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Micromachined SU-8 Based Terahertz 8×8 Slotted waveguide Antenna Array

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Abstract: This article presents the design and fabrication of a micromachined 300 GHz planar array slotted waveguide antenna utilising the silver-coated SU-8 photoresist layer technology. The array is configured to be built from five SU-8 layers of equal thicknesses. The top layer is devoted to form the 8×8 slots. The following three layers are to form the feed and radiating waveguides. The bottom layer is to enclose the design and allocate the input port. An H-plane waveguide bend based on the matching steps is designed and integrated with the proposed array. This is to maintain a precise alignment with the standard waveguide flange WR-03 and facilitate the measurement. Also, two brass-pates are used to clamp the five layers together and tight them via screws in order to minimise the losses. The simulated antenna realised gain is 24.59 dBi, and the 3-dB gain bandwidth is 4.5 GHz. The radiation patterns are very directive, having low side lobe levels. The reflection coefficient has been measured and presented. The proposed micromachined antenna array is directional and low-profile, and may find applications in indoor wireless applications and sensors.

Keywords: Micromachined Technology; SU-8 layers; terahertz antennas; waveguide antennas; slot arrays; micromachining; waveguide bends; SU-8 layers

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36 1. Introduction

37 The terahertz (THz) electromagnetic waves have received significant attentions more recently not only in the
38 detection and imaging systems [1-3], but also in biology and surveillances [4-6]. This is due to increasing the data
39 rate capability of the communication systems and providing a larger bandwidth [5]. Also, THz waves have a better
40 penetration of materials in comparison with the infrared [7]. This is extremely useful in the surveillance
41 applications to scan and extract information from the luggage without opening it. However, this is applicable only
42 for a short-range distance due to the THz atmospheric path loss [8]. To overcome this, employing a high gain
43 antenna at the THz communication system is considered as one of the ultimate solutions.

44 Many THz communication systems employ optical antennas including lenses and reflectors due their
45 fabrication simplicity, and achieving high gain by increasing their electrical sizes [9, 10]. However, packaging
46 these kinds of antennas with the whole THz systems is difficult due to their curvature shape. Horn antennas, which
47 are high gain and very efficient antennas, are also good candidates for THz systems. The flared out shape of the
48 horns and their dramatic size reduction when operating at THz frequencies, which are expensive and difficult to
49 fabricate, make them less desirable [11]. The planar antennas are good candidates to employ at THz systems due
50 to the fact that they integrate with other THz components easily. Also, they are suitable to be fabricated using the
51 micromachining SU-8 multilayer technology which is considered to be one of the most cost-effective techniques
52 [11-15]. Metal-graphene and Metal-assisted chemical etching structures have also been utilized recently in the
53 design of planar components at the THz spectrum [16-18].

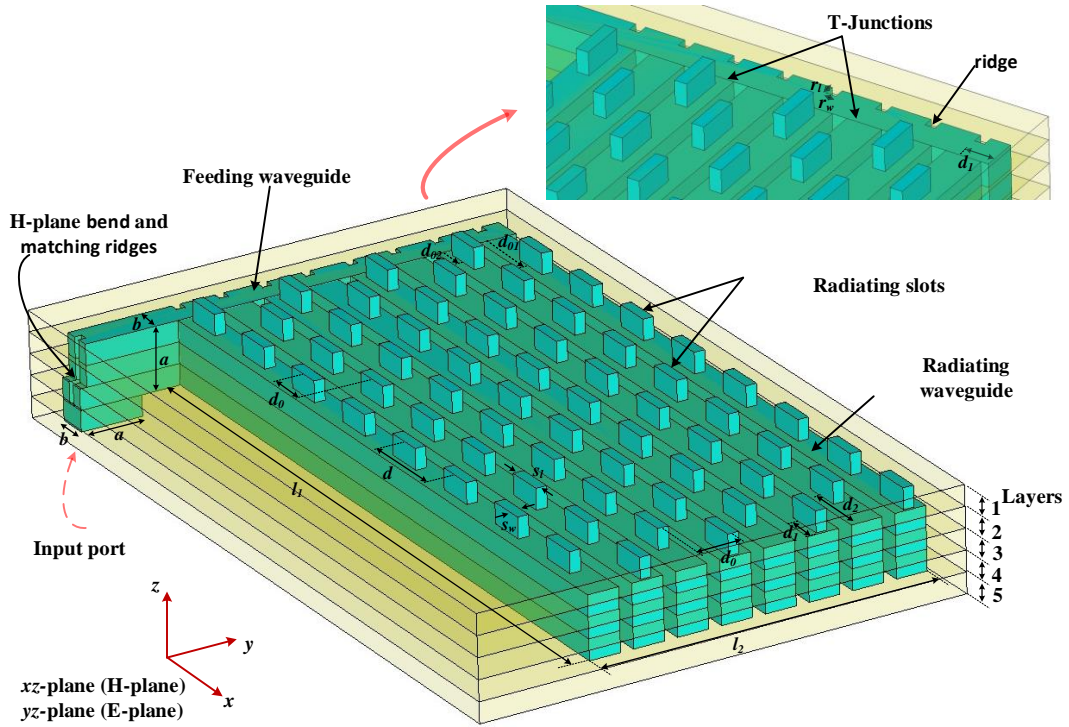
54 Despite their compactness and good radiation performances, microstrip patch antennas are less desirable
55 applicants to THz systems due to the significant losses [19, 20]. More recently, investigations on achieving high
56 gain and large bandwidth of the periodic reflective surfaces (PRSs) have been conducted at millimeter-wave [21-
57 23] and lower THz frequency bands [24]. Slotted waveguide antennas are high gain antennas which are attractive
58 to THz applications due to their planar structure, low losses, and handling high powers [25-31]. In addition to that,
59 slotted waveguide antennas have structures which are very compatible with the micromachining fabrication
60 Technology [11-13, 32].

61 In this paper, we design and fabricate a 300 GHz planar array 8×8 slotted waveguide antenna based on the
62 micromachined SU-8 technology which is available at the University of Birmingham. The array is configured to
63 be built from five SU-8 silver-coated layers. An H-plane bend is designed and embedded with the array in order
64 to facilitate the measurements with the standard waveguide flange WR-03. Fabrication has been made, and the
65 reflection coefficient has been measured. More details follow.

66 2. Antenna Design and Configuration

67 This section describes the design of the planar array 8×8 slotted waveguide antenna operating at centre
68 frequency $f_0 = 300$ GHz as shown in Fig 1. For clarifications, the outstanding structure in Fig. 1 in the central area
69 shows the hollow radiating waveguides, feeding waveguide, and radiating slots. While the surrounding conductors
70 are set to be transparent.

71 There are several possibilities to cut the slots in the walls of the rectangular waveguides [33], depending on
72 the desire of the applications [34-36]. In this work, the slots at the centre of the narrow wall of the waveguides are
73 chosen. Because they are more compatible with the micromachined technology [11], which will be utilized in the
74 fabrication of the array as will be discussed later.



75 **Figure 1.** The 3D configuration of the 8×8 narrow-wall slotted waveguide antenna.

76 The slot length (S_l) and width (S_w) are determined following the fundamental assumptions of slots on the
77 walls of rectangular waveguide [34], as follows;

$$2 \log \left(\frac{\text{Slot length } (S_l)}{\text{Slot width } (S_w)} \right) \gg 1 \quad (1)$$

78 The S_l and S_w dimensions are functions to the operating free space wavelength (λ_0). Where; $\lambda_0 = c/f_0$,
79 and c is the speed of the propagation of electromagnetic wave in free space. In order to excite the slots and obtain
80 good radiations, the S_l needs to be close to $S_l = 0.464 \lambda_0$, while the $S_w = 0.05 \lambda_0$ [37]. In this work, these two
81 relationships are taken into account during the design of the proposed 8×8 slots at $f_0=300$ GHz. Later, the CST
82 genetic algorithm optimisation [38] method is used in order to optimize these two slot dimensions and enhance the
83 reflection coefficient (S_{11}) at 300 GHz. Another parameter, which also needs to be taken into account in the design,
84 is the inter spacing (d) between the slots. The slots here are placed one-guided wavelength ($d = 1\lambda_g$) a part
85 between the centers as shown in Fig. 1. This is to excite all the slots in-phase. λ_g inside the rectangular waveguides
86 is always greater than free space wavelength (λ_0). It can be calculated, for the dominant mode (TE_{10}), as follows
87 [39];

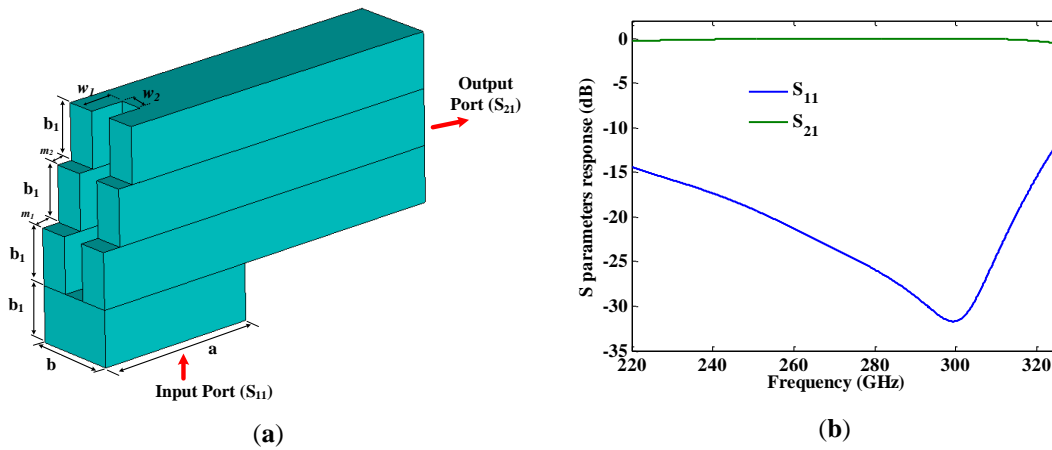
$$\lambda_g = \frac{\lambda_0}{\sqrt{1 - \left(\frac{\lambda_0}{2a}\right)^2}} \quad (2)$$

88 Where a is the broad-wall dimension of the waveguide.

89 According to the antenna array theory principle, the $1\lambda_g$ is extremely large. It degrades the radiation patterns
90 and yields grating lobes [40]. This has been experienced practically in our previous work [11, 15, 25, 32, 41]. To

91 overcome this issue, the slots on a radiating waveguide are altered by d_0 ($d_0 = 0.5\lambda_g$) with the neighbour slots
 92 as can be noticed in Fig. 1. By means of this alteration, the d value is reduced in the x - y planes and the grating
 93 lobes disappear as will be depicted later. There are 8 radiating waveguides attached to the feeding waveguide,
 94 forming 8 T-junctions as highlighted in the enlargement section of Fig. 1. Ridges are introduced at each of the T-
 95 junctions to achieve matching. The radiating waveguides are short-circuited. This is to yield the same phase
 96 between the reflected and incident waves.

97 In order to facilitate the measurements, a waveguide bend is integrated with the proposed antenna array. It is
 98 seen in Fig. 2 (a) that the bend has three matching ridges in order to be compatible with the configuration of the
 99 array. Also, the matching ridges dimensions (m_1 , m_2 , w_1 , w_2) are optimised so as to obtain a reflection
 100 coefficient (S_{11}) from the bend that has negligible impact on the S_{11} response of the antenna array. The optimised
 101 S_{11} response of the bend is presented in Fig. 2 (b). It can be depicted that the S_{11} is below -20 dB between 260-
 102 315 GHz. The physical dimensions of the proposed antenna array and the H-plane bend are summarized in Table
 103 1.

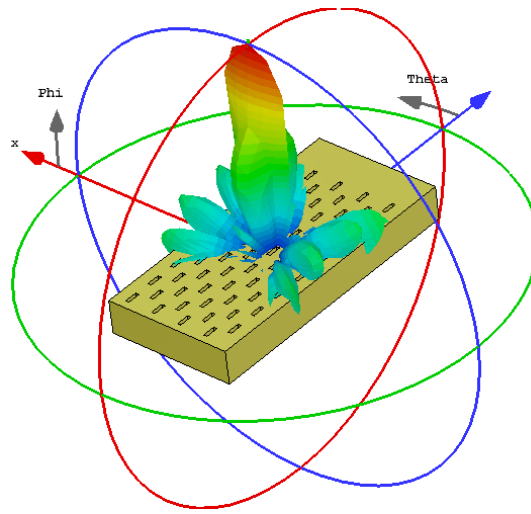


104 **Figure 2.** The H-plane bend embedded to the planar array. (a) the 3D layout of the bend. (b) the solely
 105 simulated response of the bend obtained from CST.

106 **Table 1.** Dimensions of the proposed THz 8×8 planar array slotted waveguide antenna.

Physical Descriptions	Symbols	Dimensions in mm
Waveguide cross-section	$a \times b$	0.864×0.432
Inter-spacing distance	d	1.226
Radiation Area	$l_1 \times l_2$	9.903×4.723
Slots size	$S_l \times S_w$	0.578×0.134
Neighbour slot offset	d_0	0.613
Irises dimension	$r_l \times r_w$	0.131×0.123
SU-8 layer thickness	b_1	0.288
Last slots terminations	$d_1 \times d_2$	0.307×0.920
First slots-feeding waveguides	$d_{01} \times d_{02}$	1.014×0.401
Matching ridges	$w_1 \times w_2$	0.187×0.139
Matching steps	$m_1 \times m_2$	0.1×0.9

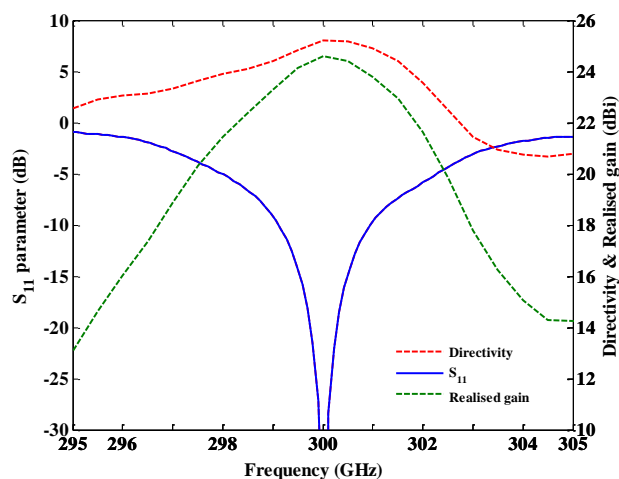
107 The proposed planar antenna array is simulated using the CST simulator [38] as shown in Fig. 3. The Silver
 108 material is chosen in CST to build the antenna because the SU-8 layers is planned to be coated by silver after the
 109 fabrications as discussed in Section 3. The simulated S_{11} , realised gain, and directivity variations versus the
 110 operating frequencies are shown in Fig. 4. It can be seen that there is a very good matching of S_{11} at $f_0 = 300$
 111 GHz. Also, the fractional bandwidth (FBW) is $\sim 1\%$ at $S_{11} = -10$ dB. The peak directivity and realised gain are
 112 25.2 dBi and 24.6 dBi, and they are very close to each other particularly at $f_0 = 300$ GHz. This indicates that the
 113 antenna is efficient as can be noticed in Fig. 5. The 3-dB gain bandwidth is 4.5 GHz. The radiation patterns for
 114 both the E- and H-planes are shown in Fig. 6. They are extremely directive and the main beam is stable from 298-
 115 302 GHz. The side lobe levels are very low especially in the E-plane, which is less than -22 dB below the main
 116 beam. This is due to having large radiating area in that plane. The performance of the proposed antenna array is
 117 summarized in Table 2.



118

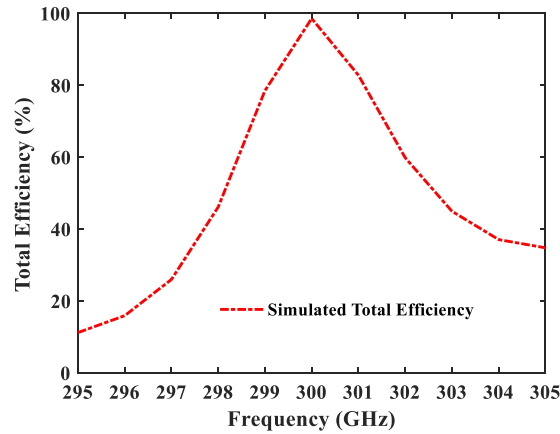
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Figure 3. The proposed antenna array modeled in CST with the simulated 3D radiation pattern.

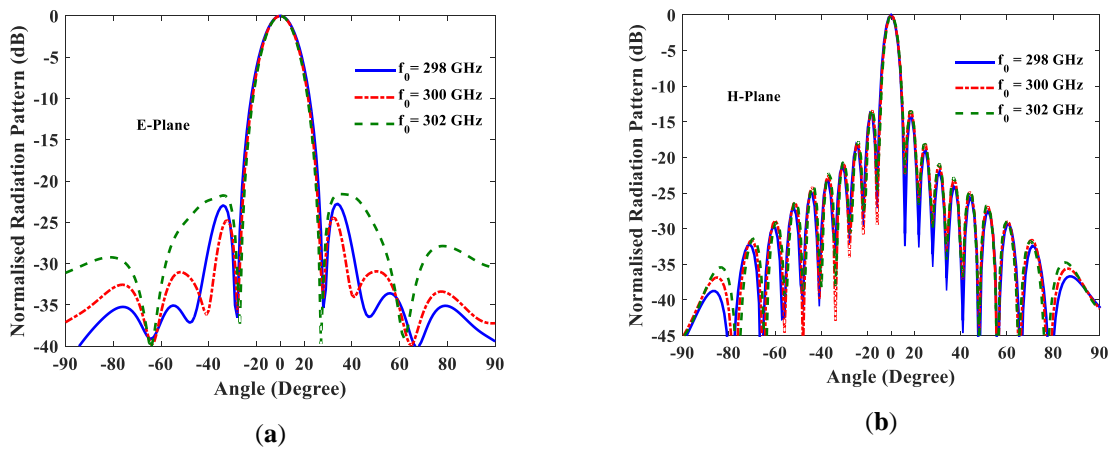


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Figure 4. Variation of the simulated realised gain, directivity, and S_{11} of the antenna array.



121 **Figure 5.** Variation of the simulated total efficiency of the antenna array.



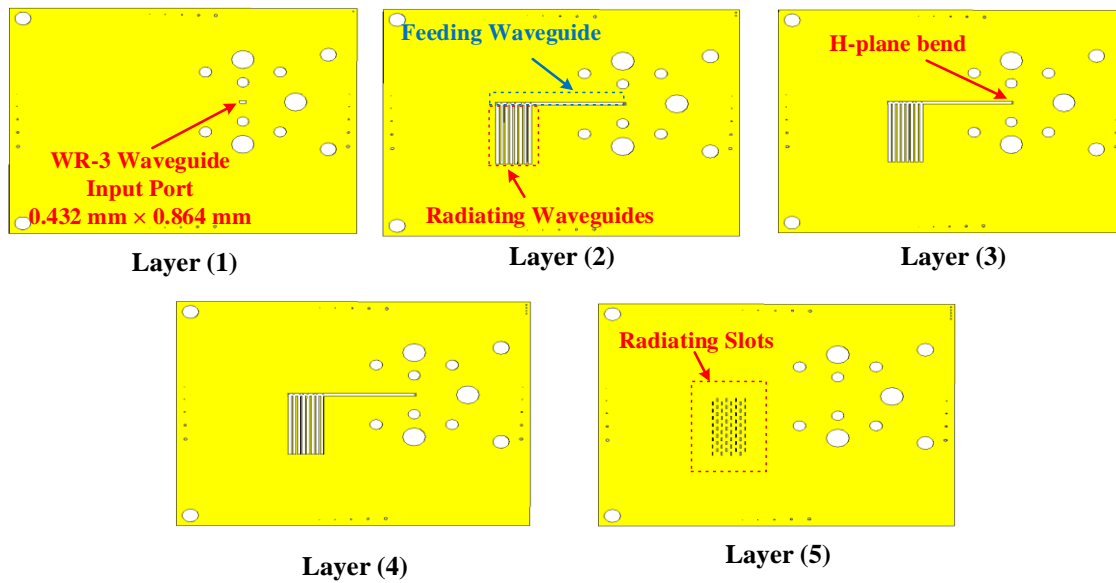
122 **Figure 6.** The Simulated radiation patterns of the proposed antenna array at 298, 300, and 302 GHz
123 respectively. (a) E-plane. (b) H-plane.

Table 2. Electrical performances of the proposed THz 8×8 planar array slotted waveguide antenna.

Parameters	Frequency (GHz)	Directivity (dBi)	Realised gain (dBi)	FBW (%)	3 dB width (°)	Beam	Side lobe Level (dB)
Magnitude	300	25.2	24.6	1	E-plane = 13.5 H-plane = 5.1	E-plane = -24.4 H-plane = -13.4	

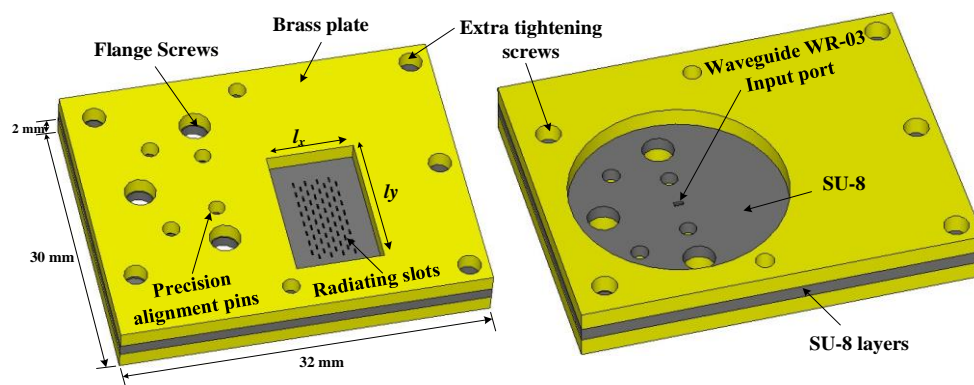
124 3. Fabrication and Measurement

125 The antenna presented here was fabricated using the micromachining SU-8 lithography technique as
126 discussed in [11, 13, 24, 32, 42]. This technique has been chosen due to providing high aspect ratio to form the
127 rectangular waveguide structure and excellent side wall quality [43]. The antenna was configured to be built out
128 of five SU-8 layers with a thickness of 0.288 mm. Only one mask is needed to define all the five SU-8 layers as
129 shown in Fig. 7. Two brass-plates have been designed and utilized to clamp the five layers together and strengthen
130 the device as illustrated in Fig. 8. The designed brass-plates have small influence on the S_{11} and the realised gain
131 variation versus frequencies as can be noticed in Fig. 9.



132

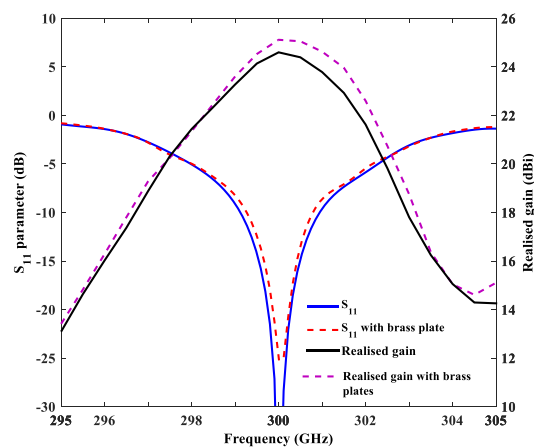
Figure 7. The top view of the five SU-8 equal-thickness layers of the proposed antenna array.



133

Figure 8. 3D view of the proposed 8×8 slotted waveguide antenna with the brass plates and the required holes labeled for alignment with the waveguide flange WR-03.

134

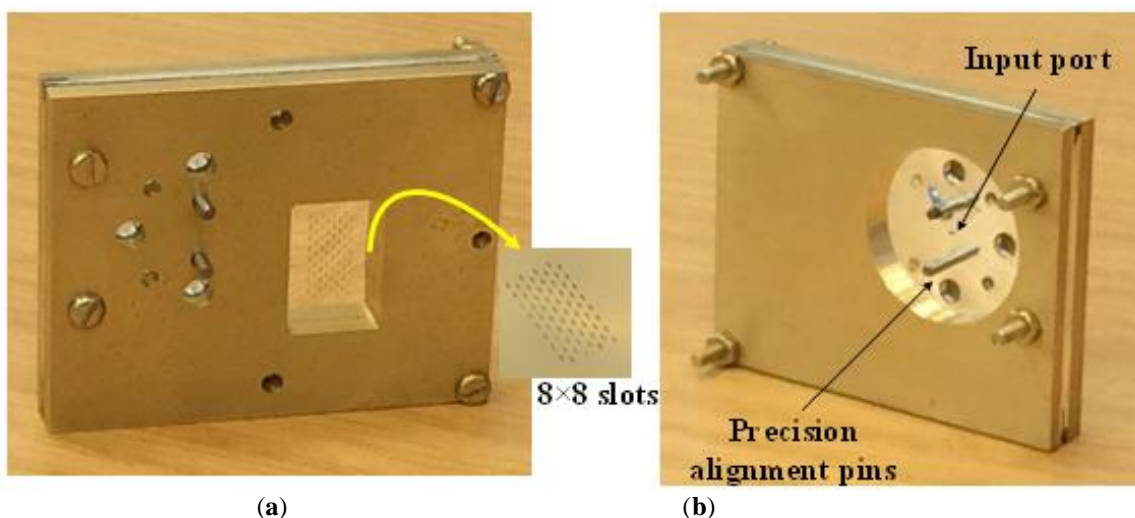


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Figure 9. Effect of the brass-plates on the S_{11} and realised gain of the proposed antenna.

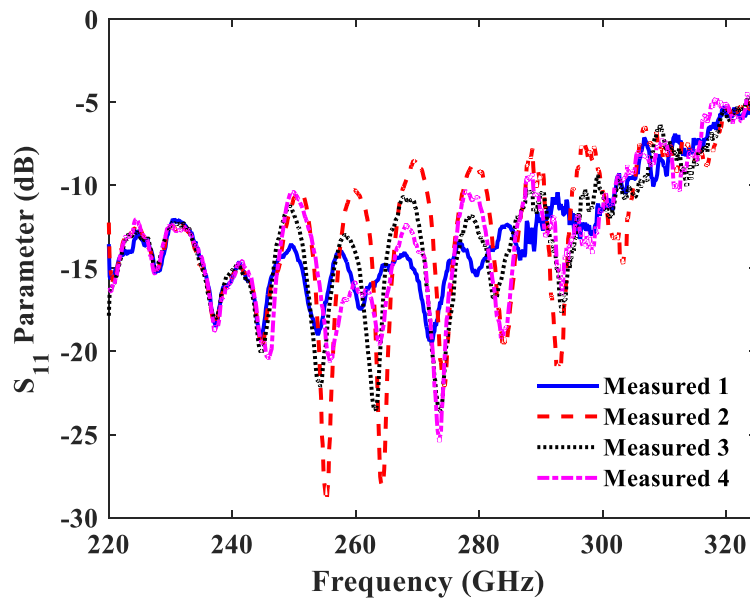
136 The SU-8 layer is an ultraviolet sensitive photoresist insulator, which is able to build 3D structure. In the
 137 design of a circuit like slotted waveguide antenna, it usually needs to be coated by a conductor. It has been
 138 investigated in [12] that the losses in a rectangular waveguide based on an SU-8 sliver coated are very comparable
 139 with a solid metal. In this work, five silver coated SU-8 layers is utilized in the design of the antenna array. It
 140 should be mentioned that the thickness of the SU-8 layer was originally designed to be 0.432 mm [11]. However,
 141 in this work, the thickness has been reduced to 0.288 mm in order to have a better sliver coating of the narrow side
 142 walls of the waveguides, slots, and the matching ridges. The fabrication process is comparatively simple, which
 143 can be performed following the four steps, as discussed below.

144 First, the thickness of the SU-8 was controlled by the amount of the resist weight. Here, 3.5 gram of SU-8-
 145 50 was used for each of a 0.288 mm layer thickness. The liquid SU-8 was span coated on a four inches silicon
 146 wafer. Then, the edge bead was removed directly. Second, two steps were used for the soft bake. In the first step,
 147 the bake was performed for 20 minutes at 65°C. In the second step, the bake time was raised to 90 minutes at
 148 95°C. Third, the wafer was placed in a mask aligner (Canon PLA501) to expose a 365 nm ultra violet for 2 minutes
 149 interval. To eliminate the ultraviolet radiation, the PL360 filter was used. Moreover, to make a strong crosslink
 150 but with fewer stress, the post exposure bake was started for 2 minutes at 65°C and then 30 minutes at 95°C.
 151 Later, the wafer was left to cool down gradually to room temperature. The Ethylene Carbonate (EC) solvent was
 152 used to develop the SU-8 layers and make them more robust. Hard bake was performed eventually for 15 minutes
 153 at 150°C. 10 % of the Potassium hydroxide (KOH) solution was used to release the SU-8 layers from the wafer.
 154 Finally, the released SU-8 layers were placed in the Cressington 308R evaporator in order to evaporate a 0.2
 155 micron-thickness sliver and coat the side-walls of the waveguides and slots. To achieve homogenous coating, the
 156 SU-8 layers were tilted in an angle that the sliver evaporation was able to reach and cover the narrow side walls
 157 of the waveguides and the slots. It is important to mention here that the skin depth for a block of silver with
 158 conductivity of (6.3×10^7 S/m) is 291 nm at 300 GHz, which is much smaller than 2 microns. This confirms that
 159 the 2 microns silver coating does not introduce significant losses to the proposed antenna array. The five sliver
 160 coated SU-8 layers were assembled together with the brass-plates in a very precise manner in order to form the
 161 final device as shown in Fig. 10. The precision alignment pins were used to accurately align the device with the
 162 waveguide flange WR-03 and facilitate the measurement.



163 **Figure 10.** Photograph of the fabricated planar array 8×8 slotted waveguide antenna based on the
 164 micromachined SU-8 technology. (a) Radiating side. (b) Back side.

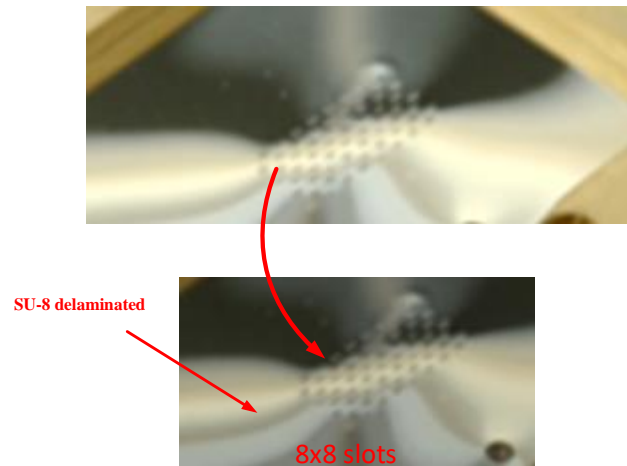
165 The S_{11} parameter was measured in free space using the Agilent N5250-A Vector Network analyzer (VNA)
 166 at the University of Birmingham. Before connecting the antenna with the WR-03 extended port, one-port
 167 calibration (short-open-load aperture) was carried out. The measurement of the S_{11} was repeated for four times at
 168 the frequencies 220-325 GHz as presented in Fig. 11. It is seen that all the four responses are similar to each other,
 169 with some minor differences in the poles fluctuation magnitude. This goes back to the tightness of the screws used
 170 during the measurement each time to connect the antenna with the WR-03 extended port, and the insignificant
 171 misalignments which may have occurred.



172 **Figure 11.** Measuring four times of S_{11} of the planar array 8×8 slotted waveguide antenna for validation of
 173 the measurement process.

174 On the other hand, there are some obvious differences depicted between the simulated and measured S_{11}
 175 responses. To find the reason, a closer attention was paid to the SU-8 layers using a digital microscope. Then, we
 176 have found that the top SU-8 layer has delaminated, as can be noticed from the top view of the actual device shown
 177 in Fig. 12. Therefore, the design is modified and re-modeled in the light of the delamination as shown in Fig. 13
 178 in order to realize the delamination influence on the S_{11} response. It should be mentioned that the delamination
 179 has created a gap (g) between the top layer and the rest of the design. the g value is very small from both sides of
 180 the top SU-8 layer. However, it starts increasing until it reaches to its maximum value (g_m) ($g_m \sim 0.1$ mm) at almost
 181 at the centre of the top SU-8 layer. This has been validated using the digital microscope. After taking many points
 182 into account and trying to make sure the re-modeled design looks like the same as the fabricated one, the antenna
 183 is then re-simulated. The re-simulated S_{11} response is compared with the measured in Fig. 14, and they are now
 184 comparable to each other. There are still some inconsistency between the re-simulated S_{11} response and the
 185 measured one. This could be due to the delamination manner, which is not exactly homogenous through the entire
 186 top SU-8 layer, while during the re-modeling in the CST we assume that it is homogenous.

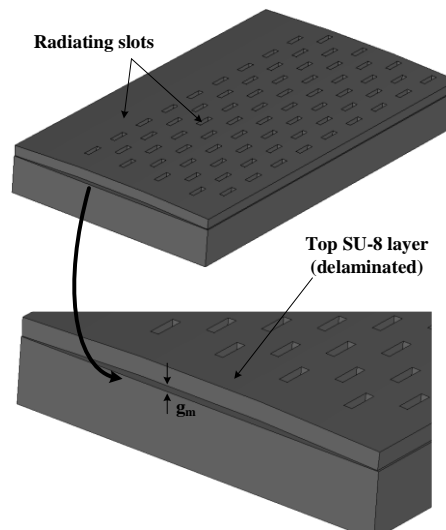
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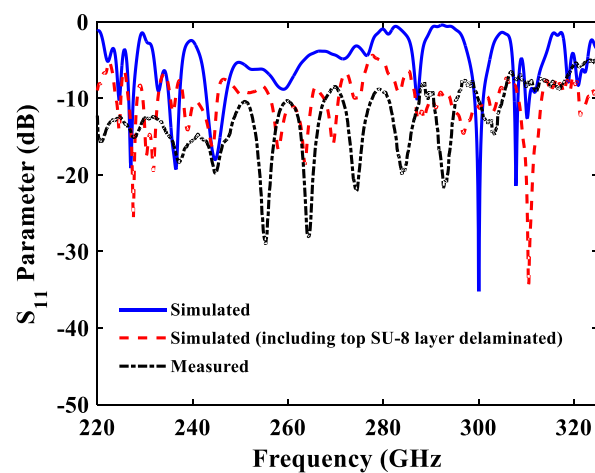
Fig. 12. Visualization of the delaminated SU-8 layer of the planar array 8×8 slotted waveguide antenna.



190

191

Fig. 13. CST model of the antenna design including the top SU-8 delaminated.



192

193

194

Fig. 14. Measured S_{11} of the planar array 8×8 slotted waveguide antenna compared with the simulated including the delamination.

195 Due to the lack of facilities, the radiation pattern was not measured. However, in order to validate the
196 simulated radiation pattern, a comparison was made with the measured radiation pattern of an 8×8 antenna array
197 waveguide based presented in [44]. It has been concluded that there are very close agreement between the
198 simulated and measured patterns.

199

200 4. Conclusions

201 A micromachined 8×8 slotted waveguide antenna array has been demonstrated at lower THz frequencies.
202 The antenna design was configured to be adapted to the micromachining SU-8 technology using five silver coated
203 SU-8 layers. All the five layers were made out of a single SU-8 wafer. Two brass plates were designed and utilised
204 to ensure the contact between the layers. The impact of the brasses on the antenna radiation performance is
205 negligible. An H-plane bend has been embedded to the design to facilitate the interconnection with the VNA test
206 port. Alignment pins were defined in all the SU-8 layers in order to avoid misalignment. The fabrication of the
207 antenna was made. It was found that the top SU-8 layers were delaminated upwards. To maintain a reliable
208 comparison between measured and simulated results, the antenna is re-modeled in the CST including the
209 modifications occurred due to the delamination. After this, the measured S_{11} is very comparable with the
210 simulation. The proposed antenna has planar structure which could simply be integrated with other components
211 when employing in some indoor wireless systems and sensors. The proposed fabrication process for the antennas
212 operating at THz frequencies is simple and cost-effective.

213

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217 **Availability of data and material:** Not applicable

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220 Methodology: Rashad H. Mahmud, Michael J. Lancaster, and Xiaobang Shang; Formal analysis and investigation:
221 Yi Wang, Halgurd N. Awl, Idris H. Salih, and Talal Skaik; Writing - original draft preparation: Rashad H.
222 Mahmud; Writing - review and editing: Michael J. Lancaster, Yi Wang, Talal Skaik, Idris H. Salih; Supervision:
223 Michael J. Lancaster.

224 **Ethics approval:** Not applicable

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228

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