

Decreased renal function is associated with incident dementia

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1 **1. Title page**

2

3 **Title:** Decreased renal function is associated with incident dementia: an IMRD-THIN
4 retrospective cohort study in the UK

5 **Short title:** Decreased renal function and incident dementia

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48 **2. Abstract**

49 INTRODUCTION: Decreased renal function is a potential risk factor for dementia.

50 METHODS: This retrospective cohort study of 2.8 million adults aged ≥ 50 years used the

51 IMRD-THIN database, representative of UK primary care, from 1/1/1995 to 24/2/2020. The

52 associations between estimated glomerular filtration rate (eGFR) and urine albumin

53 creatinine ratio (ACR) with incident all-cause dementia were analysed using Cox regression.

54 RESULTS: In the eGFR cohort (n=2,797,384), worsening renal dysfunction was associated

55 with increased hazard of all-cause dementia, with greatest hazard at eGFR 15-30

56 ml/min/1.73m² (HR 1.26, 95% CI 1.19 – 1.33).

57 In the ACR cohort (n=641,912), the hazard of dementia increased from ACR 3-30mg/mmol

58 (HR 1.13, 95% CI 1.10 – 1.15) to ACR>30mg/mmol (HR 1.25, 95% CI 1.18 – 1.33).

59 DISCUSSION: Worsening eGFR and albuminuria have graded associations with the risk of

60 dementia, which may have significant implications for the care of patients with kidney

61 disease.

62 **142 words**

63

64

65

66 3. Background

67 Dementia and chronic kidney disease (CKD) are chronic diseases that increase with age [1,
68 2]. With an aging population, the prevalence of both will be increasing in the next decade [2,
69 3]. Both diseases are important global health issues as they are leading causes of death,
70 morbidity, and poor quality of life and present a substantial economic and social care burden
71 [2-5].

72 There is currently no cure for dementia, but there are increasing efforts to address dementia
73 risk factors[6]. About 40% of worldwide cases of dementia are attributable to 12 well-studied
74 modifiable risk factors [6]. Recent evidence suggests that renal dysfunction is a potential risk
75 factor [7].

76 There are two theories for this. First, the kidneys and brain share susceptibility to
77 atherosclerotic disease and cardiovascular risk factors, and concomitant cerebrovascular
78 disease is common in patients with CKD [7]. Second, retained uraemic toxins in CKD may
79 cause direct neuronal injury [7].

80 However, the literature on renal dysfunction and albuminuria as risk factors for dementia
81 remains limited and conflicting. Most studies used a combined outcome of cognitive
82 impairment or dementia and have small sample sizes, particularly small numbers of patients
83 with advanced CKD [8-16]. The evidence for albuminuria as a risk factor for dementia and
84 vascular dementia is more consistent [9, 10, 15, 17]. A meta-analysis (n=27,805) found that
85 participants with albuminuria had higher odds of cognitive impairment/dementia (OR 1.35,
86 95% CI 1.06-1.73) compared to no albuminuria [9].

87 In the UK, primary care records offer the opportunity for population-based observational
88 studies as most people are registered with a general practitioner (GP) [18]. This study aims to

89 examine the association of decreased renal function and increased albuminuria with the risk
90 of incident dementia in adults aged ≥ 50 years using the IQVIA Medical Research Data-The
91 Health Improvement Network (IMRD-THIN) primary care database with a much larger
92 sample size than that of previous literature [19].

93

94 **4. Methods**

95 **4.1 Data source**

96 Data for this study were extracted from IMRD that incorporates data from THIN using the
97 DExtER tool [20]. Reference made to THIN is intended to be descriptive of the data asset
98 licensed by IQVIA. THIN contains anonymised longitudinal patient records collected
99 through routine primary care from 787 GP practices across the UK and covers approximately
100 6% of the UK population, GP practices sign up for inclusion to the database [19]. The
101 database uses Read codes, a hierarchical clinical coding system used in UK primary care
102 records [21]. This database includes patient demographics, diagnoses, investigations,
103 prescriptions and mortality data [22]. It is representative of the UK population [22].

104

105 **4.2 Study design**

106 This was a population-based, open cohort, retrospective study from 1/1/1995 to 24/2/2020.
107 The study is reported in accordance with the RECORD guidelines (Supplementary material
108 1) [23]. Two separate cohorts were selected based on the presence of estimated glomerular
109 filtration rate (eGFR) and urine albumin creatinine ratio (ACR) measurements, respectively,
110 after the study start date.

111

112 **4.3 Inclusion and exclusion criteria**

113 Patients aged ≥ 50 years with a serum creatinine (for the eGFR cohort) or urine ACR (for the
114 ACR cohort) recorded after the study start date were included. The minimal age of 50 years
115 was chosen as the cut off as 96% of dementia diagnoses are in those aged ≥ 65 years [24]. In
116 addition, kidney blood test results are likely to be recorded in this age group as they are
117 eligible for the National Health Service Health Check, which includes tests for early detection
118 of CKD [25]. To ensure exposure predates the outcome, patients with a recorded dementia
119 diagnosis before the index date were excluded. Patients on dialysis were also excluded as
120 their creatinine/eGFR are not interpretable.

121

122 **4.4 Exposures**

123 The exposure variables were the eGFR categories (G1-G5) and ACR categories (A1-A3) for
124 the respective cohorts. This was defined by current international guidelines (Supplementary
125 material 2) [26], taken as the first serum creatinine and the first urine ACR after the study
126 start date, using the Additional Health Data (AHD) codes. eGFR was estimated from serum
127 creatinine using the Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI)
128 equation [26]. The reference groups were those with $eGFR > 90$ ml/min/1.73m² and with
129 $ACR < 3$ mg/mmol.

130

131 **4.5 Outcomes**

132 Read codes were used to ascertain the outcome of incident diagnosis of all-cause dementia,
133 Alzheimer's disease, and vascular dementia (Supplementary material 3). In the UK, the GP
134 usually conducts the initial assessment for a person with suspected dementia and refers on to
135 specialist dementia diagnostic services [27]. The diagnosis will then be recorded using Read

136 codes in the primary care health records. The validity of dementia diagnosis in routine health
137 records in the UK were generally high and the diagnosis of dementia in THIN was found to
138 be generalisable to the UK population [22, 28].

139

140 4.6 Covariates

141 Read codes for the following covariates were obtained at baseline: **confounders**:
142 sociodemographic factors (age, gender, ethnicity and Townsend deprivation index) [29],
143 cardiovascular risk factors (smoking, alcohol use, body mass index [BMI], hypertension,
144 hyperlipidaemia and diabetes mellitus); **predictors of dementia**: hypothyroidism, hearing
145 loss, depression, B12 deficiency and chronic obstructive pulmonary disease; and **mediators**
146 **on the causal pathway**: cardiovascular disease (ischaemic heart disease, atrial fibrillation,
147 heart failure, stroke and peripheral vascular disease) [9, 10, 17, 30-33].

148

149 5. Data analysis

150 STATA version 16 was used for the analysis.

151 For data quality assurance, the latest of the following was taken as the study entry date: study
152 start date of 1/1/1995, one year after the GP practice began using the Vision electronic
153 medical records, one year after the GP practice's accepted mortality recording date [34], and
154 one year after the patient registered with the GP practice.

155 The index date was when eGFR or ACR data were first available after the study start date for
156 the respective cohorts. Patients exited the study when they received a Read code of dementia,
157 left the practice, died, reached the study end date of 24/2/2020 or when their practice stopped
158 contributing to the database.

159 Descriptive analysis of baseline characteristics and incidence rates was conducted for the
160 eGFR and ACR cohorts separately. Those with missing data were treated within a separate
161 category for ethnicity, Townsend deprivation index, smoking status, alcohol intake status and
162 BMI.

163 Cox proportional hazard models were used to estimate the hazard ratios for incident
164 dementia. The proportional hazard assumption was assessed by eGFR and ACR category
165 respectively using log-log plots. The confounders, predictors and mediators were adjusted for
166 in stages using a hierarchical approach. Statistical tests were two-tailed, with an alpha level of
167 0.05.

168 For the final model, sensitivity analysis was performed by CKD Read codes, eGFR as a
169 continuous variable for the eGFR cohort; and ACR as a continuous variable for the ACR
170 cohort. Subgroup analysis was performed for Alzheimer's disease and vascular dementia.

171

172 **6. Results**

173 **6.1 Estimated GFR cohort**

174 **6.1.1 Baseline characteristics**

175 Supplementary material 4 outlines the patient selection. Overall, 2,797,384 patients were
176 included in the analysis with a median follow up of 5.6 years (IQR 2.5-9.6). The median age
177 was 62 years old (IQR 54-72), 46.8% were male; 53.4% did not have ethnicity recorded
178 whilst 43.8% were White, followed by 1.4% South Asian and 0.8% Black. Townsend
179 deprivation index was missing for 16.4%, 21.9% were in the least deprived quintile whilst
180 9.7% were in the most deprived quintile.

181 Only 5.8% of the cohort had ACR results available at baseline. 20.2% had an eGFR < 60
182 ml/min/1.73m² which, if present for three months or longer, would be consistent with CKD.
183 This was six times higher than the 2.9% captured by CKD 3-5 Read codes. Amongst all
184 eGFR categories, G2 (eGFR 60-89) had the largest proportion of patients (56.0%).

185

186 6.1.2 Baseline characteristics: by eGFR categories

187 Table 1 presents the baseline characteristics by eGFR categories (G1-G5). Patients with an
188 eGFR < 60 ml/min/1.73m² were older. There were more women with eGFR category G3-G4
189 (61.9-65.5%) but more men with eGFR category G1 (51.2%). The proportion of patients with
190 cardiovascular disease increased with reducing eGFR.

191

192 6.1.3 Incident dementia

193 Four percent (n=102,277) of the eGFR cohort had incident all-cause dementia, giving a crude
194 incidence rate of 5.8 per 1000 person-years. Incidence rates of dementia increased from
195 eGFR categories G1-4. A similar pattern was observed in Alzheimer's disease and vascular
196 dementia (Table 2).

197

198 6.1.4 Cox regression

199 When the covariates were adjusted for in steps from Model 1-4, the association were
200 attenuated but a statistically significant association between eGFR and dementia remained
201 (Supplementary material 5.1). Due to the large missing data in ACR, this variable was not
202 adjusted for in the main model.

203 In the model adjusted for confounders (sociodemographics, cardiovascular risk factors) and
204 predictors of dementia (Model 3, Table 3), there was a graded increasing hazard of all-cause
205 dementia with worsening eGFR categories. The greatest association was observed in eGFR
206 category G4 (HR 1.26 (95% CI 1.19 – 1.33)). eGFR category G5 was not significantly
207 associated with incident dementia.

208

209 6.1.5 Subgroup and sensitivity analysis

210 In the subgroup analysis adjusted for confounders and dementia predictors, a similar pattern
211 was observed in vascular dementia; the association of Alzheimer’s dementia with decreased
212 renal function was not observed in more severe grades of eGFR categories (Supplementary
213 material 5.2). With every 5ml/min/1.73m² unit increase in eGFR (better renal function), the
214 hazard of all-cause dementia decreased by 1% (95% CI 0.99-0.99). Patients with CKD Read
215 codes had a 39% increased hazard of all-cause dementia compared to patients with no CKD
216 Read codes (95% CI 1.36-1.43).

217 6.1.6 Interaction with age

218 As age was highly correlated with eGFR categories (Table 3), an interaction term was added
219 as a post-hoc analysis. The eGFR-age interaction was statistically significant, indicating that
220 there was a difference in the association of eGFR with dementia by age categories
221 (Supplementary material 5.3). In the 10-yearly age-stratified Cox regression adjusted for
222 sociodemographics, cardiovascular risk factors and dementia predictors, the graded
223 association between worsening eGFR and all-cause dementia was attenuated in older age
224 groups and not observed in those aged 80+ years: age 50-59 years, G4, HR 2.52 (95% CI
225 1.60-3.97) vs age 70-79 years, G4, HR 1.22 (95% CI 1.10-1.36), (Supplementary material
226 5.4).

227 6.2 ACR cohort

228 6.2.1 Baseline characteristics

229 The flowchart in Supplementary material 6 outlines the patient selection. Overall, 641,912
230 patients were included in the analysis with a median follow up of 4.3 years (IQR 2.0 – 7.0).

231 The ACR cohort (n=641,912) had a median age of 69 years old (IQR 61-78), 50.7% were
232 male; 48.8% did not have ethnicity recorded whilst 47.4% were white, followed by 2.1%
233 South Asian and 1.0% black. Townsend deprivation was missing for 15.5%, 19.7% were in
234 the least deprived quintile whilst 11.3% were in the most deprived quintile.

235

236 6.2.2 Baseline characteristics: by ACR categories

237 Table 4 presents the baseline characteristics by ACR categories. The majority were in A1
238 (normal to mildly raised ACR, 69.1%). Only 4.1% were in A3 (severely raised ACR).

239 Patients with A1 were younger. The distribution of other sociodemographic factors was
240 similar across all ACR categories. A large proportion of patients in the ACR cohort had
241 hypertension (63.4%), hyperlipidaemia (66.6%) and diabetes (49.0%). The proportion of
242 patients with cardiovascular disease increased with worsening ACR.

243

244 6.2.3 Incident dementia

245 Four percent (n=28,884) of the ACR cohort had incident all-cause dementia, giving a crude
246 incidence rate of 9.4 per 1000 person-years. Overall, the crude incidence rate of all-cause
247 dementia increased from A1 (8.3 per 1000 person-years) to A3 (12.6 per 1000 person-years).
248 Alzheimer's disease and vascular dementia followed a similar pattern (Table 2).

249

250 6.2.4 Cox regression

251 As covariates were added in steps from Model 1-5, the association was attenuated slightly
252 (Supplementary material 7.1). In the model adjusted for confounders (sociodemographics and
253 cardiovascular risk factors), predictors of dementia and eGFR categories, there was an
254 increased hazard of all-cause dementia by 13% for A2 (95% CI 1.10 – 1.15) to 25% for A3
255 (95% CI 1.18 – 1.33, Model 5, Table 5).

256

257 6.2.5 Subgroup and sensitivity analysis

258 In the model adjusted for confounders, dementia predictors and eGFR categories, the hazard
259 of Alzheimer's disease was higher in A2 than A1 (HR 1.06, 95% CI 1.02-1.11) but there was
260 no significant difference for A3 (HR 1.07, 95% CI 0.96-1.19). However, there was a clear
261 biological gradient for vascular dementia from A2 (HR 1.17, 95% CI 1.12-1.23) to A3 (HR
262 1.51, 95% CI 1.38-1.66). With every 5mg/mmol increase of ACR (worsening albuminuria),
263 the hazard of all-cause dementia increased significantly by 0.5% (95% CI 1.004-1.007).

264 6.2.6 Interaction with age

265 Similar to the eGFR cohort, as age was highly correlated with ACR categories (Table 5), an
266 interaction term was added as a post-hoc analysis. However, the ACR-age interaction was not
267 statistically significant (Supplementary material 7.2). In the 10-yearly age-stratified Cox
268 regression adjusted for sociodemographics, cardiovascular risk factors, dementia predictors,
269 and eGFR categories, the graded association between worsening ACR and all-cause dementia
270 was observed in all age categories. Although the point estimate was attenuated in the older
271 age groups (age 50-59 years A2 HR1.19 [95% CI 1.00 – 1.42], A3 HR 1.38 [0.96 – 1.99] vs
272 age 80+ years A2 HR 1.12 [1.08 – 1.16], A3 HR 1.26 [1.17 – 1.37], the confidence intervals
273 overlapped between age groups (Supplementary material 7.3).

274 **7. Discussion**

275 **7.1 Main findings**

276 This cohort study examined the association of decreased renal function and increased
277 albuminuria with the risk of incident dementia in adults aged ≥ 50 years using routine primary
278 care health records in the UK. Lower eGFR and higher urine ACR were associated with a
279 graded increased hazard of all-cause dementia. The association of all-cause dementia with
280 ACR was independent of eGFR. These associations were more prominent in vascular
281 dementia. The association of lower eGFR with risk of dementia was attenuated with
282 advancing age suggesting a possibility that having decreased renal function at a younger age
283 may have a greater impact on the hazard of dementia.

284

285 **7.2 Comparison with existing literature**

286 **7.2.1 eGFR**

287 The existing literature reported conflicting evidence on renal dysfunction as a risk factor for
288 incident dementia. Non-significant results may have been driven by lack of power in some
289 studies [9].

290 The interaction term demonstrated that having impaired eGFR at a younger age may have a
291 greater impact on the risk of developing dementia. Cheng et al. also found that the age-
292 specific CKD cohort to non-CKD cohort incidence rate ratio (IRR) for dementia decreased
293 with age. The youngest age group had the highest IRR (20-39 years, IRR 16.0, 95% CI 2.00-
294 128) [8]. One possible explanation is that having renal dysfunction at a younger age increases
295 the length of exposure to renal dysfunction. Another possibility is that some decline in renal
296 function in older age could be attributed to ageing, separate from kidney disease; hence,
297 lower eGFRs in younger patients are more likely to reflect a disease process [35, 36].

298 eGFR category G5 was not significantly associated with all-cause dementia. This may be due
299 to the competing risk of death, i.e. those with severe renal dysfunction die before they go on
300 to develop dementia [37].

301

302 7.2.2 ACR

303 Contrary to eGFR, the existing evidence for albuminuria as a risk factor for dementia and
304 vascular dementia is more consistent from two systematic reviews and two cohort studies [9,
305 10, 15, 17]. This study contributes to the current literature in support of the positive
306 association between increasing albuminuria and all-cause dementia, likely driven by vascular
307 dementia [9, 10, 15, 17]. Albuminuria is a marker of endothelial dysfunction and
308 atherosclerotic disease including stroke [38]. Takae et al's Japanese community cohort study
309 found that higher levels of ACR was associated with higher risk of vascular dementia,
310 especially those with a history of stroke [15]. In Georgakis et al's meta-analysis, although
311 albuminuria was also associated with Alzheimer's disease, the association was stronger in
312 vascular dementia [17]. Albuminuria may reflect the shared susceptibility of the kidney and
313 the brain to microvascular disease [17]. The endothelial dysfunction may also lead to
314 increased permeability of the blood-brain barrier and albuminuria has been found to be
315 associated with white matter hyperintensities [15, 17, 38].

316 7.3 Strengths

317 Compared to existing literature, this is the largest study to date of the associations between
318 eGFR and albuminuria and dementia, with 2.8 million patients. Unlike most literature that
319 dichotomised the exposure into eGFR<60, the large sample size allowed the exposure to be
320 categorised into the standard eGFR and ACR categories and confirmed the presence of
321 graded associations and thus increases our confidence of a causal relationship.

322 7.4 Limitations

323 eGFR and ACR have been chosen instead of CKD Read codes as a national CKD audit found
324 that 30% of patients with biochemical CKD 3-5 (defined by two eGFR readings <60
325 ml/min/1.73m² at least 90 days apart) did not have a primary care Read code of CKD [39].

326 Single eGFR and ACR measurements were used to ascertain the exposure status in this study.
327 Significant intra-patient variability in these measurements is recognised and in clinical
328 practice, when these renal function tests are abnormal, the test would usually be repeated, and
329 some may reflect an acute kidney injury and resolve spontaneously [40]. Thus, the use of a
330 single measurement may have reduced the magnitude of our observed association. However,
331 the use of single baseline measures is the methodology employed in the vast majority of CKD
332 prognosis and risk prediction studies [41].

333 As only a limited number of patients in the eGFR cohort had data for ACR, ACR could not
334 be adjusted for as a covariate. For the ACR cohort, many patients in the study database had
335 no ACR within the study period and were excluded. ACR is likely tested more frequently in
336 people with underlying cardiovascular disease or risk factors that require routine ACR
337 monitoring, potentially resulting in a selection bias. This is reflected by the high proportion of
338 patients with hypertension, hyperlipidaemia, and diabetes in the ACR cohort. The true
339 association for ACR and dementia is, therefore, likely to be greater. This may also be a
340 possible reason why the age interaction observed in the eGFR cohort was not observed in the
341 ACR cohort.

342 Although the dementia diagnosis rate in the UK is one of the highest in the world, there is
343 still 34% of cases that are not diagnosed, with under-diagnosis more likely in early stage
344 dementia [42]. The diagnosis rate would also have changed over the years with incentive
345 schemes to drive diagnosis rate and increased public awareness with public health campaigns

346 [43]. Although previous literature showed good validity of dementia diagnosis in primary
347 care records [28], documentation of the subtypes of dementia may be less consistent.
348 Therefore, the accuracy of outcome ascertainment in this retrospective study will not be as
349 good as prospective cohort studies that do regular cognitive assessment for all participants.
350 Significant missing ethnicity data is also recognised, and although there is an adjustment in
351 the CKD-EPI eGFR equation for patients with black ethnicity, inaccurate estimation is likely
352 to affect a small proportion of the study population as black ethnicity only comprised 3% of
353 the population in England and Wales [44].
354 Education level, an important confounder, is not routinely captured in primary care records
355 and not adjusted for in this study [45]. This may have led to over-estimation of the observed
356 association.

357

358 [7.5 Implications and recommendation for future research](#)

359 This study has identified decreased eGFR and increased albuminuria as risk factors for
360 incident all-cause dementia. ACR in particular is a risk factor for vascular dementia. The
361 results are generalisable to older adults in the UK primary care settings, who typically have
362 their renal function and urine protein tested routinely, especially if they have known
363 cardiovascular or renal risk factors.

364 These results may have important implications for the management of people with CKD,
365 especially given its increasing prevalence. First, health professionals should be aware of the
366 higher risk of dementia associated with a reduced eGFR or increased urine ACR, and future
367 research should evaluate the value of dementia screening in patients with CKD. Second, our
368 results, along with results from the 3City studies, which showed an eGFR decline of >4 per
369 year increases the risk of dementia five times [11], should prompt further research to

370 establish whether slowing disease progression and vascular risk factor modification reduces
371 the risk of dementia in patients with CKD.

372

373 **8. Conclusion**

374 This large retrospective cohort study of adults aged ≥ 50 years in a UK primary care
375 population showed that reduced kidney function is associated with an increased hazard of all-
376 cause dementia, Alzheimer's disease and vascular dementia. Albuminuria was also an
377 independent risk factor for dementia, likely driven by its association with vascular dementia.
378 These findings highlight the need for further research into whether good CKD care reduces
379 dementia risk and whether active surveillance for signs of early dementia is indicated in
380 patients with CKD.

381

382 **Ethical approval**

383 The UK National Health Service South-East Multi-centre Research Ethics Committee
384 approved THIN data collection in 2003. Under the terms of approval, an independent
385 Scientific Review Committee (SRC) administered by IQVIA reviews and approves protocols
386 for the use of the database. The SRC approved the use of the THIN database for this study
387 (SRC Reference Number: 20SRC013 Date: 18th February 2020).

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