# UNIVERSITY<sup>OF</sup> BIRMINGHAM University of Birmingham Research at Birmingham

# Polypill for prevention of cardiovascular diseases with focus on non-alcoholic steatohepatitis

Merat, Shahin; Jafari, Elham; Radmard, Amir-Reza; Khoshnia, Masoud; Sharafkhah, Maryam ; Baygi, Alireza Nateghi ; Marshall, Tom; Shiravi Khuzani, Abolfazl; Cheng, KK; Poustchi, Hossein; Malekzadeh, Reza

#### DOI: 10.1093/eurheartj/ehab919

License: Other (please specify with Rights Statement)

Document Version Peer reviewed version

#### Citation for published version (Harvard):

Merat, S, Jafari, E, Radmard, A-R, Khoshnia, M, Sharafkhah, M, Baygi, AN, Marshall, T, Shiravi Khuzani, A, Cheng, KK, Poustchi, H & Malekzadeh, R 2022, 'Polypill for prevention of cardiovascular diseases with focus on non-alcoholic steatohepatitis: the PolyIran-Liver Trial', *European Heart Journal*, vol. 43, no. 21, ehab919, pp. 2023-2033. https://doi.org/10.1093/eurheartj/ehab919

Link to publication on Research at Birmingham portal

#### Publisher Rights Statement:

This is a pre-copyedited, author-produced version of an article accepted for publication in European Heart Journal following peer review. The version of record Shahin Merat, Elham Jafari, Amir Reza Radmard, Masoud Khoshnia, Maryam Sharafkhah, Alireza Nateghi Baygi, Tom Marshall, Abolfazl Shiravi Khuzani, Kar Keung Cheng, Hossein Poustchi, Reza Malekzadeh, Polypill for prevention of cardiovascular diseases with focus on non-alcoholic steatohepatitis: the PolyIran-Liver trial, European Heart Journal, 2022;, ehab919, is available online at: https://doi.org/10.1093/eurheartj/ehab919

#### General rights

Unless a licence is specified above, all rights (including copyright and moral rights) in this document are retained by the authors and/or the copyright holders. The express permission of the copyright holder must be obtained for any use of this material other than for purposes permitted by law.

•Users may freely distribute the URL that is used to identify this publication.

•Users may download and/or print one copy of the publication from the University of Birmingham research portal for the purpose of private study or non-commercial research.

•User may use extracts from the document in line with the concept of 'fair dealing' under the Copyright, Designs and Patents Act 1988 (?) •Users may not further distribute the material nor use it for the purposes of commercial gain.

Where a licence is displayed above, please note the terms and conditions of the licence govern your use of this document.

When citing, please reference the published version.

#### Take down policy

While the University of Birmingham exercises care and attention in making items available there are rare occasions when an item has been uploaded in error or has been deemed to be commercially or otherwise sensitive.

If you believe that this is the case for this document, please contact UBIRA@lists.bham.ac.uk providing details and we will remove access to the work immediately and investigate.

1	Title page
2	Polypill for Prevention of Cardiovascular Diseases with Focus on
3	Non-alcoholic Steatohepatitis: the PolyIran-Liver Trial
4	
5	Authors:
6	Shahin Merat, MD* <sup>1,2</sup>
7	Elham Jafari, MD* <sup>1,3</sup>
8	Amir Reza Radmard, MD <sup>4</sup>
9	Masoud Khoshnia, MD <sup>5</sup>
10	Maryam Sharafkhah <sup>1</sup>
11	Alireza Nateghi Baygi, PharmD <sup>6</sup>
12	Tom Marshall, MD <sup>7</sup>
13	Abolfazl Shiravi Khuzani, MD <sup>4</sup>
14	K.K Cheng, MD <sup>7</sup>
15	Hossein Poustchi, MD <sup>1,2</sup>
16	Reza Malekzadeh, MD <sup>1,2,3</sup>
17	*Contributed equally

# 1 Affiliations:

- <sup>2</sup> <sup>1</sup>Liver and Pancreatobiliary Diseases Research Center, Digestive Diseases
- 3 Research Institute, Tehran University of Medical Sciences, Tehran, Iran.
- <sup>4</sup> <sup>2</sup>Digestive Disease Research Center, Digestive Disease Research Institute, Tehran
- 5 University of Medical Sciences, Tehran, Iran.
- <sup>6</sup> <sup>3</sup>Digestive Oncology Research Center, Digestive Disease Research Institute,
- 7 Tehran University of Medical Sciences, Tehran, Iran.
- <sup>8</sup> <sup>4</sup>Department of Radiology, Shariati Hospital, Tehran University of Medical
- 9 Sciences, Tehran, Iran.
- <sup>5</sup>Golestan Research Center of Gastroenterology and Hepatology, Golestan
- 11 University of Medical Sciences, Gorgan, Iran.
- <sup>12</sup> <sup>6</sup>Research and Development Department, Alborz-Darou Pharmaceutical Co.,
- 13 Ghazvin, Iran.
- <sup>14</sup> <sup>7</sup>School of Health and Population Sciences, University of Birmingham,
- 15 Birmingham, U.K.

# 16 **Correspondence to:**

- 17 Reza Malekzadeh M.D.
- 18 Digestive Disease Research Institute,
- 19 Tehran University of Medical Sciences,
- 20 Shariati Hospital, Tehran 1411713135, Iran.
- 21 Tel: +98 (21) 8241-5000,
- 22 Fax: +98 (21) 8241-5400,
- 23 E-mail: <u>malek@tums.ac.ir</u>
- 24 And:
- 25 Hossein Poustchi M.D.
- 26 Digestive Disease Research Institute,
- 27 Tehran University of Medical Sciences,
- 28 Shariati Hospital, Tehran 1411713135, Iran.
- 29 Tel: +98 (21) 8241-5000,
- 30 Fax: +98 (21) 8241-5400,
- 31 E-mail: <u>h.poustchi@gmail.com</u>

#### 1 Abstract:

Background: Individuals with nonalcoholic steatohepatitis or elevated liver enzymes have
increased cardiovascular mortality but are often excluded from prevention trials. We investigated
the effectiveness of fixed-dose combination therapy for the prevention of major cardiovascular
events (MCVE) among individuals with and without presumed non-alcoholic steatohepatitis
(pNASH).

7 **Methods:** 2400 Participants over 50 were randomized into intervention and control groups.

8 Consent was obtained post-randomization. Consenting participants in the intervention group

9 were given a pill containing aspirin, atorvastatin, hydrochlorothiazide, and valsartan (polypill).

10 Participants were followed for 5 years. pNASH was diagnosed by ultrasonography and elevated

11 liver enzymes. The primary outcome was MCVE. ClinicalTrials.gov: NCT01245608.

12 **Results**: Among the originally randomized population, 138/1249 in the intervention group

13 (11.0%) and 137/1017 controls (13.5%) had MCVE during the 5-year follow-up (unadjusted risk

ratio [RR] 0.83, 95% confidence interval [CI] 0.66-1.03). Of the 1508 participants who

15 consented to additional measurements and treatment, 63/787 (8.0%) intervention group

16 participants and 86/721 (11.9%) controls had MCVE (adjusted RR 0.61, 95% CI 0.44-0.83).

17 Although the adjusted relative risk of MCVE in participants with pNASH (0.35, 95% CI 0.17-

18 0.74) was under half that for participants without pNASH (0.73, 95% CI 0.49-1.00), the

19 difference did not reach statistical significance. There was no change in liver enzymes in

20 participants taking polypill but among those with pNASH, there was a significant decrease after

21 60 months of follow-up (intragroup -12.0 IU/L, 95% CI -14.2 to -9.6).

Conclusion: Among patients consenting to receive fixed-dose combination therapy, polypill is
 safe and effective for prevention of MCVE, even among participants with fatty liver and
 increased liver enzymes.

25 Keywords: Cardiovascular diseases, primary prevention, secondary prevention, polypill

#### **1** Introduction:

Non-alcoholic fatty liver disease (NAFLD) often simply referred to as "fatty liver", is a common 2 condition affecting up to one-third of the population in South America, the Middle East, the 3 USA, and Europe, and is an independent risk factor for cardiovascular disease (CVD).<sup>1,2</sup> CVD 4 and fatty liver also share several behavioral and metabolic risk factors including central obesity, 5 type 2 diabetes mellitus, metabolic syndrome, tobacco use, unhealthy diet, physical inactivity, 6 and dyslipidemia.<sup>3</sup> Non-alcoholic steatohepatitis (NASH) is a subset of fatty liver disease in 7 which hepatic inflammation is also present and is often accompanied by increased liver enzyme 8 levels. Individuals with fatty liver, and especially those with NASH and elevated liver enzymes, 9 have a considerably higher risk of CVD.<sup>4,5</sup> Therefore, such individuals may enjoy a greater 10 benefit from primary prevention. Unfortunately, many key studies on primary or secondary 11 prevention exclude participants with increased liver enzyme levels and, therefore, there is a 12 paucity of data in this area.<sup>6</sup> 13

Despite concerns about the prescription of statins to subjects with NAFLD or elevated liver enzymes and normal lipid levels, current literature suggests that statins may even have beneficial effects on the liver itself.<sup>7,8</sup> There is also evidence that aspirin, angiotensin-converting enzyme (ACE) inhibitors, and angiotensin receptor blockers (ARB) might be beneficial for fatty liver.<sup>9,10</sup>

18 We have previously reported the effectiveness of the intervention on preventing major

19 cardiovascular events (MCVE) of a four-component pill (polypill) that included 81 mg aspirin,

20 20 mg atorvastatin, 12.5 mg hydrochlorothiazide, and either 5 mg enalapril (PolyPill-E) or 40 mg

valsartan (PolyPill-V) in a pragmatic cluster-randomized study in a rural population in Iran

22 (PolyIran).<sup>11</sup> Unfortunately, this study lacked information on the liver status of participants. The

current study is an extension of PolyIran in which we investigate the effects of the same polypill

on the risk of MCVE in an urban community (Gonbad city). Instead of excluding them, we

25 separately analyzed the effects on participants with increased liver enzyme levels and

26 participants with fatty liver.

#### 27 Methods:

#### 28 **Design and population**

The PolyIran-Liver study is an open-label, individually randomized controlled trial nested within the Golestan cohort study (GCS).<sup>12</sup> The GCS is a population-based prospective cohort study run in the Golestan province of Northern Iran. It was launched in 2004 and included 50,045 participants aged 40-75 years at enrolment. GCS participants are followed up annually and all major cardiovascular and health events are recorded.

6 GCS participants older than 50 years and resident in Gonbad city were eligible for the PolyIran-7 Liver study. A random sample of participants over 50 years of age was selected from the GCS database and further randomized into two groups. After randomization, participants were invited 8 9 for additional measurements and to assess their eligibility for polypill and to obtain consent. 10 Seeking consent after randomization was first described by Marvin Zelen in 1979 and is 11 commonly referred to as the Zelen design. In this design participants are first randomly allocated and then enrolled, allowing separate consent forms for intervention and control groups. A 12 possible shortcoming of this design is that participants' consent may be influenced by their 13 allocation to the intervention or control group, meaning there may be differences between the 14 15 groups. Despite its shortcomings, this design is easier to implement and generally improves compliance of enrolled participants.<sup>13</sup> 16

In addition to analyzing results among the originally randomized population, we also compared 17 the rate of MCVE among consenting participants who were eligible for polypill. We refer to this 18 19 group as the consenting population (versus the randomized population). The randomized population includes participants not consenting to the additional measurements, those not 20 meeting the eligibility criteria, and the consenting population. It represents the real-world 21 22 population that would be offered intervention, many of which will decline or not be eligible. 23 Studying this population will allow us to see whether polypill will help reduce MCVE in a realworld setting where many participants might not consent or not be eligible. It should be noted 24 25 that all participants of the GCS have previously consented to gathering health and survival data and annual follow-up through the GCS. 26

Participants were followed for MCVE and overall mortality for 5 years. Details of the design,
 inclusion, and exclusion criteria have been published previously.<sup>14</sup>

#### 29 Randomization and blinding

1 The urban population enrolled in the GCS and aged 50 years or older on October 2011

- 2 constituted the sampling frame. Of the 7,351 participants within the sampling frame, 2,400
- 3 participants were randomly selected using a computer-generated list with a sex ratio of 50:50.

The 2400 selected participants were further randomized to receive polypill once a day
(intervention group) or no polypill (control group) at a ratio of 55:45. According to our previous
experience with this population, we expected approximately 10% of the intervention group not to
consent to the intervention. Thus, the randomization ratio of 55:45 was chosen to achieve a 50:50
ratio after consent.

9 In this pragmatic trial, allocation was not concealed and participants and the enrolment team

10 were not blind to the allocation. However, the team assessing the primary and secondary

11 outcomes was blind.

#### 12 **Procedures**

13 Following randomization, participants in intervention and control groups were contacted by telephone and invited for participation in the study. For those accepting the invitation and 14 15 attended the eligibility assessment visit, written consent was obtained and laboratory tests, ultrasonography, and liver stiffness measurements (LSM, FibroScan, Echosense, France) were 16 performed. Ultrasonography and liver stiffness measurements were each performed by a single 17 operator for all participants. Participants were identified as having presumed NAFLD (pNAFLD, 18 fatty liver) if suggested by ultrasound. If in addition to fatty liver, participants had elevated 19 alanine aminotransferase (ALT) levels (over 30 IU/L in men and 20 IU/L in women) they were 20 21 identified as presumed NASH (pNASH). Participants with alcohol use and active hepatitis B or C were excluded. Other exclusion criteria included contraindications to the constituents of the 22 polypill. 23

Participants in the intervention group who agreed to participate and met eligibility criteria received a once-daily supply of PolyPill V (Alborz Darou Pharmaceutical Company; Tehran, Iran) which includes 81 mg aspirin, 12.5 mg hydrochlorothiazide, 20 mg atorvastatin, and 40 mg valsartan. They were advised to take the pill at bedtime but were free to take it at any other time if they or their physician chose. A one-day supply was provided and they were instructed to return on the following day for evaluation of immediate adverse events (run-in period). One

other reason for implementing the run-in period was that based on our previous experience we 1 knew that some participants would consent to the study only because they felt obliged to 2 3 cooperate with the researchers in return for the free tests they were receiving and did not truly intend to go through with the study. Participants who complied with the run-in period without 4 any immediate adverse events were enrolled in the study and provided with a supply of polypill. 5 Thus, only participants randomized to the intervention group who consented to the study, met 6 eligibility criteria, and completed the run-in period received the polypill. Participants in both 7 groups continued taking medications prescribed before entering the trial. Details of handling 8 participants already on components of the polypill has been previously published.<sup>14</sup> 9

Participants in both groups were visited every 6 months for 5 years. In each follow-up visit, 10 11 anthropometric and blood pressure measurements were performed. The participants were also asked to fill out a short questionnaire about possible adverse events and additional medicine use. 12 Participants who were found to have high blood pressure (systolic blood pressure >140 mmHg, 13 or diastolic blood pressure  $\geq$ 90 mmHg) in a follow-up visit were referred to their physician for 14 15 further evaluations. In the case of the intervention arm, there were two additional visits at months one and two to check for important adverse events. Furthermore, a pill count was performed in 16 each follow-up visit to measure compliance. At the end of the 5-year follow-up period, 17 laboratory tests, ultrasonography, and liver stiffness measurements were repeated. 18

19 The randomized population was followed annually by the routine GCS follow-up team for20 primary outcome and overall mortality.

#### 21 Endpoints

The primary endpoint was the occurrence of an MCVE which was defined as fatal myocardial infarction, sudden death, new-onset heart failure, coronary artery revascularization procedures,

fatal and non-fatal stroke, or hospitalization for an acute coronary event.

25 Secondary endpoints included all-cause mortality, individual components of the primary

26 outcome, and changes in blood pressure, low-density lipoprotein (LDL) cholesterol, liver

27 stiffness, liver enzyme levels, compliance, and adverse events.

Investigators assessing primary and secondary endpoints were blinded to the allocation group of
 participants. Details of endpoint assessment have been previously published.<sup>11,14</sup>

#### **3** Statistical methods

The justification of sample size has been previously described.<sup>14</sup> We determined that the contributed samples provided 80% power to detect a risk difference of an MCVE of 3.5%. The samples contributed provided more than 95% power to detect a 2 IU/L difference in ALT after 30 and 60 months between the intervention and control groups, assuming a standard deviation of changes from baseline of 10 IU/L.

9 Baseline characteristics were compared between study arms using the chi-square test for
10 categorical variables and independent t-test or non-parametric Mann-Whitney U test for
11 quantitative variables. Diabetes was defined as a fasting blood sugar ≥126 mg/dL, use of anti12 diabetes drugs, or self-report of a physician diagnosis. Hypertension was defined as systolic
13 blood pressure ≥140 mmHg, diastolic blood pressure ≥90 mmHg, self-report of a physician
14 diagnosis, or use of antihypertensive drugs in participants without a history of CVD.

The analysis was done in two parts. The first part was the intention-to-treat analysis of the 15 primary outcomes and overall mortality for the randomized population. This analysis included all 16 randomized participants including those who did not show up for additional measurements or 17 those not eligible or not consenting to treatment. All these participants had previously, on 18 enrollment to the GCS study, consented to collection of data on the primary outcomes and 19 20 overall mortality. The second part of the analysis was on primary and secondary outcomes in the 21 consenting population, including changes in liver-related variables (ALT, aspartate 22 aminotransferase [AST], and liver stiffness measure [LSM]) and other measurements conducted 23 for these participants.

We compared the risks of all MCVE, non-fatal CVD, CVD-related mortality, and overall
mortality between the intervention and control groups. We used the Poisson regression model
with a robust variance to obtain both adjusted and unadjusted relative risk (RR) and 95%
confidence intervals (Cis). Adjusted and unadjusted risk differences (RD) and 95% CIs were
obtained using binomial regression with identity link function. Adjustments were made for

variables that differed between study groups including smoking status (ever used vs. never used),
 history of CVD, and baseline cholesterol.

3 To evaluate the effect of the polypill on cardiovascular outcomes in participants with liver problems, we performed pre-specified stratified analyses by three liver-related variables: fatty 4 liver (pNAFLD); pNASH; elevated ALT levels only; and additionally, on LSM  $\geq$ 7 KPa which 5 indicates significant fibrosis. Furthermore, subgroup analyses were performed by sex, body mass 6 7 index (BMI) category (normal, overweight and obese defined as <25, 25 to 29.9, and  $\geq$ 30 kg/m<sup>2</sup>, respectively), age groups ( $\leq 65$  years and > 65 years), pre-existing CVD, pre-existing 8 9 hypertension, pre-existing diabetes mellitus, history of smoking (ever used vs. never used) and baseline cholesterol (≤198 mg/dL and >198 mg/dL). The risk of MCVE was also compared 10 11 between the intervention and control groups stratified by duration of follow-up interval (months

12 0-30, and months 31-60).

We also analyzed the adherence to polypill as measured by pill count. Adherence to polypill was calculated by dividing the number of pills used by the participant by the number of pills supplied and was categorized into high (≥70%) and medium or low (<70%). To assess the association of adherence to polypill tablets with baseline covariates we obtained the odds ratios and 95% CIs with multiple logistic regression model.</p>

For secondary outcomes, changes in liver function parameters, blood pressure, lipid profiles, and BMI were analyzed using a generalized linear model and log transformations for variables with non-normal distribution. We also evaluated the changes in liver function parameters in participants with pNASH. We analyzed changes from baseline in three models: the first was only adjusted for baseline values of that outcome; the second was further adjusted for age, sex, preexisting CVD, and diabetes mellitus; and the final model was further adjusted for baseline CVD medication (including lipid-lowering drugs, anti-hypertensive drugs, and aspirin).

25 An interim analysis 30 months after enrolment found no serious adverse effects and the

26 monitoring committee agreed to complete the 5-year follow-up. All analyses were done with

27 Stata software (version 12) and p-values < 0.05 were considered statistically significant.

The study complies with the Declaration of Helsinki and informed consent was obtained from all

1 Diseases Research Institute of Tehran University of Medical Sciences and ethics committees at

2 Tehran University of Medical Science and the Ministry of Health and Medical Education

3 (Tehran, Iran). The study is registered at ClinicalTrials.gov, ID: NCT01245608.

#### 4 **Results:**

5 We randomly selected 2400 participants from the urban population of GCS who resided in Gonbad city. This population was randomly allocated to the intervention or control group with a 6 ratio of 55:45 (1320 individuals in the intervention and 1080 in the control group). Of these, 134 7 individuals had migrated or died and were excluded: 71 (5.4%) in the intervention group and 63 8 9 (5.8%) in the controls, leaving 2,266 (94.4%) participants available for the study. The socioeconomic, demographic, and measurement characteristics were similar between the two groups 10 when they were originally enrolled into the GCS in  $2004^{14}$  (Table 1). We invited all 2,266 11 participants for eligibility assessment between October and December 2011. 12 13

14 Of the 2266 randomized participants invited for the eligibility assessment 404 declined to

15 participate (refused consent): 218 (17.5%) intervention and 186 (18.3%) controls. In addition,

16 156 (15.1%) in the intervention group and 110 (13.2%) in the control group did not meet

eligibility criteria. This left 875 (84.9%) in the intervention group and 721 (86.8%) in the control

18 group. Of those in the intervention group, 88 (10.1%) did not continue to take the polypill after

19 the one-day run-in and finally, 787 were enrolled in the intervention group and received polypill

20 (Figure 1). Details of the enrollment process have been published previously<sup>14</sup>. The baseline

characteristics of enrolled and excluded participants are given in Table 2.

22

A total of 1,508 individuals were enrolled in the consenting group; 787 in the polypill arm and routing and routing arm, with a mean age of 58.6 and 59.4 years, respectively. 396 (50.3%) of the participants in the intervention group and 376 (52.2%) in the control group were men (Table 2). All baseline characteristics were similar between the two arms except for smoking, pre-existing CVD, and baseline cholesterol. Individuals in the intervention group were less likely to smoke but more likely to have pre-existing CVD and higher cholesterol levels (Table 2).

29

Primary outcome, individual components of the primary outcome, and overall mortality were 1 2 evaluated for the randomized population. 137 of 1017 in the control group (13.5%) and 138 of 3 1249 in the intervention group (11.0%) had MCVE during the 5-year follow-up (unadjusted RR 0.83, 95% CI 0.66-1.03 and RD -0.02, 95% CI -0.05 to 0.00) and the findings changed little and 4 remained non-significant after adjustment for age, sex, and previous history of CVD (Table 3, 5 graphical abstract). It should be noted that since all randomized participants did not consent to 6 additional measurements, some secondary endpoints were only available for the consenting 7 population. For the same reason, subgroup analysis for pNAFLD and pNASH was not possible 8 in the randomized population. 9

In the consenting group, after adjusting for smoking, baseline cholesterol, and pre-existing CVD 10 11 the preventive effect of polypill was statistically significant (adjusted RR 0.61, 95% CI 0.44-0.83). We also observed a significantly lower risk of fatal MCVE, non-fatal MCVE, and all-12 13 cause mortality in the intervention group as compared with controls. In stratified analysis with respect to age, sex, BMI, baseline cholesterol, smoking, and pre-existing CVD, hypertension, 14 15 and diabetes, there were no statistically significant interactions between study arms and subgroups (supplementary Table S1). After dividing the follow-up time into two intervals 16 (months 0-30, and months 31-60), the results were similar between the intervals (supplementary 17 Table S2). 18

19

In subgroup analysis, the RR of MCVE was less than half in participants with pNASH (adjusted
RR 0.35, 95% CI 0.17-0.74) versus those without pNASH (adjusted RR 0.73, 95% CI 0.49-1.00)
although this difference did not reach statistical significance. Similarly, the observed larger
preventive effect of polypill in participants with pNAFLD, elevated ALT, and LSM ≥7 KPa was
not statistically significant (Table 4).

25

Among subjects who received polypill, adherence was calculated at each follow-up visit and for the entire duration of study. We observed that adherence decreased over time (supplementary Figure S1). The median adherence among participants in the intervention group was 80.4% (Q1-Q3: 31.2-93.1) and 437 (55.5 %) were in the high-adherence group (used more than 70% of provided pills). High adherence was related to male sex, pre-existing hypertension, and presence of fatty liver at baseline (Supplementary Table S3). The risk of MCVE was significantly lower in participants with high adherence as compared with controls (adjusted RR 0.46, 95% CI 0.30 0.71, Supplementary Table S2) as well as when compared with the low adherence group
 (adjusted RR 0.53, 95% CI 0.33-0.86).

4

Secondary outcomes included changes in liver enzymes and liver stiffness. In the consenting
group, for whom additional measurement were available, we did not observe any significant
difference between changes in ALT, AST, and LSM from baseline to months 30 and 60 between
the study arms (Table 5). When only considering participants with pNASH, there was a greater
reduction in ALT level in the intervention group versus controls at both months 30 (mean change
3.3 IU/L [95% CI 0.1 to 6.6]) and 60 (mean change 1.9 IU/L [95% CI -1.3 to 4.9). Changes in

11 AST and LSM were similar between the two study arms in months 30 and 60 (Table 5,

- 12 Supplementary Figure S2).
- 13

14 In the consenting intervention group, as compared to controls, we observed a significant

reduction in systolic but not diastolic blood pressure at month 30 and diastolic but not systolic

blood pressure at month 60. All lipid profiles (total cholesterol, LDL, and triglyceride) had a

17 greater reduction from baseline in the intervention group at both months 30 and 60 (Table 6).

18 The incidence of different adverse events was similar between the two arms throughout the

19 study. The frequency of adverse events decreased over time except for dyspepsia and cataract

20 which remained fairly constant after month 18 (Supplementary Figure S3).

In Table 7 we compared the results from this study and our previous PolyIran study.<sup>11</sup>

### 22 **Discussion:**

23 In this pragmatic individually randomized controlled trial, we observed a statistically

insignificant 17% RR reduction in MCVE among the randomized population. However, among

subjects who consented to additional measurements and received polypill, our results showed a

statistically significant 39% reduction in the risk of MCVE with similar reductions in CVD-

27 related and all-cause mortality. The reduction in the risk of MCVE was greater in the participants

with high adherence to polypill. The effects on MCVE in this group were consistent with our

29 previous PolyIran study (adjusted hazard ratio 0.66, 95% CI 0.55-0.80), which had the same

entry criteria and used the same fixed-dose combination but was conducted in a rural setting as a
cluster-randomized controlled trial.<sup>11</sup> These results were also close to other polypill studies, in
particular the aspirin containing arm of the TIPS3 study in which the reported hazard ratio for
MCVE was 0.69 vs our observation of 0.60.<sup>15</sup>

After 5 years, we observed a significant decrease of 36% in LDL cholesterol levels of the 5 intervention group which is as expected for the dose of atorvastatin used in our polypill (20 mg) 6 and in line with the suggestion from the literature to decrease LDL by 30-49%.<sup>16,17</sup> But 7 surprisingly, the decrease in blood pressure of the intervention group was not greater than 8 controls (Table 6). A less than anticipated decrease in blood pressure was also observed in the 9 PolyIran study.<sup>11</sup> It is expected that valsartan at the dose of 40 mg would decrease systolic blood 10 pressure by 5.7 and diastolic blood pressure by 2.8 mmHg.<sup>18</sup> The minimal decrease in blood 11 pressure observed in our and the PolyIran study might be due to the fact that most subjects had 12 13 normal blood pressure at enrollment. Furthermore, it might be related to the high salt intake among Iranians which is almost twice the World Health Organization recommendation.<sup>19</sup> Other 14 15 possible reasons include development of tolerance to pharmacologic agents and non-adherence happening over the 5-year follow-up period. 16

The analysis of the randomized population is less likely to be attributable to selection bias. In this 17 group we found that those randomized to receive polypill had an 17% reduction of MCVE but 18 19 the difference was not statistically significant. It should be noted that only 63.0% of the 1249 participants in the pre-consent intervention group actually received polypill as many did not 20 21 consent to the study or had exclusion criteria. This greatly dilutes any true effect of polypill and explains the non-significance we observed in this group. It also indicates that if polypill is to be 22 23 used effectively as a strategy to reduce MCVE in a real-world setting, steps should be taken to 24 increase acceptance.

An important advantage of our study is that we included participants with increased liver enzyme levels. Such participants are often excluded from trials of statins and other prevention studies. In fact, in this group, we observed similar reductions in MCVE as well as a reduction in ALT indicating that it is both safe and effective to use these drugs in individuals with liver enzyme elevations.

Another important finding of our study was the effect of polypill on the liver of participants with 1 2 fatty liver. We observed that within the intervention group, although no overall changes in ALT 3 were observed in consenting participants or in those with fatty liver, those with pNASH had a statistically significant decrease of ALT levels of 10.5 and 12 IU after 30 and 60 months of 4 polypill, respectively (Table 5). The pNASH participants in the control group also had a decrease 5 of ALT but the change was significantly less. This improvement in ALT of pNASH participants 6 with polypill not only further confirms the safety of statins in this subgroup but also 7 demonstrates a beneficial effect on the liver similar to that reported in the literature.<sup>7,8,20,21</sup> We 8 did observe some reduction in AST and liver stiffness although it did not achieve statistical 9 significance (Supplementary Figure S2). This can be explained by the fact that both AST and 10 liver stiffness are indicators of fibrosis which takes a long time to resolve. A longer study would 11 12 be required to identify changes in AST or LSM.

One of the major benefits of combination therapy is better compliance. It is much easier to take one pill rather than four as confirmed by early polypill studies that used adherence as a primary outcome.<sup>22</sup> Adherence to polypill in our study was relatively high. The median of adherence in the intervention group throughout the study was 80% which is slightly higher than those reported by Thom et al<sup>22</sup> and Selak et al<sup>23</sup> over the same follow-up interval. This could be due to the trust built up among participants by conducting this trial in the GCS setting with more than 15 years of follow-up.

We observed that adherence was associated with male sex, pre-existing hypertension, and fatty liver. We believe better compliance in hypertensive and fatty liver participants was because these individuals, knowing that they had some health problem, were more motivated. We have no explanation as to why male participants were more compliant which is opposite to previous reports.<sup>24</sup>

#### 25 Limitations

One of the limitations of our study is the lack of placebo control and allocation concealment. Participants and the enrolment team who assessed the eligibility criteria were not blind to the participant's allocation. Lack of allocation concealment might result in the enrollment team, fearing adverse events, being inclined to exclude more participants on the intervention group than controls. However, the exclusion criteria were defined objectively and there were no
significant differences in the baseline characteristics of participants excluded from either of the
study arms suggesting that lack of allocation concealment has not significantly affected our
results.

5 One other limitation is less participation in the control group as compared to intervention in the 6 final follow-up visit (56% vs. 76%) when laboratory and imaging studies were repeated. It 7 appears that participants not receiving intervention were less inclined to continue with follow-up. 8 As the primary outcome of our study was assessed separately by the GCS follow-up team which 9 had a similar participation rate between groups, the lack of follow-up would have only affected 10 our secondary outcomes.

Another limitation of our study is the definition of fatty liver and NASH. These are histologic 11 terms and can only be used with certainty if liver biopsies are performed. Obviously, this is not 12 an option for a study being performed on healthy individuals. Our best option was to "presume" 13 NAFLD or fatty liver when ultrasonographic evidence suggested so knowing that up to 30% of 14 fatty liver cases might be missed.<sup>25</sup> This approach has been frequently used in epidemiologic 15 studies on fatty liver as there is no reasonable alternative.<sup>26</sup> The definition of NASH in our study 16 is even more "presumed" as it is well known that a single increased ALT level is not a good 17 indicator for NASH.<sup>27</sup> Nevertheless, in the lack of better options, increased ALT has been 18 previously used as a marker for NASH.<sup>28,29</sup> 19

It should also be mentioned that the power of our study was not enough to prove the increased
benefit among NASH participants vs non-NASH, although our findings did provide some
indication that this may be the case.

We should caution that although the analysis of the consenting group is more sensitive as it excludes non-consenting participants from the original randomized population, there is a significant imbalance among baseline characteristics between the arms of this group and there is an increased risk of bias.

#### 27 Conclusion

This is the first study that reports the effects of a polypill in participants with fatty liver or NASH. The benefits observed among subjects consenting to take polypill are consistent with our previous trial in people with unknown liver state and, taken together, our two trials reinforce each other and indicate that polypill is useful in people with and without fatty liver and NASH. It is not necessary to evaluate the state of the liver by ultrasound, liver stiffness level, or liver enzyme levels before starting polypill. In addition, our study indicates that polypill can reduce ALT levels in individuals with fatty liver and increased ALT.

8 Individuals with fatty liver and NASH are more likely to develop CVD. We do not have an
9 effective medical treatment for the liver but preventing CVD, the main cause of death in this
10 group, is an important management objective.

#### 11 Funding:

The work was supported by the Barakat Foundation, Alborz Darou, and Tehran University of 12 Medical Sciences. The Golestan Cohort Study is supported by Cancer Research UK [grant no. 13 14 C20/A5860], Tehran University of Medical Sciences [grant no 81/15], and the International Agency for Research on Cancer (IARC). TM is supported by the National Institute for Health 15 16 Research (NIHR) Applied Research Collaboration (ARC) West Midlands. The views expressed are those of the authors and not necessarily those of the NIHR or the Department of Health and 17 18 Social Care. None of the funders were involved in any part of the study including design, data collection, statistical analysis or decision to publish. 19

#### 20 Acknowledgements:

We would like to thank Karla Hemming who provided advice about analysis at the early stages
of the study (after randomization) and commented on the final draft before submission for
publication. We also thank Hamid Reza Jamshidi from the Barakat Foundation, Behrooz Abaie
from the Digestive Disease Research Institute of Tehran University of Medical Sciences (Tehran,
Iran), Golestan Cohort Study (GCS) center staff, Golestan University of Medical Sciences
(Gorgan, Iran), and the chiefs of the Gonbad health districts for their assistance and support. We
also thank the GCS participants who participated in this trial.

#### 28 Data Availability Statement:

- 1 Individual de-identified data is available after request through emailing the corresponding author
- 2 subject to the approval of the trial management team. Researchers might be required to submit a
- 3 formal request.

#### 4 Disclosures:

5 None to declare

#### 6 Author Contributions:

- 7 RM, KKC, TM, and SM helped in designing the study. HP, SM, MK, and EJ helped in study
- 8 administration and supervision. ARR and ASK performed and interpreted radiologic studies.
- 9 Statistical analysis was performed by MS and EJ. The first draft was prepared by SM, MS, and
- 10 EJ. All authors read and approved the final manuscript.

# 1 References

Younossi Z, Anstee QM, Marietti M, Hardy T, Henry L, Eslam M, George J, Bugianesi E. Global
 burden of NAFLD and NASH: trends, predictions, risk factors and prevention. Nat Rev Gastroenterol
 Hepatol. 2018;15(1):11-20.

5 2. Targher G, Byrne CD, Lonardo A, Zoppini G, Barbui C. Non-alcoholic fatty liver disease and risk of 6 incident cardiovascular disease: A meta-analysis. J Hepatol. 2016;65(3):589-600.

7 3. Younossi Z, Tacke F, Arrese M, Chander Sharma B, Mostafa I, Bugianesi E, Wai-Sun Wong V,

Yilmaz Y, George J, Fan J, Vos MB. Global Perspectives on Nonalcoholic Fatty Liver Disease and
Nonalcoholic Steatohepatitis. Hepatology. 2019;69(6):2672-82.

Zeb I, Li D, Budoff MJ, Katz R, Lloyd-Jones D, Agatston A, Blumenthal RS, Blaha MJ, Blankstein R,
 Carr J, Nasir K. Nonalcoholic Fatty Liver Disease and Incident Cardiac Events: The Multi-Ethnic Study of
 Atherosclerosis. J Am Coll Cardiol. 2016;67(16):1965-6.

135.Wu S, Wu F, Ding Y, Hou J, Bi J, Zhang Z. Association of non-alcoholic fatty liver disease with14major adverse cardiovascular events: A systematic review and meta-analysis. Sci Rep. 2016;6:33386.

15 6. Soliman EZ, Mendis S, Dissanayake WP, Somasundaram NP, Gunaratne PS, Jayasingne IK,

Furberg CD. A Polypill for primary prevention of cardiovascular disease: a feasibility study of the WorldHealth Organization. Trials. 2011;12:3.

18 7. Nascimbeni F, Pellegrini E, Lugari S, Mondelli A, Bursi S, Onfiani G, Carubbi F, Lonardo A. Statins

and nonalcoholic fatty liver disease in the era of precision medicine: More friends than foes.

20 Atherosclerosis. 2019;284:66-74.

Sigler MA, Congdon L, Edwards KL. An Evidence-Based Review of Statin Use in Patients With
 Nonalcoholic Fatty Liver Disease. Clin Med Insights Gastroenterol. 2018;11:1179552218787502.

Simon TG, Henson J, Osganian S, Masia R, Chan AT, Chung RT, Corey KE. Daily Aspirin Use
 Associated With Reduced Risk For Fibrosis Progression In Patients With Nonalcoholic Fatty Liver Disease.

25 Clin Gastroenterol Hepatol. 2019;17(13):2776-84.e4.

Goh GB, Pagadala MR, Dasarathy J, Unalp-Arida A, Sargent R, Hawkins C, Sourianarayanane A,
Khiyami A, Yerian L, Pai R, McCullough AJ, Dasarathy S. Renin-angiotensin system and fibrosis in nonalcoholic fatty liver disease. Liver Int. 2015;35(3):979-85.

29 11. Roshandel G, Khoshnia M, Poustchi H, Hemming K, Kamangar F, Gharavi A, Ostovaneh MR,

30 Nateghi A, Majed M, Navabakhsh B, Merat S, Pourshams A, Nalini M, Malekzadeh F, Sadeghi M,

31 Mohammadifard N, Sarrafzadegan N, Naemi-Tabiei M, Fazel A, Brennan P, Etemadi A, Boffetta P,

32 Thomas N, Marshall T, Cheng KK, Malekzadeh R. Effectiveness of polypill for primary and secondary

prevention of cardiovascular diseases (PolyIran): a pragmatic, cluster-randomised trial. Lancet.
 2019;394(10199):672-83.

Pourshams A, Saadatian-Elahi M, Nouraie M, Malekshah AF, Rakhshani N, Salahi R, Yoonessi A,
Semnani S, Islami F, Sotoudeh M, Fahimi S, Sadjadi AR, Nasrollahzadeh D, Aghcheli K, Kamangar F, Abnet
CC, Saidi F, Sewram V, Strickland PT, Dawsey SM, Brennan P, Boffetta P, Malekzadeh R. Golestan cohort
study of oesophageal cancer: feasibility and first results. Br J Cancer. 2005;92(1):176-81.

39 13. Zelen M. A new design for randomized clinical trials. N Engl J Med. 1979;300(22):1242-5.

40 14. Merat S, Poustchi H, Hemming K, Jafari E, Radmard AR, Nateghi A, Shiravi Khuzani A, Khoshnia

41 M, Marshall T, Malekzadeh R. PolyPill for Prevention of Cardiovascular Disease in an Urban Iranian

Population with Special Focus on Nonalcoholic Steatohepatitis: A Pragmatic Randomized Controlled Trial
 within a Cohort (PolyIran - Liver) - Study Protocol. Arch Iran Med. 2015;18(8):515-23.

44 15. Yusuf S, Joseph P, Dans A, Gao P, Teo K, Xavier D, Lopez-Jaramillo P, Yusoff K, Santoso A, Gamra

H, Talukder S, Christou C, Girish P, Yeates K, Xavier F, Dagenais G, Rocha C, McCready T, Tyrwhitt J, Bosch

46 J, Pais P, International Polycap Study 3 Investigators. Polypill with or without Aspirin in Persons without

47 Cardiovascular Disease. N Engl J Med. 2021;384(3):216-28.

1 16. Nawrocki JW, Weiss SR, Davidson MH, Sprecher DL, Schwartz SL, Lupien PJ, Jones PH, Haber HE, 2 Black DM. Reduction of LDL cholesterol by 25% to 60% in patients with primary hypercholesterolemia by 3 atorvastatin, a new HMG-CoA reductase inhibitor. Arterioscler Thromb Vasc Biol. 1995;15(5):678-82. 4 17. Grundy SM, Stone NJ, Bailey AL, Beam C, Birtcher KK, Blumenthal RS, Braun LT, de Ferranti S, 5 Faiella-Tommasino J, Forman DE, Goldberg R, Heidenreich PA, Hlatky MA, Jones DW, Lloyd-Jones D, 6 Lopez-Pajares N, Ndumele CE, Orringer CE, Peralta CA, Saseen JJ, Smith SC, Jr., Sperling L, Virani SS, 7 Yeboah J. 2018 AHA/ACC/AACVPR/AAPA/ABC/ACPM/ADA/AGS/APhA/ASPC/NLA/PCNA Guideline on the 8 Management of Blood Cholesterol: Executive Summary: A Report of the American College of 9 Cardiology/American Heart Association Task Force on Clinical Practice Guidelines. J Am Coll Cardiol. 10 2019;73(24):3168-209. 11 18. Pool J, Oparil S, Hedner T, Glazer R, Oddou-Stock P, Hester A. Dose-responsive antihypertensive 12 efficacy of valsartan, a new angiotensin II-receptor blocker. Clin Ther. 1998;20(6):1106-14. 13 19. Rezaei S, Mahmoudi Z, Sheidaei A, Aryan Z, Mahmoudi N, Gohari K, Yoosefi M, Hajipour MJ, 14 Dilmaghani-Marand A, Soleimanzadehkhayat M, Gholami A, Mirab Samiee S, Moradi G, Larijani B, 15 Farzadfar F. Salt intake among Iranian population: the first national report on salt intake in Iran. J 16 Hypertens. 2018;36(12):2380-9. 17 20. Athyros VG, Boutari C, Stavropoulos K, Anagnostis P, Imprialos KP, Doumas M, Karagiannis A. 18 Statins: An Under-Appreciated Asset for the Prevention and the Treatment of NAFLD or NASH and the 19 Related Cardiovascular Risk. Curr Vasc Pharmacol. 2018;16(3):246-53. 20 21. Doumas M, Imprialos K, Dimakopoulou A, Stavropoulos K, Binas A, Athyros VG. The Role of 21 Statins in the Management of Nonalcoholic Fatty Liver Disease. Curr Pharm Des. 2018;24(38):4587-92. 22 22. Thom S, Poulter N, Field J, Patel A, Prabhakaran D, Stanton A, Grobbee DE, Bots ML, Reddy KS, 23 Cidambi R, Bompoint S, Billot L, Rodgers A, UMPIRE Collaborative Group. Effects of a fixed-dose 24 combination strategy on adherence and risk factors in patients with or at high risk of CVD: the UMPIRE 25 randomized clinical trial. JAMA. 2013;310(9):918-29. 26 Selak V, Elley CR, Bullen C, Crengle S, Wadham A, Rafter N, Parag V, Harwood M, Doughty RN, 23. 27 Arroll B, Milne RJ, Bramley D, Bryant L, Jackson R, Rodgers A. Effect of fixed dose combination treatment 28 on adherence and risk factor control among patients at high risk of cardiovascular disease: randomised 29 controlled trial in primary care. BMJ. 2014;348:g3318. 30 24. Gast A, Mathes T. Medication adherence influencing factors—an (updated) overview of 31 systematic reviews. Syst Rev. 2019;8(1):112. 32 25. Lee SS, Park SH, Kim HJ, Kim SY, Kim MY, Kim DY, Suh DJ, Kim KM, Bae MH, Lee JY, Lee SG, Yu ES. 33 Non-invasive assessment of hepatic steatosis: prospective comparison of the accuracy of imaging 34 examinations. J Hepatol. 2010;52(4):579-85. 35 26. Clark JM, Diehl AM. Defining nonalcoholic fatty liver disease: implications for epidemiologic 36 studies. Gastroenterology. 2003;124(1):248-50. 37 27. Verma S, Jensen D, Hart J, Mohanty SR. Predictive value of ALT levels for non-alcoholic 38 steatohepatitis (NASH) and advanced fibrosis in non-alcoholic fatty liver disease (NAFLD). Liver Int. 39 2013;33(9):1398-405. 40 28. Jamali R, Khonsari M, Merat S, Khoshnia M, Jafari E, Bahram Kalhori A, Abolghasemi H, Amini S, 41 Maghsoudlu M, Deyhim MR, Rezvan H, Pourshams A. Persistent alanine aminotransferase elevation 42 among the general Iranian population: prevalence and causes. World J Gastroenterol. 2008;14(18):2867-

- 43 71.
- 44 29. Sohrabpour A, Rezvan H, Amini-Kafiabad S, Dayhim M, Merat S, Pourshams A. Prevalence of
- 45 Nonalcoholic Steatohepatitis in Iran: A Population based Study. Middle East J Dig Dis. 2010;2(1):14-9.
- 46

# 1 Figure Legends:

# **Figure 1:** Participant flow