) have brought unprecedented climate warming (Scheutz et
94	al., 2009). Carbon dioxide (CO <sub>2</sub> ), a typical greenhouse gas (Kramer et al., 1999), is
95	released into the atmosphere and forces the climate out of balance by interfering with
96	the earth's natural carbon cycle (Rastogi et al., 2002). Studies have shown that the
97	global average CO <sub>2</sub> concentration in the 21st century has exceeded that of the industrial
98	age (1750 AD, 278 ppm) by nearly 45% (Liu et al., 2021). Although CO <sub>2</sub> is not
99	considered an air pollutant, it has become a research hotspot due to its effect on the
100	climate. Simulation studies showed that low-carbon policies oriented toward carbon
101	emission reduction have co-benefits that improve air quality (Shi et al., 2021).
102	Therefore, CO <sub>2</sub> emission reduction is urgently needed.

It is worth noting that climate change, particulate matter and gaseous pollutants are intricately linked. Increased CO<sub>2</sub> in the atmosphere creates a greenhouse effect by absorbing solar radiation reflected from the earth's surface and also releases long-wave radiation, causing global warming. Climate change leads to changes in temperature, radiation, precipitation, wind speed and other meteorological factors that affect the generation, accumulation and diffusion of pollutants (Cai et al., 2017; Zou et al., 2017). Moreover, pollutants (particularly aerosols) affected by the photochemical generation of gaseous precursors can further affect the climate system by altering the atmospheric
radiation budget and influencing cloud formation (Zhao et al., 2017). Therefore, a set
of collaborative approaches to carbon dioxide, particulate matter and gaseous pollutants
reduction need to be developed.

Air pollution has the similar root and source as greenhouse gases. Human activities can not only emit CO<sub>2</sub> but also simultaneously emit air pollutants such as by PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>x</sub>, and VOCs (Shi et al., 2021). Although many recent publications have reported on the source contributions of the aforementioned pollutants (Li et al., 2020; Sun et al., 2020; Yang et al., 2021), only general conclusions can be drawn about the importance of individual pollutants. So far, there is still a lack of source apportionment technology to directly quantify the synergistic effects of common sources of multiple pollutants using detailed PM2.5, SO2, NOx, VOCs and CO2 concentration data. Controlling emissions sources of pollution is an effective way to fulfill coordinated emission reduction of greenhouse gas and air pollution. In this study, we make use of comprehensive observations from June 1, 2017 to May 6, 2019 at Tianjin, China, to quantitatively estimate the source impacts of particulate matter, gaseous precursors (SO<sub>2</sub>, NO<sub>x</sub>, VOCs) and CO<sub>2</sub>, respectively. Based on analytical results of individual species, an ensemble source apportionment technique for coordinated source traceability of multiple air pollutants and greenhouse gas is developed. Ultimately, the purpose is to identify common sources across different seasons to achieve collaborative carbon emission reduction and clean air goals. Our research will provide implications for China and other countries to formulate coordinated air pollutant-greenhouse gas 

132 control strategies in the future.

## **2. Material and methods**

#### 135 2.1. Site description

The campaign was carried out based on annual sampling (from June 1st 2017 to May 6th 2019) at the air quality supersite (117°24'N, 38°59'E) in Nankai University of Tianjin, a megacity in Northern China, which is located in a typical suburban residential area and surrounded by agricultural land. The location of the sampling site is shown in Fig. S1. About 1.5 km southwest and 2.5 km northwest of the site are major roadways with dense automobile traffic. To the northwest of the sampling site, there are industrial parks specializing in manufacturing and chemical industries. Therefore, the sampling point is mostly affected by local air pollution sources.

#### 145 2.2. Measurement and analysis

In order to understand the common sources of air pollutants and greenhouse gas, multiple instruments were deployed in the campaign. PM<sub>2.5</sub> mass concentrations were collected using the online beta attenuation particle monitor (Focused Photonies Inc., BPM-200, China). Elements (Ca, K, Pb, Cr, Cd, Zn, Cu, Ni, Fe, Mn, Ti, etc), key components in PM<sub>2.5</sub>, were measured by an online X-ray fluorescence (Focused Photonies Inc., AMMS-100, China). Water-soluble ions in PM<sub>2.5</sub> (Na<sup>+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, Cl<sup>-</sup>, F<sup>-</sup>, NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, and NH<sub>4</sub><sup>+</sup>) were recorded using an online ion chromatograph

(Thermo Fisher Scientific Inc., URG 9000D, USA), with cation detection using 20 mM methanesulfonic acid, and anion analysis using 0.08 mM Na<sub>2</sub>CO<sub>3</sub>/0.01 mM NaHCO<sub>3</sub>. Organic carbon (OC) and element carbon (EC) of PM<sub>2.5</sub> were carried out by a thermaloptical carbon analyzer (Focused Photonies Inc., OCEC-100, China). Concentrations of CO<sub>2</sub>, carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub> = NO + NO<sub>2</sub>), and gaseous pollutants, including SO<sub>2</sub> and hydrogen sulfide (H<sub>2</sub>S) were detected by online instruments (API Inc. T360, T300, T201, T101, USA). Volatile organic compounds (VOCs) were measured using a set of GC955-611/811 series instruments (Syntech Spectras Inc., Holland). The time resolutions of the measurements collected for this study are summarized in Table S1. 

#### 164 2.3. Source apportionment

Factor analysis models, such as the positive matrix factorization (PMF), are statistical methods that use the chemical composition data at the receptor to obtain source profile and source contribution (equation (1)) (Paatero, 1997; Paatero and Tapper, 168 1994).

169 
$$x_{ij} = \sum_{k=1}^{p} g_{ik} f_{kj} + e_{ij}$$
 (1)

170 where,  $x_{ij}$  (µg·m<sup>-3</sup>) is the concentration of the *j*th species in *i*th sample,  $g_{ik}$  (µg·m<sup>-3</sup>) is 171 the contribution of the *k*th source to the *i*th sample,  $f_{kj}$  (µg·m<sup>-3</sup>/µg·m<sup>-3</sup>) is the fraction of 172 the *j*th species from the *k*th source,  $e_{ij}$  (µg·m<sup>-3</sup>) are the residuals, and *p* is the number of 173 factors. In the process of PMF calculation, the discrepancy between the extracted factor 174 profile and the actual source profile may be attributed to a number of reasons (Reff et al., 2007; Shi et al., 2016), including similar source markers (i.e., collinear sources,
such as dust and soil sand) and emission characteristics of some pollution sources, as
well as measurement error, sampling variability and modeling process (i.e., rotational
ambiguity).

The PMF/Multilinear Engine 2-Species Ratios (PMF/ME2-SR) model based on linear fitting is a scientific computing platform that allows the implementation of external constraints (Amato and Hopke, 2012; Amato et al., 2009; Sofowote et al., 2018). The model incorporates species ratios into the model as constraint conditions of the extraction process, which makes the extracted factors more physically interpretable and acceptable (Liu et al., 2015), and solves the problem of PMF to a certain extent. Since some pollution sources emit both PM and gaseous pollutants, the latter can also reflect the emission characteristics of pollution sources. In view of this feature, the species concentration and the ratios of gaseous pollutants (SO<sub>2</sub>/CO) based on the 2014 emission inventory in Tianjin (see Table S2) (Shi, G. et al., 2018) were incorporated into the model to help explore the influence of sources. In our implementation, a total of 5203 multi-species data in the same period were selected for source apportionment calculation. PMF/ME2-SR was applied with the aim of analyzing the common source impact of individual species while quantifying the ensemble-derived source impacts of multiple-species. 

#### 195 2.4. Ensemble-derived source impacts

196 We developed an ensemble source apportionment method for gaseous pollutants and

PM<sub>2.5</sub>-CO<sub>2</sub>, by using the weighted average method proposed by Lee et al (Lee et al., 2009). In this method, the common source is defined as the source that contributes to both species 1, 2, ..., and *n*. The source that contributes to only one of the substances will not be identified as the common source. The ensemble source apportionment consists of three main steps:

Stage 1. Using the PMF/ME2-SR model to analyze the source impact of individual species (PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>x</sub>, total initial VOCs (In-VOCs), and CO<sub>2</sub>). It should be noted that, the photochemical loss of VOCs can introduce additional uncertainties in the trend of source impact. We corrected the photochemical loss of different VOCs species and used the obtained In-VOCs to identify their real sources (more details of the method are described in our previous study (Wang et al., 2022)). The trend of gaseous data in source apportionment results reflects the influence of PM<sub>2.5</sub> sources, but not the real contributions to gas species, so it is defined as source impact in this study. We regard particulate matter and its components as a total variable, and SO<sub>2</sub>, NO<sub>x</sub>, In-VOCs, and CO<sub>2</sub> are used as their own total variables to participate in the calculation. More of the calculation is detailed in Supporting Section S1 and Section S2. 

Stage 2. Based on the principle of the weighted average method, the weighting coefficients of PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>x</sub>, In-VOCs, and CO<sub>2</sub> are calculated using standard deviation and concentration range thresholds (equation (2)).

216 
$$W_{kj} = \frac{C_j}{\sigma_{S_{jk}} \cdot RT_j}$$
(2)

where  $C_j$  is the concentration of species *j* during sampling;  $\sigma_{S_{jk}}$  is the standard deviation of source impact of species *j* in source *k*;  $RT_j$  is range threshold of species *j*.

Here,  $RT_{PM_{2.5}}$ ,  $RT_{SO_2}$  and  $RT_{NO_x}$  corresponds to GB 3095-2012 "Ambient Air Quality Standard" 24-hour average I grade standard limit (35 µg·m<sup>-3</sup>, 50 µg·m<sup>-3</sup>, and 100 µg·m<sup>-3</sup>).  $RT_{In-VOCs}$  uses the maximum allowable emission concentration of pollution sources, which is extracted from GB 16297-1996. Since there is no commonly accepted standard value for CO<sub>2</sub>, we made the estimate based on the percentile of 35 µg·m<sup>-3</sup> in all PM<sub>2.5</sub> data (the estimated value was 754836 µg·m<sup>-3</sup>).

Stage 3. Combining individual species source impact and weighting coefficient, the coordinated source traceability formula (equation (3)) is proposed to quantify the ensemble-derived source impacts of multiple species ( $SO_2-NO_x-In-VOCs$  and  $PM_{2.5}-$ CO<sub>2</sub>). More details about the calculation principle are described in Section S3.

229 
$$\overline{S}_k = \frac{W_{kj} \cdot S_{jk}}{\sum_{l=1}^L W_{kj} \cdot S_{jk}} \times 100\%$$
(3)

where  $\overline{S}_k$  is the ensemble impact of source k (µg·m<sup>-3</sup>) during sampling;  $S_{jk}$  is the impact of species j in source k;  $W_{kj}$  is the calculated weight of the impact of source kand is calculated by standard deviation and range threshold in this study, as described in equation (2).

## **3. Results and discussion**

#### *3.1. Pollutant levels*

The monthly variations of  $PM_{2.5}$  compositions, main gaseous precursors (SO<sub>2</sub>, NO<sub>x</sub>, and VOCs), and CO<sub>2</sub> during the campaign are illustrated in Fig. 1. The average concentration of  $PM_{2.5}$  was 61.7  $\mu$ g·m<sup>-3</sup>. Between November to March,  $PM_{2.5}$ 

240	concentrations were 2.2~3.0 times of the National Air Quality I Grade Standard (35
241	$\mu$ g·m <sup>-3</sup> ). The seasonal pattern was consistent with previous studies in other regions
242	(Feng et al., 2021), suggesting fine particle pollution is still severe in winter. Fig. 1c
243	provides additional statistics on the mass concentrations of major inorganic elements
244	and ionic components. The results showed that the concentrations of inorganic elements
245	were in the order of winter $(2.9 \ \mu g \cdot m^{-3}) > \text{spring} (2.0 \ \mu g \cdot m^{-3}) > \text{autumn} (1.9 \ \mu g \cdot m^{-3}) > 100 \ \mu g \cdot m^{-3} = 100 \ \mu g \cdot m^{-$
246	summer (0.9 $\mu$ g·m <sup>-3</sup> ). Their concentration peaked in February at 3.1 $\mu$ g·m <sup>-3</sup> and was the
247	lowest in July at 0.6 $\mu$ g·m <sup>-3</sup> . Compared with other elements, K (0.7 $\mu$ g·m <sup>-3</sup> ), Fe (0.6
248	$\mu$ g·m <sup>-3</sup> ), Ca (0.3 $\mu$ g·m <sup>-3</sup> ) and Zn (0.2 $\mu$ g·m <sup>-3</sup> ) accounted for 34%, 28%, 16% and 11%
249	of the total elements respectively. In Fig. 1d, the concentrations of water-soluble ions
250	ranked as: winter (38.0 $\mu$ g·m <sup>-3</sup> ) > autumn (31.3 $\mu$ g·m <sup>-3</sup> ) > spring (31.1 $\mu$ g·m <sup>-3</sup> ) >
251	summer (16.5 $\mu$ g·m <sup>-3</sup> ). They peaked in March (46.1 $\mu$ g·m <sup>-3</sup> ), and decreased to the
252	lowest value in June (12.9 $\mu$ g·m <sup>-3</sup> ). Among them, the mass concentrations of secondary
253	ions such as NO3 <sup>-</sup> , NH4 <sup>+</sup> and SO4 <sup>2-</sup> were 10.6 $\mu$ g·m <sup>-3</sup> , 7.9 $\mu$ g·m <sup>-3</sup> and 6.7 $\mu$ g·m <sup>-3</sup> ,
254	respectively, contributing to 17.2%, 12.3%, and 10.8% of $PM_{2.5}$ mass. This suggests a
255	severe secondary pollution. Thus, it is necessary to further explore gaseous pollutants
256	that are precursors in the formation of secondary aerosol.

As shown in Fig. 1, during the monitoring period, the average concentrations of SO<sub>2</sub>, NO<sub>x</sub> and VOCs were 9.7  $\mu$ g·m<sup>-3</sup>, 86.0  $\mu$ g·m<sup>-3</sup> and 55.7  $\mu$ g·m<sup>-3</sup>, respectively. NO<sub>x</sub>, SO<sub>2</sub>, and VOCs were significantly correlated with PM<sub>2.5</sub> (Fig. S2), and the correlation coefficients ( $r_1$ ,  $r_2$  and  $r_3$ ) were 0.83, 0.81 and 0.80, respectively. These correlations revealed that the variations in PM<sub>2.5</sub> concentrations are strongly influenced by local

meteorological conditions (Dai et al., 2020). Understanding the impact of gaseous pollutant source emissions could contribute to the formulation of PM2.5 pollution source control strategies. Furthermore, by analyzing observational data, we found that CO<sub>2</sub> average concentration was 433.7 ppm. The concentrations from November to January were higher than that in other months, which were 479.0 ppm, 499.5 ppm and 507.1 ppm, respectively. Coincidentally, the temporal pattern of  $CO_2$  correlated with  $PM_{2.5}$ which suggests that the main sources of CO<sub>2</sub> and PM<sub>2.5</sub> are similar in the study area. Thus, it is possible to apportion the sources of both CO<sub>2</sub> and PM<sub>2.5</sub> at the same time, which is conducive to the understanding of the impact of source changes on the coordinated emission reduction of CO<sub>2</sub> and pollutants. 



Fig. 1. Monthly concentrations of monitored species from June 2017 to May 2019. (a): Concentrations ( $\mu g \cdot m^{-3}$ ) of PM<sub>2.5</sub> and CO<sub>2</sub>. (b): Concentrations ( $\mu g \cdot m^{-3}$ ) of sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), and volatile organic compounds (VOCs). (c): Concentrations ( $\mu g \cdot m^{-3}$ ) of elements in PM<sub>2.5</sub>. And (d): concentrations ( $\mu g \cdot m^{-3}$ ) of ions, organic carbon (OC), and element carbon (EC) in PM<sub>2.5</sub>.

#### *3.2. Source impacts on individual species*

In order to further understand the influence of pollution sources on air pollutants and CO<sub>2</sub>, online measurement datasets that include ions, elements, OC, EC concentrations in particle phases, and gaseous species (SO<sub>2</sub>, NO<sub>x</sub>, In-VOCs, CO, and CO<sub>2</sub>) were inputted into the PMF/ME2-SR model. The calculation principles are available in Section S1 and Section S2. As shown in Fig. S3, the positive correlation (r=0.95) between simulated PM<sub>2.5</sub> and measured PM<sub>2.5</sub> concentrations confirmed the reliability of the source apportionment results. More discussion on the reliability of our results is provided in Supporting Information Section S4.

#### 289 3.2.1. Source contributions to $PM_{2.5}$

A detailed analysis of PM2.5 source apportionment was carried out to further quantify the impact of common sources. Sources of PM2.5 were identified according to source markers and ratios of gaseous species in factors (Table S2). After running the model, 8 factors were identified and the factor profiles were showed in Fig. 2. Factor 1 was dominated by high proportions of OC, EC, NO<sub>x</sub>, CO, and CO<sub>2</sub>. Studies suggested that OC and EC are marker species for vehicles, and a large amount of nitrogen oxides will be emitted during driving too (Li, X. et al., 2019; Yuan et al., 2006). In addition, the SO<sub>2</sub>/CO mass ratio was close to the value from vehicle emissions (Table S2). Hence, factor 1 was classified as vehicle exhaust (Shi, G. et al., 2018). Factor 2 was identified as secondary nitrate owing to its high abundance of  $NO_3^-$  and  $NH_4^+$  (Shi et al., 2017). Factor 3 was considered to be coal combustion, which was strongly enriched with As, 

301	OC, EC, Cl <sup>-</sup> , and SO <sub>2</sub> (Shi et al., 2019), and the ratio of SO <sub>2</sub> /CO was similar to the value
302	(Table S2). Factor 4 was assigned to industrial emissions, which had a high content of
303	heavy metal elements such as Fe, Zn, Mn, Pb, and Cu. In factor 5, $Ca^{2+}$ , and $Mg^{2+}$
304	exhibited high weighting and these species are generally used as the markers for soil &
305	dust (Srivastava et al., 2021). Factor 6 was characterized by high levels of K <sup>+</sup> , OC, EC,
306	and accompanied by a high proportion of CO <sub>2</sub> , common indicators of biomass burning
307	(Li, X. et al., 2019; Liu et al., 2019). Factor 7 had high loadings of $SO_4^{2-}$ and $NH_4^+$ , and
308	the high proportion of $SO_2$ in this source was apparent, which was consistent with the
309	features of secondary sulfate (Feng et al., 2022; Gao et al., 2016). Factor 8 was only
310	accompanied by high levels of CO <sub>2</sub> and it was therefore judged to be a CO <sub>2</sub> -sources,
311	which is normally related to the natural emissions other than the aforementioned
312	anthropogenic emissions.



Fig. 2. Factor profiles of  $PM_{2.5}$  derived by PMF/ME2-SR. Bars represent the normalized percentage (%) of species apportioned to each species in the corresponding source. Red dots represent the mass concentration ( $\mu$ g·m<sup>-3</sup>) of the component resolved from PMF/ME2-SR.

Based on the above information, the contributions of each pollution source to  $PM_{2.5}$ in the entire sampling period were calculated. As shown in Fig. 3a, secondary nitrate and secondary sulfate were the dominant contributors among seven sources, contributing 24% and 18% to  $PM_{2.5}$ . The average contribution of coal combustion to  $PM_{2.5}$  mass is 17%, which is comparable to a previous study (Liu et al., 2018). The  $PM_{2.5}$  contribution from industrial emissions is 11%, which is in good agreement with

324	the results that the source contribution from industry to $PM_{2.5}$ in Tianjin was in the
325	range of 10-20% (Liu et al., 2018). Vehicle exhaust, soil & dust, and biomass burning
326	also contributed significantly to $PM_{2.5}$ , accounting for 14%, 10%, and 6%, respectively.
327	Fig. 3b-e summarized the averages of $PM_{2.5}$ in major source contributions during four
328	seasons. It can be clearly seen from the figure that the contributions of various pollution
329	sources to $PM_{2.5}$ in winter were significantly more serious than that in summer. Fig. S4
330	presents a corresponding bar graph for Fig. 3b-e, which provides a numerical
331	relationship between the seasonal changes in $\text{PM}_{2.5}$ and source contributions. Soil &
332	dust in spring (14%) had a greater impact on $PM_{2.5}$ source contribution than in other
333	seasons, which is related to the resuspension of dust from the earth's crust by strong
334	winds (wind speed in different seasons are shown in Fig. S5). In summer, secondary
335	sulfate was the main source during the study period, accounting for 30% of the total
336	source contributions. Strong photochemical reactions in summer increased the
337	oxidation capacity of the atmosphere and accelerated the oxidation of $SO_2$ into sulfate
338	(Cao et al., 2021). In autumn, secondary nitrate made a major contribution to $PM_{2.5}$
339	(25%), which may enhance the formation of nitrate by heterogeneous reaction with
340	increased humidity and decreased photochemical activity (Petetin et al., 2015; Pun and
341	Seigneur, 2001). During winter, coal combustion (27%) and biomass burning (9%)
342	played major roles in $PM_{2.5}$ , especially the latter, whose proportion increased
343	significantly compared with other seasons, due mostly to the increase of residential
344	heating. The relative contributions of different sources to PM <sub>2.5</sub> concentrations varied
345	significantly with seasons, which helped to quickly identify pollution sources for



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**Fig. 3.** Source contributions to  $PM_{2.5}$ . (a): Average source contribution to  $PM_{2.5}$  during the sampling period. (b-e): Contribution concentrations of different pollution sources to  $PM_{2.5}$  in spring, summer, autumn, and winter, respectively. The corresponding average percentages are presented in Fig. S4.

#### 352 *3.2.2. Source impacts on gaseous pollutants*

Unlike primary pollutants, secondary aerosol species, such as sulfate, nitrate, and 353 SOA, are produced by photochemical processes from atmospheric oxidation of 354 355 precursor gases (e.g., SO<sub>2</sub>, NO<sub>x</sub>, and VOCs). Studies have shown that excessive emissions of gaseous pollutants may affect human health and aggravate atmospheric 356 particulate matter pollution (Anenberg et al., 2017). Therefore, exploring the sources of 357 gaseous precursors of secondary aerosol is also helpful to further analyze the 358 sophisticated effects of gaseous pollutants on PM2.5 generation. To this end, we further 359 compared the impacts of common sources associated with PM2.5 on SO2, NOx and In-360 361 VOCs.

362 The effects of different pollution sources on various gaseous precursors showed

363	relatively apparent seasonal differences as shown in Fig. 4, and the average percentages
364	of their source impacts are provided in Fig. S6 and Fig. S7. For SO <sub>2</sub> , the impact of coal
365	combustion fluctuated between 50-70% in spring, autumn, and winter, revealing a
366	leading role of coal combustion on SO <sub>2</sub> , which agrees with earlier findings (Ma et al.,
367	2017); industrial emissions maintain a high level of influence on SO <sub>2</sub> , ranging from $24\%$
368	to 42%; impacts of vehicle exhaust were the highest in summer (39%) and lowest in
369	winter (5%); the influence of biomass burning remain relatively consistent at about 1%.
370	In addition, nitrogen compounds in fuels tend to be oxidized to produce $\ensuremath{\mathrm{NO}_x}$ after
371	thermal decomposition under high temperature and oxygen-rich conditions. Studies
372	have found that more than 20% of global anthropogenic emissions of $NO_x$ are produced
373	by on-road vehicles (Anenberg et al., 2017). The results in Fig. 4e-h also provide
374	evidence that vehicle exhaust have a significant impact on NO <sub>x</sub> , with the average
375	seasonal impacts ranging from 18% in winter (lowest) to 69% in summer (highest),
376	suggesting that more aggressive measures should be taken to reduce the effect of
377	vehicle emissions on atmospheric $NO_x$ concentration in the study region. It is noted that
378	NO <sub>x</sub> production was also affected by biomass burning, although the average proportion
379	is small (3%), which may be related to the to the combustion process of fuel nitrogen.
380	Besides, In-VOCs were mainly affected by industrial emissions, vehicle exhaust, coal
381	combustion and biomass burning, whose average impacts were 37%, 34%, 23% and
382	6%, respectively. Similar to the source contribution to $PM_{2.5}$ , we found that biomass
383	burning and coal combustion rose to 9% and 39% in winter mainly due to the increased
384	heating, which could cause the sources to become the main control targets of In-VOCs

in the study area during winter. Overall, the dominant role of combustion-related sources on air quality improvement during the sampling period in the study area is

387 evident.



Fig. 4. Impacts of different pollution sources on  $SO_2$ ,  $NO_x$ , and In-VOCs. The scatter plots (a-d) show the source impacts on  $CO_2$  in spring, summer, autumn, and winter respectively during the sampling period. (e-h) correspond to the source impacts on  $NO_x$  in four seasons. (i-l) correspond to the source impacts on In-VOCs in four seasons.

3.2.3. Source impacts on  $CO_2$ 

Affected by human activities, emission reduction at the source is an effective way to control  $CO_2$  pollution. In this study, one natural source and four common anthropogenic sources related to  $PM_{2.5}$  were identified (Fig. 5a). Among them,  $CO_2$ -sources were the source with the greatest impact on  $CO_2$ , reaching 36%, which tends to the  $CO_2$ 

399	emissions reported by Wang, F. et al. (2021). This is followed by industrial emissions
400	(21%), coal combustion (18%), vehicle exhaust (13%), and biomass burning (12%).
401	Referring to previous studies (Zheng et al., 2018), industrial sector account for about
402	20% of total CO <sub>2</sub> emission in Tianjin and the coal combustion and transportation are
403	important contributors to CO <sub>2</sub> emissions, which supports our research results. From Fig.
404	5b-e, we found a strong effect of combustion-related sources emissions on CO <sub>2</sub> , similar
405	to that on gaseous pollutants. As a common feature throughout the year, the leading role
406	of CO <sub>2</sub> -sources during the observation period in the study region was clear; the impact
407	of industrial emissions on $CO_2$ was stable at 20-26% in spring, autumn and winter,
408	except that it was low at 14% in summer; the impacts of coal combustion on $CO_2$ in
409	autumn and winter were greater than that in spring and summer; however, the highest
410	impact of vehicle exhaust appeared in summer (18%), and the lowest impact occurred
411	in winter (6%); unlike other sources, the impact of biomass burning showed a
412	considerable increase in winter (by 1.2 to 2.6 times, compared to other seasons).
413	According to the percentage contributions of CO <sub>2</sub> sources in four seasons provided in
414	Fig. S8, we found that in spring and autumn, but not in summer and winter, the orders
415	of the top four source categories were $CO_2$ -sources > industrial emissions > coal
416	combustion > vehicle exhaust. In contrast, the proportion of biomass burning and coal
417	combustion in winter increased to 16% and 29%.

418 Our study results emphasized and quantified that fossil fuel combustion through 419 human activities is the main cause of the increase in atmospheric CO<sub>2</sub> concentration 420 (Fig. 5a), and the impacts of these common sources on CO<sub>2</sub> were higher in autumn and

winter than in spring and summer (Fig. S9). This finding may be due to continued
heating in early spring and that CO<sub>2</sub> is easier to accumulate under adverse
meteorological conditions such as low mixing height in winter (Wang et al., 2016).
Therefore, in order to reduce CO<sub>2</sub>, strengthening the control of winter combustionrelated source emissions may be the key to achieve carbon emission reduction target.



Fig. 5. Impacts of identified sources on CO<sub>2</sub>. (a): The normalized impact (100%) of identified
sources on CO<sub>2</sub>. (b-e): Impacts of different pollution sources on CO<sub>2</sub> in spring, summer, autumn,
and winter, respectively.

#### *3.3. Ensemble source apportionment*

Through the above analysis of the sources of air pollutants and  $CO_2$ , we can conclude that they may have the shared characteristics of common sources and common processes. In the context of China's commitment to carbon emission reduction, it is essential to make good use of the synergistic effects of air pollutants control and greenhouse gas reduction. In order to maximize the benefit of  $CO_2$  control on air pollutants and vice versa, this study further calculated the ensemble source apportionment results of (1) key gaseous precursors (SO<sub>2</sub>, NO<sub>x</sub> and In-VOCs) and (2) PM<sub>2.5</sub> and CO<sub>2</sub> based on the method presented in section 2.4. The methodology developed here can directly and quantitatively analyze the common sources of different pollution systems, which will facilitate the formulation of coordinated control policies to maximize the efficiencies of carbon and clean air control.

#### *3.3.1.* Ensemble source impacts on gaseous pollutants

First, in order to directly explore the impact of gaseous precursors on secondary aerosols, we applied the weighted averaging method as reported by Lee et al. (2009) to derive ensembles of source impact results of three gaseous pollutants (SO<sub>2</sub>-NO<sub>x</sub>-In-VOCs) that have important effects on the environment. Fig. 6a shows four common sources associated with particulate emissions, among which vehicle exhaust was the largest source, accounting for 35%. The second dominant source was industrial emissions at 31%. The other source contributions, in descending order, were biomass burning (18%) and coal combustion (16%). As illustrated in Fig. 6b, the impacts of biomass burning sources showed clear seasonal characteristics, peaking in autumn (25%). Vehicle exhaust was the main anthropogenic source causing serious air pollution of gaseous pollutants in summer (44%), while industrial emissions were important in winter (37%). In order to facilitate direct comparison, Table 1 summarized the proportions of diverse source impacts on various gaseous pollutants. Comparing the synergistic effect of SO<sub>2</sub>-NO<sub>x</sub>-In-VOCs with the impacts of individual species, the results show large discrepancies. The relative importance of coal combustion is lower 





471 Fig. 6. Ensemble source impacts on gaseous pollutants (SO<sub>2</sub>-NO<sub>x</sub>-In-VOCs). (a): Average source
472 impacts on gaseous pollutants during the sampling period. (b) Source impacts on gaseous pollutants
473 in four seasons.

#### **Table 1.**

Source categories and impacts on PM<sub>2.5</sub>, CO<sub>2</sub>, SO<sub>2</sub>, NO<sub>x</sub>, In-VOCs, ensemble results of gaseous
pollutants (SO<sub>2</sub>-NO<sub>x</sub>-In-VOCs) and PM<sub>2.5</sub>-CO<sub>2</sub> as resolved in this study (%).

	CO	Secondary	Biomass	Soil &	Industrial	Coal	Secondary	Vehicle
Source Impacts	(%)	Sulfate	Burning	Dust	Emissions	Combust	Nitrate	Exhaust
		(%)	(%)	(%)	(%)	ion (%)	(%)	(%)
PM <sub>2.5</sub>	-	18	6	10	11	17	24	14

CO <sub>2</sub>	36	-	12	-	21	18	-	13
$SO_2$	-	-	1	-	30	55	-	14
NO <sub>x</sub>	-	-	3	-	28	29	-	40
In-VOCs	-	-	6	-	37	23	-	34
SO <sub>2</sub> -NO <sub>x</sub> -In-VOCs	-	-	18	-	31	16	-	35
PM <sub>2.5</sub> -CO <sub>2</sub>	-	-	17	-	30	19	-	34

#### 479 3.3.2. Ensemble source impacts on $PM_{2.5}$ and $CO_2$

In order to promote coordinated  $PM_{2.5}$  and carbon emission reduction, we combined source contribution to  $PM_{2.5}$  and source impact on  $CO_2$  to calculate the ensemble source impact on  $PM_{2.5}$ - $CO_2$ , which directly quantifies the contributions from common sources, and explores the co-benefits of atmospheric pollutants and greenhouse gases are of great significance to air quality.

There were four sources that affect PM<sub>2.5</sub> and CO<sub>2</sub> ensemble, with percentages ranging from 17% to 34% (Fig. 7a). Fig. 7b further illustrated the impacts of common sources on PM<sub>2.5</sub>-CO<sub>2</sub> in different seasons. Vehicle exhaust was dominant in spring (33%), summer (44%), and autumn (34%). The impact of industrial emissions on PM<sub>2.5</sub>-CO<sub>2</sub> fluctuates between 21-35% and that of coal combustion between 14-24%, respectively. Biomass burning showed a greater impact in autumn (23%) and winter (21%) due to straw burning and local heating, but its proportion is lower in spring (i.e., 16%). These above common source categories play important roles in a particular season. In contrast, considering that secondary nitrate, secondary sulfate, and soil & dust sources only contribute to PM2.5 and do not contribute to CO2; and that CO2-





Fig. 7. Ensemble source impacts on PM<sub>2.5</sub>-CO<sub>2</sub>. (a): Average source impacts on PM<sub>2.5</sub>-CO<sub>2</sub> during
 the sampling period. (b): Source impacts on PM<sub>2.5</sub>-CO<sub>2</sub> in spring, summer, autumn, and winter,
 respectively.

## 507 4. Conclusions

Promoting the simultaneous reduction of  $CO_2$  and air pollutants emissions are an inevitable choice for future climate and environmental governance. In this study, we investigated the concentration characteristics and source impacts of PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>x</sub>, In-VOCs and CO<sub>2</sub> using a combination of 2-year ground-based online measurements and model calculations. Furthermore, an ensemble source apportionment method was used to quantify the common source impacts of SO<sub>2</sub>-NO<sub>x</sub>-In-VOCs and PM<sub>2.5</sub>-CO<sub>2</sub>.

514	The results indicated that combustion-related sources (including coal combustion,
515	industrial emissions, vehicle exhaust, and biomass burning) have significant impacts on
516	multiple pollutants, and the focus of pollution prevention and control should be changed
517	accordingly for different pollutants. For example, in order to reduce the secondary
518	components of particulate matter, focusing on the source categories that play leading
519	roles in the $SO_2$ - $NO_x$ -In-VOCs emissions, including vehicle exhaust (35%), industrial
520	emissions (31%), biomass burning (18%), and coal combustion (16%), as estimated in
521	this study. From the perspective of PM <sub>2.5</sub> -CO <sub>2</sub> prevention, vehicle exhaust in spring,
522	summer, and autumn was the key contributor (33%, 44%, 34%). In winter, the primary
523	control targets should be industrial emissions and coal combustion (35% and 24%). The
524	technique of ensemble source apportionment can prioritize the identification of sources
525	that contribute the most to pollutant emissions when selecting greenhouse gas emission
526	reduction measures, which can not only reduce pollutant emissions, but also bring
527	carbon emission reduction benefits, so as to achieve higher air quality co-benefits.
528	This paper provides a feasible method for source tracing based on online observation
529	dataset of $PM_{2.5}$ and $CO_2$ . In prospective studies, the method can also be extended to

530 multi-field collaborative trace studies, which may have significant potentials. We 531 believe the findings in this study will contribute to a better understanding of the 532 reduction of emission sources in environments, and provide quantitative scientific 533 information for cleaner production.

### **CRediT authorship contribution statement**

Zhenyu Wang: Data curation, Writing - original draft, Writing - review & editing.
Haofei Yu: Writing - review & editing. Weiqing Liang: Investigation, Literature
collection. Feng Wang: Data curation, Writing - review & editing. Gen Wang:
Supervision. Da Chen: Supervision. Weichao Wang: Supervision. Huan Zhao:
Investigation. Yinchang Feng: Conceptualization, Supervision. Zongbo Shi:
Supervision, Writing - review & editing. Guoliang Shi: Conceptualization,
Methodology, Writing - review & editing.

## **Declaration of competing interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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## **CRediT** authorship contribution statement

Zhenyu Wang: Data curation, Writing - original draft, Writing - review & editing. Haofei Yu: Writing - review & editing. Weiqing Liang: Investigation, Literature collection. Feng Wang: Data curation, Writing - review & editing. Gen Wang: Supervision. Da Chen: Supervision. Weichao Wang: Supervision. Huan Zhao: Investigation. Yinchang Feng: Conceptualization, Supervision. Zongbo Shi: Supervision, Writing - review & editing. Guoliang Shi: Conceptualization, Methodology, Writing - review & editing.