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Evaluation of Aircraft Emissions at London Heathrow Airport

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19 **ABSTRACT**

20 A study to monitor Ultrafine Particles (UFP) at Heathrow Airport was undertaken in the autumn of
21 2017. The campaign followed on from a similar study in 2016, which put UFP at the airport into
22 context with nearby measurements. The objective of the 2017 study was to undertake UFP
23 monitoring at higher time resolution (60 second scans) and in a narrower particle size range (6 to
24 100 nm). High resolution data from the NO_x, PM and Black Carbon analysers on site was also
25 collected during the survey. Measurements were made at the runway station, LHR2 to attempt to
26 characterise individual aircraft using the runway. Nucleation mode particles are again seen to
27 predominantly originate from the airport, with highest concentrations associated with departing
28 aircraft. While there is some correlation of nucleation particles with NO_x and BC, these pollutants,
29 together with PM mass and Aitken mode particles, also show strong associations with winds from
30 off-airport directions. There is some evidence that BC emissions from landing aircraft are enriched
31 in UV-active BC (UVPM), most likely as a result of tyre abrasion upon landing. Comparison of
32 UFP measurements with the 2016 survey was not possible because of the differences in
33 configuration of the SMPS for the two surveys. This observation demonstrates the importance of
34 documenting SMPS configuration, to determine if comparison between published data is possible.
35 Analysis of the 1 minute measurement data with associated aircraft departure information was used
36 to group the data by aircraft type. Larger aircraft departing from the runway recorded higher
37 measurements of nucleation particles and NO_x compared to smaller aircraft, while emissions of BC,
38 UVPM and NO₂ appear to be dependent upon the age of the engine design, rather than the size of
39 the aircraft.

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42 **1. INTRODUCTION**

43 Heathrow Airport is the busiest two-runway airport in the world. In 2017, the airport handled over
44 78.0 million passengers and approximately 471,000 aircraft movements
45 (<https://www.heathrow.com/content/dam/heathrow/web/common/documents/company/investor/reports-and-presentations/financial-results/2017/2017-FY-Heathrow-SP-results-release.pdf>). The
46 airport is located in a complex environment: bounded by the M25 and M4 motorways on two sides,
47 and by the outskirts of London on a third side.
48

49
50 The history of AQ measurements at Heathrow Airport, together with review of UFP at airports and
51 the results of our UFP study at Heathrow Airport in 2016 are extensively discussed in Stacey (2019)
52 and Stacey et al (2020)

53
54 An increasing amount of research has been undertaken close to airports, to better understand the
55 nature of ultrafine particles (UFP) emitted from aircraft. The literature review by Stacey (2019)
56 collected the most relevant literature at the time into a single document. Prior research undertaken
57 and referenced in this review, together with a research study of UFP measurements undertaken at
58 Heathrow Airport in 2016 by Stacey et al (2020), informs the direction of research and analysis
59 throughout this paper. More recently studies by, for example Henry et al (2019), Lopes et al
60 (2019), Bousiotis et al (2019) and Rivas et al (2020) have supported the work of others that UFP
61 from airports and aircraft can be observed many kilometres downwind of an airport. Fushimi et al
62 (2019) found that a significant proportion of UFP measured at Narita Airport consisted of unburned
63 jet lubrication oil.

64
65 Similarly, the impact of UFP on health has been increasingly studied in recent years. Bendtsten et
66 al (2019) reported that the UFP sampled at two airports in Denmark is comparable in toxicity to
67 UFP from diesel exhaust. Habre et al (2019) found observable health impacts in sensitive receptors

68 downwind of Los Angeles International Airport (LAX), while Wing et al (2020) also identified a
69 link between exposure to aircraft-related UFP and pre-term birth in the region of LAX

70

71 For the first time, a panel of experts (Cassee et al, White paper, 2019) has put forward a proposal to
72 regulate exposure to concentrations of UFP. In terms of mitigation, both Cassee et al (2019) and De
73 Jesus et al (2019) found that reducing emissions of PM_{2.5} was not likely to have any significant
74 effect on measured concentrations of UFP.

75

76 The Stacey et al (2020) study showed that UFP concentrations at Heathrow in 2016 were clearly
77 influenced by aircraft activity and wind direction. The smallest particles were associated with
78 winds from the airfield, and the particle size distribution of the airport-derived air mass was clearly
79 different to typical urban roadside, urban background and rural distributions. The study focussed on
80 ensuring comparability with the reference monitoring stations, which report measurements every
81 three minutes. At this time resolution, it is not possible to use the data to identify individual
82 aircraft, which depart or arrive on average every 90 seconds at Heathrow.

83

84 A follow-up campaign was therefore devised to measure UFP, and where possible the other
85 pollutants at the monitoring station, at a faster time resolution to evaluate individual aircraft
86 emissions and the relationships between aircraft, UFP and other pollutants. This paper builds on the
87 2016 report and presents the results of the 2017 study.

88

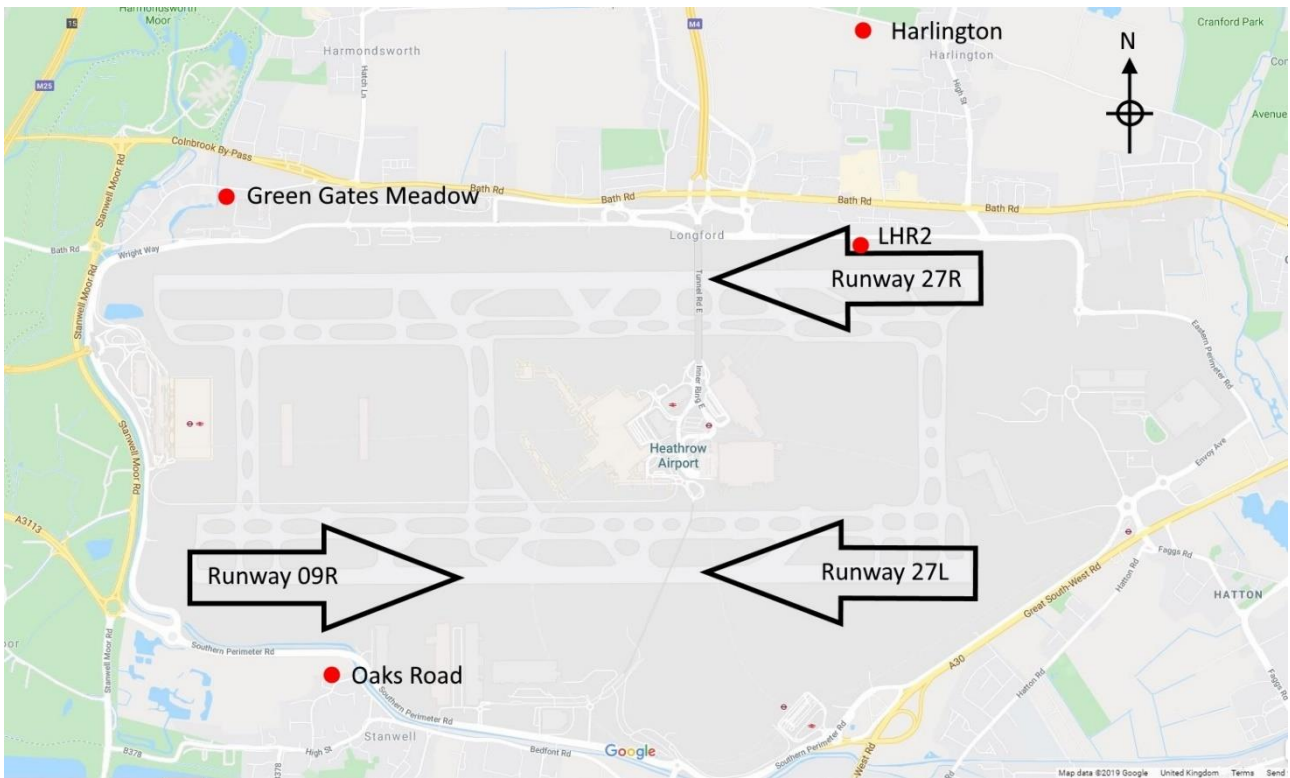
89 **2. METHODS**

90 **2.1 Monitoring Location**

91 This measurement campaign was designed to undertake rapid measurements of UFP and the
92 conventional pollutants at Heathrow to further explore the local nature of these pollutants in the
93 context of aircraft movements at the airport.

94 The network of air quality monitoring stations at Heathrow Airport is presented in Figure 1:

95



96 **Figure 1.** Locations of Heathrow monitoring stations. Runway 27R, Runway 27L and Runway
97 09R denote the three operating modes of the airport, indicating here the runway assigned for
98 departing aircraft. Note that aircraft never depart in an easterly direction on the northern runway.
99
100 Because of the dominant south-westerly nature of the winds in the UK, the LHR2 monitoring
101 station is ideally positioned to measure aircraft exhaust plumes. This location was also one of the
102 two monitoring stations used in 2016 and fully described in Stacey et al (2020).
103

104

105

106 2.2 UFP Measurement Campaign

107 Measurement of UFP at the LHR2 monitoring station was undertaken between 4th October and 7th
108 November 2017.

109

110 The following equipment was used:

- 111 • Butanol based TSI Model 3776 CPCs (TSI inc., MN, USA) to count particle numbers (the
112 3776 is more effective at detecting smaller particles than previous TSI CPCs - D50 2.5nm).

113 • TSI Model 3082 with long DMA (Model 3081) classifier and soft X-ray neutraliser.
114 Automatic on-board software correction was enabled for diffusive losses and multiple charge.
115 Analyser operation and data storage controlled by the Model 3082 running AIM v10.2.0.11.
116 Data was downloaded weekly from the 3082 to a USB stick for subsequent analysis.

117 The operating methodology of the TSI Scanning Mobility Particle Sizer (SMPS) and Condensation
118 Particle Counter (CPC) has been extensively described in literature, for example by Wiedensohler et
119 al. (2012) and Wiedensohler et al. (2018). The only difference from the recommendations of
120 Wiedensohler et al. (2012) was the absence of a dryer. This is considered advantageous due to
121 minimising diffusive losses of particles while having little effect upon the size distribution of
122 largely hydrophobic nanoparticles subject to a significant Kelvin effect.

123 The SMPS instrument was configured to sample in the range 6.38nm to 98.2nm, 64 channels per
124 decade. Sampling was programmed to run for 1 minute, sweeping up in size for 45 seconds, and
125 returning down for the remaining 15 seconds.

126 The instrument was set up to be operated continuously for the entire measurement campaign;
127 unattended automated operation 24 hours per day. Because of the proprietary nature of the TSI
128 software and only a short window of opportunity to deploy the analysers, remote communication to
129 the analysers was not undertaken. The monitoring station was visited weekly to ensure correct
130 operation and take remedial action if required.

131

132 Calibration of the CPC and SMPS followed identical procedures and used facilities described in
133 Stacey et al (2020) but within the narrower particle size range used for the 2017 survey.

134 The 6-100nm configuration of the SMPS in 2017 differs significantly from the setup used at
135 Heathrow in 2016 by Stacey et al (2020) and in the UK National Particles network. Both the
136 Heathrow 2016 and National Network configurations are described in the Stacey et al (2020) paper
137 and are not documented further here. Comparisons of the 2016 and 2017 datasets will be explored

138 in the results, but will be significantly influenced by the differences in configurations used in 2016
139 and 2017 and, to an extent, the differing meteorology.

140 The other analysers deployed at LHR2 are described fully in Stacey et al (2020), but were
141 additionally configured to collect 1 minute average data.

142

143 **2.3 Data Analysis**

144 The plots and analysis undertaken in this paper make extensive use of the R and R Studio programs
145 (R Foundation for Statistical Computing, Vienna, Austria, and R Studio Inc, MA, USA) and the
146 OpenAir suite of analysis tools (Carslaw and Ropkins, (2012))

147

148 In accordance with the processes defined in Stacey et al (2020) for the 2016 datasets, Nucleation
149 particles are defined as particles smaller than 25 nm, Aitken particles are defined as particles
150 between 26 and 100 nm.

151 Particle number concentrations are reported in units of particles /cm³, and are calculated from
152 individual size bin data from the SMPS, with no decade adjustment applied.

153 Measurements from the black carbon aethalometers are reported using identical procedures as
154 reported in Stacey et al (2020)

155

156 **2.5 Measurement Quality Assurance and Quality Control**

157 Processing of the data was undertaken using the same QA/QC procedures described in Stacey et al
158 (2020). While the Heathrow study UFP data reported here uses the same quality assurance and
159 quality control procedures used for the national network datasets and the 2016 study, the differences
160 in configurations in 2017 (including flow rates, size ranges, sample time, software), will have a
161 significant impact on the ability to make direct comparison between the two surveys. These
162 differences will be discussed later.

163 For measurements of NO_x, PM₁₀, PM_{2.5}, BC and meteorology, the measurements at Heathrow are
164 managed, collected and processed following guidance described in [https://uk-](https://uk-air.defra.gov.uk/assets/documents/reports/cat09/1902040953_All_Networks_QAQC_Document_2012_Issue2.pdf)
165 [air.defra.gov.uk/assets/documents/reports/cat09/1902040953_All_Networks_QAQC_Document_20](https://uk-air.defra.gov.uk/assets/documents/reports/cat09/1902040953_All_Networks_QAQC_Document_2012_Issue2.pdf)
166 [12_Issue2.pdf](https://uk-air.defra.gov.uk/assets/documents/reports/cat09/1902040953_All_Networks_QAQC_Document_2012_Issue2.pdf). Information about these analysers is also provided in the Supplemental
167 Information, Tables S1 and S2.

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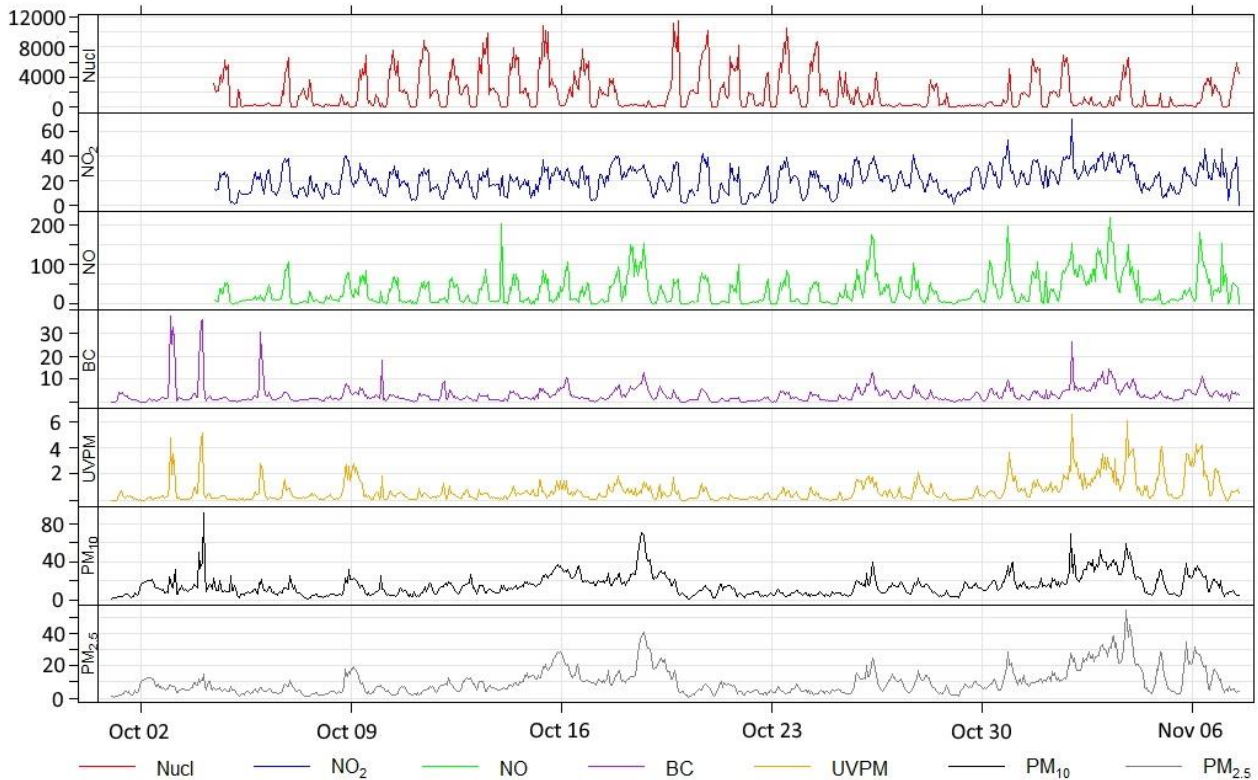
169 **3. RESULTS**

170 **3.1 Overall Summary**

171 Timeseries data for the hourly measurements at LHR2 are presented in Figure 2 below. One minute
172 data for all pollutants are available in the DOI, and are presented graphically in Supplemental
173 Information, Figure S1. Hourly averaged measurements of NO_x, PM₁₀, PM_{2.5} and BC are also
174 accessible through the <http://heathrowairwatch.org.uk> webpages. The 1 minute averaged data from
175 these analysers will be used to explore associations and differences to typical ambient
176 environments.

177

Hourly timeseries at LHR 2, October / November 2017



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Figure 2 – Hourly timeseries data at LHR2, October and November 2017. Reported concentrations are ppb for NO and NO₂, ug/m³ for PM₁₀, PM_{2.5}, BC and UVPM, and particles/cm³ for nucleation particles (labelled Nucl on the plot).

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It can be seen from Figure 2 that the nucleation concentrations appear to be weakly correlated with NO₂ and BC (all data r^2 0.2 to 0.3), but the relationship for nucleation particles is poor with PM₁₀ and PM_{2.5} (all data r^2 less than 0.01). Concentrations of nucleation particles clearly undergo a diurnal cycle and, as observed in 2016 (Stacey et al (2020)), increase coincident with periods when aircraft are active. The average particle size distribution for the 2017 survey reaches a maximum number concentration at 12.2 nm.

Diurnal plots are presented in the SI (SI figs S26 to S33). Concentrations of NO_x, Nucleation and Aitken particles follow the expected diurnal profiles, where highest concentrations are experienced between 06:00 and 21:00. In contrast, diurnal concentrations of PM, BC and UVPM are highest between the hours of 18:00 and 02:00, coinciding with traditional periods of domestic heating, and the increase in the evening due to the road traffic rush hour. The diurnal profiles for PM follow the

194 typical profile of regional monitoring (presented in the SI), suggesting that airport measurements
195 are strongly influenced by off-airport airmasses.

196

197 **3.2 Dependence of Airport Measurements on Meteorology**

198

199 The meteorology for the 2017 survey was dominated by south westerly winds, ideal for assessing
200 the contribution of the airport and aircraft emissions at the LHR2 monitoring station. The wind rose
201 plot for the survey is presented in the SI, figure S34

202 Polar plots of the hourly average data are presented in the SI, figures S2 to S10. As with the 2016
203 survey, nucleation particles are almost exclusively associated with winds from the airport. Aitken
204 particles are strongly associated with winds from the airport, but there is also contribution from
205 easterly and northerly wind directions. NO_x, PM₁₀ and PM_{2.5} polar plots are very similar to those
206 seen at other monitoring stations across the south east of England, and mostly not from the direction
207 of the airport, reflecting the diverse sources of these pollutants in the UK. The polar plots for BC
208 and UVPM show some influence from the airport, but also when winds are low and immediately
209 west of the station. There was a construction depot next to the monitoring station during the survey,
210 active between 23:00 and 04:00, and it is likely that this has influenced the data. For the purposes
211 of aircraft analysis, this period is not included in analysis in any case. Imagery of the construction
212 depot is presented in the SI, figure S11

213

214

215 **3.3 Relationship Between Pollutants**

216 Following exact time synchronisation of all measurement datasets, bivariate regression analysis was
217 undertaken using the polarPlot function in openAir. This analysis was used to identify which wind
218 directions were associated with the closest correlation between pollutants. These plots are provided
219 in the SI, figures S12 to S25.

220 The plots show very strong (r^2 greater than 0.8) correlation between Nucleation and Aitken particles
221 from the direction of the airport, much weaker when winds are from northerly directions. The weak
222 correlation (r^2 less than 0.4) between Nucleation and Aitken particles from the north clearly
223 indicates that nucleation particles mostly originate from the airfield. Nucleation particles from the
224 airport are also closely correlated with BC (r^2 above 0.8).

225 Nucleation particles from the airport show some correlation with UVPM and NO_x (r^2 between 0.5
226 and 0.9) , but correlation is weak (r^2 less than 0.4) for Nucleation particles with either PM₁₀ or
227 PM_{2.5}.

228 PM₁₀ and PM_{2.5} correlation with NO_x is mostly weak (r^2 less than 0.5) for most wind directions,
229 though there are clusters of good correlation (r^2 above 0.8) to the north and one to the south that
230 could be associated with the nearby runway. PM₁₀ and PM_{2.5} correlations with UVPM (r^2 above
231 0.8) are strongly associated with some airport wind directions and speeds, as well as from directions
232 north of the monitoring station, suggesting a multitude of sources contributing to PM and UVPM in
233 the area. The correlation immediately to the south closely mirrors the PM/NO_x correlation, further
234 suggesting the influence of the runway as a contributor to local measurements.

235

236 NO_x and BC correlation is good to strong (r^2 between 0.6 and 1.0) for most wind directions except
237 for the NW sector. Correlation between NO_x and UVPM is strong (r^2 above 0.8) for wind
238 directions associated with the airport.

239

240 **3.4 Dependence of Measurements on Airport Operation**

241 As previously stated, Heathrow operate the two runways in a rotating system when aircraft depart
242 and arrive in a westerly direction. During any typical day, aircraft land on runway 27R for half the
243 day, before swapping and landing on runway 27L. Aircraft depart on the other runway, allowing
244 complete independence of departure and arrival schedules. From a monitoring perspective, this is
245 very useful, because it raises the possibility to assess emissions from departing and arriving aircraft.

246 It needs to be remembered that the measurements at the monitoring station will be impacted by
 247 cooling, dilution and interaction with other sources, but this is mitigated to some extent by the
 248 proximity of the station to the runway and the absence of any other sources between the aircraft and
 249 the monitoring station. In addition, especially for gaseous and mass-based PM measurements,
 250 while the background concentrations will contribute to the reported measurements, their
 251 contributions are not removed from the datasets. This has been considered during the analysis.
 252 Aircraft movement information for the 2017 survey was again provided by Heathrow Airport
 253 Limited.

254

255 The 2016 survey found that average concentrations of Nucleation particles was highest when
 256 aircraft were departing closest to the monitoring station.

257 The table below provides average concentrations measured at the airport in October / November
 258 2017 in various operating modes:

259

Pollutant / Operation	Overall (902 hours)	Depart 27R (320 hours)	Depart 27L (308 hours)	Depart 09R (45 hours)	Overnight (229 hours)
Nucleation, # / cm ³	1813	3625	1328	422	141
Aitken, # / cm ³	205	317	191	127	81
BC, µg/m ³	3.22	3.61	2.34	4.47	3.61
UVP, µg/m ³	0.71	0.85	0.49	0.58	0.83
PM ₁₀ , µg/m ³	15.1	14.4	13.5	28.4	15.5
PM _{2.5} , µg/m ³	10.0	9.7	8.9	18.6	10.2
NO _x , ppb	52.9	76.5	39.2	71.5	33.4
NO, ppb	32.6	50.1	20.6	48.8	20.1
NO ₂ , ppb	20.3	26.4	18.7	22.7	13.2

260 **Table 1** – average concentrations in different airport operating modes.

261 The table clearly shows that:

- 262 • Highest particle numbers are associated with aircraft departing from runway 27R, closest to
263 the monitoring station. On average, Nucleation particle concentrations are 3 times higher
264 than those seen for aircraft landing on runway 27R (departing on 27L), ~8.5 times higher
265 than operations in easterly winds (departing on 09R) and 25 times higher than when the
266 airport is closed overnight.
- 267 • For Aitken particles, the differences are less marked: when aircraft are departing on 27R,
268 average concentrations are 1.7 times higher than departures on 27L, 2.5 times higher than
269 departures on 09R and 3.9 times higher than overnight concentrations. Additionally,
270 concentrations of Aitken particles show a significant baseline that appears to be independent
271 of airport operating mode, confirming that emissions of Aitken particles from the airport are
272 produced in far smaller quantities when compared to emissions of Nucleation particles.
- 273 • PM concentrations are highest during easterly winds (departing on 09R). This is certainly
274 the influence of longer range transport of PM from London and beyond.
- 275 • BC concentrations are also highest during easterly winds, but average concentrations are
276 higher for aircraft departing on 27R compared to landing on 27R. This is also true for
277 UVPM.
- 278 • UVPM concentrations are elevated overnight, confirming that domestic heating is a likely
279 additional source in the area.
- 280 • Average NO_x, NO and NO₂ concentrations are all higher when aircraft depart on 27R
281 compared to landing on 27R (departing on 27L). But high average NO_x and NO
282 concentrations are also recorded during easterly winds, reflecting the influence of emissions
283 from London on these local measurements.

284 The observation that departing aircraft emit higher numbers of UFP compared to arriving aircraft
285 appears to contrast with work by other researchers, eg. Hudda et al (2017), Shirmohammadi et al
286 (2017), which suggest that arriving aircraft have a significant effect on UFP concentrations directly
287 under the flight path. However, other studies, including Keuken et al (2015) have shown that

288 elevated UFP concentrations can be attributed to airports even 40km from the airport and not under
289 flight paths. It is therefore possible that ground level dispersion of UFP emissions from aircraft
290 movements has not yet been fully considered in modelled and measured approaches to the
291 assessment of UFP from aircraft and further investigation of the possible impact mechanisms is
292 warranted.

293

294 **3.5 Examination of Fine Temporal Resolution Data**

295 The monitoring station at LHR2 is 170m from the centre of the northern runway. Under favourable
296 meteorology, plumes from aircraft departing and landing impact on the monitoring station, raising
297 the possibility that these plumes can be further analysed and characterised by, for example, aircraft
298 type, engine type, aircraft landing and aircraft departing.

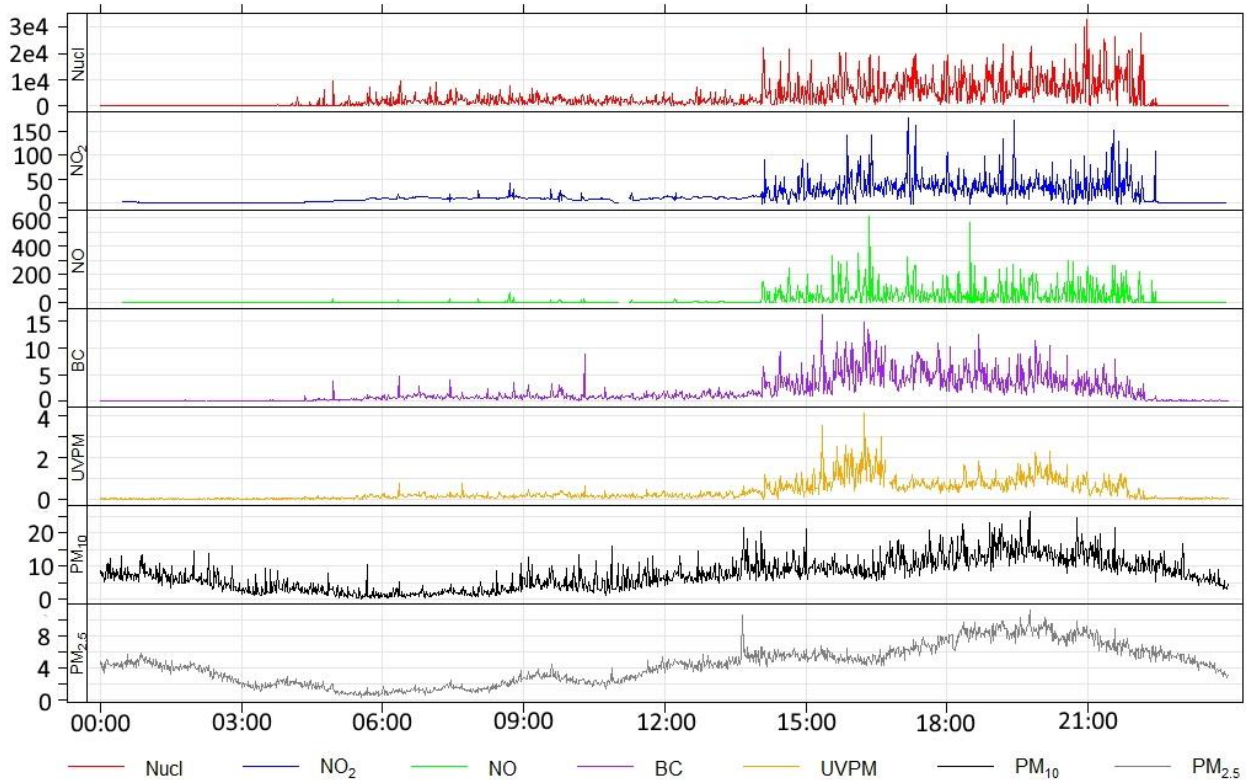
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300 On average (https://www.heathrow.com/file_source/Company/Static/PDF/Investorcentre/Heathrow-
301 [\(SP\)-FY2016-results-release-\(FINAL\).pdf](https://www.heathrow.com/file_source/Company/Static/PDF/Investorcentre/Heathrow-(SP)-FY2016-results-release-(FINAL).pdf)), an aircraft departs from the airport every 90 seconds
302 between 06:00 and 23:00 every day. The SMPS/CPC configuration at LHR2 was set to provide a
303 full particle size sweep every minute, allowing the possibility to investigate whether to uniquely
304 assign a single measurement to an individual aircraft. Some structure in the PN measurements can
305 be observed which bears excellent correlation to the runway operations. The plot in Figure 3
306 presents the stacked timeseries collected on 20 October.

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1 minute timeseries at LHR 2, 20 October 2017



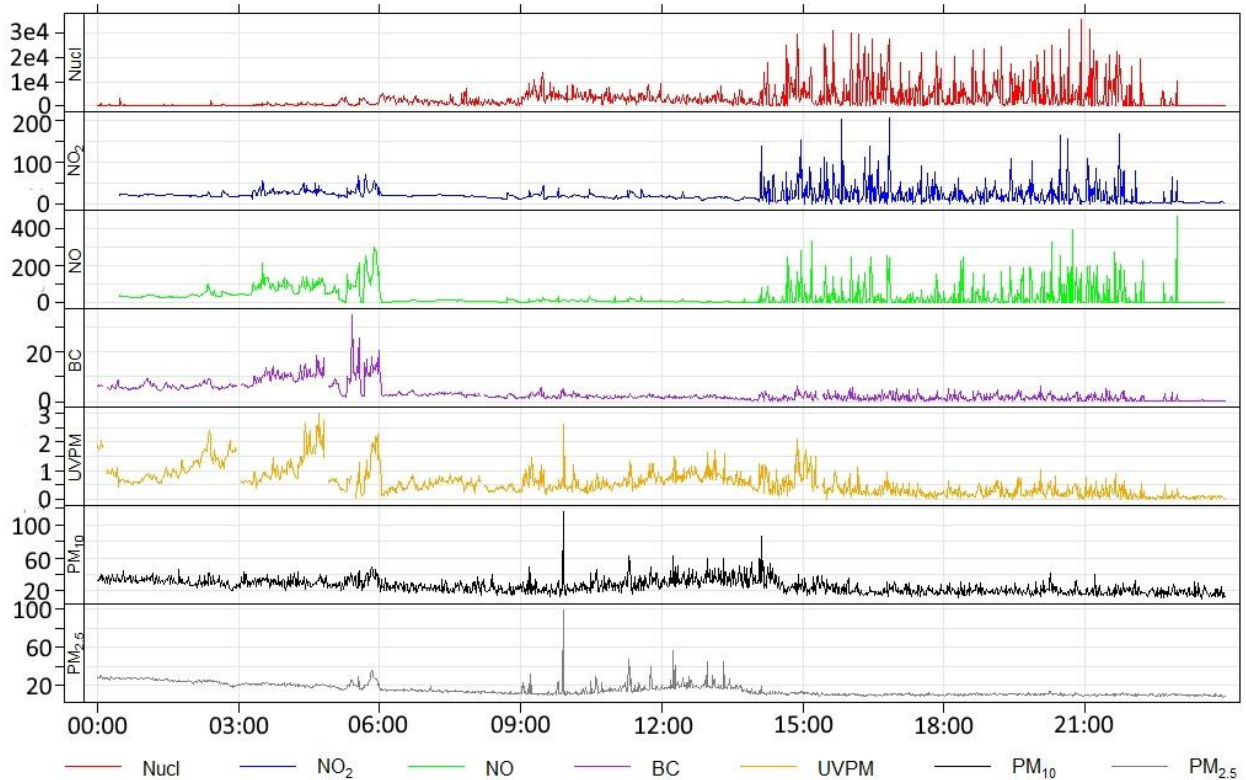
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Figure 3. Stack timeseries plot, LHR2, 20 October

On this day, aircraft were landing on 27R during the morning period, and departing from 27R in the afternoon period. The elevated Nucleation particle count during departures is very clear in this plot and mirrors the observations seen in the 2016 survey. The plot also shows very clear correlation of NO_x, BC and UVPM with particle number concentrations, lower for arriving aircraft and higher for departing aircraft – as would be expected for the different thrust settings in these two modes of engine operation.

Correlation of the above pollutants is less obvious for PM₁₀ and PM_{2.5}, which do not follow the abrupt change in scale when the aircraft operating mode changes. The level of detail seen in the 1 minute data allows some unexpected observations to be made. The plot in Figure 4 shows the stacked timeseries for 16 October:

1 minute timeseries at LHR 2, 16 October 2017



323

324 **Figure 4.** Stack timeseries plot, LHR2, 16 October

325

326 Aircraft movements on 16 October followed the same pattern as 20 October and the trends between
327 pollutants is, by and large, similar. Closer inspection reveals some subtle differences:

- 328 • PM₁₀ and PM_{2.5} concentrations are higher during the morning arrival mode than the
329 afternoon departure mode.
- 330 • BC and UVPM concentrations do not follow each other at all throughout the airport
331 operating day. Prior to 06:00, the agreement between them is reasonable, though the effect
332 of non-aircraft sources (e.g. overnight domestic heating) is observable in the data. UVPM
333 concentrations are high between 09:00 and 15:00 (during arrivals), compared to
334 concentrations after 15:00. In contrast, BC concentrations between 09:00 and 15:00 are
335 lower than measurements after 15:00. On examination of the meteorology between 09:00
336 and 15:00, recorded wind directions were between 170 and 220 degrees. This is the sector
337 where air sampling captures the point where the majority of aircraft touchdown on the

338 runway, leading to the possibility that tyre smoke from landing aircraft was transported from
339 the runway and measured at the station during this period. Tyre smoke from landing aircraft
340 is a blue-grey colour and likely to be in the fine particle range, as the tyres are subjected to
341 great stress from the acceleration and weight of the aircraft. The correlation between PM_{2.5}
342 and UVPM, together with the absence of correlation with NO_x and Nucleation particles,
343 associated with exhaust emissions, further supports this observation.

344 • Winds from 0:00 to 06:00 originated from the north east and east of the monitoring station,
345 suggesting off-airport emissions contributed to the elevated levels of NO_x, BC and UVPM
346 during this period. The NO_x, BC and UVPM measurements at LHR2 are very similar to
347 measurements made at other monitoring stations in the area.

348

349 The SMPS/CPC setup provides detailed information about the PSD every minute. This detail is not
350 necessary for analysis, as the breakdown into nucleation and Aitken particle number concentrations
351 demonstrates how the particle size distribution is dominated by fine particles. For completeness, an
352 animation of the 1 minute PSD data from 20 October is provided in SI Animation S1. This
353 animation clearly shows three distinct modes:

- 354 • Period when aircraft are not operating (0:00 to 06:00 and 23:00 to 0:00)
- 355 • Period where aircraft are landing (06:00 to 14:00)
- 356 • Period where aircraft are departing (14:00 to 23:00)

357 The animation also shows just how dependent the measurements are on aircraft movements. There
358 are many periods of both high emissions, associated with aircraft, and relatively “quiet” periods,
359 coinciding with reduced aircraft activity. This is the first time that we are aware of that airport UFP
360 measurements have been reported in this way, clearly illustrating the nature and effect of the aircraft
361 activity.

362

363 **3.6 Correlation of UFP with aircraft movements**

364 As part of normal airport operation, Heathrow Airport Limited keep a log of all aircraft ground
365 movements. Records of aircraft type, time of departure or arrival and the relevant runway used
366 were provided at 1 minute resolution. This allows analysis of Nucleation mode particles to be
367 closely associated with exhaust plumes by tying together aircraft location, wind speed and direction,
368 time taken for the plume to arrive at the measurement station and the associated pollution data. By
369 knowing what aircraft is being measured, clustering of Nucleation particle concentrations by
370 aircraft type is also possible.

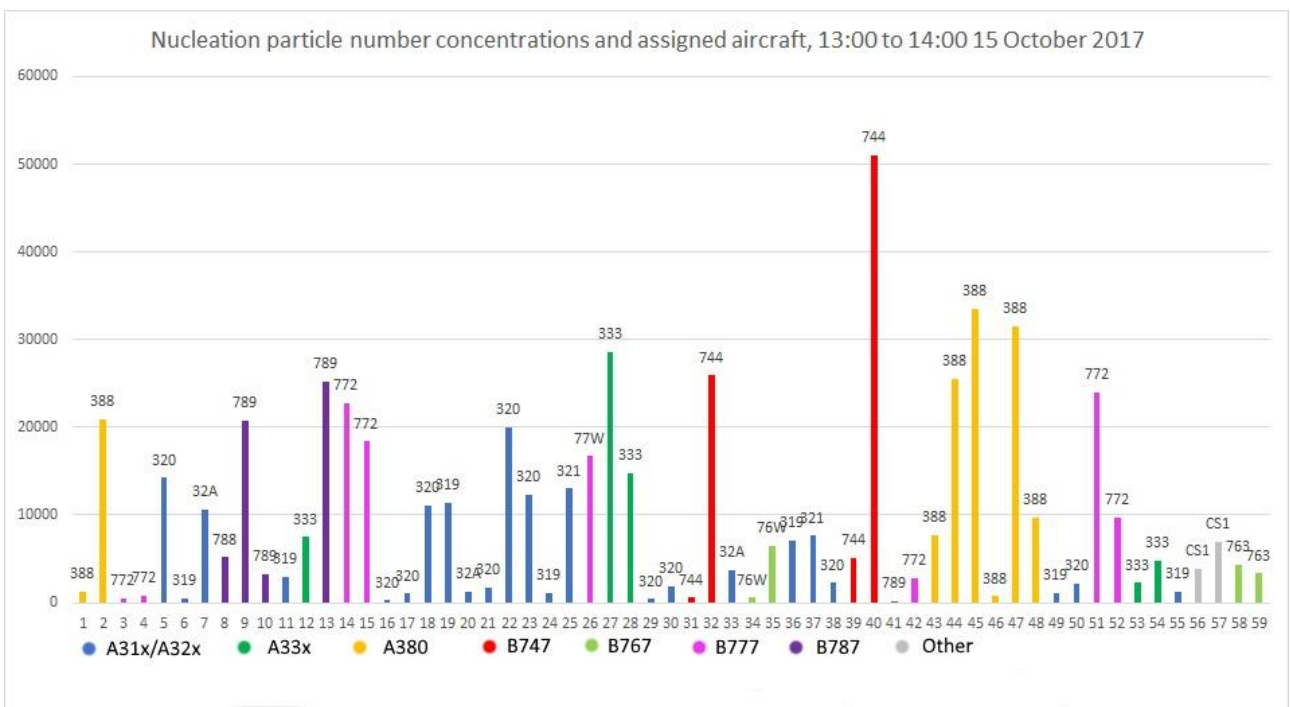
371 For the purposes of this investigation, only aircraft departing on 27R were examined, and only
372 when winds were from the 105 to 265 degree sector – i.e. when the exhaust plume would be
373 transported to the monitoring station. Reviewing the timeseries data for the entire survey, there
374 were a selection of days when concentrations of Nucleation particles were highest, providing the
375 strongest potential to assign peak concentrations to individual aircraft. The comparison was
376 therefore further restricted to include only departures on 9-16, 19-21, 23 and 31 October 2017.
377 During this time, 5127 aircraft departed from Runway 27R, clustered into the following groups:
378

Aircraft type	Number of aircraft	Number of aircraft successfully identified	Percentage of total successfully identified
Airbus A31x / A32x series	2408	1188	49%
Airbus A33x series	191	113	59%
Airbus A34x series	72	52	72%
Airbus A35x series	42	21	50%
Airbus A380 series	315	200	63%
Boeing 737 series	137	69	50%
Boeing 747 series	308	202	66%
Boeing 757 series	39	15	38%
Boeing 767 series	307	196	64%
Boeing 777 series	732	477	66%
Boeing 787 series	442	274	62%
Others	134	70	52%

Total	5127	2877	56%
-------	------	------	-----

379 **Table 2** – Departing aircraft on Runway 27R, separated by type, on selected days in October 2017.
380 “successfully identified” represents the number of aircraft where measured nucleation
381 concentrations were elevated above the prevailing background concentrations at the expected
382 arrival time of the plume at the monitoring station.

383 Initial review of the assignment of peaks revealed that a large number of departures were poorly
384 identified by the analysers. A higher proportion of heavier aircraft, with an expected higher fuel use
385 during takeoff, are successfully identified when compared to lighter aircraft (for example 72% of all
386 A340 aircraft were identified, vs 49% of all A31x/A32x). By way of example of the problem of
387 identification, Figure 5 shows Nucleation particle concentrations over a one hour period on one day.



388
389 **Figure 5** – Nucleation concentrations recorded for aircraft departures, 13-14:00 15 October 2017.
390 The aircraft type is labelled at the top of each bar, and colour coded according to the legend.

391
392 It is clear from this plot that a significant number of nucleation peaks are very low when compared
393 to other similar aircraft. There are a number of possible reasons for this:

- 394 • The departure time of the aircraft is reported at the start of the minute the aircraft “throttles
395 up”. It was not possible to determine the exact position of the aircraft on the runway, so
396 assumptions are made about when the emission plume will arrive at the monitoring station.

- 397 • High time resolution meteorological data was not available for this survey. All calculations
 398 for plume transportation were made using 15 minute averaged wind speed and direction data
- 399 • The dataset has not been screened for rainfall. A proportion of plumes will have been
 400 negatively impacted during periods of rainfall, but high resolution rainfall data was not
 401 available to identify and filter out these periods.
- 402 • The 1 minute scan of the SMPS from 6 to 100 nm means that if the exhaust plume arrived at
 403 the monitoring station midway through the scan, it is possible that the SMPS would miss the
 404 Nucleation particles completely from a departing aircraft.
- 405 • It is also possible that, when wind direction was closer to 260 degrees, that the plume from a
 406 departing aircraft would be detectable for a longer period, due to the increased distance from
 407 the monitoring station, leading to the possibility that the SMPS would record a single
 408 aircraft plume over multiple minutes.

409 Because of the number of mis-assigned plumes, the data were further screened by rejecting
 410 identifications when Nucleation particle number concentrations were lower than $4000/\text{cm}^3$. Using
 411 this restriction, 44% of the departures were removed from the analysis. The table below summarises
 412 the results from these screened identifications.

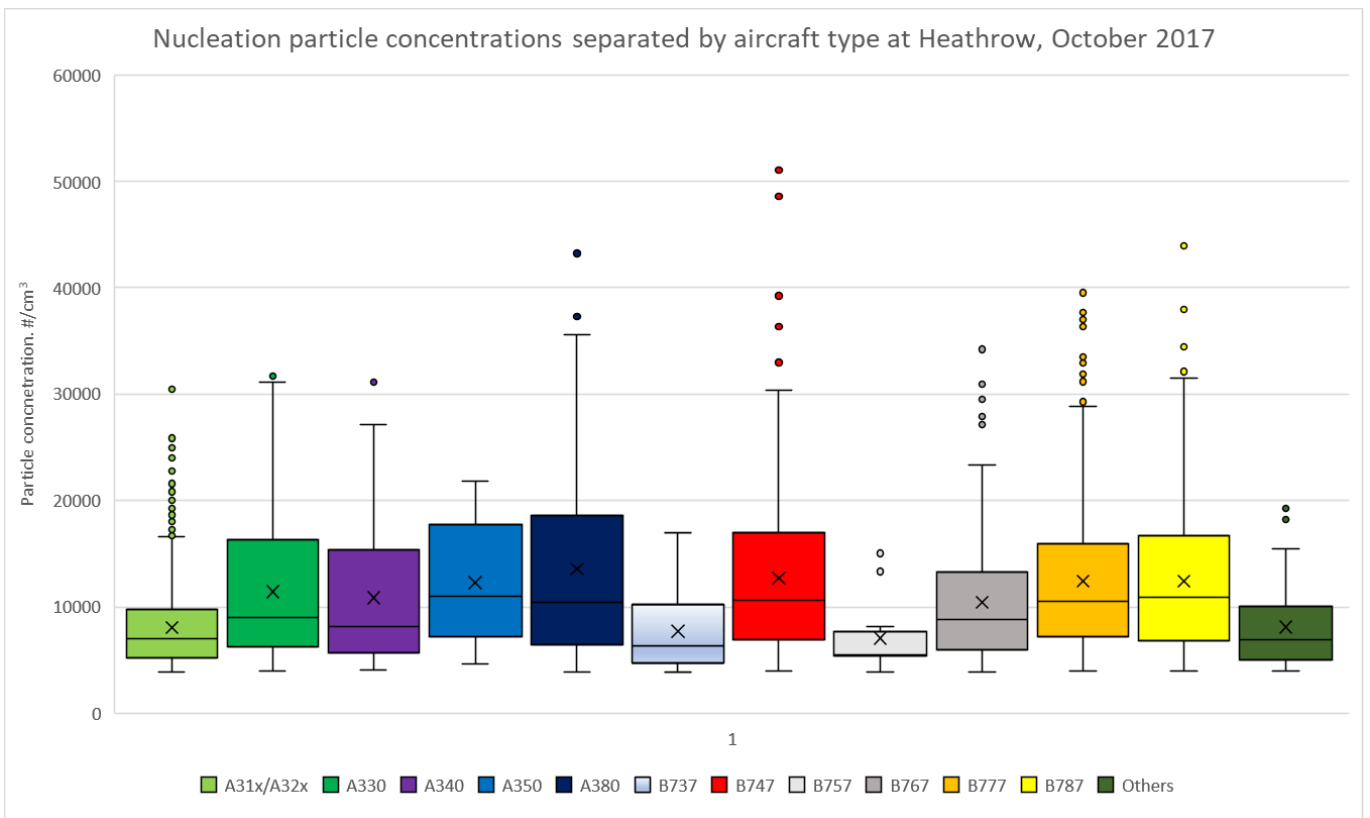
413

Aircraft type	# Aircraft assessed	Nucleation particles, $\#/\text{cm}^3$ / RSD, %	BC, ug/m^3	UVPM, ug/m^3	NO, ppb	NO ₂ , ppb	PM ₁₀ , ug/m^3	PM _{2.5} , ug/m^3
Airbus A31x / A32x series	1188	8060 / 47%	4.18	0.80	42.1	27.9	13.1	8.5
Airbus A33x series	113	11438 / 59%	3.87	0.64	85.0	41.8	12.4	8.0
Airbus A34x series	52	10859 / 60%	4.50	0.51	91.0	31.2	12.4	8.0
Airbus A35x series	21	12266 / 45%	3.34	0.54	99.7	39.9	11.4	7.0
Airbus A380 series	199	13578 / 64%	3.98	0.66	107.9	34.7	13.2	8.3
Boeing 737 series	69	7719 / 46%	3.57	0.73	39.4	24.8	14.3	9.2
Boeing 747 series	202	12734 / 63%	4.12	0.72	95.6	40.0	13.0	8.1

Boeing 757 series	15	7063 / 45%	4.80	0.67	70.9	32.3	13.9	8.9
Boeing 767 series	196	10438 / 57%	4.59	0.79	84.0	38.6	12.7	8.4
Boeing 777 series	477	12422 / 56%	3.56	0.69	112.3	38.3	12.7	8.3
Boeing 787 series	274	12406 / 56%	3.14	0.64	84.1	35.1	12.3	7.9
Others	70	8078 / 47%	3.31	0.72	36.2	26.0	12.9	8.6
All departures	2876	10266	3.94	0.73	71.9	33.0	12.9	8.4

414 **Table 3** – Summary of average concentrations, separated by aircraft type, screened for Nucleation particle
415 measurements greater than 4000 particles / cm³. The relative standard deviation (RSD) for nucleation
416 particles is presented to demonstrate the wide variation in the measurements recorded.

417 The Nucleation particle number data are further assessed in the box and whisker plot in Figure 6:



418 **Figure 6** – Box and whisker plot, separating nucleation measurements by individual aircraft type.
419 Average concentrations are represented by a X, median by a line within the box. The box upper and
420 lower limits represent the 25th and 75th percentiles while the whiskers present the 0 and 100%
421 boundaries. Note that the lower whisker ignores data screened by rejecting all results below 4000
422 particles/cm³. Outliers are represented by individual dots.

424 Bearing in mind the varying sample sizes for each aircraft type, the average data in the above table
425 and figure confirms the following:

- 426 • Smaller aircraft emit fewer nucleation particles than larger aircraft

- 427 • Total NO_x concentrations are highest from largest aircraft
- 428 • NO₂ concentrations follow a similar pattern to NO_x – larger aircraft generally emit higher
- 429 concentrations than smaller aircraft, though it is likely that the newer fleet of heavy aircraft
- 430 have lower NO₂ emissions – measured NO₂ from Boeing 747 aircraft is higher than Airbus
- 431 A380 aircraft, for example. More investigation is required to get a fuller understanding of
- 432 this observation.
- 433 • There is no clear trend in the BC data. Average BC emissions from the Boeing 787 were the
- 434 lowest recorded for any aircraft type, suggesting that the newer design of this engine may be
- 435 better for these emissions.
- 436 • There is no clear trend in the UVPM data, though average measurements appear to be lower
- 437 for newer aircraft types – Boeing 787 vs Boeing 767 for example.
- 438 • Measurements of PM₁₀ and PM_{2.5} appear to be completely independent of aircraft type.
- 439 Average PM₁₀ concentrations recorded during departures of Boeing 747, Airbus A380 and
- 440 31x/32x aircraft are essentially identical. This further confirms that background mass
- 441 concentrations of PM dominate measurements – any additional contribution from aircraft is
- 442 not likely to be significant.

443 Further investigation of the nucleation particle count data for each aircraft group was undertaken,

444 using a simple correction to normalise the measurements with respect to wind speed. The data were

445 examined before and after correction, using both the relationship between relative standard

446 deviations within aircraft type and exploring the ratio of average concentrations between aircraft

447 types. Unfortunately, a systematic improvement in the relative standard deviation of each clustered

448 group was not observed, suggesting that the relationship between emissions from aircraft and

449 measured downwind concentrations is more complex than a simple adjustment for one parameter.

450

451 **3.7 Comparison of 2017 particle size distribution with 2016 dataset**

452 A similar study to investigate UFP at the airport was undertaken at Heathrow in the autumn of 2016
453 by Stacey et al (2020), in direct comparison with other monitoring in the south east of England.
454 The 2016 study configured the TSI SMPS/CPC identically to the comparator monitoring stations,
455 the 2017 study investigated a smaller particle range at a faster time resolution to identify individual
456 aircraft UFP contribution.

457 There were significant differences identified in the particle distributions and counts between the two
458 datasets, which were a direct consequence of the differences in how the analyser was configured for
459 each campaign. As a result, direct comparison between the 2016 and 2017 data is not possible; this
460 is discussed further in the SI.

461

462

463 **4. CONCLUSIONS**

464 An extensive campaign to monitor UFP at London Heathrow Airport was undertaken in the autumn
465 of 2017. The primary objective was to examine high temporal resolution data to investigate the
466 relationship between individual aircraft and measured concentrations of UFP, PM₁₀, PM_{2.5}, NO_x
467 and BC.

468 The SMPS analyser was specifically configured for fast response (1 minute scans) and within a
469 much smaller size range (6-100 nm particles) than in our 2016 campaign. This change in
470 configuration caused a shift in measurements, both in magnitude and peak particle size, meaning
471 that comparison with historic and current UFP data in the UK was impossible.

472 This study, within 170 metres of a busy runway, shows that nucleation mode particles
473 predominantly originate from the airport, with highest concentrations associated with departing
474 aircraft. This observation is in contrast with some other research, which suggests that UFP
475 concentrations downwind of airports is dominated by aircraft emissions being transported to ground
476 level by wing tip vortices from arriving aircraft.

477 There is some correlation of nucleation particles with NO_x and BC, and these pollutants, together
478 with PM and Aitken particles, also show strong associations with winds from off-airport directions,
479 not associated with nucleation particles. There is some evidence that BC emissions from landing
480 aircraft is higher in UV-active BC, most likely as a result of tyre abrasion upon landing.

481 Analysis of the 1 minute measurement data with associated aircraft departure information was used
482 to group the data by aircraft type. Larger aircraft departing from the runway recorded higher
483 measurements of nucleation particles and NO_x compared to smaller aircraft, but emissions of BC,
484 UVPM and NO₂ appear to be more dependent upon the age of the engine design, rather than the
485 size of the aircraft.

486

487 **DATA AVAILABILITY**

488 Data supporting this publication are openly available from the UBIRA eData repository at

489 <https://doi.org/10.25500/00000535>

490

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494 **CRedit author statement**

495 **Brian Stacey:** Conceptualisation, methodology, software, validation, formal analysis,
496 investigation, resources, data curation, writing – original draft, writing – review and editing,
497 visualisation, project administration

498 **Roy Harrison:** Supervision, writing – review and editing

499 **Francis Pope:** Supervision, writing – review and editing

500

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