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Impact of PD1 and PDL1 immunotherapy on nonsmall cell lung cancer outcomes

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DOI:

10.1136/thoraxjnl-2020-215614

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Document Version
Peer reviewed version

Citation for published version (Harvard):

Kanabar, S, Tiwari, A, Soran, V, Balendran, P, Price, M & Turner, A 2022, 'Impact of PD1 and PDL1 immunotherapy on non-small cell lung cancer outcomes: a systematic review', *Thorax*. https://doi.org/10.1136/thoraxjnl-2020-215614

Link to publication on Research at Birmingham portal

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| Journal: | Thorax |
|-------------------------------|--|
| Manuscript ID | thoraxjnl-2020-215614.R4 |
| Article Type: | Original research |
| Date Submitted by the Author: | 10-May-2022 |
| Complete List of Authors: | Kanabar, Shivani; University of Birmingham College of Medical and Dental Sciences, Medical School; University of Birmingham, Institute of Applied Health Research Tiwari, Abhinav; University of Birmingham College of Medical and Dental Sciences, Medical School Soran, Vina; University of Birmingham College of Medical and Dental Sciences, Medical School Balendran, Prashanthan; University of Birmingham College of Medical and Dental Sciences, Medical School Price, Malcolm; University of Birmingham, Institute of Applied Health Research; University Hospitals Birmingham NHS Foundation Trust, NIHR Birmingham Biomedical Research Centre Turner, Alice; University of Birmingham, Institute of Applied Health Research |
| Keywords: | Lung Cancer, Non-Small Cell Lung Cancer |
| | |

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The impact of PD1 and PDL1 immunotherapy on non-small cell lung cancer outcomes: a systematic review

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Abstract

Introduction

Despite comprising many cancer diagnoses, few treatments are suitable for patients with advanced non-small cell lung cancer (aNSCLC). Trials suggest blockade of Programmed Death 1 (PD1) or its ligand (PDL1) may be effective for these patients. However, this therapy's impact on outcomes other than survival, and outcomes of patients not in trials, remains largely unknown. Therefore, we compared the effectiveness of PD1 and PDL1 immunotherapy to chemotherapy and placebo across multiple clinical outcomes.

Methods

Six databases were searched on 12-13/10/2019 for randomised controlled trials (RCTs) and observational studies investigating nivolumab, pembrolizumab, atezolizumab or durvalumab. Study selection was performed independently by two reviewers. Data for overall survival, progression-free survival, adverse effects (AEs), and quality of life (QoL) were descriptively and meta-analysed. Factors impacting treatment outcomes, including PDL1 expression, were explored. The similarity between RCT and observational data was assessed.

Results

From 5,423 search results, 139 full texts and abstracts were included. Immunotherapy was associated with a lower risk of death than both comparators. In RCTs, the incidence of treatment-related AEs was approximately 20% lower among patients using immunotherapy compared to chemotherapy. However, no other consistent benefits were observed. Progression-free survival results were inconsistent. Improvements to QoL varied according to the instrument used; however, QoL was not recorded widely. Survival results were similar between study designs; however, AEs incidence was lower in observational studies.

Discussion

Among patients with aNSCLC, immunotherapy improved overall survival and incidence of treatment-related AEs compared to chemotherapy. Benefits to progression-free survival and QoL were less consistent.

(Word count: 249)

Key messages

What is already known on the topic?

In trials, PD1 and PDL1 immunotherapy improves overall survival, but the impact to other clinical outcomes, the extent to which trial data reflect clinical practice, and factors affecting outcomes from this therapy are less well understood.

What this study adds

We present comprehensive systematic review data from 26,581 patients, demonstrating that while this immunotherapy improves overall survival, benefits to progression-free survival and quality of life are less clear. Performance status and presence of metastases had potential influence on survival outcomes.

How this study might affect research, practice, or policy

This study may be used to provide information about multiple clinical outcomes to patients and contribute to understanding factors affecting patient outcomes, to identify those most likely to succeed with this therapy.

Introduction

Globally and in the United Kingdom (UK), lung cancer is a major healthcare problem due to its high incidence and poor associated long-term survival [1-3]. In the UK, just 7.6% of men and 11.3% of women are predicted to survive past ten years [4]. Lung cancer incidence is strongly correlated with smoking, increasing age, and deprivation, suggesting vulnerable individuals are more susceptible [5-8].

Non-small cell lung cancer (NSCLC) is the most common type of lung cancer, comprising around 87% of cases [9]. The complex reasons for the poor prognosis associated with NSCLC have been widely studied [10]. One core reason is that many available treatments are unsuitable for patients with advanced NSCLC (aNSCLC), who comprise a large proportion of cases. Therefore, patient prognosis may be improved by identifying more treatments suitable for aNSCLC. Due to the specificity of antigen recognition within the immune system, therapies capitalising on immune checkpoint blockade, particularly Programmed Death 1 (PD1) and Programmed Death Ligand 1 (PDL1) immunotherapy, have potential to improve care of patients with aNSCLC.

PD1 and PDL1 immunotherapy consists of monoclonal antibodies that block the intra-tumoral interactions between PD1 and PDL1, restoring the cytotoxic functionality of anti-tumour immune cells [11, 12]. Based on data assimilated by the National Institute of Health and Care Excellence (NICE) from phase III randomized controlled trials (RCTs), PD1 and PDL1 immunotherapy has been recommended for the treatment of stage IIIB and stage IV NSCLC among patients expressing PDL1 [13].

However, several unanswered questions remain. Firstly, the generalisability of current NICE guidelines is questionable. This is because, by only considering trial data, the guidelines place emphasis on results from a few tightly regulated, relatively well, subsets of the population of NSCLC patients [14-18]. Secondly, available systematic reviews are limited because they focus on a single class effect, mostly related to short-term survival; assimilate data across several cancer types; and largely do not include observational data to assess real-world treatment-related outcomes [19-28]. Therefore, further systematic reviews are needed to provide a more realistic estimate of treatment effect and to investigate outcomes unrelated to survival. We aimed to establish whether PD1 and PDL1 immunotherapy is effective at improving clinical outcomes of NSCLC, compared to chemotherapy or placebo. Both RCTs and observational studies were included to assess data generalisable to a broad population. As a secondary objective, we explored potential patient and disease factors influencing patient prognosis.

Methods

The protocol is registered on PROSPERO (CRD42019153345).

Identification of relevant studies

All searches consisted of synonyms describing NSCLC, and PD1 and PDL1 immunotherapy, with database-specific subject headings where appropriate (see supplement). Studies in any language from inception to 13/10/2019 were included. Six databases were searched: Ovid Medline, Ovid EMBASE, EBSCO CINAHL, Cochrane Library, ClinicalTrials.gov and NICE Evidence Search. To check for relevant studies missed by literature searches, the reference lists of relevant systematic reviews returned from searches were compared against relevant results from title and abstract screening.

Study screening, selection, and data extraction

Eligible studies were required to meet the criteria specified in the protocol (Table 1). Studies were excluded if there were insufficient data for analysis, or if these criteria were not met.

| Population | Adults > 18 years old with squamous, large cell, or adenocarcinoma NSCLC in ≥90% of participants, or data presented separately for eligible patients. | | | | |
|---------------|---|--|--|--|--|
| Intervention | | umab, atezolizumab and durvalumab tered by a healthcare professional in a secondary setting. | | | |
| Comparator | | mmended chemotherapy administered by als in a secondary or tertiary setting. | | | |
| Outcomes | Overall survival (OS) | Time between initiation of treatment and death of patient | | | |
| | Progression-free survival (PFS) Time between initiation of treatment and worsening of the patient's cancer or death | | | | |
| | Adverse effects (AEs) | A healthcare problem that arises after initiating treatment | | | |
| | Quality of life (QoL) Patient's satisfaction with their standard of while they are using a treatment | | | | |
| | Hospitalisations | | | | |
| Study designs | Randomised Controlled Trials (RCTs) | Those comparing the effectiveness of PD1 and PDL1 immunotherapy to either of the two comparators were eligible. Non-comparative trials were not eligible. Abstracts permitted. | | | |
| | Cohort and case control studies | Participant number ≥ 10, receiving PD1 or PDL1 immunotherapy. Abstracts permitted. | | | |
| | Case series and case reports were not eligible. | | | | |

Table 1 – PICOS criteria

Search results were independently screened for relevance, and data were extracted by at least two reviewers at each stage. The data collected are outlined in the supplementary methods. When relevant data were unavailable, authors were contacted via e-mail.

Risk of bias and quality assessment

Risk of bias was assessed at a study level by one author and checked by SSK using the revised tool for Risk of Bias in randomized trials (RoB2.0) for RCTs, and the 2016 Risk Of Bias In Non-randomized Studies of Interventions (ROBINS-I) as appropriate. The risk of publication bias was assessed using a funnel plot of the hazard ratios (HRs) for mortality from included RCTs, constructed in Review Manager 5.3™. Risk of bias data were visualised using the Risk Of Bias Visualisation Tool (ROBVIS). Additionally, the quality of RCT evidence was assessed using the Grading of Recommendations Assessment, Development and Evaluation (GRADE) framework; the GRADEpro™ tool was used to produce a Summary of Findings table.

Data analysis

All outcomes were analysed descriptively. Studies were grouped by follow up length for analysis (Table 2).

| Reported length of follow up | Follow up classification in review |
|---------------------------------|------------------------------------|
| 0 months \leq x $<$ 18 months | Short-term follow up |
| 18 months ≤ x < 31 months | Medium-term follow up |

| x ≥ 31 months | Long-term follow up |
|---------------|---------------------|
| X = 0 : | Long ton ronon up |

Table 2 – Classification of length of follow up

x = median follow up reported in study, or minimum follow up if median was unavailable. This classification was used because most primary-analysis studies reported a follow up of just over one year, which would not be a fair comparison against data collected from closer to 24 months follow-up.

Risk of death and risk of progression or death HRs from RCT studies were meta-analysed in Review Manager 5.3™ using a random effects model. Meta-analysis was precluded in observational studies due to the lack of reported HRs in these largely single arm studies. Median survival times and 95% confidence intervals (CIs) are displayed in the supplement. To aid comparison between studies, we also show the simple arithmetic mean of median survival times ('average survival') in the supplement. These mean estimates are indicative only.

AEs data were directly reported as or were converted to percentage incidence, defined as the proportion of patients within the study arm who had each AE. These data were analysed descriptively and with simple means to provide summary estimates of incidence. Detailed AEs tables are presented in the supplement.

Quality of life (QoL) results were reported directly from included studies. Meta-analysis was precluded because multiple QoL questionnaires were used among the studies.

Subgroup meta-analyses of HRs stratified by PDL1 expression, therapy line, tumour histology, presence of metastases and performance status were performed via the same methods. Where a breakdown of results by therapy line was not reported, studies were grouped into a 'mixed' category for analysis. These analyses were only possible for survival data due to a lack of HRs and stratification of results, particularly in the observational data.

Results

Characteristics of studies

After removing duplicates, 5,423 records were screened by title and abstract. Subsequently, 277 full texts and abstracts were screened. From these, 101 full texts and 38 abstracts were included (Figure 1) [29].

The 28 RCT studies included primary, extended follow up, and comparative subgroup analyses of 12 phase II or III international trials comparing immunotherapy to docetaxel, combination platinum chemotherapy or a placebo. The 111 observational studies included 74 full texts and 37 abstracts. Most observational studies were non-comparative cohort studies of a single intervention used in real clinical practice, typically nivolumab.

Across all RCTs, the total number of patients was 7,727 (range 272-1,274/study). The total number of participants across all observational studies was 18,951 (10-1,588/study). Participants in RCTs and observational studies had similar baseline characteristics (Table 3), though reporting was inconsistent among abstracts. Patients were typically men aged between 58 – 75. In RCTs, most patients were Caucasian; few observational studies reported ethnicity. Participants were recruited internationally, although few patients were from Africa.

Most patients in RCTs had stage III, IV or recurrent NSCLC, and thus had metastatic disease. Similarly, despite recruiting patients with all stages of disease, most patients in observational studies had metastatic disease. Most trials restricted recruitment by NSCLC histology, unlike the observational studies. Across both study designs, most patients had non-squamous

disease. However, unlike the observational studies, all trial patients had an Eastern Co-Operative Oncology Group Performance Status (ECOG PS) between 0 – 1.

Median length of follow up was typically one year, with some extended follow up RCTs reporting outcomes from up to four years.

Several lines of therapy were investigated in both RCTs and observational studies. In some studies, multiple lines were investigated (see supplement).

| Patient | Randomised Controlled Trials (RCTs) | | | Observational | | |
|----------------|-------------------------------------|-------------------------------|-----------------------------|--------------------|---------------|--|
| characteristic | Number of RCTs* | Mean (Range) for intervention | Mean (Range) for comparator | Number of studies* | Mean (Range) | |
| % male | 11 | 67.1 | 64.6 | 86 | 68.5 | |
| | | (52.0 - 82.0) | (53.0 - 81.0) | | (19.6 - 90.0) | |
| % Caucasian | 6 | 79.1 | 81.8 | 9 | 65.1 | |
| | | (66.2 - 91.0) | (70.6 - 95.0) | | (13.0 - 97.0) | |
| Median age | 11 | 62.7 | 63.5 | 79 | 66.5 | |
| (yrs) | | (60.0 - 64.5) | (60.0 - 66.0) | | (58.0 - 75.2) | |
| Non-squamous | 11 | 65.2 | 67.8 | 87 | 64.6 | |
| histology | | (0.0 - 100.0) | (0.0 - 100.0) | | (0.0 - 100.0) | |

Table 3 – Baseline characteristics of patients

*Not all studies reported all characteristics. Among the RCTs, Spigel et al did not report any baseline characteristics in their abstract [30]. Among the observational studies, absences of data largely originated from observational abstracts.

Risk of bias and quality of evidence

Overall, the risk of bias was relatively low (Figure 2A – C and supplement). Most RCTs and observational full texts were associated with a low risk of bias. The most common sources of bias in RCTs arose in domains relating to randomisation and intervention, attributable to the lack of reported compensatory mechanisms for potential crossover in open-label trials. The main source of bias in observational full texts arose from a lack of adjusting analyses for known confounders. Furthermore, approximately 40% of observational full texts did not report the implications of intervention deviations. The lack of reporting of methods in observational abstracts impacted our ability to assess risk of bias in these studies, which therefore remains unclear.

Funnel plots using HRs for death from RCTs were relatively symmetrical and are therefore unlikely to be subject to significant publication bias (Figure 2D). Our assessment gives no suggestion that conflicts of interest impacted the conduct of any of the studies included.

Using GRADE, the overall certainty of RCT evidence was moderate to high (Supplementary Results). Among studies investigating nivolumab, pembrolizumab and atezolizumab, time to deterioration in quality of life when assessed by EQ-5D-3L and LCSS ASBI were downgraded due to risk of bias, and risk of progression or death between 0-18 months were downgraded due to inconsistency and imprecision.

Overall survival

17 RCT studies reported OS at one- to four-years follow up [31-47]. 48 observational studies with short-term follow up reported OS [48-93]. Heterogeneity in the meta-analyses tended to be low to moderate, except for nivolumab in short-term follow up studies ($I^2 = 71\%$).

Among RCTs with short-term follow up, patients receiving immunotherapy survived longer on average and had a lower risk of death than patients receiving chemotherapy. The pooled HR

for death among patients receiving nivolumab, pembrolizumab or atezolizumab compared to chemotherapy was 0.75 (95% CI 0.69-0.81, p < 0.00001) (Figure 3A). Typically, average survival was approximately 3 months longer with immunotherapy, with pembrolizumab being associated with longest average OS (13.6 months; Supplementary Figure 1). However, the associated confidence intervals for these data overlap rendering this evidence unclear.

Among RCTs with medium-term follow up, patients receiving immunotherapy survived longer and had a lower risk of death. The pooled HR for death among patients receiving nivolumab, pembrolizumab or atezolizumab compared to chemotherapy was 0.72 (95% CI 0.65 – 0.80, p < 0.00001) (Figure 3B). Typically, average survival was around 5 months longer with immunotherapy compared to chemotherapy. OS was not reached among patients receiving durvalumab (Supplementary Figure 1); the risk of death was 0.68 (99.73% CI 0.47 – 1.00) [43].

RCTs with long-term follow up reported similar results. The pooled HR for death was 0.70 (95% CI 0.59-0.82, p < 0.0001) (Figure 4C). Only CheckMate017 and 057 reported OS between three- and four-year follow up. There was no change in median OS in either study arm of these two cohorts [46].

OS in observational studies and RCTs were similar (Supplementary Figure 1). The similarity in OS between observational studies and RCTs was supported by data from observational studies with an unknown length of follow up, and abstracts.

Progression-free survival

17 RCT studies reported PFS from up to three-years patient follow up [31-42, 44, 45, 94-96]. 52 observational studies with short-term follow up reported PFS [48-59, 63-72, 74-76, 78-85, 87-90, 92, 93, 97-104]. Heterogeneity in the meta-analysed studies tended to be high; the I² statistics for short-term follow up studies of nivolumab and pembrolizumab were 69% and 91% respectively.

In RCTs with short-term follow up, patients receiving immunotherapy had a lower risk of progression or death than patients receiving chemotherapy. The pooled HR for progression or death among patients receiving nivolumab, pembrolizumab or atezolizumab compared to chemotherapy was 0.87 (95% CI 0.76-0.99, p = 0.03) (Figure 5A). There was no evidence of a difference in average PFS among patients receiving nivolumab, pembrolizumab or atezolizumab and those receiving chemotherapy, albeit with some inconsistency within the results (Supplementary Figure 2) [94].

Among RCTs with medium or long-term follow up, patients receiving immunotherapy did not have a consistent benefit to PFS. At three-year follow up, the risk of progression or death among patients receiving nivolumab or pembrolizumab compared to chemotherapy was 0.82 (95% CI 0.74 - 0.90, p < 0.00001) (Figure 5B). However, there were no clinically significant differences in average PFS between these three agents and chemotherapy in medium or long term follow up studies (Supplementary Figure 2). In PACIFIC, the PFS was 17.3 months (95% CI 13.1 - 23.9) with durvalumab, compared to 5.6 months (95% CI 4.6 - 7.7) on placebo [43].

Similar PFS results were reported in observational studies including those with an unknown length of follow up, and abstracts (Supplementary Figure 2).

Adverse effects and hospitalisations

20 RCT studies reported AEs [31-39, 42-44, 47, 94, 95, 105, 106]. 53 observational full texts reported AEs among patients receiving pembrolizumab, nivolumab and atezolizumab, of which 44 investigated nivolumab.

In primary-analysis RCT studies, nivolumab, pembrolizumab and atezolizumab were associated with a lower incidence of treatment-related adverse effects (trAEs) than chemotherapy (Table 4), except one atezolizumab study [69]. Durvalumab was associated with a similar incidence of trAEs [94].

| | % incidence – mean (range) | | | |
|---------------|---|-------------------------------------|--|--|
| Drug | Intervention arm, Randomised Controlled Trials (RCTs) | Intervention, observational studies | Chemotherapy arm, RCTs [†] | |
| Nivolumab | 65.2 (58.0 – 71.0) | 50.6 (21.0 – 88.0) | 87.3 (83.0 – 92.0) | |
| Pembrolizumab | 66.4 (63.0 – 73.4) | 48.2 (10.0 – 71.0) | 87.0 (81.0 – 90.0) | |
| Atezolizumab | 65.5 (64.0 – 67.0) | | 87.0 (86.0 – 88.0) | |
| Overall | 65.7 | 49.4 | 87.1 | |
| | % incidence | | | |
| Drug | Intervention arm, RCT | Intervention, observational studies | Placebo arm, RCT | |
| Durvalumab* | 67.8 | | 53.4 | |

<u>Table 4 – Incidence of trAEs among randomised controlled trials (RCTs) and observational studies</u>

The pattern and incidence of trAEs are summarised in Tables 5 and 6 and the supplementary results. Immune-related AEs were highly variable between studies and agents (see supplement).

| Adverse effect | Number of | Mean % incidence | |
|--------------------|-----------|------------------|---------------------------|
| | trials | Immunotherapy | Chemotherapy [†] |
| Fatigue | 9 | 15 | 27 |
| Decreased appetite | 9 | 11 | 21 |
| Diarrhoea | 8 | 11 | 15 |
| Pyrexia | 3 | 11 | 7 |
| Nausea | 8 | 10 | 31 |
| Rash | 6 | 9 | 4 |
| Hypothyroidism | 5 | 9 | 1 |
| Asthenia | 7 | 8 | 12 |
| Arthralgia | 3 | 7 | 8 |
| Constipation | 5 | 6 | 12 |

<u>Table 5 – Top 10 trAEs with the highest mean percentage incidence among patients receiving nivolumab, pembrolizumab, or atezolizumab in primary-analysis randomised controlled trials (RCTs)</u>

[†]Chemotherapy choices were highly variable between RCTs, but typically consisted of docetaxel or platinum-based chemotherapy combinations (see Supplementary Results).

| Adverse effect | Number of studies | Mean % incidence |
|------------------|-------------------|------------------|
| Fatigue/asthenia | 24 | 27 |
| Rash | 25 | 13 |
| Pruritus | 12 | 11 |

^{*}Only one study reported the incidence of trAEs among patients receiving durvalumab.

[†]Chemotherapy choices were highly variable between RCTs, but typically consisted of docetaxel or platinum-based chemotherapy combinations (see Supplementary Results).

| Hypo-/hyperthyroidism | 31 | 10 |
|-----------------------|----|----|
| Nausea/vomiting | 12 | 10 |
| Arthralgia/myalgia | 14 | 9 |
| Diarrhoea | 22 | 8 |
| Neurological symptoms | 10 | 8* |
| Pneumonitis | 27 | 5 |
| Anaemia | 13 | 5 |

<u>Table 6 – Top 10 trAEs with the highest mean percentage incidence among patients receiving nivolumab, pembrolizumab, or atezolizumab in observational studies</u>

*The mean percentage incidence for patients experiencing neurological symptoms was heavily positively skewed by one study, which reported a percentage incidence of 63%.

Manrique et al were the only study to report hospitalisations; in this study, 5 out of 188 patients receiving nivolumab (2.7%) were hospitalised due to AEs [107].

Quality of Life

Quality of life (QoL) was reported in 4 RCTs using either EQ-5D (3L or 5L), EORTC-QLQ (LC13 or C30) or LCSS (Table 7).

Reck et al reported no significant differences to benefit in EQ-5D-3L between nivolumab and docetaxel [108]. While patients in both KEYNOTE 024 trial arms improved in EQ-5D-3L, the difference between pembrolizumab and chemotherapy was not significant [109]. Hui et al reported a mean change in EQ-5D-5L of -0.014 (σ 0.177) with durvalumab and 0.012 (σ 0.122) on placebo [110].

The proportion of patients that improved in terms of Lung Cancer Symptom Scale (LCSS) by week 12 was 17.8% with nivolumab (95% CI 13.6% – 22.7%) and 19.7% with docetaxel (95% CI 15.2% – 24.7%) [108]. The time to deterioration in average symptom burden index (ASBI) in the LCSS was prolonged with nivolumab, despite an initial worsening at week 4 [108].

EORTC-QLQ-C30 was used in studies of pembrolizumab, atezolizumab and durvalumab Patients taking pembrolizumab experienced a mean improvement of 6.9 points (95% CI 3.3 – 10.6) between baseline and week 15 [109]. By contrast, patients in the chemotherapy arm experienced mean decline of 0.9 points (95% CI -4.8 – 3.0; p = 0.002) [109]. However, there was no significant difference between atezolizumab and docetaxel or durvalumab and placebo (Table 5) [110, 111].

Beneficial effects on EORTC-QLQ-LC13 score were observed with pembrolizumab and atezolizumab compared to chemotherapy and docetaxel, respectively [109, 111]. There were no clinically important EORTC-QLQ-LC13 between-group differences between patients using durvalumab and placebo [110].

| Study | Drug | QoL Tool | QoL Measure | HR | 95% CI | p value |
|----------------|---------------|-----------|-----------------------|------|-------------|---------|
| Reck et al, | Nivolumab | EQ-5D-3L | Time to disease- | 0.90 | 0.69 - 1.17 | 0.424 |
| 2018 [108] | | [112] | related deterioration | | | |
| | | LCSS ASBI | Time to disease- | 0.65 | 0.49 - 0.85 | 0.002 |
| | | [113] | related deterioration | | | |
| Brahmer et | Pembrolizumab | EORTC- | Time to deterioration | 0.66 | 0.44 - 0.97 | 0.029 |
| al, 2017 [109] | | QLQ-LC13 | in the composite of | | | |
| | | [114] | cough, chest pain | | | |
| | | | and dyspnoea | | | |

| Bordoni et al, | Atezolizumab | EORTC- | Time to deterioration | 0.94 | 0.72 – 1.24 | 0.6634 |
|----------------|------------------|----------|-----------------------|------|-------------|--------|
| 1 | / (tozolizarriab | QLQ-C30 | Time to deterioration | 0.54 | 0.72 1.24 | 0.0004 |
| 2018 [111] | | | | | | |
| | | [115] | | | | |
| | | EORTC- | Time to deterioration | 0.71 | 0.49 - 1.08 | 0.082 |
| | | QLQ-LC13 | in chest pain | | | |
| Hui et al, | Durvalumab | EORTC- | Time to deterioration | 0.95 | 0.72 – 1.26 | |
| 2019 [110] | | QLQ-C30 | | | | |

<u>Table 7 – Time to deterioration in quality of life, comparing interventions to chemotherapy and placebo</u>

The time to deterioration was prolonged among patients using an intervention [108-111]. The HRs have been reported directly from the included studies listed in the table.

Patient and disease factors influencing prognosis

Among 9 meta-analysable RCTs, increasing PDL1 expression was associated with a reduced risk of death (Figure 5). However, the CIs overlapped between groups, and there was significant heterogeneity among data from patients with ≥5% expression. A similar relationship was observed between PDL1 expression and risk of progression or death (Supplementary Figure 5). When considering the current PDL1 expression threshold for accessing immunotherapy, patients with ≥1% expression has a 6% lower risk of death than patients with <1% expression in these RCTs (Figure 5). However, the CIs between the two groups overlapped. Additionally, in 4 observational studies comparing this threshold among patients with nivolumab, no difference between risk of death for patients with <1% compared to ≥1% was observed (Supplementary Figure 6). This result is hindered by the fact that one of these studies recruited patients involved in a compassionate access programme [116].

Among 10 RCTs with analysable therapy line data, patients receiving immunotherapy as 2nd line therapy had a 13% lower risk of death and a 12% lower risk of progression or death (Supplementary Figure 7). However, heterogeneity was significant in these subgroups.

No consistent impact to risk of death, or risk of progression or death, was observed when comparing patients with squamous to patients with non-squamous disease in 9 RCTs. This finding was upheld among the 10 observational studies comparing these subgroups.

2 RCT studies reported that patients with CNS and liver metastases receiving immunotherapy had a similar risk of death to patients without [44, 117]. However, the meta-analysed risk of death from 5 observational studies was significantly higher among patients with metastases (HR 1.69, 95% CI 1.23 – 2.32).

Among the 14 observational studies reporting the impact of ECOG PS on risk of death, patients with PS2 were at greater risk of death than patients with PS 0-1. The meta-analysed risk of death in 3 observational studies comparing PS2 to PS 0-1 was 1.95 (95% CI 1.54-2.48).

Discussion

Our results suggest PD1 and PDL1 immunotherapy increases OS and reduces risk of death from aNSCLC, based on numerous RCTs and observational studies with a relatively low risk of bias. This result replicates and builds upon previous reviews by demonstrating the impact of this therapy on OS is consistent both across study designs and at extended periods of follow up.

We extended previous systematic reviews by looking at other outcomes in detail; however, benefits to PFS, AEs and QoL were less clear. While risk of progression or death was reduced by immunotherapy, benefits to average PFS were variable. Additionally, although a benefit to trAE incidence was observed among patients receiving immunotherapy, the overall profile of AEs was similar between immunotherapy and chemotherapy. Furthermore, immune-related AEs were more common among patients using PD1-targeting treatments. Compared to RCTs, the incidence of AEs among patients using immunotherapy in observational studies was lower, although a broader range of AEs was reported in these studies. The impact of PD1 and PDL1 immunotherapy on patient QoL was variable, and highly dependent on the choice of instrument used in each study. There was a notable lack of QoL data, particularly among observational studies.

These results highlight the importance of distinguishing between the biological impact of PD1 and PDL1 immunotherapy and the impact of study design on results. Differentiating these may improve study designs to capture these effects.

The absence of a clear association between OS and PFS could be explained by pseudo-progression, which is a phenomenon in which immune cells infiltrate the primary tumour causing an increase to tumour size that mimics tumour growth [118]. Although there is limited evidence to support this theory, Li et al. found that mice with induced lung tumours exposed to anti-PD1 therapy accumulated tumour-infiltrating-lymphocytes within their tumours [119]. Since the included studies measured progression via the RECIST 1.1 criteria, which relies upon changes in tumour diameter, they were potentially unable to distinguish pseudo-progressions from true disease progression [120]. However, this explanation less probable, given the consistent effect of immunotherapy on the risk of progression or death. More likely, the lack of association may be explained by aspects of study design. Particularly, some of the included trials may be affected by bias introduced by calculating PFS from censored data [121]. Furthermore, many studies recruited patients from multiple therapy lines. Patients receiving later lines are more likely to have a shorter PFS, which may have meant studies investigating these reported lower median survival results.

The variability of AEs between drugs and study designs may also be explained by the effect of study design. Given all four therapies have a similar molecular basis, it is unlikely the differences in AE incidence between drugs are attributable to pharmacological effects. However, the lack of restriction of patients based on ECOG PS in observational studies may have contributed to the broader range of AEs observed, as patients with a higher ECOG PS could have a greater propensity to develop AEs. Furthermore, the retrospective nature of many observational studies may have allowed greater flexibility in detecting AEs compared to RCTs.

The choice of QoL tool impacted QoL results. While EQ-5D, a non-specific tool, was associated with minimal changes to QoL, patients assessed via more lung cancer-specific tools such as LCSS experienced greater benefits, suggesting this therapy may yet impact QoL when the correct tool is used. There is currently little research investigating impact of choice of QoL instrument on results [122]. Some studies have argued that lower AE incidence is indicative of improved QoL. However, given that QoL is a proxy measure of patient experiences with their wellbeing and care, assessments of QoL should reflect this and not rely solely upon the absence of disease.

We also extend current knowledge of factors influencing patient prognosis. Increasing PDL1 expression was associated with a lower risk of death, and risk of progression or death. However, the significance of the current PDL1 threshold for accessing this therapy, ≥1% expression, was unclear. Presence of metastases and ECOG PS2 were associated with worse

survival outcomes, while the impact of therapy line was not clear. It is unsurprising that presence of metastases and ECOG PS, which reflect patient health, were associated with poorer survival outcomes. However, it was more unexpected that having ≥1% expression did not confer a significant survival benefit. A potential reason for this may be that PDL1 expression is known to vary within tumours, and so samples of the tumour may not reflect overall tumour PDL1 expression. Therefore, there may have been insufficient PDL1 expression overall to experience a benefit among some patients, despite having ≥1% expression in their samples. This suggests more research is required to find the optimum threshold by which to stratify patients, or better ways to quantify PDL1 expression.

There are several limitations to the study. Firstly, some relevant abstract and ClinicalTrials.gov protocols could not be included due to the lack of methods and outcome data needed for analysis. Secondly, risk of publication bias among observational studies could not be assessed because too few studies reported HRs to be able to construct a funnel plot. The potential for publication bias limits the extent to which we can be confident results from observational studies represent patients in real clinical practice, as it is unknown whether outcomes of patients who do not benefit are underreported in available literature. Thirdly, the lack of comparative RCTs meant it was not possible to directly compare and confirm survival benefits observed between immunotherapies. Fourthly, it was not possible to meta-analyse the results from single arm observational studies, which prevented us from generating a summary estimate of treatment effectiveness from these studies. Finally, the study is hindered by the heterogeneity of the patient population. While we have explored some factors likely to affect patient outcomes, the variability in reporting the lines of therapy used in each study precluded our ability to fully explore the impact of this important factor. While our study had potential to be limited by comparing this therapy to chemotherapy solely recognised by NICE, we believe this is unlikely because NICE recommends a range of chemotherapy options in line with internationally accepted guidelines and evidence [13, 123, 124].

To conclude, among patients with aNSCLC, immunotherapy improved overall survival and incidence of treatment-related AEs compared to chemotherapy. Benefits to progression-free survival and QoL were less consistent. The variable effectiveness of this therapy to some outcomes and the expenses associated with its use suggest determining patients most likely to benefit is a key topic for future research or evidence syntheses.

Contributor Statement

SSK was the lead author of the study. Roles included: planning study methods; writing the PROSPERO protocol; literature searches; screening study titles and abstracts; checking inclusions and exclusions, and bias assessments of relevant studies; data analysis and writing and editing the submitted manuscript.

The roles of AT were: screening study titles and abstracts, screening relevant studies, extracting data from included studies and completing the primary risk of bias assessments.

The roles of VS and PB were: screening relevant studies, extracting data from included studies and completing the primary risk of bias assessments.

The roles of MJP were: assisting in the editing of figures, assisting in the meta-analysis of data, and editing the submitted manuscript.

AMT was the supervisor of the study. Roles included: planning study methods, editing the PROSPERO protocol, screening study titles and abstracts, and writing and editing the submitted manuscript.

All authors commented on drafts of the article and have approved the final version.

Funding Declaration

SSK received financial support as of February 2020 from the Yorke Williams Bequest, to support the completion of an associated thesis as part of an intercalated BMedSc in Clinical Sciences.

None of the remaining authors received funding related to this project. AMT has current grant funding from the NIHR, Health Foundation, ATS Foundation, Alpha 1 Foundation, CSL Behring, Chiesi and AstraZeneca (unrelated to lung cancer). MJP is supported by the NIHR Birmingham Biomedical Research Centre.

Competing Interests

None to declare. The views expressed are those of the authors and not necessarily those of the NHS, NIHR or the Department of Health and Social Care.

Ethics Statement

Ethical approval was not required for this study, because all data sourced and analysed were previously published anonymised patient data. No human participants were directly involved.

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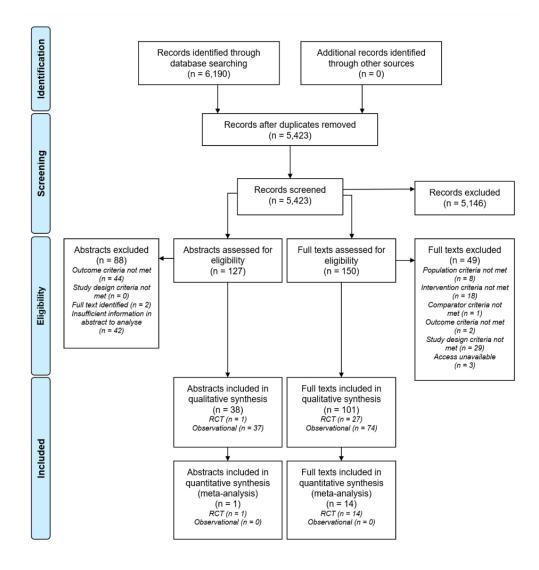


Figure 1 - PRISMA flow diagram of review Adapted from [29].

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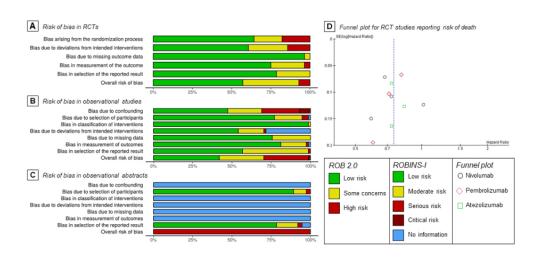
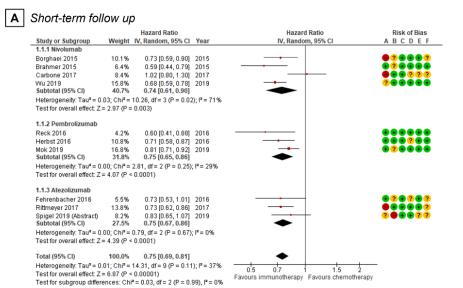
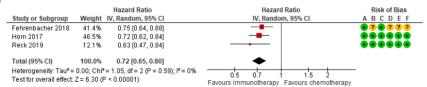


Figure 2 – Risk of Bias Assessment Summary A) Risk of bias summary bar chart for all included RCTs full texts and abstracts, assessed by ROB 2.0, B) Risk of bias summary bar chart for all included observational full texts, assessed by ROBINS-I, C) Risk of bias summary bar chart for all included observational abstracts, assessed by ROBINS-I, D) Funnel plot for publication bias, derived from HRs for overall survival at one year follow up from 10 RCTs. A 95% confidence region could not be plotted. This is because calculating a total risk from this data was not appropriate, as the included RCTs tested different interventions.

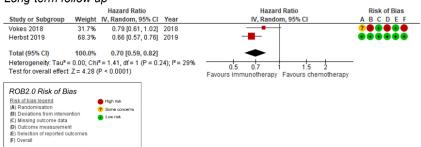
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B Medium-term follow up

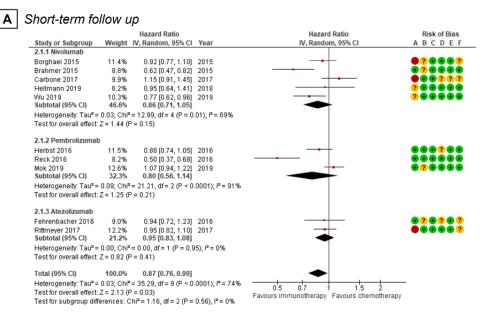


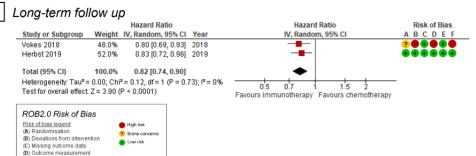
C Long-term follow up



<u>Figure 3 – Meta-analysed risk of death in RCTs</u> A) Meta-analysed risk of death in studies with short-term follow up [30-37, 42, 47]. B) Meta-analysed risk of death in studies with medium-term follow up [38-40]. C) Meta-analysed risk of death in studies with long-term follow up [44, 45].

80x104mm (300 x 300 DPI)





<u>Figure 4 – Meta-analysed risk of progression or death in RCTs</u> A) Meta-analysed risk of progression or death in RCTs with a short-term follow up [31-37, 42, 47, 96]. B) Meta-analysed risk of progression or death in RCTs with a long-term follow up [44, 45].

(E) Selection of reported outcomes

79x83mm (300 x 300 DPI)

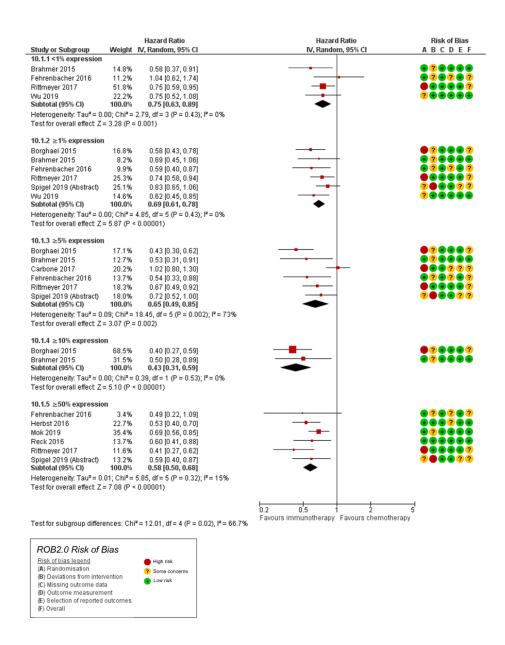


Figure 5 - Meta-analysis of risk of death by PDL1 expression status for patients receiving nivolumab, pembrolizumab or atezolizumab in included RCTs [30 - 37, 42, 47] Pembrolizumab data from patients with less than 50% expression could not be included, because a breakdown of survival outcomes was not provided between 1 - 49%.

79x98mm (300 x 300 DPI)

The impact of PD1 and PDL1 immunotherapy on NSCLC outcomes: a systematic review (Supplementary Material)

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Supplementary Methods

Literature Searches

Ovid Medline

| 1 | ("Programmed Death 1" or PD1 or PD-1 or "Programmed Death Ligand 1" or PDL1 | | |
|----|--|--|--|
| ' | , , | | |
| | or PD-L1).af. | | |
| 2 | (Immunotherap* or "Checkpoint inhibitors").af. | | |
| 3 | (Nivolumab or Pembrolizumab or Atezolizumab or Durvalumab).af | | |
| 4 | exp Lung Neoplasms/ | | |
| 5 | ((lung or pulmonary or bronchus or bronchial or bronchoalveolar or alveolar or | | |
| | "nonsmall cell" or "non small cell" or "non-small cell" or "non-small-cell" or "NSCLC" | | |
| | or squamous or "large cell") adj (cancer* or carcinom* or adenocarcinom* or | | |
| | malignan* or tumo\$r* or neoplasm* or metasta*)).ti,ab. | | |
| 6 | exp Animals, Laboratory/ | | |
| 7 | exp Animal Experimentation/ | | |
| 8 | exp Models, Animal/ | | |
| 9 | Or/6-8 | | |
| 10 | 1 or 2 | | |
| 11 | 4 and 5 | | |
| 12 | 3 and 10 | | |
| 13 | 11 and 12 | | |
| 14 | 13 not 9 | | |

Ovid EMBASE

| 1 | ("Programmed Death 1" or PD1 or PD-1 or "Programmed Death Ligand 1" or PDL1 | | |
|----|--|--|--|
| | or PD-L1).af. | | |
| 2 | (Immunotherap* or "Checkpoint inhibitors").af. | | |
| 3 | (Nivolumab or Pembrolizumab or Atezolizumab or Durvalumab).af | | |
| 4 | exp lung tumor/ | | |
| 5 | ((lung or pulmonary or bronchus or bronchial or bronchoalveolar or alveolar or | | |
| | "nonsmall cell" or "non small cell" or "non-small cell" or "non-small-cell" or "NSCLC" | | |
| | or "squamous" or "large cell") adj (cancer* or carcinom* or adenocarcinom* or | | |
| | malignan* or tumo\$r* or neoplasm* or metasta*)).ti,ab. | | |
| 6 | exp experimental animal/ | | |
| 7 | exp animal experiment/ | | |
| 8 | exp animal model/ | | |
| 9 | Or/6-8 | | |
| 10 | 1 or 2 | | |
| 11 | 4 and 5 | | |
| 12 | 3 and 10 | | |
| 13 | 11 and 12 | | |
| 14 | 13 not 9 | | |
| | | | |

EBSCO CINAHL

| S1 | TX ("Programmed Death 1 OR PD1 or PD-1 OR "Programmed Death Ligand 1" OR |
|-----|--|
| | PDL1 OR PD-L1) |
| S2 | TX (Immunotherap* OR "Checkpoint inhibitors") |
| S3 | TX (Nivolumab OR Pembrolizumab OR Atezolizumab OR Durvalumab) |
| S4 | MH ("Lung Neoplasms+") |
| S5 | TI (((lung or pulmonary or bronchus or bronchial or bronchoalveolar or alveolar or "nonsmall cell" or "non small cell" or "non-small cell" or "non-small-cell" or "NSCLC" or "squamous" or "large cell") n2 (cancer* or carcinom* or adenocarcinom* or malignan* or tumo\$r* or neoplasm* or metasta*))) OR AB (((lung or pulmonary or bronchus or bronchial or bronchoalveolar or alveolar or "nonsmall cell" or "non small cell" or "non-small cell" or "NSCLC" or "squamous" or "large cell") n2 (cancer* or carcinom* or adenocarcinom* or malignan* or tumo\$r* or neoplasm* or metasta*))) |
| S6 | MH ("Animals, Laboratory" OR "Models, Biological" OR "Animal Studies") |
| S7 | S1 OR S2 |
| S8 | S4 AND S5 |
| S9 | S3 AND S7 |
| S10 | S8 AND S9 |
| S11 | S10 NOT S6 |

Clinicaltrials.gov

| Condition or disease | Non small cell lung cancer | | |
|---|---|--|--|
| | Non-small cell lung cancer | | |
| Other terms | None | | |
| Study type | All studies | | |
| Study results | All studies | | |
| Status | No filters applied to 'Recruitment' or 'Expanded Access' | | |
| Eligibility criteria | Age group filtered to 'Adult (18 – 64)' and 'Older Adult (65+)' No filters applied to sex 'Accepts Healthy Volunteers' not selected | | |
| * Intervention/treatment' defined as 'Nivolumab', 'Pembrolizumab', 'Atezolizumab' or 'Durvalumab' No other search specifications | | | |
| Locations | No filters applied | | |
| Additional criteria | No filters applied | | |

Cochrane Library

| 1 | ("Programmed Death 1" or PD1 or PD-1 or "Programmed Death Ligand 1" or PDL1 |
|----|--|
| | or PD-L1) |
| 2 | (Immunotherap* or "Checkpoint inhibitors") |
| 3 | (Nivolumab or Pembrolizumab or Atezolizumab or Durvalumab) |
| 4 | MeSH descriptor: [Lung Neoplasms] explode all trees |
| 5 | (lung or pulmonary or bronchus or bronchial or bronchoalveolar or alveolar or |
| | "nonsmall cell" or "non small cell" or "non-small cell" or "non-small-cell" or "NSCLC" |
| | or "squamous" or "large cell"):ti,ab,kw |
| 6 | (cancer* or carcinom* or adenocarcinom* or malignan* or tumo\$r* or neoplasm* or |
| | metasta*):ti,ab,kw |
| 7 | #5 and #6 |
| 8 | MeSH descriptor: [Animals, Laboratory] explode all trees |
| 9 | MeSH descriptor: [Animal Experimentation] explode all trees |
| 10 | MeSH descriptor: [Models, Animal] explode all trees |
| 11 | #8 or #9 or #10 |
| 12 | #1 or #2 |
| 13 | #4 and #7 |
| 14 | #3 and #12 |
| 15 | #13 and #14 |
| 16 | #15 not #11 |

NICE Evidence Search

| Search terms | Nivolumab, pembrolizumab, atezolizumab or durvalumab |
|--------------|--|
| | AND |
| | Non-small cell lung cancer |
| Filters | No filters applied on search results |
| | |
| | |

Data fields collected from included studies

| Author details | Author name co | nflicts of interest, fu | nding declaration | | | | | |
|----------------|--------------------|--|---------------------------------------|--|--|--|--|--|
| Population | Eligibility | | sease criteria, previous intervention | | | | | |
| 1 opaidion | criteria | criteria, any other | • | | | | | |
| | Baseline | | r, average age (including whether a | | | | | |
| | characteristics | • | was reported), proportion of | | | | | |
| | Characteristics | | male, proportion of patients who | | | | | |
| | | | r from an ethnic minority, proportion | | | | | |
| | | | smoking history, recruitment setting | | | | | |
| | Disease | • | ents with squamous NSCLC, | | | | | |
| | features | | arge cell carcinoma, or | | | | | |
| | leatures | | | | | | | |
| | | undifferentiated carcinoma; stages of disease and the proportion of patients with each stage; percentage | | | | | | |
| | | PDL1 expression, and the proportion of patients in | | | | | | |
| | | each classification | | | | | | |
| Intervention | Drug name, drug | | stration regimen, route of | | | | | |
| Intervention | | | inistration, person administering the | | | | | |
| | | | stigator, administration setting, | | | | | |
| | intervention integ | | sugator, aurimistration setting, | | | | | |
| Comparator | | | stration regimen, route of | | | | | |
| Comparator | | | inistration, person administering the | | | | | |
| | | | stigator, administration setting | | | | | |
| Outcomes | | | ess, number of deaths and number | | | | | |
| Cutodinos | | | to metastases. For each outcome, | | | | | |
| | • | | tcome and uncertainty was also | | | | | |
| | | | to enable collection of additional | | | | | |
| | | spected outcomes. | | | | | | |
| Study design | For randomised | | For observational studies: Type of | | | | | |
| , | | of sampling, dates | study, method of patient | | | | | |
| | of recruitment pe | | identification, dates of recruitment | | | | | |
| | recruitment perio | . • | period, length of recruitment, | | | | | |
| | method, method | | methods to prevent bias, length of | | | | | |
| | | ent bias, length of | follow up, method of data analysis, | | | | | |
| | - | d of data analysis, | analyses to reduce bias | | | | | |
| | analyses to redu | | | | | | | |
| | bias such as inte | • | | | | | | |
| | analysis | | | | | | | |
| | <u> </u> | | | | | | | |

Supplementary Results

Characteristics of Included Studies

Included RCT studies

| a | Author | Trial arms | | | Pop | oulation | | | Follow up | Outcomes |
|--------------|-------------------------|--|----------|-------|------------------------|----------|------------------------|----------|-----------|------------------|
| Trial | Adilloi | Tilai aiiiis | Patients | %male | Age (yrs) ¹ | %White | Histology | Stages | (months) | Outcomes |
| late 017 | Brahmer et | Nivolumab 3mg/kg per 2 weeks, 2 nd line | 272 | 82 | 62 (39-85) | 90 | Sq ² = 100% | IIIB, IV | 11.0 | OS, PFS, |
| CheckMate | [31] | Docetaxel 75mg/m² per 3 weeks, 2 nd line | . 272 | 71 | 64 (42-85) | 95 | 34 = 100% | IIID, IV | minimum | AEs |
| late 057 | Borghaei et al, 2015 | Nivolumab 3mg/kg per 2 weeks, 2 nd line | 582 | 52 | 61 (37-84) | 91 | NSq³ = | IIIB, IV | 13.2 | OS, PFS, AEs, |
| CheckMate | [33] | Docetaxel 75mg/m² per 3 weeks, 2 nd line | 332 | 58 | 64(21-85) | 92 | 100% | 2, 10 | minimum | deaths |

¹ Median and range unless otherwise specified

² Squamous³ Non-squamous

| a | Author | Trial arms | | | Po | pulation | | | Follow up | Outcomes |
|-------------------|---------------------------|---|----------|-------|---------------|--------------|------------|----------|------------------|---|
| Trial | Author | Tildi dillis | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| CheckMate 057 | Reck et al, 2018 [108] | Nivolumab 3mg/kg per 2 weeks, 2 nd line Docetaxel | 582 | 52 | 61 (37-84) | 91 | NSq = 100% | IIIB, IV | Not specified | QoL, AEs |
| Check | | 75mg/m² per 3 weeks, 2 nd line | 96/ | 58 | 64(21-85) | 92 | | | | |
| 7+057 | Horn et al, 2017 [38] | Nivolumab 3mg/kg per 2 weeks, 2 nd line Docetaxel 75mg/m² per 3 weeks, 2 nd line | 854 | | Reported pre | eviously [31 | , 33] | IIIB, IV | 24.2 minimum | OS, PFS, AEs (2-year outcomes) |
| CheckMate 017+057 | Vokes et al, 2018 [44] | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later Docetaxel 75mg/m² per 3 weeks, 2 nd line or later | 418 | | Reported pre | eviously [31 | , 33] | IIIB, IV | 36.0 minimum | OS, PFS, AEs (3-year outcomes) |

| a | Author | Trial arms | | | Po | pulation | | | Follow up | Outcomes |
|-------------------|----------------------------------|--|----------|--------|---------------|------------|-----------------------------------|------------------|-----------------|--------------------------------|
| Trial | Addition | Thai aims | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| CheckMate 017+057 | Antonia et al, 2019 [46] | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later Docetaxel | 854 | Report | ed previously | v [31, 33] | Sq = 31% NSq = 69% Sq = 32% | IIIB, IV | 51.6 minimum | OS, PFS, AEs (4-year outcomes) |
| Che | | 75mg/m² per 3 weeks, 2 nd line | | | | | NSq = 68% | | | |
| 026 | Carbone et | Nivolumab 3mg/kg per 2 weeks, 1 st line | | 68 | 63 (32-89) | | | | | OS, PFS, |
| CheckMate 026 | Carbone et _ al, 2017 [32] | Investigator's- choice chemotherapy, per 3 weeks, 1st line | 541 | 55 | 65 (29-87) | Re | Sq = 24% NSq = 76% | IV, recurrent | 13.7 median | deaths, AEs |
| | | | | | | | | | | |

| <u>8</u> | Author | Trial arms | | | Po | opulation | | | Follow up | Outcomes |
|---------------|---------------------------------|---|----------|----------|--------------------------------|-----------|--|------------------------|--------------------------------|---------------------|
| Trial | Autiloi | Tilai aiiiis | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| CheckMate 078 | Wu et al, 2019 [37] | Nivolumab 3mg/kg per 2 weeks, 1 st or 2 nd line Docetaxel 75mg/m² per 3 weeks, 1 st – 3 rd | 504 | 78 81 | 60 (27-78) 60 (38-78) | | Sq = 39% NSq = 61% Sq = 40% NSq = 60% | IIIB, IV, recurrent | 11.2 median, 8.8 minimum | OS, PFS, AEs |
| ate 227 | Hellmann et al, 2018 [95] | line Nivolumab 240mg per 2 weeks, 1st line Chemotherapy according to histology, 1st line | 793 | 68.7 | 64 (27-85) 64 (29-87) | Dr A | Sq = 29.5% NSq = 70.5% Sq = 29.2% NSq = 70.8% | IV, recurrent | 11.2 minimum | PFS, AEs, deaths |
| CheckMate 227 | Hellmann et | Nivolumab 240mg per 2 weeks, 1 st line | 793 | 68.7 | 64 (27-85) | | Sq = 29.5% NSq = 70.5% | IV, | 29.3 | OS, PFS |
| | al, 2019 [96] | Chemotherapy according to histology, 1 st line | | 65.5 | 64 (29-87) | · | Sq = 29.2% NSq = 70.8% | | | 00,110 |

| a | Author | Trial arms | | | Po | pulation | | | Follow up | Outcomes |
|-------------|-------------------------------|--|----------|-------|------------------|----------|--|-------------------|-----------------------------------|----------------------------|
| Trial | Addition | Thai aims | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Odicomes |
| | Herbst et al, 2016 [34] | Pembrolizumab, 2mg/kg per 3 weeks, 2 nd line or later Pembrolizumab, 10mg/kg per 3 weeks, 2 nd line or later | 1034 | 62 | 63 (56-69) | 72 | Sq = 22% NSq = 70% Sq = 23% NSq = 71% | Advanced | 13.2 median, 8.0 minimum | OS, PFS, deaths, AEs |
| Keynote 010 | | Docetaxel 75mg/m² per 3weeks, 2 nd line or later | | 61 | 61 62 (56-69) | 73 | Sq = 19% NSq = 70% | | | |
| | Herbst et | Pembrolizumab, 2 or 10mg/kg per 3 weeks, 1 st line or later | 1033 | 62 | 63 (20-88) | 72 | Sq = 23% NSq = 70% | Locally advanced | 31.0 | OS, PFS, |
| | [45] | Docetaxel 75mg/m² per 3 weeks, 1 st line or later | | 61 | 62 (33-82) | 73 | Sq = 19% NSq = 70% | and metastatic | median | AEs |

| a | Author | Trial arms | | | Po | pulation | | | Follow up | Outcomes |
|-------------|---------------------------------|--|----------|-------|-----------------|----------|---------------------------|----------------|-----------|-----------------------------|
| Trial | Addition | Thai aims | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Odicomes |
| | Reck et al, | Pembrolizumab 200mg per 3 weeks, 1 st line | | 59.7 | 64.5 (33-90) | | Sq = 18.8% NSq = 81.2% | | 11.2 | |
| | 2016 [47] | Investigator's choice chemotherapy, 1 st line | 305 | 62.9 | 66.0 (38-85) | | Sq = 17.9% NSq = 82.1% | IV | median | OS, AEs |
| 024 | Reck et al. | Pembrolizumab 200mg per 3 weeks, 1 st line | | 59.7 | 64.5 (33-90) |) _ | Sq = 18.8% NSq = 81.2% | | 25.2 | OS, deaths, |
| Keynote 024 | Reck et al, 2019 [39] | Investigator's choice chemotherapy, 1 st line | 305 | 62.9 | 66.0 (38-85) | R | Sq = 17.9% NSq = 82.1% | IV | median | AEs (2-year outcomes) |
| | Brahmer et al, 2017 [109] | Pembrolizumab 200mg per 3 weeks, 1st line Investigator's choice chemotherapy, 1st line | 305 | | Reported | Advanced | 6.0 minimum | QoL, deaths | | |

| <u>8</u> | Author | Trial arms | | | Po | opulation | | | Follow up | Outcomes |
|-------------|----------------------------------|---|----------|-------|------------------------------------|-----------|--|--|-----------------|--|
| Trial | Author | Tilai aiiiis | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| | | Pembrolizumab, 200mg per 3 weeks, 1 st line | | 71 | 63.0 (57-69) | | Sq = 38% NSq = 62% | | | |
| Keynote 042 | Mok et al, 2019 [35] | Carboplatin (5-6mg/mL/min) + Paclitaxel (200mg/m²) OR Pemetrexed (500mg/m²), 1st line | 1274 | 71 | 66.0 (38-85) | | Sq = 39% NSq = 61% | Locally advanced and metastatic | 12.8 minimum | OS, PFS, AEs deaths |
| OAK | Rittmeyer et al, 2017 [42] | Atezolizumab 1200mg per 3 weeks, 2 nd or 3 rd line Docetaxel 75mg/m² per 3 weeks, 2 nd or 3 rd line | . 850 | 61 | 63.0 (33-82) 64.0 (34-85) | 71 | Sq = 26% NSq = 74% Sq = 26% NSq = 74% | IIIB, IV | 21.0 median | OS, PFS, AEs, deaths for ITT850 population |

| <u>8</u> | Author | Trial arms | | | P | opulation | | | Follow up | Outcomes |
|----------|--|---|----------|-------|------------------------------------|--------------|--|---|------------------------------------|---|
| Trial | Autioi | Tilal alliis | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| | Fehrenbacher et al, 2018 [40] | Atezolizumab 1200mg per 3 weeks, 2 nd line or later Docetaxel 75mg/m² per 3 weeks, 2 nd line or later | 1225 | 61.8 | 63.0 (25-84) 64.0 (34-85) | 71.5 70.6 | Sq = 26.3% NSq = 73.7% Sq = 26.1% NSq = 73.9% | Advanced | 21.0 minimum, 26.0 median | OS, PFS, AEs, deaths for ITT1225 population |
| OAK | Bordoni et al, 2018 [111] | Atezolizumab 1200mg per 3 weeks, 2 nd line or later Docetaxel 75mg/m² per 3 weeks, 2 nd line or later | 850 | | Reported | previously [| Locally advanced and metastatic | | QoL | |
| | Atezolizumab 64 Hida et al, 1200mg per 3 efficacy, weeks, 2 nd 101 line or 3 rd line safety 52.8 | | | 52.8 | 63.5 (33-77) | 0 | Sq = 22.2% NSq = 77.8% | Locally advanced or metastatic | 19.4 minimum, 21.0 median | OS, PFS, AEs |

| Docetaxel 75mg/m² per 3 weeks, 2 nd line or 3 rd line | 67.9 | 58.5 (34-79) | 0 | Sq = 21.4% NSq = 78.6% | | |
|---|------|-----------------|---|---------------------------|--|----|
| | | | | | | |
| line or 3 rd line | | | | | | |
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| a | Author | Trial arms | | | P | opulation | | | Follow up | Outcomes |
|--------|------------------|---------------------------|----------|-------|-----------|-----------|-------------|--------|-----------|----------|
| Trial | Adirioi | Thai airis | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| | | Atezolizumab | | | | | | | | |
| | | 1200mg per 3 | | 62.4 | 63.5 | | Sq = 28.3% | | | |
| | | weeks, 2 nd or | | 02.4 | (33-82) | • | NSq = 71.7% | | | |
| ネ | Gadgeel et al, | 3 rd line | 850 | | | | | | 21.0 | OS, AEs |
| OAK | 2019 [117] | Docetaxel | 030 | | | | | • | median | 00, AL3 |
| | | 75mg/m² per | . (%) | 62.3 | 64.0 | | Sq = 28.1% | | | |
| | | 3 weeks, 2 nd | | 02.3 | (34-95) | • | NSq = 71.9% | | | |
| | | or 3 rd line | | 70 | / | | | | | |
| | | Atezolizumab | | | | | | | | |
| | | 1200mg per 3 | | 65.0 | 62.0 | | Sq = 34% | | 14.8 | |
| | | weeks, 2 nd or | | 05.0 | (42-82) | • | NSq = 66% | | median | OS, PFS, |
| POPLAR | Fehrenbacher | 3 rd line | 287 | | | | | | | AEs, |
| OP | et al, 2016 [36] | Docetaxel | 201 | | | | | • | | deaths |
| ш | | 75mg/m² per | | 53.0 | 62.0 | | Sq = 34% | | 15.7 | ucatils |
| | | 3 weeks, 2 nd | | 33.0 | (36-84) | • | NSq = 66% | | median | |
| | | or 3 rd line | | | | | | | | |
| | | | | | | | | | /, | |
| | | | | | | | | | | |
| | | | | | | | | | | |

| ਬ | Author | Trial arms | | | Р | opulation | | | Follow up | Outcomes |
|------------|---|--|----------|-------|------------------------------------|--------------|--|------------|----------------|---|
| Trial | Additor | Thai aims | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| IMPower110 | Spigel et al, 2019 (abstract) [31] | Atezolizumab 1200mg per 3 weeks, line not reported Chemotherapy according to histology, line not reported | 572 | 77/6 | Not | reported | | IV | 15.7 median | OS, AEs |
| PACIFIC | Antonia et al, 2017 [94] | Durvalumab 10mg/kg per 2 weeks, 2 nd line or later Placebo per 2 weeks, 2 nd line or later | 713 | 70.0 | 64.0 (23-39) 64.0 (31-84) | 66.2 70.8 | Sq = 43.0% NSq = 57.0% Sq = 47.1% NSq = 52.9% | IIIA, IIIB | 14.5 median | PFS, AEs |
| | Antonia et al, 2018 [43] | Durvalumab 10mg/kg per 2 weeks, line not specified | 713 | 70.0 | 64.0 (23-39) | 66.2 | Sq = 43.0% NSq = 57.0% | IIIA, IIIB | 25.2 median | OS, PFS, AEs (2-year outcomes) |

| | Placebo per 2 weeks, line not specified | | 70.2 | 64.0 (31-84) | 70.8 | Sq = 47.1% NSq = 52.9% | | | |
|--------------------------|--|-----|------|-----------------|--------------|---------------------------|------------|----------------|-----|
| Hui et al, 2019 [110] | Durvalumab 10mg/kg per 2 weeks, line not specified Placebo per 2 weeks, line not specified | 713 | | | previously [| | IIIA, IIIB | 25.2 median | QoL |
| | | | | | | e Lieu | | | |

Included RCT studies with breakdown by patient characteristics

| a | Author | Trial arms | | | P | opulation | | | Follow up | Outcomes |
|---------------------|--------------------------------|---|-----------|-------|-----------------|-----------|---------------------------|----------|----------------|---|
| Trial | Additor | mai amis | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| | | Pembrolizumab 2 or 10mg/kg or 200mg per 3 weeks, patients ≥75yrs, 1 st -3 rd line | ; 0/e/ | 67.1 | 77.0 (75-90) | 68.5 | Sq = 36.9% NSq = 61.1% | | | |
| Keynote 010+024+042 | Nosaki et al, 2019 [105] | Pembrolizumab 2 or 10 mg/kg or 200mg per 3 weeks, patients <75yrs, 1st-3rd line | 3510 | 65.1 | 62.0 (31-74) | 68.6 | Sq = 28.0% NSq = 68.6% | Advanced | 11.7 median | OS, AEs, deaths, comparing outcomes of elderly to younger |
| Key | | Platinum-based chemotherapy, patients ≥75yrs, 1st - 3rd line | | 63.5 | 77.0 (75-90) | 61.7 | Sq = 24.3% NSq = 70.4% | 1000 | /1. | patients |
| | | Platinum-based chemotherapy, patients <75yrs. 1st - 3rd line | | 67.2 | 62.0 (20-74) | 70.7 | Sq = 30.9% NSq = 66.0% | | | |

| <u>a</u> | Author | Trial arms | | | Р | opulation | | | Follow up | Outcomes |
|----------|-----------------------------------|--|----------|--------------|------------------------------------|--------------|--|----------|-------------------------|----------------------------|
| Trial | Autioi | mai amis | Patients | %male | Age (yrs) | %White | Histology | Stages | (months) | Outcomes |
| DAK DAK | Von Pawel et al, 2019 [106] | Atezolizumab 1200mg per 3 weeks, ⁴⁵ , 2 nd or 3 rd line Atezolizumab 1200mg per 3 weeks, non-LTS, 2 nd or 3 rd line Docetaxel | 774 | 51.0 66.0 | 63.0 (35-81) 64.0 (33-82) | 71.0 74.0 | Sq = 16% NSq = 84% Sq = 30% NSq = 70% | IIIB, IV | 28.0 median, 26.0 | OS, PFS, AEs (2-year |
| | | 75mg/m² per 3 weeks, LTS, 2 nd or 3 rd line | | 58.0 | 62.0 (41-84) | 68.0 | Sq = 16% NSq = 84% | | minimum | outcomes) |
| | | Docetaxel 75mg/m² per 3 weeks, LTS, 2 nd or 3 rd line | | 62.0 | 64.0 (34-85) | 73.0 | Sq = 30% NSq = 70% | | | |

⁵ LTS = Long-term survivors, defined as patients who lived ≥ 24 months from randomisation

Included observational studies, intervention only assessed

| | Study | | | | Population | | | | Follow up | Reported |
|-------------------------------|-----------------|----------|-------|---------------------------|-----------------------|--|------------------------------|---|-----------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Alama et al, 2019 [77] | Cohort study | 89 | 70.8 | 67.0 (44-86) | Italy, 1 centre | Sq = 28.0%, Ad = 70.8% | Advanced | Nivolumab 3mg/kg per 2 weeks, 1st line or later | | OS, deaths, impact of circulating tumour cells and cfDNA |
| Bagley et al, 2017 [78] | Cohort study | 175 | 46 | 68.0 (33-88) | USA, 1 centre | Sq = 24%, NSq = 76% | Advanced, previously treated | Nivolumab 3mg/kg per 2 weeks, 1st line or later | | OS, PFS, AEs |
| Bins et al, 2018 [128] | Cohort study | 161 | 67 | 64, σ 8.1 ⁶ | Holland, 2 centres | $Sq = 29\%,$ $Ad^7 = 60\%,$ $LC^8 = 9\%,$ $Ud^9 = 1\%$ | Previously untreated | Nivolumab 3mg/kg per 2 weeks, 1st line or later | ~4.2 | AEs, deaths, impact of SNPs |

Mean (standard deviation)
 Adenocarcinoma
 Large cell carcinoma

⁹ Undifferentiated

| | Study | | | | Population | | | | Follow up | Reported |
|----------------------------------|-----------------|----------|-------|-------------------------|-------------------------------|---|--------------------------------------|---|---------------------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Brustugun et al, 2017 [62] | Cohort study | 58 | 48.3 | 64.6 (32-88) | Norway, 1 centre | Sq = 41.4%, Ad = 55.2%, LC = 1.7% | Metastatic | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 15.6 median | OS, AEs |
| Crinò et al, 2019a [49] | Cohort study | 1588 | 65 | 66.0 (27-89) | Italy, 153 centres | NSq = 100% | Stages IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 1st line or later | 6.1 median | OS, PFS, deaths, AEs, impact of CNS metastases |
| Crinò et al, 2019b [48] | Cohort study | 371 | 80 | 68.0 (33-88) | Italy, 96 centres | Sq = 100% | Stages IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 7.1 median | OS, PFS, deaths, AEs, impact of ECOG PS and metastases |
| Diem et al, 2017 [80] | Cohort study | 52 | 56 | 66.2 (45.5- 88.2) | Switzer- land, 1 centre | Sq = 35%, Ad = 58% | Metastatic, previously treated | Nivolumab 3mg/kg per 2 weeks, 1st line or later | 0 – 14 (range only) | OS, PFS, deaths, impact of NLR and PLR |

| | Study | | | | Population | | | | Follow up | Reported |
|-----------------------------------|-----------------|----------|-------|-------------------------|----------------------|---|---|--|---------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Dudnik et al, 2018 [63] | Cohort study | 260 | 68 | 67.0 (41-99) | Israel, 5 centres | Sq = 23%, NSq = 70%, NOS ¹⁰ = 6% | Advanced, mostly stage IV or recurrent | Nivolumab 3mg/kg per 2 weeks, 2 nd line | 8.4 median | OS, PFS, deaths, AEs, impact of ECOG PS on OS |
| Dumenil et al, 2018 [79] | Cohort study | 67 | 69 | 68.5 (60-77) | France, 2 centres | Sq = 25%, Ad = 70%, NOS = 5% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 1 st or 2 nd line | | OS, PFS, AEs, histology, metastases, and ECOG PS |
| Dusselier et al, 2019 [134] | Cohort study | 59 | 75 | 59.3 (30.3- 87.3) | France, 2 centres | Sq = 20%, NSq = 80% | Advanced, pre-treated | Nivolumab 3mg/kg per 2 weeks, 2 nd line | · | Impact of tumour histology, NLR, ALC, and ANC |

¹⁰ Not otherwise specified

| | Study | | | | Population | | | | Follow up | Reported |
|----------------------------------|-----------------|--|----------|--|---------------------|--|-----------------------|---|---------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Edahiro et al, 2019 | Cohort | 149 | 83.2 | 71.0 | Japan, 11 | Sq = 23.5%, NSq | Stage III or | Pembro- lizumab, 1 st | | Impact of PDL1 TPS, |
| [99] | study | | 5 | (39-87) | centres | = 76.5% | IV | line | | AEs |
| Fujita et al, 2019 [135] | Cohort | 32 (patient with infection only) | 78.1 | 69.8, σ 12.2 | Japan, 2 centres | NSq = 78.1% | Stage IV | Nivolumab, 2 nd line or later | | AEs |
| Fukihara et al, 2019 [136] | Cohort study | 170 | 73.5 | With IRP: 67.0 (58-73) No IRP: 70.0 (63-73) | Japan, 3 centres | Sq = 30% NSq = 70% Sq = 36% NSq = 64% | Advanced or recurrent | Nivolumab 3mg/kg per 2 weeks, 1st line or later Pembrolizumab 200mg per 3 weeks, 1st line or later | 9.9 median | Impact of drug used on risk of IRP ¹¹ |

¹¹ Immune-related pneumonitis

| | Study | | | P | opulation | | | | Follow up | Reported |
|--|-----------------|----------|-------|--------------------|----------------------|------------------------------------|--|--|----------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Fukui et al, 2018 [125] | Cohort study | 52 | 71 | 69.0 (46-83) | Japan, 1 centre | Sq = 31%, Ad = 63%, NOS = 6% | Stages IIIA, IIIB, IVA, IVB or recurrent | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 10.9 median | PFS, deaths, AEs, impact of histology, ECOG PS, PDL1 TPS and NLR |
| Garassino et al, 2018 [50] | Cohort study | 371 | 80 | 68.0 (31-91) | Italy, 96 centres | Sq = 100% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line | 7.1 median | OS, PFS, deaths, AEs |
| Garde- Noguera et al, 2018 [81] | Cohort study | 175 | 73.1 | 61.5 ¹² | Spain, 14 centres | Sq = 22.9%, NSq = 77.1% | Stage III, IVA, or IVB | Nivolumab 3mg/kg, 2 nd line or later | | OS, PFS, deaths and AEs, impact of histology, ECOG PS, metastases |

¹² No range provided

| | Study | | | Po | pulation | | | | Follow up | Reported |
|---------------------------|------------------------------------|----------|---|---|---------------------------------------|---|------------------------------|---|----------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Garon et al, 2015 [72] | Phase I trial akin to cohort study | 495 | 64 | 64.0 (28-93) | Europe, Australia, Asia, USA | Sq = 17.2%, Ad = 1.4%, NSq = 81.0% | Advanced or metastatic | Pembro- lizumab 2mg/kg per 3 weeks, 2 nd line | 10.9 median | OS, PFS, AEs, impact of PDL1 TPS |
| Garon et al, 2019 [76] | Phase I trial akin to cohort study | 550 | Rx- naïve ¹³ : 59 Pre- treated: | Rx- naïve: 68.0 (39-93) Pre- treated: 62.0 (28- | | Rx-naïve: Sq = 19%, NSq = 78%, NOS = 3% Pre-treated: Sq = 17%, NSq = 82%, | Advanced or metastatic | Pembro- lizumab 2mg/kg per 3 weeks OR 10mg/kg per 2 weeks, then 200mg per 3 | 60.6 median | OS, deaths, AEs, impact of EGFR mutation, histology, and PDL1 TPS (5-year |
| | | | 51 | 85) | | NOS = 1% | | weeks, 1 st line or later | | outcomes) |

¹³ Therapy-naïve

| | Study | | | F | Population | | | | Follow up | Reported |
|---------------------------------|-----------------|----------|-------|------------------------------|----------------------|--|--|---|----------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Gauvain et al, 2018 [97] | Cohort | 43 | 76 | 59.5, σ 8.4 ¹⁴ | France, 2 centres | Sq = 16%, Ad = 82% | Advanced or metastatic | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 5.8 median | PFS, deaths, AEs |
| Geier et al, 2018 [64] | Cohort | 259 | 72.2 | 62.0 (29- 85) | France, 9 centres | Sq = 27%, Ad = 63.7%, Ud = 6.9% | Stage IIIB or | Nivolumab 3mg/kg per 15 days, 2 nd line | 17.7 median | OS, PFS, AEs, impact of histology and irAEs |
| Grossi et al, 2018 [65] | Cohort study | 371 | 80 | 68.0 (31- 91) | Italy, 96 centres | Sq = 100% | Stage IIIB or | Nivolumab 3mg/kg per 2 weeks, line not reported | 7.5 median | OS, PFS, AEs, impact of age and ECOG PS |
| Haratani et al, 2017 [59] | Cohort study | 25 | 48 | 69.0 (42- 78) | Japan, 3 centres | Ad = 96%, AdSq ¹⁵ = 4% | Stage IIIB, IV or recurrent; EGFR- mutation positive | Nivolumab, 1 st – 3 rd line | 7.3 median | OS, PFS, impact of T790 mutation and PDL1 TPS |

¹⁴ Mean (standard deviation)¹⁵ Adeno-squamous

| | Study | | | Р | opulation | | | | Follow up | Reported |
|-----------------------------------|-----------------|----------|-------|--------------------------------|-------------------------------|------------------------------|-----------------------------------|--|------------------------------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Haratani et al, 2018 [56] | Cohort | 134 | 67 | 68.0 (33- 85) | Japan, 4 centres | Sq = 25%, NSq = 75% | Stage IIIB, IV or recurrent | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 4 weeks, 6 weeks and 8 weeks | OS and PFS, AEs, impact of skin and endocrine AEs |
| Hasan Ali et al, 2016 [127] | Cohort | 40 | 55 | 65.5 (46- 88) ¹⁶ | Switzer- land, 1 centre | Sq = 35%, Ad = 57% | Metastatic | Nivolumab 3mg/kg per 2 weeks, 1st line or later | · | AEs, deaths |
| Inoue et al, 2018 [129] | Cohort study | 201 | 67.2 | 68.0 (27- 87) | Japan, 3 centres | Sq = 20.9%, Ad = 70.6% | Advanced, un- resectable | Nivolumab 3mg/kg per 2 weeks, 2 nd – 4 th lines | | Deaths, AEs, impact of ECOG PS and CRP /albumin |

¹⁶ Mean (range)

| | Study | | | Р | opulation | | | | Follow up | Reported |
|--|-----------------|----------|-------|-----------------------------|----------------------|--|---|--|-----------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Kaderbhai et al, 2017 [82] | Cohort study | 115 | 77 | 66, σ 10 ¹⁷ | France, 3 centres | Sq = 36.4%, Ad = 60%, LC = 1.7% | Stage IIIB or IV, previously treated | Nivolumab 3mg/kg per 2 weeks, 1 st line | · | OS, PFS, impact of initial best response to 1st line therapy |
| Kanai et al, 2018 [100] | Cohort | 216 | 71 | 69.0 (30- 89) | Japan, 3 centres | Sq = 27%, Ad = 62% | Advanced/r ecurrent, pre-treated | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | PFS, AEs |
| Karantanos et al, 2019 [137] | Cohort study | 22 | 54.5 | 62, σ 9.37 ¹⁸ | USA, 1 centre | Sq = 45.5%, Ad = 54.5% | Stage III or IV, pre- treated | Nivolumab 3mg/kg per 2 weeks, 2 nd – 4 th line | · | OS ¹⁹ |
| ¹⁷ Mean (stan ¹⁸ Mean (stan | | | | | | | | | 7 | |

¹⁷ Mean (standard deviation)¹⁸ Mean (standard deviation)

¹⁹ Mean (standard deviation)

| | Study | | | Р | opulation | | | | Follow up | Reported |
|--------------------------------|-----------------|----------|---|---|---------------------|---|-----------------------|---|-----------|-----------------|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Katsura et al, 2019 [60] | Cohort | 99 | ECOG PS2-4: 80 ECOG PS 0-1: 84 PC ²⁰ : 50 | ECOG P2 2-4: 69.0 (55- 84) ECOG PS 0-1: 70.0 (48- 90) PC: 74.0 (44-91) | Japan, 2 centres | ECOG PS 2-4: Sq = 45% Ad = 45% ECOG PS 0-1: Sq = 42% Ad = 53% PC: Sq = 14% Ad = 81% | Advanced or recurrent | Nivolumab 3mg/kg per 2 weeks, 2 nd line | ~3 months | OS, PFS, AEs |
| Kawachi et al, 2019 [90] | Cohort study | 31 | 71 | 69.0 (41- 92) | Japan, 1 centre | Sq = 19%, Ad = 77% | Stage IIIB or IV | Nivolumab, 2 nd line or later | · | OS, PFS |
| | | | | | | | | 0, | 1/2 | |

²⁰ Palliative care patients

| | Study | | | P | opulation | | | | Follow up | Reported |
|-----------------------------------|-----------------|----------|-------|-----------------|-------------------------|--|-----------------------------------|---|----------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Kobayashi et al, 2017 [101] | Cohort | 50 | 60 | 67.0 (34-85) | Japan, 1 centre | Sq = 12%, NSq = 88% | Stage III, IV or recurrent | Nivolumab 3mg/kg per 2 weeks, 1 st line | | PFS |
| Kobayashi et al, 2018 [102] | Cohort | 142 | 74.6 | 67.0 (34-85) | Japan, 13 centres | Sq = 28.9%, Ad = 58.5%, LC = 2.8%, Ud = 6.3% | Stage III or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | PFS, deaths, AEs, impact of EGFR or ALK mutation |
| Krefting et al, 2019 [98] | Cohort study | 40 | 75.0 | 65.0 (59-82) | Germany | Sq = 100% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 20.0 median | PFS, AEs |
| Ksienski et al, 2019a [66] | Cohort study | 190 | 51.5 | 70.0 (41-91) | USA, 6 centres | Sq = 22.1% | Stage IIIB, IV or recurrent | Pembro- lizumab 2mg/kg per 3 weeks, 1 st line or later | 6.1 median | OS, PFS, deaths, AEs, impact of ECOG PS |

| | Study | | | | Population | | | | Follow up | Reported |
|----------------------------------|-----------------|----------|-------|-----------------|------------|-------------------------------|---------------------|---|-----------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Ksienski | Retrosp ective | 0/7 | 50.4 | 64.0 (39-82) | | Sq = 25.7%, NSq = 74.3% | | Nivolumab 3mg/kg per 2 weeks, 1 st line or later | 8.1 | OS, PFS, deaths, AEs, impact of |
| et al, 2019b [57] | cohort study | 271 | 51.2 | 68.0 (50-81) | USA | Sq = 19.5%, NSq = 80.5% | Stage IV | Pembro- lizumab 2mg/kg per 3 weeks, 1 st line or later | median | treatment interruption and colitis |
| Lacerenza et al, 2019 [83] | Cohort study | 20 | 85 | 67.0 (43-81) | Italy | Sq = 30%, Ad = 70% | Stage IIIB or IV | Nivolumab, 2 nd line | · | OS, PFS, AEs, Impact of PDL1 expression on OS |
| | | | | | | | | | | |

| | Study | | | Pop | ulation | | | | Follow up | Reported |
|--------------------------------|--|----------|---|---|------------------|---|---------------------|---|----------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Leighl et al, 2019 [75] | Phase I trial akin to cohort study | 550 | Rx- naïve: 59.0 Pre- treated: 51.0 | Rx-naïve: 68.0 (59- 74) Pre- treated: 62.0 (54- 70) | 3/. _/ | Rx-naïve: Sq = 19%, NSq = 78%, NOS = 3% Pre- treated: Sq = 17%, NSq = 82%, NOS = 1% | Stage IV | Pembrolizumab 2mg/kg per 3 weeks, 1st line or later Pembrolizumab 10mg/kg per 2 weeks, 1st line or later | 34.5 median | OS, PFS, deaths, AEs, impact of PDL1 TPS, and previous Rx, (3-year outcomes) |
| Lisberg et al, 2018 [92] | Cohort study | 97 | trAE: 56.0 Non- trAE: 48.0 | trAE: 67.0 (32-87) Non-trAE: 65.0 (36-83) | USA, 1 centre | trAE: Sq = 21% Non-trAE: Sq = 19% | Stage IV | Pembrolizumab 2mg/kg per 3 weeks, 1st line or later Pembrolizumab 10mg/kg per 2 weeks, 1st line or later | | OS, PFS, AEs, impact of trAEs on OS and PFS |

| | Study | | | Р | opulation | | | | Follow up | Reported |
|--|-----------------|----------|-------|-------------------------------|-------------------------|-----------------------------------|-------------------------|---|---------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Liu et al, 2019 [51] | Cohort | 44 | 75.0 | 60.0 (43-74) | China, 1 centre | Sq = 29.5%, Ad = 70.5% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 6.9 median | OS, PFS, deaths, AEs, impact of blood inflammation markers |
| Manrique et al, 2018 [107] | Cohort study | 188 | 77.0 | 58.0 (45- 81) | Spain, 9 centres | Sq = 35%, Ad = 60%, Ud = 5% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 1 st – 6 th line | | OS, PFS, deaths, hospital- isations, AEs, impact of ECOG PS |
| Merino Almazan et al, 2019 [84] | Cohort study | 221 | 77.0 | 64.5 (σ 9.2) ²¹ | Spain, 15 centres | Sq = 59.7%, NSq = 40.3% | Stage I, II, III and IV | Nivolumab 3mg/kg per 2 weeks, 1 st line or later | 4 | OS, PFS, cost- effectiveness, deaths, AEs, impact of ECOG PS |

²¹ Mean and standard deviation

| | Study | | | Po | pulation | | | | Follow up | Reported |
|--------------------------------|-----------------|----------|-------|-------------------|---------------------|---|-----------------------------|---|-----------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Montana et al, 2019 [85] | Cohort | 98 | 71.4 | 65.5 (42-85.6) | France | Sq = 21.4%, NSq = 78.6% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 1 st – 4 th lines | | OS, PFS, AEs, impact of ECOG PS on OS |
| Naqash et al, 2018 [86] | Cohort study | 87 | 64.4 | 64.0 (35-85) | USA, 1 centre | Sq = 44.8%, Ad = 49.4% | Stage III or IV | Nivolumab, 2 nd line | | OS, AEs, impact of CRP, NLR, and prognostic scores |
| Nomizo et al, 2017 [138] | Cohort study | 50 | 68 | 65.0 (40- 80) | Japan | Sq = 20%, Ad = 80% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line | | PFS, impact of SNPs |
| Okuma et al, 2018 [139] | Cohort study | 39 | 74.4 | 69.0 (50- 88) | Japan, 2 centres | Sq = 17.9%, Ad = 71.8%, LC = 5.1%, Ud = 5.1% | Stage IV or recurrent | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later |)/. | Impact of soluble PDL1 on OS |

| | Study | | | Pop | oulation | | | | Follow up | Reported |
|----------------------------|-----------------|----------|------------------------------------|--|--------------------|-------------------------------------|---------------------|--|---------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Osorio et al, 2017 [93] | Cohort study | 51 | No thyroid disorder: 39.1 | No thyroid disorder: 63.0 (35-93) Thyroid | USA, 1 centre | No thyroid disorder: Ad = 85% | Stage IV | Pembro- lizumab 2mg/kg per 3 weeks, 1 st | | OS, PFS, AEs |
| | | | Thyroid disorder: 50.0 | disorder: 59.0 (39-80) | | Thyroid disorder: Ad = 70% | | line or later | | |
| Oya et al, 2017 [52] | Cohort study | 124 | 70.0 | 66.0 (37- 79) | Japan, 1 centre | Sq = 22%, Ad = 65%, Ud = 13% | Stage IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 6.0 median | OS, PFS, deaths. Impact of PDL1, EGFR, KRas, LDH, CRP and histology |

| | Study | | | Por | oulation | | | | Follow up | Reported |
|-----------------------------------|-----------------|----------|------------------------------------|---|-----------------------|------------------------------|---------------------------|--|----------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Oyanagi et al, 2019 [140] | Cohort study | 38 | 74.0 | 68.5 (49- 86) | Japan, 1 centre | Sq = 29%, NSq = 71% | Stage IIIB and IV | Nivolumab 3mg/kg per 2 weeks, 1st line or later | · | Impact of follastin, IP10 and RANTES |
| Passaro et al, 2019 [67] | Cohort study | 53 | 62.0 | 64.0 (56- 70) | Italy, 1 centre | Sq = 29%, Ad = 75% | Stage IIIB and IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 19.0 median | OS, PFS, impact of Gr- MDSC |
| Passiglia et al, 2019a [91] | Cohort study | 530 | KRas +ve: 63 KRas -ve: 67 | KRas +ve: 66.0 (36- 87) KRas -ve: 65.0 (29-86) | Italy, 168 centres | NSq = 100% | Stage IIIB, IIIC or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | · | OS, PFS, deaths, AEs |
| Passiglia et al, 2019b [87] | Cohort study | 45 | 71.1 | 66.0 (51- 80) | Italy, 2 centres | Sq = 45.5%, Ad = 55.5% | Stage IIIB, IIIC or IV | Nivolumab 3mg/kg per 2 weeks, 2nd line or later | 4 | OS, deaths, impact of ECOG PS, NLR, and histology |

| | Study | | | | Population | | | | Follow up | Reported |
|------------------------------------|-----------------|----------|-------|-----------------|--------------------|---|-----------------------------------|--|----------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Ramos-Levi et al, 2019 [130] | Cohort | 40 | 67.5 | 69.0 (38-86) | Spain, 1 centre | Sq = 52.5%, NSq = 42.5%, NOS = 5.0% | Previous Rx | Nivolumab 3mg/kg per 2 weeks, 2nd line or later | 7.6 median | AEs |
| Ravanelli et al, 2019 [61] | Cohort study | 104 | 66.4 | 67.0 (43-85) | Italy, 3 centres | Sq = 27.9%, NSq = 72.1% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd – 3 rd line | ~3.5 median | OS, PFS, deaths |
| Ricciuti et al, 2019a [73] | Cohort | 176 | 62.5 | 63.0 (30-84) | Italy, 3 centres | Sq = 18.7%, NSq = 78.5%, NOS = 2.8% | Stage IIIB, IV or recurrent | Nivolumab 3mg/kg per 15 days, 2 nd line or later | 28.3 months | OS, AEs, impact of Rx post- progression or discontinuation |
| Ricciuti et al, 2019b [74] | Cohort study | 195 | 65.6 | 63.0 (30-84) | Italy, 3 centres | Sq = 21.1%, NSq = 78.9%, NOS = 2.8% | Stage IIIB, IV or recurrent | Nivolumab, 2 nd line or later | 26.0 median | OS, PFS, AEs, impact of irAEs |

| | Study | | | Po | pulation | | | | Follow up | Reported |
|---------------------------------|-----------------|----------|-------|-------------------------------|----------------------|--|---|---|-----------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Sabatier et al, 2018 [68] | Cohort | 30 | 73 | 75.2 (70-86.8) | France, 1 centre | Sq = 37%, NSq = 63% | Stage IIIB or IV, patients ≥ 70 only | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 8.2 median | OS, PFS, AEs, impact of AEs and PDL1 TPS |
| Sarocchi et al, 2018 [27] | Cohort study | 59 | 69 | 69.0 (44-81) | Italy, 1 centre | Sq = 22%, NSq = 78% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | · | Deaths, AEs |
| Sato et al, 2018 [132] | Cohort | 38 | 74 | 68.5 (49-86) | Japan, 1 centre | Sq = 26%, NSq = 74% | Stage IIIB or IV, post- operative relapse | Nivolumab 3mg/kg per 2 weeks, line not specified | ~5.25 months | PFS, AEs |
| Schouten et al, 2018 [53] | Cohort study | 248 | 54.8 | 63.0 (29-84) ²² | Holland, 1 centre | Sq = 22.2%, Ad = 66.5%, Mixed = 6.5%, NOS = 4.8% | Metastasis | Nivolumab 3mg/kg per 2 weeks, 1st line or later | 4.8 median | OS, PFS, deaths, AEs, impact of histology and ECOG PS |

²² Mean and range

| | Study | | | Р | opulation | | | | Follow up | Reported |
|-----------------------------------|-----------------|----------|-------|------------------|---------------------|--|------------------------------------|---|----------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Sekine et al, 2018 [88] | Cohort study | 87 | 62.5 | 62.0 (34-83) | Japan, 1 centre | Sq = 11.5%, Ad = 73.6%, Other = 14.9% | Stage III or | Nivolumab 3mg/kg per 2 weeks, 1st line or later | | OS, PFS, AEs, impact of LMR ²³ and NLR on OS |
| Shamai et al, 2018 [89] | Cohort study | 77 | 55.9 | 68.0 (33-90) | Israel, 1 centre | Sq = 16.9%, NSq = 83.1% | Previously treated | Nivolumab 3mg/kg per 2 weeks, 1 st line or later | | OS, PFS, deaths, AEs |
| Shiroyama et al, 2018 [126] | Cohort study | 201 | 67.2 | 68.0 (27- 87) | Japan, 3 centres | Sq = 20.4%, NSq = 79.6% | Advanced, previously treated | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 12.4 median | PFS, deaths, impact of acute lung injury and NLR |
| Takeda et al, 2018 [103] | Cohort study | 30 | 63.3 | 71.0 (54- 83) | Japan, 1 centre | Sq = 30%, Ad = 70% | Pre-treated | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 7/ | PFS, AEs, impact of NLR |

²³ Lymphocyte-monocyte ratio

| | Study | | | Pop | oulation | | | | Follow up | Reported |
|----------------------------------|-----------------|----------|-------|------------------|-------------------------|---|-----------------------------------|---|----------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Tamiya et al, 2019 [71] | Cohort study | 213 | 82.6 | 71 (39-91) | Japan, 11 centres | Sq = 25.8%, Ad = 60.6%, NOS = 13.6% | Untreated, stage IIIB or IV | Pembroli- zumab 200mg/kg per 3 weeks, 1st line | 11.0 median | OS, PFS, AEs, impact of ECOG PS, PDL1 and steroid use on PFS |
| Tanizaki et al, 2018 [141] | Cohort | 134 | 67.2 | 68.0 (33- 85) | Japan, 4 centres | Sq = 24.6%, Ad = 67.2%, NOS = 8.2% | Stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | · | Impact of blood biomarkers and histology |
| Teraoka et al, 2017 [104] | Cohort study | 43 | 63.0 | 70.0 (50- 82) | Japan, 1 centre | Sq = 21%, Ad = 70%, NOS = 9% | Stage IIIB or IV | Nivolumab, 2 nd line or later | | PFS, AEs, impact of irAEs |
| Tiu et al, 2018 [54] | Cohort study | 38 | 42.0 | 67.0 (46- 84) | USA | Sq = 13%, Ad = 71%, NOS = 11% | Stage IIB, IIIA, IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 1st line or later | 5.6 median | OS, PFS, deaths, AEs, impact of ethnicity |

| | Study | | | Р | opulation | | | | Follow up | Reported |
|--------------------------------|-----------------|----------|-------|------------------|-----------------------|---|----------------------------|--|-----------|---------------------------------------|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Toi et al, 2018 [133] | Cohort study | 70 | 87.0 | 68.0 (36- 88) | Japan, 1 centre | Sq = 39%, NSq = 61% | Advanced | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | AEs, impact of irAEs |
| Tournoy et al, 2018 [69] | Cohort study | 67 | 72.3 | 66.0 (41- 86) | Belgium 20 centres | Sq = 26.6%, NSq = 73.4% | Stage IIIA, IIIB, or IV | Nivolumab, 2 nd line or later | | OS, PFS, AEs, impact of ECOG PS |
| Weis et al, | Cohort | 24 | 48.2 | 64.3 | USA, 1 | Sq = 32.1%, NSq = 60.5%, Ud = 7.4% | Stage IV | Nivolumab 240mg OR 3mg/kg per 2 weeks, 2 nd line or later | 7.5 | OS, PFS, deaths, AEs, impact of |
| 2019 [70] | study | 21 | 53.5 | 67.2 | centre | Sq = 27.9%, NSq = 67.4%, Ud = 4.7% | recurrent | Atezo- lizumab 1200mg per 3 weeks, 2 nd line or later | median | drug type and histology |

| | Study | | | Por | oulation | | | | Follow up | Reported |
|--------------------------|--------|----------|-------|------------------|------------------------------|---|--|--|---------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Yoo et al, 2018 [55] | Cohort | 47 | 85.1 | 62.0 (41- 81) | South Korea, 2 centres | Sq = 14.9%, Ad = 61.7%, NOS = 23.4% | Stage IIIB, IV or recurrent | Nivolumab 3mg/kg per 2 weeks OR 20mg or 100mg per 3 weeks, 2nd line or later | 5.2 median | OS, PFS, deaths, impact of use of a low dose on OS and PFS |
| Zhuo et al, 2018 [58] | Cohort | 10 | 70 | 64.0 (45- 66) | China | Sq = 40%, Ad = 50%, AdSq = 10% | Pre- treated stage IIIB or IV | Atezo- lizumab 1200mg per 3 weeks, 2 nd line or later | 4.8 median | OS, PFS |
| | | | | | | | | 12 O | | |

Included observational studies, intervention and comparator assessed

| | Study | | | | F | opulation | | | Follow up | Reported |
|----------------------------------|-----------------|---|----------|-------|------------------|---------------------|--|---|----------------|---|
| Author | design | Study arms | Patients | %male | Age (yrs) | Location | Histology | Disease features | (months) | Outcomes |
| Genova et al, | Cohort | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 73 | 73.9 | 70.0 (44-82) | Italy | Sq = 23.9%, NSq = 76.1% | Stage IIIB | 18.0 | OS, PFS, impact of B7H4 and PDL1 |
| 2019 [116] | study | Cisplatin + pemetrexed/ carboplatin, 1st line | | 74.1 | 69.0 (46-81) | | NSq = 100% | or IV | median | expression on PFS |
| Russo et al, 2018 [142] | Cohort study | Nivolumab, 2nd line or later Docetaxel, 2nd line or later | . 62 | 77.0 | 68.0 (45- 82) | Italy, 1 centre | Sq = 40%, Ad = 48%, Mixed/NOS = 12% | · | 17.0 median | OS, PFS, impact of baseline neutrophilia, NLR and PLR |
| Araujo et al, 2017 [143] | Cohort study | Nivolumab | 287 | 62 | 64.0 (37-83) | Brazil, 1 centre | NSq = 59% | Pre-treated, advanced/ metastatic | 4.9 median | OS, PFS, AEs |

| Ardizzoni et al, 2017 [144] | Cohort study | Nivolumab 3mg/kg per 2 weeks | 1588 | | Italy, 168 centres | NSq = 100% | Previously treated, stage IIIB or IV | 7.7 median | OS |
|--------------------------------------|-----------------|------------------------------------|------|-----|-----------------------|------------|---|---------------|----|
| | | 0/1/ | 96 |) 6 | | | | | |
| | | weeks | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

Included observational abstracts

| | Study | | | Р | opulation | | | | Follow up | Reported |
|-----------------------------------|-----------------|----------|-------|-----------------|----------------|--------------------------|---|---|----------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Bennati et al, 2017 [145] | Cohort study | 86 | 61 | | | Ad = 76% | | Nivolumab, lines not specified | | OS, PFS, impact of NLR and PDL1 TPS |
| Canova et al, 2016 [146] | Cohort | 37 | | 65.0 (54-79) | · | Sq = 56.8%, NSq = 27% | Pre- treated stage IIIA or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 12.0 median | PFS |
| Cappuzzo et al, 2017 [147] | Cohort study | 363 | · | · | Italy | Sq = 100% | Pre- treated, stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 5.2 median | os |
| De Pietro et al, 2017 [148] | Cohort | 92 | | · | | · | Pre- treated stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | AEs |
| Dixmier et al, 2018 [149] | Cohort study | 1394 | 69.2 | 66.0 (35-91) | 186 centres | | | Nivolumab, 2 nd line or later | 1 | PFS, AEs |

| | Study | | | l | Population | | | | Follow up | Reported |
|-------------------------------------|-----------------|----------|-------|-----------------|-------------------------|-------------------------------|-------------------------------|---|---------------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Elvarathnam et al, 2017 [150] | Cohort study | 63 | 76.1 | 65.0 (40-78) | France, 1 centre | Sq = 33.3% | Advanced | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | AEs |
| Emiliani et al, 2016 [151] | Cohort study | 33 | 51.5 | 67.0 (53-84) | 9/. | Sq = 18%, Ad = 82% | Metastatic | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | AEs |
| Fernandez et al, 2017 [152] | Cohort study | 32 | 87.5 | | Spain, 1 centre | Sq = 25%, Ad = 75% | Stage IIIB or | Nivolumab, 2 nd line or later | - | PFS, AEs |
| García et al, 2018 [153] | Cohort study | 129 | | | Spain, 5 centres | | Pre-treated, advanced | Nivolumab, 2 nd line or later | 6.0 median | OS, impact of ECOG PS |
| Honda et al, 2018 [154] | Cohort study | 93 | 76.3 | 67.0 (31-89) | Japan, 1 centre | Sq = 30.1%, NSq = 69.9% | Advanced | Nivolumab, lines not specified | · | PFS, AEs |
| Hosoya et al, 2018 [155] | Cohort study | 145 | 84.0 | 71.0 (39-87) | Japan, 10 centres | | Advanced, PDL1 TPS ≥50% | Pembro- lizumab, 1 st line | | Impact of early irAEs and types of AEs |

| | Study | | | | Population | | | | Follow up | Reported |
|--------------------------------------|-----------------|----------|-------|-----------------------------------|-------------------------|----------------------------------|--|---|-----------|---------------------|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Kim et al, 2017 [156] | Cohort | 299 | 68.9 | | Korea, 36 centres | Sq = 28.8%, NSq = 70.9% | Pre-treated, advanced/ metastatic | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | AEs |
| Lampaki et al, 2017 [157] | Cohort study | 23 | 82.6 | 68.0 | Greece, 1 centre | Sq and NSq | Pre-treated stage IIIB or IV | Nivolumab 3mg/kg per 2 weeks, 3 rd line or later | | Deaths, AEs |
| Lopez Flores et al, 2017 [158] | Cohort | 25 | 80 | 64.0 (43-80) | Spain, 1 centre | Sq = 48%, Ad = 44% | Pre-treated, stage IIIA, IIIB, or IV | Nivolumab 3mg/kg per 15 days, 2 nd line or later | | PFS, deaths, AEs |
| Mielgo et al, 2016 [159] | Cohort study | 11 | 90 | 65.0 (40- 78) ²⁴ | Spain, 1 centre | Sq = 27%, Ad = 73% | Advanced | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | 5/ | AEs |

²⁴ Mean and range

| | Study | | | Р | opulation | | | | Follow up | Reported |
|-------------------------------------|-----------------|----------|-------|-----------------|-----------------------------|---------------------------|------------------------------------|---|-----------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Migliorino et al, 2017 [160] | Cohort | 754 | | | Italy | NSq = 100% | Pre-treated stage IIIB or | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | OS, AEs |
| Moor et al, 2018 [161] | Cohort study | 214 | | 67.0 (42-84) | Australia , 7 centres | | Pre-treated, advanced | Nivolumab, 2 nd line | | OS, PFS, AEs, impact of ECOG |
| Moreno et al, 2017 [162] | Cohort | 46 | 86 | 64.0 (47-77) | Spain, 1 centre | Sq = 39%, NSq = 61% | Pre-treated, stage III or IV | Nivolumab, 2 nd line or later | · | OS, PFS, AEs |
| Nakamura et al, 2018 [163] | Cohort | 31 | · | · | Japan | | Advanced | Nivolumab, lines not specified | | HRs for OS and PFS, impact of NLR |
| Ota et al, 2018 [164] | Cohort | 51 | | · | Japan | | Pre-treated, advanced | Nivolumab, 2 nd line | · | Impact of time to progression and histology |
| Paglialunga et al, 2017 [165] | Cohort study | 69 | | | Italy | Sq = 23%, Ad = 77% | Advanced | Nivolumab, lines not specified | | OS, PFS, AEs, impact of EGFR mutations |

| | Study | | | | Population | | | | Follow up | Reported |
|---|-----------------|----------|-------|-----------------|-------------------------|----------------------------|--|--|-------------------------|-----------------------------------|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Rapoport et al, 2019 [166] | Cohort | 56 | 57 | 65.0 (46-86) | South Africa, 5 centres | Ad = 57% | Pre-treated | Nivolumab, line not specified | · | OS, PFS, AEs, impact of NLR |
| Rodriguez- Abreu et al, 2018 [175] | Cohort | 664 | 73 | 61.0 (32-85) | Spain | Sq = 19.2%, NSq = 80.8% | Pre- treated, advanced | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | Median 8.2 months | OS, PFS, AEs |
| Rodriguez- Cid et al, 2017 [167] | Cohort | 38 | 35.3 | · | India, 1 centre | Sq = 5.9%, Ad = 88.2% | Pre- treated, stage III or IV | Nivolumab, 1 st line or later | • | OS, PFS, deaths |
| Saijo et al, 2018 [168] | Cohort study | 51 | 19.6 | 70.0 (37-87) | Japan, 1 centre | Sq = 11.8%, Ad = 45.1% | Advanced NSCLC | Pembro- lizumab, 1 st - 4 th , 8 th lines | 6.4 median | OS, PFS, AEs |
| Sakaguchi et al, 2017 [169] | Cohort study | 50 | 66 | 69.0 (53-86) | Japan, 1 centre | Sq = 28%, Ad = 66% | Advanced or recurrent | Nivolumab 3mg/kg per 2 weeks, 2 nd line or later | | PFS, AEs |

| | Study | | | í | Population | | | | Follow up | Reported |
|------------------------------------|-----------------|----------|-------|-----------------|----------------------|---|----------------------|--|---------------|---|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Sanoyan et al, 2017 [170] | Cohort | 70 | 7/0/6 | 64.5 (39-89) | Germany, 1 centre | Sq = 23.8%, NSq = 76.2% Sq = 14.3% NSq = 85.7% | Stage IIIB and IV | Nivolumab, 2 nd line Pembro- lizumab, 1 st line | | OS, PFS |
| Saravia et al, 2017 [171] | Cohort | 114 | 52 | 67.0 (40-91) | USA | · | Advanced | Nivolumab, lines not specified | 5.4 median | Impact of ANC/ALC ratio ²⁵ and ECOG PS |
| Shaverdian et al, 2019 [172] | Cohort | 43 | 65 | 67.0 | · | | Pre- treated | Durvalumab 10mg/kg biweekly, lines not specified | 4.6 median | Deaths, AEs, impact of NLR |
| Shibata et al, 2018 [173] | Cohort study | 35 | | | | | | Nivolumab, 2 nd line | | AEs, impact of NLR |
| Tanimura et al, 2017 | Cohort study | 19 | | 67.0 | | Sq = 47.4%, NSq = | | Nivolumab, lines not | Median 7.2 | PFS |

²⁵ Absolute neutrophil count/absolute lymphocyte count ratio

| [174] | | 52.6% | specified | months |
|-------|--|-------|-----------|--------|
| | | | specified | |
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| | Study | | | F | Population | | | | Follow up | Reported |
|-----------------------------------|-----------------|----------|-------------------|--------------------------------|----------------|-----------------------------------|--|---|-------------------------|----------|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Tay et al, 2018 [176] | Cohort study | 58 | 66 | 67.0 | UK, 1 Trust | Sq = 36%, NSq = 64% | Pre- treated, advanced | Pembroli- zumab, line not specified | Median 5.2 months | OS, PFS |
| Watanabe | Cohort | | Elderly: 80 | Elderly: 78.0 (75-83) | • 1 | Elderly: Ad = 100% | Stage III, | Nivolumab, 1st | | |
| et al, 2017 [177] | study | 20 | Poor PS: 60 | Poor PS: 64.0 (46-72) | Japan | Poor PS: Sq = 30%, Ad = 40% | post- operative recurrent | – 5 th line | | PFS, AEs |
| Yamaguchi et al, 2017 [178] | Cohort study | 42 | 88 | 67.5 (39-76) | | | Pre- treated, stage III or IV | Nivolumab, 2 nd line | · | PFS, AEs |
| | | | | | | | | | | |

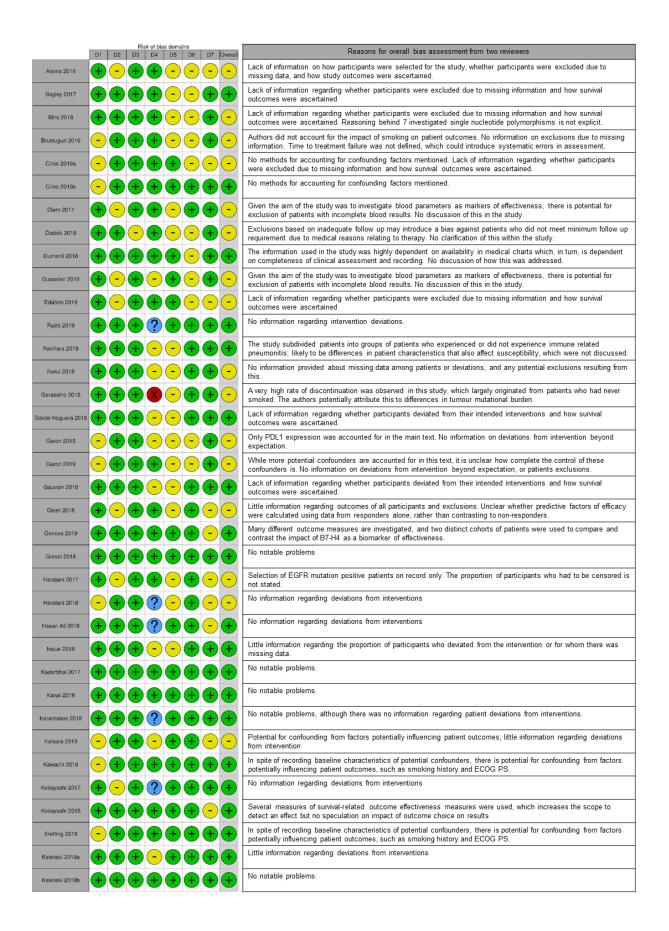
| | Study | | | F | Population | | | | Follow up | Reported |
|----------------------------------|-----------------|----------|-------|--------------|--------------------|-----------|---------------------|-------------------------------------|-----------|--|
| Author | design | Patients | %male | Age (yrs) | Location | Histology | Disease features | Intervention | (months) | Outcomes |
| Yamamoto et al, 2017 [179] | Cohort | 68 | | | Japan, 1 centre | | | Nivolumab, line not specified | | PFS, impact of hypo- thyroidism and SNPs |
| Yamane et al, 2017 [180] | Cohort study | 34 | | 64 | Japan, 1 centre | | Advanced | Nivolumab, 2 nd line | | AEs, impact of age |
| | | | | | | | | | | |

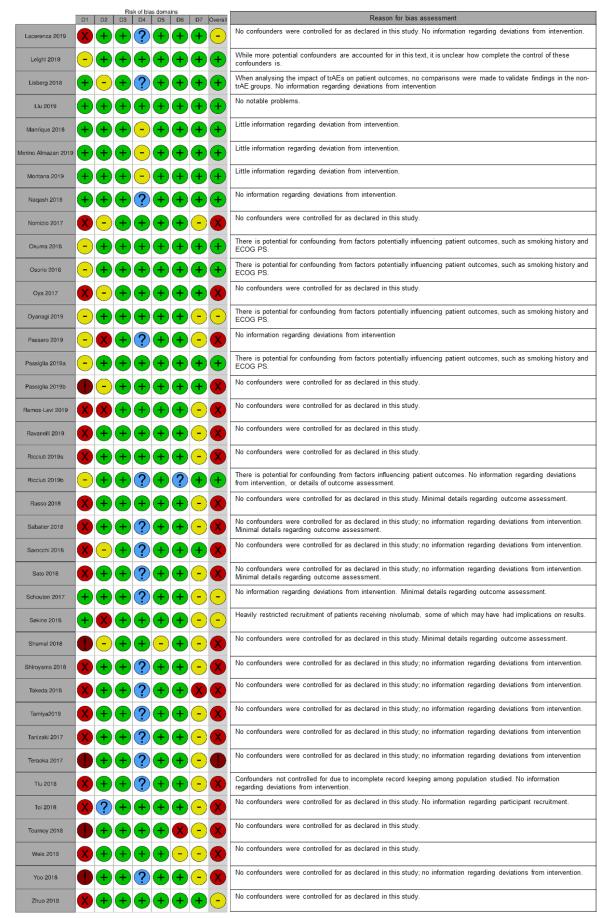
Risk of bias assessment

| | D1 | D2 | Risk of bia | s domains D4 | D5 | Overall | Reasons for overall bias assessment from two reviewers |
|-------------------|----|----|-------------|-----------------|----|---------|---|
| Brahmer 2015 | + | - | + | + | + | + | Open-label, so potential for crossover is high. However, intention-to-treat analysis was used. More patients using intervention discontinued; impact of patient characteristics not discussed |
| Carbone 2017 | X | + | + | - | - | - | Open-label trial, so potential for crossover is high. However, the primary end point of PFS was assessed by blinded central review; details of this are not specified. |
| Borghaei 2015 | X | - | + | + | + | - | Open-label trial, so potential for crossover is high |
| Wu 2019 | - | + | + | + | + | + | Randomisation process specified, but no intention-to-treat analysis mentioned. |
| Reck 2018 | X | X | + | - | + | X | Open-label trial, so potential for crossover is high. No details provided about the causes of losses to follow up, and the proportion in each treatment arm. |
| Hellmann 2018 | - | + | + | + | + | - | Randomisation process specified, but no intention-to-treat analysis mentioned. |
| Hellmann 2019 | - | + | + | + | + | - | Randomisation process specified, but no intention-to-treat analysis mentioned. |
| Antonia 2019 | X | X | + | + | + | - | Analysis of two open-label trials, so potential for crossover is high. Adverse effects and deaths were causes of treatment cessation, but were not compared between trial arms. |
| Horn 2017 | + | + | + | + | + | + | Randomisation process outlined clearly. Equal distribution of withdrawals and causes of withdrawals between trial arms. Intention-to-treat analysis was used. |
| Vokes 2019 | - | X | + | X | + | X | The distribution of reasons for stopping treatment were not described. No intention-to-treat analysis used |
| Herbst 2016 | + | + | + | - | + | + | Adverse effects were solely patient reported; potential for subjectivity depending on patient coping mechanisms and intuition to report. |
| Herbst 2019 | + | + | + | + | + | + | Open-label trial, so potential for crossover is high. However, intention-to-treat analysis was used. |
| Brahmer 2017 | + | + | + | + | + | + | Clear description of unbiased randomisation process. Consistent reporting of compliance to quality of life questionnaires. Several quality of life tools used. |
| Reck 2016 | + | + | + | + | + | + | Open-label trial, so potential for crossover is high. However, intention-to-treat analysis is used. Blinded independent central radiological review used. |
| Reck 2019 | + | + | + | + | + | + | Open-label trial, so potential for crossover is high. However, intention-to-treat analysis is used. |
| Mok 2019 | + | - | + | + | + | + | Clear description of unbiased randomisation process. Adverse effects were described as leading to discontinuation; however, impact of patient characteristics not discussed. |
| Nosaki 2019 | + | - | + | - | - | - | Open-label trial; however, intention-to-treat analysis was used. Higher rate of discontinuation due to AEs in chemotherapy group; impact of patient characteristics not discussed. |
| Bordoni 2018 | + | + | + | + | - | + | Several types of analyses conducted without explanation of reasoning behind analysis plan or the results presented |
| Fehrenbacher 2018 | + | - | + | - | - | - | No information about intervention deviations due to experimental context. Pooled analysis of two populations, as protocol was amended. May be differences between populations. |
| Gadgeel 2019 | + | + | + | + | - | + | Reason for point of step down of follow up not justified in the context of natural history of progression of disease. |
| Hida 2018 | + | + | - | + | + | + | No information about losses to follow up. However, intention-to-treat analysis was used. |
| Rittmeyer 2017 | X | + | + | + | + | - | Open-label and allocation unmasked, so very possible for crossover to occur. However, intention-to-treat-analysis was used. |
| Von Pawel 2019 | + | - | + | + | + | + | No details of the extent of masking or blinding, so the impact of crossover is unknown. However, intention-to-treat analysis was used. Clear definition used for subgroup analysis. |
| Antonia 2017 | + | + | + | + | + | + | Patients blinded to intervention or placebo. Clear specification of randomisation process. Intention-to-treat analysis used. |
| Antonia 2018 | + | + | + | + | + | + | Patients blinded to intervention or placebo. Clear specification of randomisation process. Intention-to-treat analysis used. |
| Hui 2019 | + | + | + | + | + | + | Clear description of unbiased randomisation process. Patients blinded to intervention or placebo. Intention-to-treat analysis used. |
| Fehrenbacher 2016 | + | - | + | - | + | - | Open-label; however, intention-to-treat analysis was used. Withdrawals mentioned, but impact of patient characteristics not discussed. Concern regarding focus on intervention |
| Spigel 2019 | - | X | + | + | - | - | Very little information provided regarding randomisation, receipt of intended intervention, and justification of outcomes, due to abstract format. |

ROB 2.0 assessment

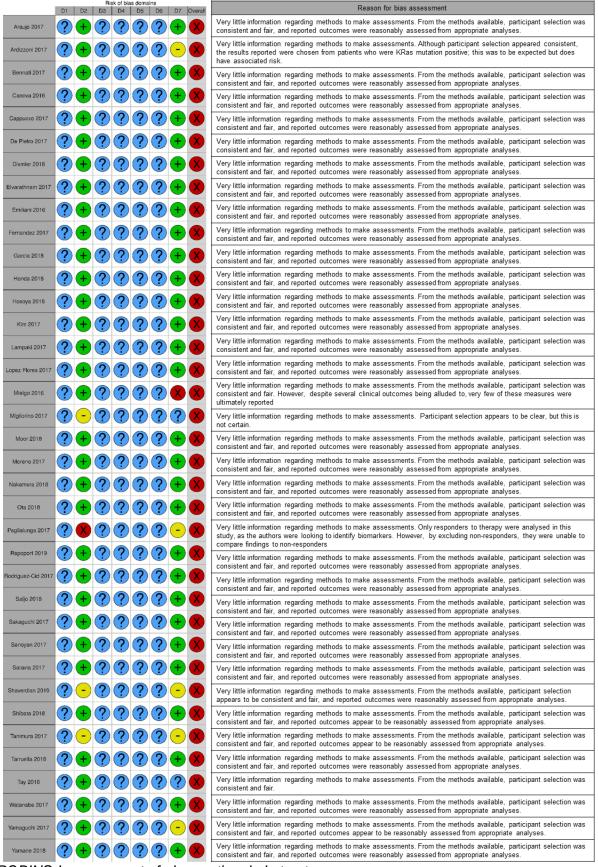
The figure shows a traffic light assessment, generated using ROBVIS, and accompanying reasoning for the overall risk from the assessing authors.





ROBINS-I assessment of observational full texts

The figures show a traffic-light assessment, generated using ROBVIS, and accompanying reasoning for the overall risk from the assessing authors.



ROBINS-I assessment of observational abstracts

The figure shows a traffic light assessment, generated using ROBVIS, and accompanying reasoning for the overall risk from the assessing authors.

GRADE Assessment of RCT Evidence

Nivolumab, pembrolizumab or atezolizumab compared to chemotherapy for managing advanced NSCLC

Adapted from table produced using the GRADEpro Guideline Development Tool (GDT) [181]

| Outcomes | No of studies | Certainty of the evidence (GRADE) | Relative effect (95% CI) |
|----------------------------------|---------------|-----------------------------------|--------------------------|
| Risk of death | 10 RCTs | $\oplus \oplus \oplus \oplus$ | HR 0.75 |
| Follow-up: range 0 months to | | High | (0.69 to 0.81) |
| 18 months | | | [Risk of death] |
| Risk of death | 3 RCTs | $\oplus \oplus \oplus \oplus$ | HR 0.72 |
| Follow-up: range 18 months to | | High | (0.65 to 0.81) |
| 31 months | | | [Risk of death] |
| Risk of death | 3 RCTs | $\oplus \oplus \oplus \bigcirc$ | HR 0.70 |
| Follow-up: range 31 months to | | Moderate | (0.59 to 0.82) [Risk |
| as far as reported | | | of death] |
| Risk of progression or death | 10 RCTs | $\Theta\ThetaOO$ | HR 0.87 |
| Follow-up: range 0 months to | | Low | (0.76 to 0.99) |
| 18 months | | | [Risk of progression |
| | X | | or death] |
| Risk of progression or death | 2 RCTs | $\Theta\Theta\Theta\Theta$ | HR 0.82 |
| Follow-up: range 18 months to | | Moderate | (0.74 to 0.90) |
| 31 months | | | [Risk of progression |
| | | | or death] |
| Incidence of treatment-related | 9 RCTs | $\Theta \Theta \Theta \Theta$ | |
| adverse events assessed with: | | High | Not pooled |
| Mean percentage incidence | | | |
| Time to deterioration in quality | 2 RCTs | $\Theta\Theta\Theta\Theta$ | - |
| of life assessed with: EORTC- | | Moderate | |
| QLQ-LC13 | | | |
| Time to deterioration in quality | 1 RCT | $\Theta\ThetaOO$ | Not estimable |
| of life assessed with: EQ-5D-3L | | Low | |
| Time to deterioration in quality | 1 RCT | 0000 | Not estimable |
| of life assessed with: LCSS | | Low | |
| ASBI | | | |
| Time to deterioration in quality | 1 RCT | $\Theta\Theta\ThetaO$ | Not estimable |
| of life assessed with: EORTC- | | Moderate | |
| QLQ-C30 | | | |

CI: confidence interval; HR: hazard ratio

GRADE Working Group grades of evidence

High certainty: we are very confident that the true effect lies close to that of the estimate of the effect.

Moderate certainty: we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

Low certainty: our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

Very low certainty: we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

Durvalumab compared to placebo for managing advanced NSCLC
Adapted from table produced using the GRADEpro Guideline Development Tool (GDT) [181]

| Outcomes | No of studies | Certainty of the evidence (GRADE) | Relative effect (95% CI) |
|----------------------------------|---------------|-----------------------------------|--------------------------|
| Risk of death | 1 RCT | $\Theta \Theta \Theta \Theta$ | Not estimable |
| Follow-up: median 25.2 months | | High | |
| Progression-free survival | 1 RCT | $\oplus \oplus \oplus \oplus$ | Not estimable |
| Follow-up: median 25.2 months | | High | |
| Incidence of treatment-related | 1 RCT | $\oplus \oplus \oplus \oplus$ | Not estimable |
| adverse events assessed with: | | High | |
| Percentage incidence | | | |
| Follow-up: median 25.2 months | | | |
| Time to deterioration in quality | 1 RCT | $\Theta\Theta\Theta\Theta$ | Not estimable |
| of life assessed with: EORTC- | | Moderate | |
| QLQ-C30 | | | |
| Follow-up: median 25.2 months | | | |

CI: confidence interval

GRADE Working Group grades of evidence

High certainty: we are very confident that the true effect lies close to that of the estimate of the effect.

Moderate certainty: we are moderately confident in the effect estimate: the true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

Low certainty: our confidence in the effect estimate is limited: the true effect may be substantially different from the estimate of the effect.

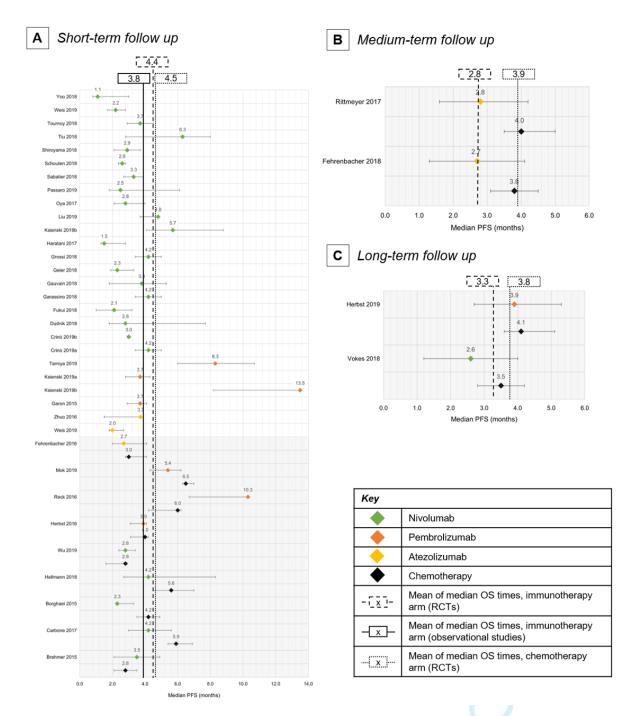
Very low certainty: we have very little confidence in the effect estimate: the true effect is likely to be substantially different from the estimate of effect.

Supplementary analyses



Supplementary Figure 1 – Median OS results

A) Median OS and 95% confidence intervals reported in observational and RCT studies with short-term follow up [31-35, 37, 40, 48-55, 57, 59, 62-72]. B) Median OS and 95% confidence intervals reported in observational and RCT studies with medium-term follow up [38-40, 42, 64]. C) Median OS and 95% confidence intervals reported in observational and RCT studies with long-term follow up. Shaded area denotes RCT data [44, 45].



Supplementary Figure 2 - Median PFS results

A) Median PFS and 95% confidence intervals reported in observational and RCT studies with short-term follow up [31-37, 47-59, 63-72, 95, 97, 125, 126]. B) Median PFS and 95% confidence intervals reported in observational and RCT studies with medium-term follow up [40, 42]. C) Median PFS and 95% confidence intervals reported in observational and RCT studies with long-term follow up. Shaded area denotes RCT data [44, 45].

Table illustrating the percentage incidence of trAEs in RCTs. Each block corresponds to the proportion of patients with each AE per trial arm, expressed as a percentage. [32-35, 37-39, 42, 44, 47, 94, 95]. N = nivolumab, P = pembrolizumab, A = atezolizumab, D = durvalumab, C = chemotherapy.

| | ly name | | ner et 2015 | Carbo al., 2 | one et 2017 | Borgh al., 2 | | | mann , 2018 | | et al., 19 | | st et al., 2016 | | | ck et 2016 | | k et 2019 | | neyer , 2017 | | nia et al., 017 |
|------------------|----------------------------|-----|----------------|-----------------|----------------|-----------------|-----|-----|----------------|-----|---------------|---------------|-----------------|-----|-----|---------------|-----|--------------|-----|-----------------|-----|--------------------|
| | arm | N | С | N | С | N | С | N | С | N | С | P (2mg/kg) | P (10mg/kg) | С | Р | С | Р | С | Α | С | D | Placebo |
| Nun | ber of patients | 131 | 129 | 267 | 263 | 287 | 268 | 391 | 570 | 337 | 156 | 339 | 343 | 309 | 154 | 150 | 636 | 615 | 609 | 578 | 475 | 234 |
| | Fatigue | 16 | 33 | 21 | 35 | 16 | 29 | 11 | 18 | 10 | 25 | 14 | 14 | 25 | 10 | 29 | 8 | 17 | 27 | 36 | 13 | 11 |
| | Decreased appetite | 11 | 19 | 12 | 28 | 10 | 16 | 6 | 19 | 7 | 23 | 14 | 10 | 16 | 9 | 26 | 6 | 18 | 24 | 24 | 6 | 3 |
| | Diarrhoea | 8 | 20 | 14 | 13 | 8 | 1 | 11 | 10 | | | 1 | 6 | 18 | 14 | 13 | 5 | 7 | 15 | 24 | 10 | 8 |
| | Pyrexia | 5 | 8 | | | | | | | | | | | | 10 | 5 | | | 18 | 13 | | |
| | Nausea | 9 | 23 | 12 | 48 | 12 | 26 | 5 | 36 | | | 11 | 9 | 15 | 10 | 43 | 5 | 30 | 18 | 23 | 6 | 6 |
| | Rash | 4 | 6 | 10 | 6 | | | 11 | 1 | 12 | 3 | 9 | 13 | 5 | | | 7 | 4 | | | 8 | 6 |
| | Hypothyroidism | | | | | | | 6 | 0 | | | 8 | 8 | <1 | 9 | 1 | 11 | <1 | | | 11 | <1 |
| | Asthenia | 10 | 14 | 3 | 11 | 10 | 18 | 7 | 13 | | | 6 | 6 | 11 | | | 4 | 10 | 19 | 20 | 6 | 6 |
| | Arthralgia | 5 | 7 | | | | | | | | | | | | | | 4 | 7 | 12 | 10 | | |
| | Constipation | | | 3 | 11 | | | 2 | 15 | | | | | | 4 | 11 | 1 | 11 | 18 | 14 | | |
| | Vomiting | | | 6 | 23 | | | 3 | 13 | | | | | | 3 | 20 | 2 | 16 | 12 | 11 | | |
| | Myalgia | 2 | 10 | | | 2 | 11 | | | | | | | | | | 3 | 8 | 6 | 16 | | |
| | Alopecia | 0 | 22 | | | <1 | 25 | | | <1 | 22 | 1 | 1 | 33 | | | <1 | 22 | <1 | 35 | | |
| | Peripheral oedema | | | | | 3 | 10 | | | | | | | 00 | | | | | 9 | 14 | | |
| | Anaemia | 2 | 22 | 3 | 43 | 2 | 20 | 3 | 32 | 4 | 26 | 3 | 4 | 13 | 5 | 42 | 6 | 37 | 12 | 24 | • | |
| | Leukopenia | 1 | 6 | Ŭ | | 0 | 10 | Ŭ | UZ. | 3 | 17 | Ŭ | | 10 | Ŭ | | 2 | 6 | | | | |
| | Neutropenia | 1 | 33 | 0 | 18 | <1 | 31 | <1 | 17 | 2 | 20 | - <1 | <1 | 14 | <1 | 23 | 5 | 14 | 2 | 16 | • | • |
| _ | Cough | | - 55 | | | | 01 | - 1 | | | | | | | | | | 17 | 23 | 18 | 5 | 2 |
| %) | Febrile neutropenia | 0 | 11 | | • | 0 | 10 | • | | | • | | • | | • | • | • | • | <1 | 11 | J | |
| AE incidence (%) | | U | - 11 | | • | U | 10 | • | | | | | | | 3 | 12 | 1 | | | 11 | • | • |
| i i | Stomatitis | | | | | | | | | | | 4 | 2 | 14 | 3 | 12 | - 1 | 5 | 3 | - 11 | | • |
| ide | Peripheral | 1 | 12 | | | | | | | | | | | | | | <1 | 8 | 4 | 11 | | |
| inc | neuropathy | | | | | | | | | | | | | | | | | | 40 | 40 | _ | |
| Щ | Dyspnoea | | | | | | • | | | | | | | | | | | | 19 | 19 | 6 | 3 |
| • | Thrombocytopenia | | | 1 | 14 | | | | | | | | | | 0 | 11 | <1 | 9 | | | | |
| | Dysgeusia | | | | | | | | | | | | | | <1 | 10 | | | 3 | 10 | | |
| | Back pain | | | | | | | | | | | | | | | | | | 11 | 7 | | |
| | Musculoskeletal pain | | | | | | | | | | | | | | | | | | 11 | 4 | | |
| | Pneumonitis | 5 | 0 | | | | | | | | | 5 | 4 | 2 | 6 | <1 | 7 | 3 | | | 9 | 3 |
| | Hyperthyroidism | | | | | | | | | | | 4 | 6 | 1 | 8 | 1 | 6 | <1 | | | 6 | 1 |
| | Pruritus | | | | | | | 8 | 1 | | | | | | | | 7 | 2 | | | 7 | 2 |
| | Colitis | | | | | | | | | | | 1 | 1 | 0 | 2 | 0 | | | | | | |
| | Severe skin reaction | | | | | | | | | | | 1 | 2 | 1 | 4 | 0 | | | | | | |
| | Pancreatitis | | | | | | | | | | | 1 | 0 | 0 | <1 | 0 | | | | | | |
| | Myositis | | | | | | | | | | | 1 | <1 | <1 | 2 | 0 | | | | | | |
| | Thyroiditis | | | | | | | | | | | <1 | 0 | 0 | 3 | 0 | | | | | | |
| | Type 1 diabetes | | | | | | | | | | | <1 | 1 | 0 | <1 | 0 | | | | | | |
| | Hypophysitis | | | | | | | | | | | <1 | <1 | 0 | <1 | 0 | | | | | | |
| | Autoimmune hepatitis | | | | | | | | | | | <1 | 1 | 0 | | | | | | | | |
| | Adrenal insufficiency | | | | | | | | | | | 1 | 1 | 0 | | | | | | | | |
| | Nephritis | | | | | | | | | | | | | | <1 | 0 | | | | | | |
| | Mucosal inflammation | 2 | 9 | | | | | | | | | | | | | 0 | | | | | | |
| | widoosai iiiiiaiiiiialloii | | 9 | • | • | | | | | | • | • | • | | • | | | - | • | | • | |

| Key | |
|--------------------|--|
| $0\% \le x < 10\%$ | |
| 10% ≤ x < 20% | |
| 20% ≤ x < 30% | |
| 30% ≤ x < 40% | |
| 40% ≤ x | |

Supplementary Figure 4 – Incidence of AEs in observational studies

Table illustrating the percentage incidence of AEs in observational studies. Each block corresponds to the proportion of patients with each AE in the study, expressed as a percentage. [48-51, 53, 56, 57, 62-66, 68-76, 78, 79, 81, 83-85, 88, 89, 92, 94, 96-98, 101, 107, 125, 128, 132, 133].

| | Study name | Bagley et al., 2017 | Bins et al., 2018 | Brustugun et al., 2017 | Crinò et al., 2019a | Crino et al., 2019b | Dudnik et al., 2018 | Dumenil et al., 2018 | Fukui et al., 2018 | Garassino et al., 2018 | Garde-Noguera et al., 2018 | Gauvain et al., 2018 | Geier et al., 2018 | Grossi et al., 2018 | Haraani et al., 2018 | Kobayashi et al., 2018 | Krefting et al., 2019 | Ksienski et al., 2019b | Lacerenza et al., 2019 | Liu et al., 2019 | Manrique et al., 2018 | Merino Almazan et al., 2019 | Montana et al., 2019 | Ricciuti et al., 2019b | Ricciuti et al., 2019a | Sabatier et al., 2018 | Sato et al., 2018 | Schouten et al., 2018 | Sekine et al., 2018 | Shamai et al., 2018 | Toi et al., 2018 | Tournoy et al., 2018 | Weis et al., | | Garon et al., 2015 | Ksienski et al., 2019a | Lisberg et al., 2018 | Osorio et al., 2017 | Tamiya et al., 2019 |
|--------------|------------------------------|---------------------|-------------------|------------------------|---------------------|---------------------|---------------------|----------------------|--------------------|------------------------|-------------------------------|----------------------|--------------------|---------------------|----------------------|------------------------|-----------------------|------------------------|------------------------|------------------|-----------------------|--------------------------------|----------------------|------------------------|------------------------|-----------------------|-------------------|-----------------------|---------------------|---------------------|------------------|----------------------|--------------|----|--------------------|------------------------|----------------------|---------------------|---------------------|
| Inte | ervention | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | N | Α | Р | Р | Р | Р | Р |
| | mber of patients | 175 | 161 | 58 | 1588 | 371 | 260 | 67 | | 371 | 175 | 43 | 259 | 371 | 134 | 142 | 40 | 271 | 20 | 44 | 188 | 221 | 98 | 195 | 176 | 30 | 38 | 248 | | | | 67 | 24 | | 495 | 190 | 97 | 51 | |
| INU | Fatigue | 17.5 | 101 | 3 | 6 | 11 | 100 | 60 | JZ | | 11 | 40 | 233 | | 5 | 142 | 18 | 2/1 | 20 | 44 | 25 | 5 | 30 | 20 | 18 | 50 | 30 | 240 | 07 | 67 | 70 | 07 | | 37 | 20 | 190 | 10 | 31 | 213 |
| | Asthenia | | - | - | 8 | | | - | - | 6 | | 42 | Q. | 6 | | - | | | 50 | | | 39 | 30 | 20 | 10 | | - | • | | - | - | | - | - | 5 | | 10 | | _ |
| | Rash | - | - | 5 | 8 | 3 | 27 | 4 | 33 | 8 | - | 72 | 0 | 8 | 25 | 7 | - | - | 30 | 5 | - | 7 | 11 | 9 | 10 | - | 11 | 2 | 25 | | 70 | 2 | 10 | 9 | 10 | | 23 | 6 | |
| | Pruritus | | | 5 | | | 27 | 6 | 37 | | | <u> </u> | | Ü | 12 | | · | | | | 15 | 7 | | 1 | 2 | 2 | | - | 20 | • | 10 | - | 10 | | 11 | | 3 | - | |
| | Hypothyroidism | 5 | 40 | 7 | 3 | 2 | 15 | 4 | | 3 | - | 5 | 14 | - | 12 | 11 | - | 13 | 5 | 14 | 7 | 5 | 21 | 20 | 18 | 20 | 11 | 7 | 7 | 13 | 21 | - | 3 | 2 | 7 | 7 | 6 | 16 | _ |
| | Hyperthyroidism | | 40 | | <u> </u> | | 13 | - | 10 | | - | - | 14 | - 1 | | | | 13 | - | 17 | | - | 21 | 20 | 10 | 20 | | - | | 13 | 21 | - | - | 2 | - | 4 | - | 10 | _ |
| | Nausea or | | | | -4 | - | 24 | | 19 | - | | i i | - | | | | · | - | | | 8 | | 25 | | 4 | | - | | - | - | - | | 9 | 7 | 10 | | | | |
| | vomiting | | | | <1 | 4 | 24 | | | | - | | • | - | | | | • | | - | ŏ | 6 | | 4 | 4 | | | • | - | - | | • | | - | | • | • | ٠ | - |
| | Arthralgia | | | 2 | | | | 7 | 37 | | - | | | - | | | | 4 | | | | 7 | 7 | | 4 | | | | | | | | 15 | 5 | 9 | 3 | 2 | 10 | - |
| | Myalgia | | | | | | | | | | | | 7 | | | | | | | | | | | | | | | | | | | | 1 | 5 | 3 | | | | _ |
| | Neurological | | | | | | | | 63 | | - | 11 | | - | 1 | 2 | | 1 | | - | <1 | 1 | | | | | | | | | | 1 | | | - | 2 | | 2 | - |
| | Pneumonitis | 3 | 5 | 7 | 1 | 2 | | 3 | 13 | 1 | | | | 1 | 4 | | | 5 | | | 3 | 7 | | 8 | 9 | | 13 | 4 | 8 | | 18 | 7 | 5 | 7 | 4 | 5 | 6 | 4 | 5 |
| | Anaemia | | | | 2 | 1 | - | | | 2 | - | 14 | | 2 | | 3 | | | | - | | 5 | 27 | <1 | <1 | 3 | - | | | | | - | | | 4 | - | | | <1 |
| | Diarrhoea | | 4 | 9 | 5 | 5 | 19 | 1 | 13 | 5 | | 14 | | 5 | 7 | 3 | | | | | 11 | 12 | | | | 7 | | | 6 | 20 | 7 | | 10 | 7 | 8 | | | | _ |
| | Decreased appetite | | | | 2 | 4 | | | | 2 | | | | 3 | | | 10 | | | . | 7 | 2 | | | | | . | | | . | . | | | | 11 | | 4 | | <1 |
| | Pyrexia | | | | 3 | 4 | | 15 | 6 | 3 | | | | 2 | | | | | | | | | | | | | | | | | - | | - | | 4 | | | _ | |
| | Chills | | | | | - | - | 10 | Ŭ | | | <u> </u> | | , | | | <u> </u> | | · · | - | | - | - | - | - | | - | | - | - | - | - | - | _ | 2 | - | 5 | - | |
| | Constipation | | - | | | - | - | <u> </u> | 33 | - | | <u> </u> | - | - | · · | 4 | _ | | | | - | 3 | | <1 | <1 | | | • | | | | | | | _ | | | | |
| | Oedema | | | | | | <u> </u> | Ė | 17 | | | Ė | | | | | Ė | - | _ | _ | | 4 | | | | _ | | | | | _ | | 4 | 5 | - | | 2 | | |
| | Mucosal | | | | | | | | | | | <u> </u> | | | - | | <u> </u> | - | | | | | | | | - | | | | | | | | | _ | | | | |
| | inflammation | | | | | | | | 12 | | - | | | - | 2 | - | - | <1 | | - | | 1 | | | | 3 | - | | - | - | - | - | - | | | | | | |
| 1 _ | Hepatitis | | | | | | | | | | | 2 | | | | | | | | | | | | | | | | | | | | | | 0 | 3 | 4 | | 2 | - |
| (%) | Colitis | | | | | | | | | | | | | - | | | | | | | | | | | | | | | | | | | | 0 | | 7 | | | 1 |
| 9 | Dermatitis | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 3 | 11 | | | - |
| l ĕ | Skin toxicity | | | | | | | | | | 10 | | | | | | | | | | | | | | | | | | | | | | | | - | | | | - |
| AE incidence | Adrenal | | | | | | | | | | | | | | | | | | 10 | | | | | | | | | | | | | | | | | | | | <1 |
| :⊆ | insufficiency | | | | | | - | | | | - | | | - | | - | | | 10 | - | • | - | | | | | | • | • | • | • | • | | • | | • | • | • | ~1 |
| A | Weight loss | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 7 | 4 | | | | |
| | Headache | | | | | | | | | | - | | | - | | | | | | - | | | | | | | - | | - | | - | | | 5 | - | | | | |
| | Alopecia | | | | | | | | 4 | | | | | - | | | | | | | | | | | | | | | | | - | | | | - | | | | |
| | Dry skin | | | - | - | - | - | | | | - | | - | | | - | | - | | - | | - | | - | | | - | - | - | | - | - | - | | 4 | | . 2 | | - |
| | Dyspnoea Infusion related | | - | | - | | - | - | | | - | | - | | - | - | - | - | | - | | - | | - | | | - | | - | | | | | | 4 | - | | | |
| | reaction | | | | | | | | | | | | | | | | | | | | | - | | | | | | | | | | | | | 3 | <1 | | | <1 |
| | Nephritis | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 0 | | 2 | | | |
| | Cough | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 2 | | | | |
| | Abdominal pain | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 2 | | |
| 1 | Hepatotoxicity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 2 |
| | T1 diabetes | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | _ | | | - |
| | mellitus | • | | - | | | | | - | | | | | - | | | | | - | - | • | - | | | | - | | | - | - | - | | - | - | | 0 | | | 1 |
| | Thrombosis | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 0 | | | 1 |
| | Polymyalgia | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 1 | | | |
| | rheumatica | | | | | <u> </u> | | <u> </u> | | | | | | • | | | <u> </u> | | | - | | - | | | | | • | • | | - | - | - | - | • | | 1 | • | | Ė |
| | Pericarditis Neutropenia | - | - | | - | | - | - | | | - | | | - | - | - | | - | | - | | - | | <1 | <1 | | - | - | | • | - | | - | | | <1 | - | | |
| 1 | Cholangitis | | | | | - | | - | | - | | - | | | | | - | | | | | - | | | ~1 | | - | | - | | - | • | | | | <1 | | | - |
| 1 | Hypophysitis | | - | - | | | - | - | | | | | | | | | - | | | - | | | - | | | | - | | - | | - | - | - | - | | <1 | - | | _ |
| | Myocarditis | | | | - | | | | | | | - | | | - | | | | | | | | | | | | | | | | | | | | | <1 | | | |
| | ITP | | | | | | | | | | | _ | | | | | | | | | | | | | | | | | | | | | | | | <1 | | | |
| | Pneumonia | | | | | | | | | | | | | | | | i i | | | | | | | | | | | | | | | | | | | | | | <1 |
| | Nephrotoxicity | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | <1 |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| Key | |
|---------------|--|
| 0% ≤ x < 10% | |
| 10% ≤ x < 20% | |
| 20% ≤ x < 30% | |
| 30% ≤ x < 40% | |
| 40% ≤ x | |

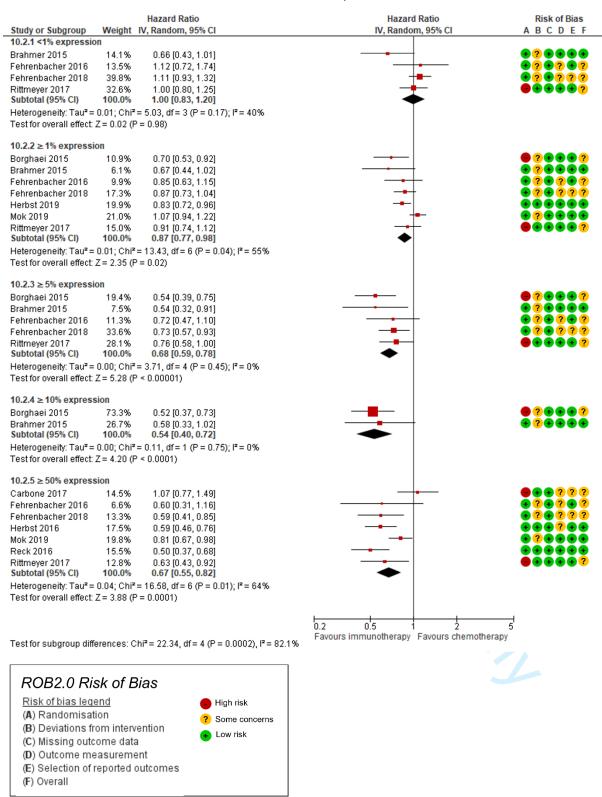
Immune-related adverse effects

Immune-related adverse effects (irAEs) were more common among patients using an intervention compared to chemotherapy and less frequent than trAEs. The mean incidence of irAEs among patients receiving pembrolizumab in RCTs was 24.1% (range 19.0%-29.2%). Similarly, the mean incidence of irAEs was 27.9% (range 17.0%-43.0%) among the 7 observational studies investigating pembrolizumab. By contrast, the mean incidence of irAEs across the chemotherapy arms of RCTs investigating pembrolizumab was 5.3%, (range 4.2%-7.0%). Unlike the RCTs, 10 observational studies reported the incidence of irAEs among patients using nivolumab; the mean incidence of irAEs was 37.5% (range 18.1%-62.8%).

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(6.6%) and pne.
highest mean in
yneumonitis (5.9%). With a mean incidence of 9.3%, the most common irAE among patients receiving pembrolizumab in RCTs was hypothyroidism. Similarly, the irAEs with the highest mean incidence among patients using pembrolizumab in observational studies were hypothyroidism (7.2%), dermatitis (6.6%) and pneumonitis (4.6%). Among patients receiving nivolumab, the irAEs with the highest mean incidence were hypothyroidism (11.1%), hyperthyroidism (10.8%) and pneumonitis (5.9%).

Supplementary Figure 5 – Meta-analysis risk of progression or death by PDL1 expression status for patients receiving nivolumab, pembrolizumab or atezolizumab in included RCTs [31 - 36, 40, 42]

Pembrolizumab data from patients with less than 50% expression could not be included, because a breakdown of survival outcomes was not provided between 1 – 49%.

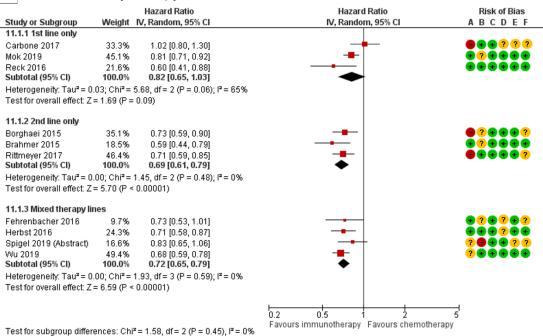


Supplementary Figure 6 – Meta-analysis comparing risk of death among patients with ≥1% and <1% PDL1 expression who received nivolumab in included observational studies [52, 104, 116, 125]

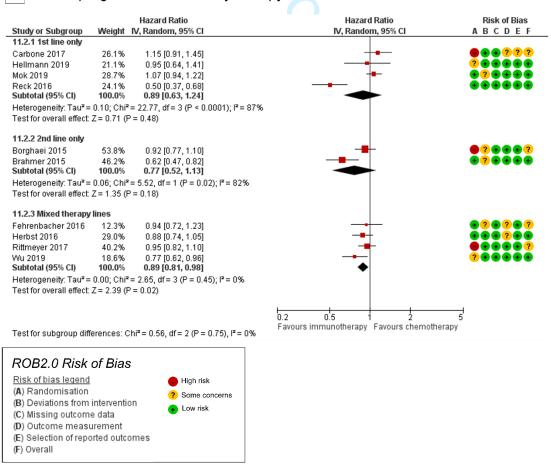


Supplementary Figure 7 – Meta-analysis of A) risk of death and B) risk of progression or death by therapy line for patients receiving nivolumab, pembrolizumab or atezolizumab in included RCTs [30 – 37, 42, 47, 96]

A Risk of death by therapy line



B Risk of progression or death by therapy line



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