

Failure investigations into interspersed railway tracks exposed to flood and washaway conditions under moving train loads

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1 **Failure investigations into interspersed railway tracks**
2 **exposed to flood and washaway conditions under moving**
3 **train loads**
4

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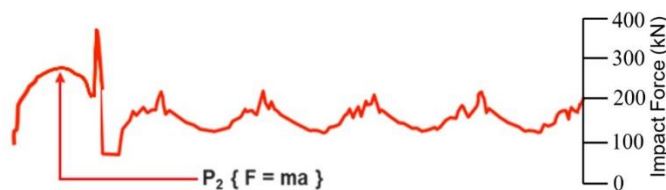
14 **Abstract.** In traditional railway networks globally, timber sleepers have been widely
15 adopted since the advent of railway systems. After a certain period of time, timbers tend
16 to degrade and become more and more difficult to seek cost-effective replacement hard-
17 wood sleepers. To provide a short-term solution, many rail infrastructure managers use
18 an interspersing method of track maintenance. The interspersed pattern sleeper of rail-
19 way track, which is a spot replacement of old timber sleeper with concrete or composite
20 counterparts, is often utilised as a temporary maintenance for secondary railway tracks
21 such as low-traffic lines, yards, balloon loops or siding. Reportedly, the performance of
22 interspersed tracks can quickly deteriorate when the tracks are exposed to heavy rains
23 and floods. In many cases, ballast washaway can be often seen. This study is the world
24 first to demonstrate the effects of ballast washaway on the vulnerability assessment of
25 interspersed sleeper railway using nonlinear finite element simulations, STRAND7.
26 Two moving point loads representing an axle load along each rail has been established
27 to investigate the worst-case, potential actions for impaired performance of sleepers and
28 differential settlement of the track. In this study, the emphasis is placed on the effect of
29 ballast washaway on the maximum displacement of rails and the relative track geome-
30 tries (i.e. top and twist). The maximum bending actions causing the failures of the track
31 components are also investigated. The insight will help track engineers develop appro-
32 priate climate change adaptation method and policy for operations of interspersed rail-
33 way tracks facing extreme rainfall and flooding conditions.

34 **Keywords:** Vulnerability, Resilience, Railway, Interspersed Tracks, Ballasted tracks,
35 Flood, Extreme Condition, Washaway

36 **1 Introduction**

37 Over two decades, railway tracks have been built using locally sourced materials
38 such as steel rails, sleepers, fasteners, ballast, formation (capping layer over compacted
39 soil), subgrade and foundation. It is very well-known that the dynamic loading condi-
40 tions acting on railway tracks stemmed from either passenger or freight trains can in-
41 duce dynamic behaviour (amplified phenomena above simple static behaviour) of a
42 railway track. This dynamic behaviour is pronounced and can be observed when a train
43 travels over 60 km/h. It is vital to understand the track dynamic responses to diverse

44 loading conditions [1] since excessive irregular responses can lead to train derailments.
 45 It is noteworthy that the dynamic loading conditions, which often cause structural
 46 cracks in brittle sleepers, densify and pulverise ballast support, are usually the large
 47 impact loads due to wheel/rail irregularities (e.g. wheel flats, out-of-round wheels, etc.).
 48 For example, a traditional transient waveform pattern of wheel impacts due to a dipped
 49 joint can be seen in Fig. 1. Vividly, the amplitude of the impact forces can vary from
 50 200kN to 400kN while the duration may range from 2 to 10 msec. Based on a transient
 51 pulse concept (i.e. Duhamel's integral), these impact pulses can be associated with the
 52 dynamic excitations with a frequency range from 100 Hz to 500 Hz ($f = 1/T$: f is the
 53 frequency and T is the period). This frequency range can excite the resonances of track
 54 components and lead to pre-mature damages, reducing the durability and service lives
 55 of track components. In the reality, wheel/rail interaction imposes dynamic forces acting
 56 on rail seats. Noting that the dynamic load patterns are dependent on train speed,
 57 track geometry, axle load, vehicle type, and wheel/rail defects or irregularities. In prac-
 58 tice, railway and track engineers must consider the frequency ranges of static and dy-
 59 namic loadings to plan and realise the life cycle asset maintenance and management of
 60 railway tracks with respect to critical train speeds and bespoke operational parameters
 61 [1-10].
 62

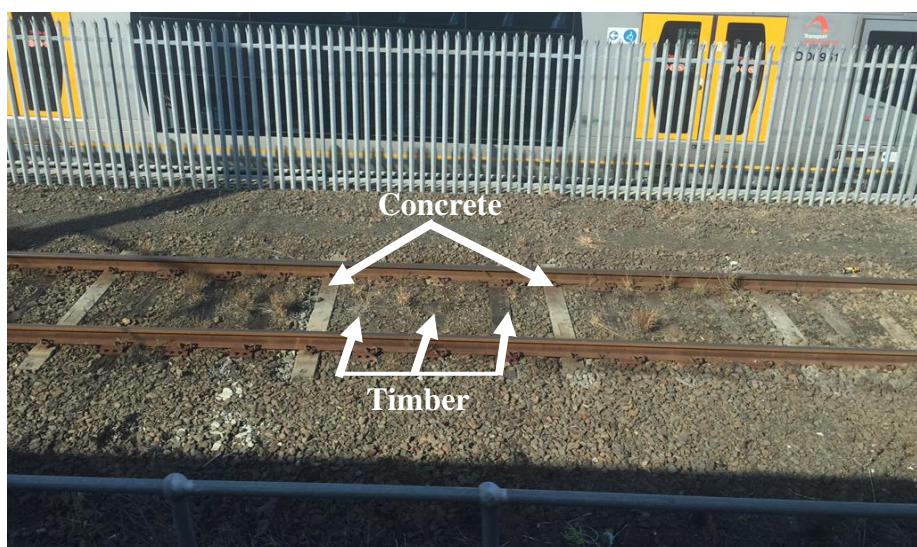


63
 64 **Fig. 1.** Example of dynamic impact loading pattern
 65

66 Timber sleepers have been widely used in railway track systems all over the world,
 67 especially in North America, Africa, certain extent in Europe, Australia, and Asia. Their
 68 life cycle is estimated to be around 10 to 15 years depending on their applications,
 69 service exposures, operation parameters, environmental factors and the level of
 70 maintenance quality. Over time, these timber sleepers degrade and require renewals.
 71 Partial replacement or spot replacement of timber sleepers by prestressed concrete
 72 sleepers is an interesting concept that has been adopted over the world. This temporary
 73 method is to maintain track quality and improve short-term solutions that could be agile,
 74 cheap, effective and quick. This kind of spot replacement is usually adopted for the
 75 second or third class timber tracks or in some countries in the first-class main line. This
 76 solution is called “interspersed track”. In general, restricted train speeds are regularly
 77 adopted when track deteriorates to the condition below the base operation conditions
 78 (BOCs) or a reasonably safe condition. By adopting the interspersed method, full oper-
 79 ational speed can still be allowed. Moreover, this approach strengthens for enhance-
 80 ment in ability to withstand high velocity operations or to restrain longitudinal rail
 81 forces preventing a track buckling [9-11].

82 Although the spot replacement of aged, rotten timber sleepers is clearly more eco-
 83 nomical than a complete track renewal or reconstruction, the interspersed track poses
 84 some disadvantages. In practice, the spot replacement pays special attention only to old,
 85 rotten timber sleepers. The degraded timber sleepers will be removed and then the new
 86 stiff concrete sleepers will be inserted onto old and weakened foundation, which has
 87 been in services for a very long time. In fact, the track stiffness of the renewed track
 88 with spot concrete sleepers is inconsistent as the existing timber tends to be aging too.
 89 This track stiffness inconsistency and different track decay rate can be a reason of un-
 90 even settlement and foundation failure [9-13]. Based on differential track stiffness, de-
 91 terioration processes, track component durability and operational parameters, many

92 patterns of interspersed railway tracks have been introduced i.e. 1 in 2, 1 in 3, 1 in 4
 93 and so on (which mean that there is 1 concrete sleeper in every indicated number of
 94 sleepers, for instance, 1 in 4 mean 1 concrete sleeper in every 4 sleepers including the
 95 concrete itself). It is important to note that this type of railway track mainly exists in a
 96 rail network with low operational speeds. 1 in 4 interspersed track is commonly ob-
 97 served and will be the focus in this study. A key reason is that this type of track has
 98 various flaws derived from how it is built. These can impair the long-term performance
 99 of interspersed railway tracks as shown in Fig. 2 [13]. Fig.2 shows the conditions of
 100 interspersed railway tracks in low-speed operation (<25 km/h). The tracks have been
 101 commissioned between 2006 and 2008 and have served as a main high-speed link to
 102 maintenance junctions.
 103



104
 105 **Fig. 2.** Example of 1 in 4 interspersed tracks (1 concrete sleeper after 3 timber
 106 sleepers – a set of four)
 107

108 Serviceability of a railway track has become the governing criteria for sleepers made
 109 of different material properties in the existing aged track systems. It is important to note
 110 that a general recommendation (e.g. by Australian Office of Transport Safety Investi-
 111 gations) is to perform concrete sleeper installation only ‘in-face’ (i.e. the practice of
 112 installing the same sleeper type continuously rather than interspersed with other sleep-
 113 ers in between, also referred to as ‘on-face’) [11-13]. This in-face method is advised to
 114 improve vulnerability of the track systems. In reality, cost and time constraints have
 115 prohibited the in-face installation. Many railway networks have employed on-face in-
 116 stallation (spot replacement of concrete sleepers) to retain operational services without
 117 disruption from degradations of materials, components and track systems.

118 On the other hands, complexities of climate change and extreme weather conditions
 119 have raised an essential concern of risk and uncertainty for railway operators. Extreme
 120 weather conditions significantly affect railway operations and safety, such as fatalities,
 121 injuries and property damage. It is well known that climate change and extreme weather
 122 conditions incur serious challenges to infrastructure systems. However, most research
 123 (over 200 journal articles annually) have been focussed only on the development of
 124 high-level holistic frameworks for risk reduction, crisis responses, systems resilience,
 125 and top-down infrastructure management. There is very little research that has been
 126 conducted to understand the true capacity, to identify vulnerability to the transport in-
 127 frastructures, or to implement real actions to prevent and recover the natural crisis. It
 128 has been widely recognized that there is an urgent need to integrate bottom-up consid-
 129 eration of climate change, its vulnerability, its structural integrity, and its extreme
 130 weather impacts in policies, design, maintenance and reconstruction of infrastructure

131 systems. Everyday decision makings do not take into account the consequences that
132 could affect the new assets and infrastructures in the future. On this ground, this study
133 is crucial for railway managers, maintainers, and regulators in order to embrace real
134 insights for climate change adaptation and resilience-based measures that mitigate the
135 risks and uncertainty derived from extreme climatic conditions. For example, the cli-
136 mate in South East Asia (such as in Thailand, Indonesia, Malaysia, Vietnam, etc.) is
137 dominated by 2 monsoon regimes namely as northeast monsoon and southwest mon-
138 soon. The northeast monsoon circulates during the months of December, January and
139 February, and the period frequently possesses the most flooding conditions. Being in
140 the equatorial zone and tropical country, the average temperature throughout the year
141 is constantly high (e.g. 26 °C) and has a very high humidity due to the high temperature.
142 As a case study, Malaysia also can have a very heavy rainfall season, which is more
143 than 2500mm per year. It is clear that one of the most devastating natural disasters
144 experienced in many continents (e.g. Europe, Asia, Africa, etc.) are floods and their
145 consequential landslides, as illustrated in Fig. 3. These conditions can soften the soil
146 formation underneath the tracks and can also cause washaway when the ballast under
147 the sleepers have been removed by rainfalls and runoffs. This study will thus pay spe-
148 cial attention to the risks associated with heavy rainfall and flood.

149 Hence, this paper aims at investigating the vulnerability of the interspersed railway
150 tracks exposed to flooding conditions. Dynamic responses of the interspersed railway
151 tracks under moving train loads will be considered as the precursor to identify the level
152 of serviceability. Based on critical literature review, this research has never been pre-
153 sented in open literature [14-21]. A class of two-dimensional interspersed track models
154 was created using Timoshenko beams in a finite element package, STRAND7. Dy-
155 namic displacement has been evaluated to understand the geometric behaviours of rail
156 over sleeper, rail at midspan, cross level, and twists. The insight into the interspersed
157 track vulnerability will help rail track engineers to manage risks and uncertainty due to
158 flooding conditions and to enable a truly predictive maintenance and improve the reli-
159 ability of infrastructure asset maintenance and management.
160

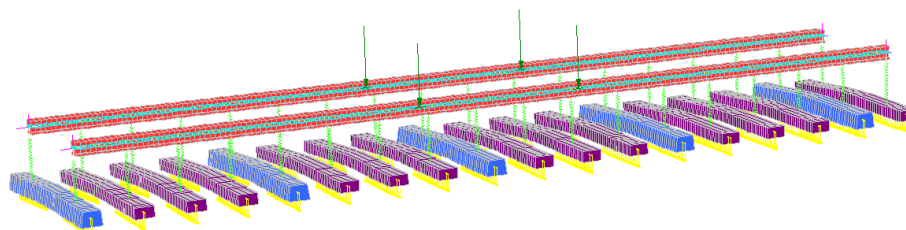


161
162 **Fig. 3.** Washaway of railway tracks occurred in Malaysia East Coast Line railway
163 bridge, which cross Nenggiri River in Kemubu, Kelantan had totally lost due to mas-
164 sive flood in December 2014. (Courtesy: Malaysian Department of Public Works)

165 2 Methodology and Data

166 2.1 Track Modeling

167 Interspersed track models have been established and validated using field data. These
 168 models have been adopted in this study. In the model, a two-dimensional Timoshenko
 169 beam model has been employed and found to be one of the most suitable options for
 170 modeling rails and concrete sleepers [21]. Using the numerical and experimental modal
 171 parameters [22], the finite element models of railway tracks can be fully calibrated. Fig.
 172 4 illustrates the finite element models in three-dimensional space for an in-situ 1:4 in-
 173 terspersed railway track with different types of sleepers. Using a general-purpose finite
 174 element package STRAND7, the numerical model included the beam elements, which
 175 take into account shear and flexural deformations, for modeling the sleeper and rails.
 176 Each sleeper consists of 60 beam elements and each rail consists of 200 beam elements.
 177 The 60kg rail cross section and sectional parameters (Area: 17,789.9 mm²; Second
 178 moment of Area: 43.2 x10⁶ mm⁴) were used [21]. The trapezoidal cross-section was
 179 assigned to the concrete sleeper elements in accordance with the standard medium duty
 180 sleepers (204 mm top-wide x 250 mm bottom-wide x 180 mm deep) [22]. The rectan-
 181 gular cross-section was assigned to the timber sleeper elements in accordance with the
 182 standard timber sleepers (230 mm wide x 130 mm deep) used in Australia [22]. The
 183 rail pads at railseats were simulated using a series of spring-dashpot elements. The nov-
 184 elty in this study is the realistic model of the support condition, which has been simu-
 185 lated using the nonlinear tensionless beam support feature in STRAND7. This attribute
 186 allows the beam to lift over the support while the tensile supporting stiffness is omitted,
 187 especially when the support is deteriorated unsymmetrically. The tensionless support
 188 option can correctly stimulate the ballast characteristics in real-life tracks [21].
 189



190
 191 **Fig. 4.** Validated 1:4 interspersed track model (blue: concrete sleepers; and purple:
 192 timber sleepers). The model is subjected to a moving train axle (two wheel sets).
 193

194 2.2 Engineering properties

195 Engineering properties of each element are tabulated in Table 1. Table 1 shows the
 196 geometrical and material properties of the finite element model. All dimensions are
 197 given in millimetres. The partial support condition, which has been reported to be more
 198 suitable for standard gauge tracks, has been adopted for this study. Spring – dashpot
 199 model of rail pad is used. For the envelope study, four separated forces with a constant
 200 magnitude of 100kN have been used to imitate the loading condition of a passenger
 201 train bogie (2 per each rail, 2 meters apart). This load magnitude has been used for
 202 benchmarking purpose [21-23]. The non-dimensional analyses have then been carried
 203 out to investigate the dynamic responses in terms of maximum displacements and cross
 204 level (inferring track twists) over train speed and over frequency domain.

205

206

207

Table 1. A summary of engineering parameters in the model

Parameters	Range	Unit	Remarks
Length	$l_r=10.8$	m	*standard gauge is 1.435m.
Gauge	$g=1.5$	m	*1.5m is distance between wheel loads.
Modulus	$E_r=2.0000e5$	MPa	
Poisson's ratio	$\nu_r=0.25$	-	
Rail pad stiffness	$k_p = 17$	MN/m	

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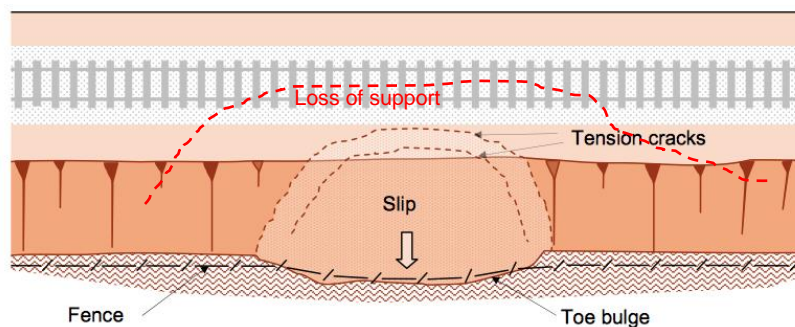
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2.3 Risk exposures to flood and washaway conditions

211 When a railway track is exposed to flood and washaway conditions, the formation
 212 strength and capacity will be undermined. The severity of strength reduction depends
 213 on the duration of rainfalls and runoffs. In most cases when water ponding exists, total
 214 track inspection cannot be adequately conducted, making it a very dangerous situation
 215 to operate any train. In an event of heavy rainfall (e.g. 2 hours continuously), a flash
 216 flood can incur. Any flash flood along railway corridors can weaken the formation,
 217 resulting in a very low to nil track modulus. The location with low level of terrains will
 218 often suffer this problem and sometimes lead to track mud pumping overtime. In prac-
 219 tice, engineers may not be able to observe this problem until the severity and damage
 220 scale is large.

221 In a case that the gradient or vertical slope of railway tracks and corridor is steep,
 222 the runoffs can cause erosion of formation and cause ballast washaway. This event will
 223 completely eliminate the ballast and track formation that support the track systems. The
 224 severity of this incident depends on the volume and the speed of runoff and whether
 225 any water-borne debris exists. If the railway corridor has been properly designed (e.g.
 226 with a crossfall tapering towards the drainage), the ballast washaway might occur par-
 227 tially (e.g. only half of track support) but the scale of damage might be large (e.g. a
 228 large number of sleepers are affected). If the flood condition exists, rail engineers may
 229 not be able to observe the affected zone until major damages incur such as land slide,
 230 derailments, etc. For instance, land slip could also occur as illustrated in Fig. 5. Initially,
 231 loss of track support will occur, followed by tension cracks and land slips. Track engi-
 232 neers are generally unable to observe or notice occurrences of the loss of track support.
 233



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Fig. 5. Risks of heavy rail falls and runoff, and flood conditions.

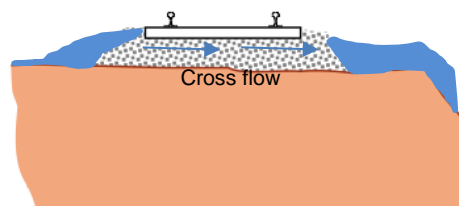


Fig. 6. Cross runoff causing ballast washaway

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239

240 When a railway track is located in an inclined plane of terrain, cross water runoffs
241 can also cause ballast washaway, as illustrated in Fig. 6. The cross flow can infiltrate
242 the ballast and erode the ballast particles (and potentially formation), causing the ballast
243 washaway (loss of track support), and eventually land slips. When the track system is
244 exposed to a large area with ballast washaway, any operation of a train is reckless.

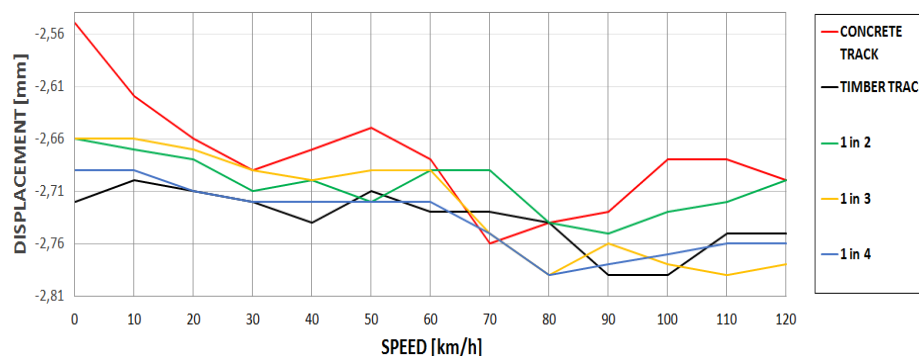
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251

In this study, a special attention to the initial flood condition when it undermines the
track support is considered. This is because, under this situation, engineers and opera-
tors cannot inspect the track and observe any problem. In some extent, a service train
is operated on the flooded track systems. This study will identify the vulnerability and
potential risks when the train services are exposed to such conditions. The emphasis is
placed on the interspersed railway tracks since these interspersed methods are often
adopted in vulnerable railway corridors and networks.

252 3 Results and Discussions

253 Based on the track models, the dynamic responses of the railway tracks (without any
254 damage) under moving train loads can be seen in Fig. 7. It is clear that the train speed
255 influences the dynamic displacements of the track systems. When the train speed in-
256 creases, the dynamic displacement generally increases. The variance of the dynamic
257 displacement can be observed and is because the dynamic properties or structural peri-
258 ods of track systems can respond differently to different excitation frequencies (i.e. $v =$
259 $f \times \lambda$ or $f = 1/T$).

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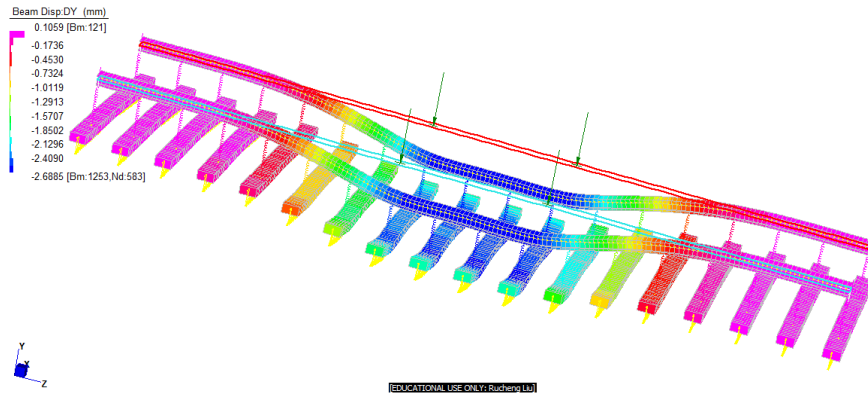


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Fig. 7. Dynamic displacements of rails subjected to moving train loads (for track systems with a good track support condition)

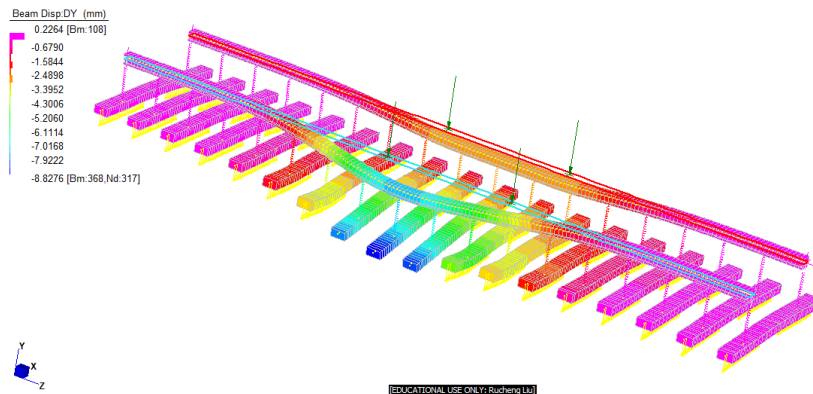
265 For the track systems with a good track support, the symmetry of dynamic displace-
266 ments on both rails (left and right rails) can be observed. The movement of trains with
267 large rail displacements on interspersed tracks would simply affect the ride comfort of
268 passengers or goods. The symmetrical large rail displacements will commonly cause
269 higher roughness of track geometries, which in turn generally induce higher vibrations
270 (e.g. on-board vibration), louder noises (e.g. rolling noises), and poorer ride comfort.

271 The analyses into the vulnerability of the 1:4 interspersed track systems have been
 272 conducted in comparison with timber-sleepered track systems. Fig. 8 illustrates the dy-
 273 namic response envelopes of track systems exposed to small-scale and large-scale
 274 losses of support conditions. In this study, only half of sleeper support is considered for
 275 the effect of floods and washaway condition on the loss of support conditions as the
 276 case study.
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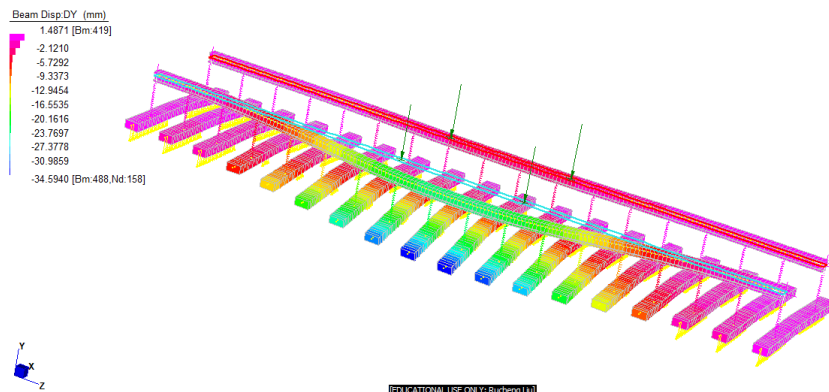
a) timber-sleepered track with full support condition



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b) timber-sleepered track with small-scale loss of support condition

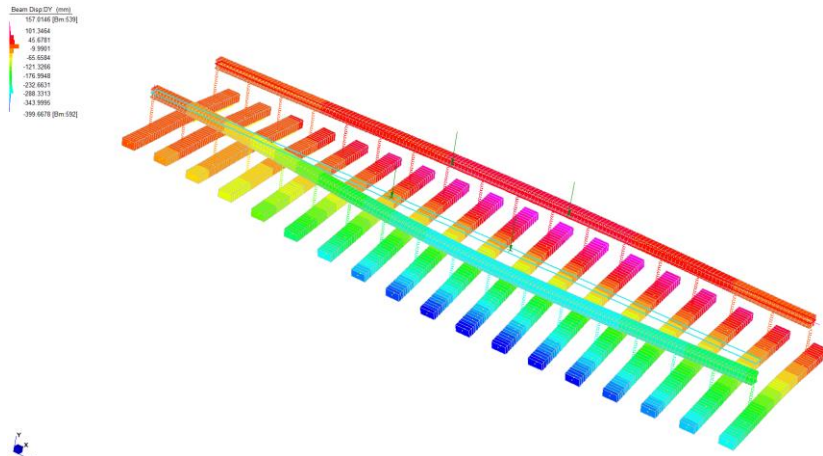
286 **Fig. 8.** Dynamic responses to 120km/h moving train loads of track systems exposed
 287 to flood and washaway conditions
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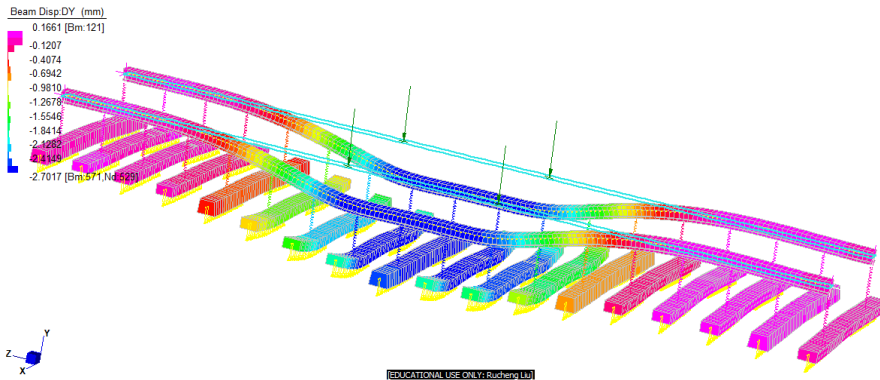
c) timber-sleepered track with large-scale loss of support condition

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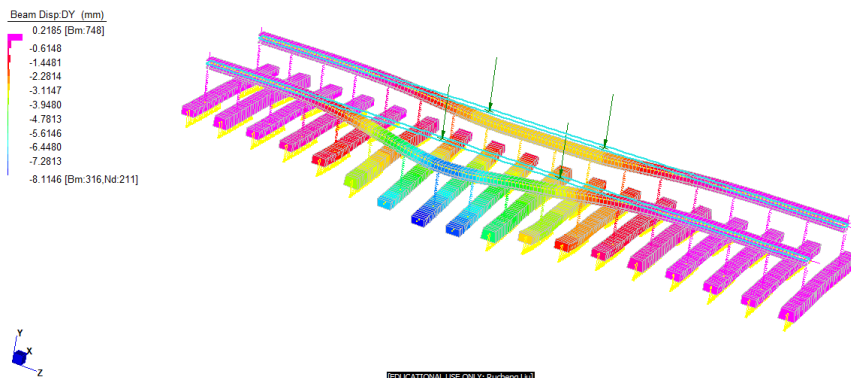
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d) timber-sleepered track with full-scale loss of support condition



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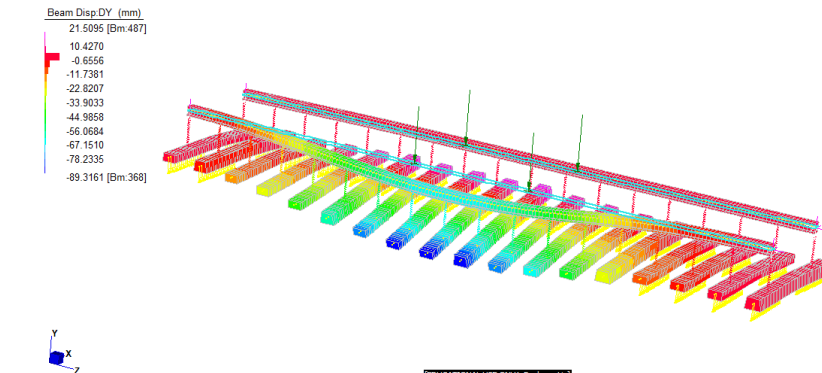
e) 1:4 interspersed track with full support condition



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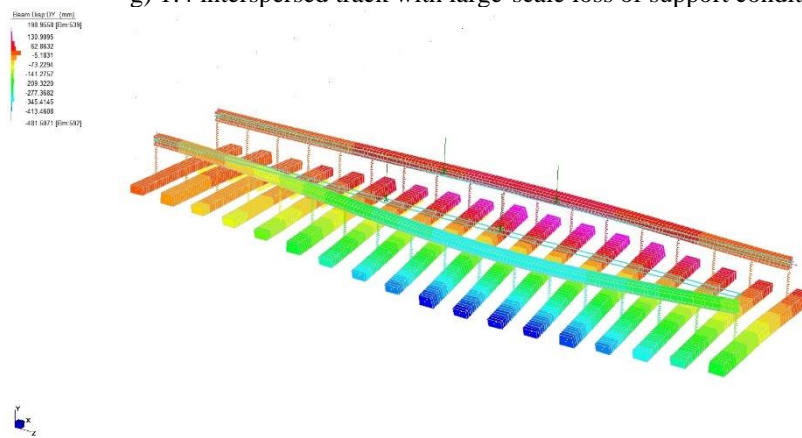
f) 1:4 interspersed track with small-scale loss of support condition

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g) 1:4 interspersed track with large-scale loss of support condition

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h) 1:4 interspersed track with full-scale loss of support condition

Fig. 8. Dynamic responses to 120km/h moving train loads of track systems exposed to flood and washaway conditions

Track Geometry									
Wide Gauge	Tight gauge	Short Twist		Track Speed (Normal / Passenger) km/hr					
		2.7m DO NOT USE	2m	20/20	40/40	60/60	80/90	100/115	115/160
<21	<10	<16	<12	N	N	N	N	N	N
21 – 22	10	16 – 18	12 – 13	N	N	N	N	P3	P2
23 – 26	11 - 12	19 – 21	14 - 15	N	N	N	P3	P2	P1
27 – 28	13 – 14	22 – 23	16	N	N	P3	P2	P1	E2
29 – 30	15 – 16	24 – 25	17 – 18	N	P3	P2	P1	E2	E2
31 – 32	17	26 – 27	19 – 20	P2	P2	P1	E2	E2	E2
33 – 34	18	28 – 29	21 - 22	P1	P1	E2	E2	E2	E1
35 – 37	19 – 20	30 – 31	23	E2	E2	E2	E2	E1	E1
>37	>20	>31	> 23	E1	E1	E1	E1	E1	E1
Long Twist				Track Speed (Normal / Passenger) km/hr					
Not in a Transition		In a Transition		20/20	40/40	60/60	80/90	100/115	115/160
13.2m DO NOT USE	14m	13.2m DO NOT USE	14m						
<29	<31	<32	<34	N	N	N	N	N	N
29 – 33	31 – 35	32 – 36	34 – 38	N	N	N	N	P3	P2
34 – 38	36 – 40	37 – 41	39 – 43	N	N	N	P3	P2	P1
39 – 43	41 – 46	42 – 46	44 – 49	N	N	P3	P2	P1	E2
44 – 49	47 – 52	47 – 52	50 – 55	N	P3	P2	P1	E2	E2
50 – 56	53 – 59	53 – 59	56 – 62	P2	P2	P1	E2	E2	E2
57 – 60	60 – 64	60 – 63	63 – 66	P1	P1	E2	E2	E2	E1
61 – 66	65 – 70	64 – 69	67 – 72	E2	E2	E2	E2	E1	E1
>66	>70	>69	>72	E1	E1	E1	E1	E1	E1

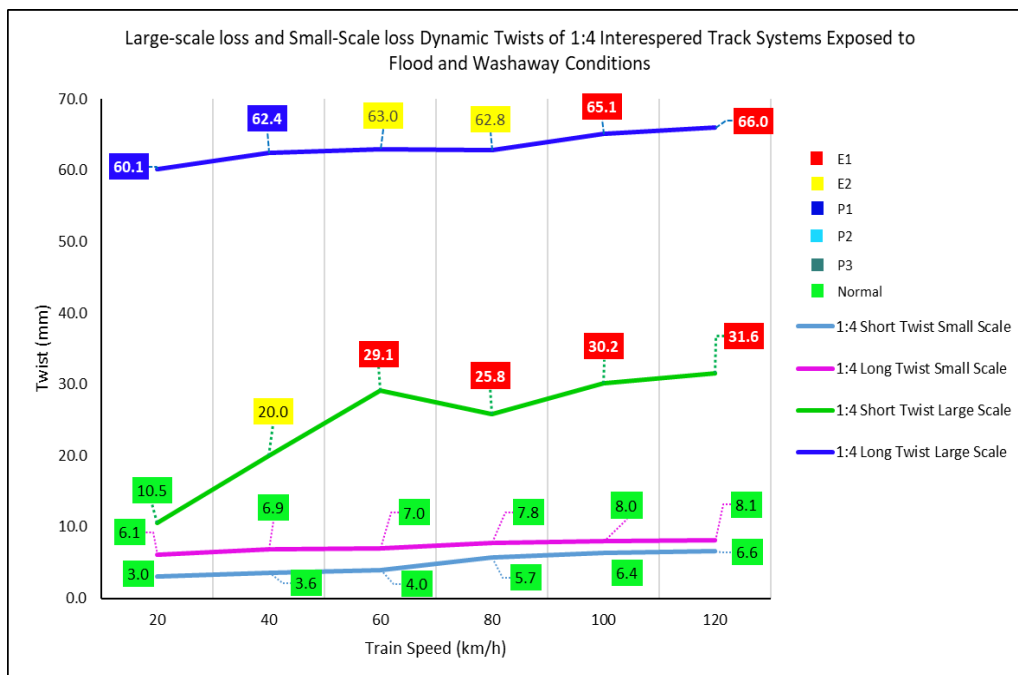
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312 **Fig. 9.** Maintenance limits of track twists (adopted from Base Operating Condition,
 313 BOC, from Transport for NSW, Australia). Note: N is normal condition; P3 is a situa-
 314 tion needed to repair within 3 months; P2 is a situation needed to repair within 28
 315 days; P1 is a situation needed to repair within 7 hours; E2 is a situation needed to re-
 316 pair within 24 hrs; E1 is a situation needed to repair immediately.

317
 318 It is clear from Fig. 8 that the train loads incur the difference in dynamic rail dis-
 319 placements on left and right rails. This difference at a position is often referred to as
 320 'cross level'. When a train bogie or a train body travels over the differential cross levels,
 321 the twists in the train body or bogie can incur. These twists can cause train derailments.
 322 The twist on train body is often called 'long twist' while the twist on train bogie is
 323 called 'short twist'. These twist limits can be illustrated in Fig. 9 (adopted from a
 324 maintenance standard of Transport for NSW, Australia). If the track twists reach E2 and
 325 E1, this situation is at danger and requires emergency actions. The train could derail
 326 when travel over E2/E1 conditions.

327 The dynamic twists of the interspersed track systems considering the losses of sup-
 328 port conditions are shown in Fig. 10. The short twist is determined using 2m , while the
 329 long twist is based on 14m cord. The twist results have been correlated with the risk
 330 colours shown in Fig. 9 (green is normal, light blue is P2, dark blue is P1, yellow is E2,
 331 red is E1).

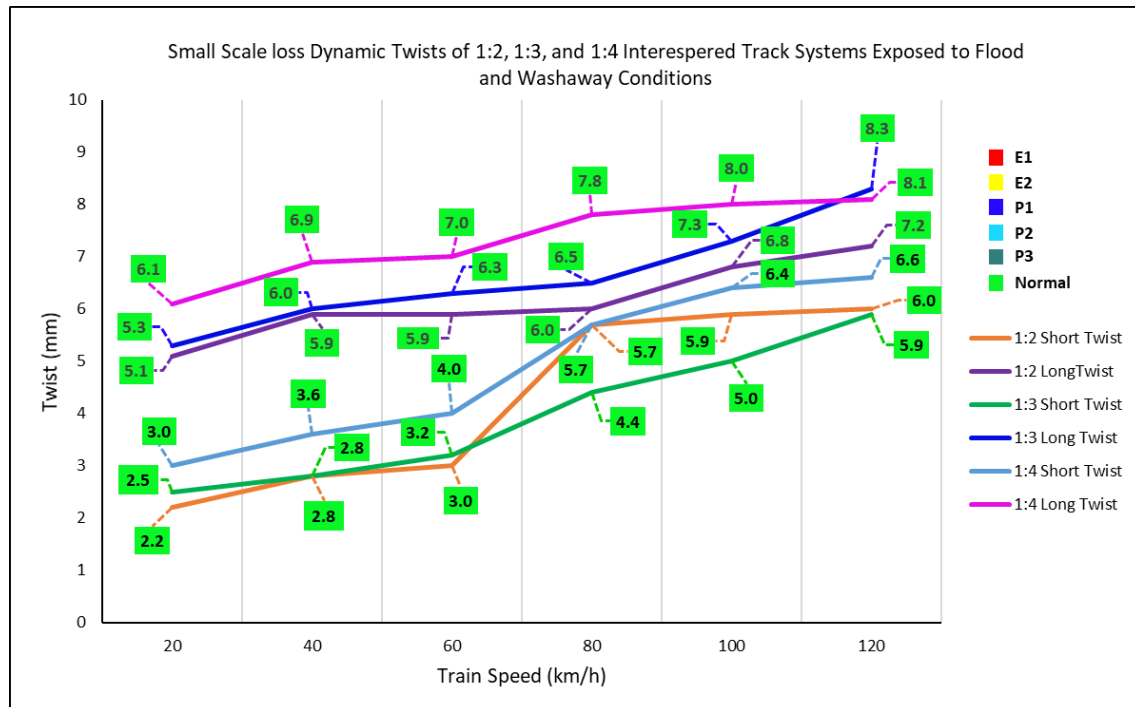
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334 **Fig. 10.** Dynamic twists of 1:4 interspersed track systems exposed to flood and wash-
 335 away conditions (unit in mm.). Colour backgrounds are correlated with risk profiles
 336 defined in Fig.9.

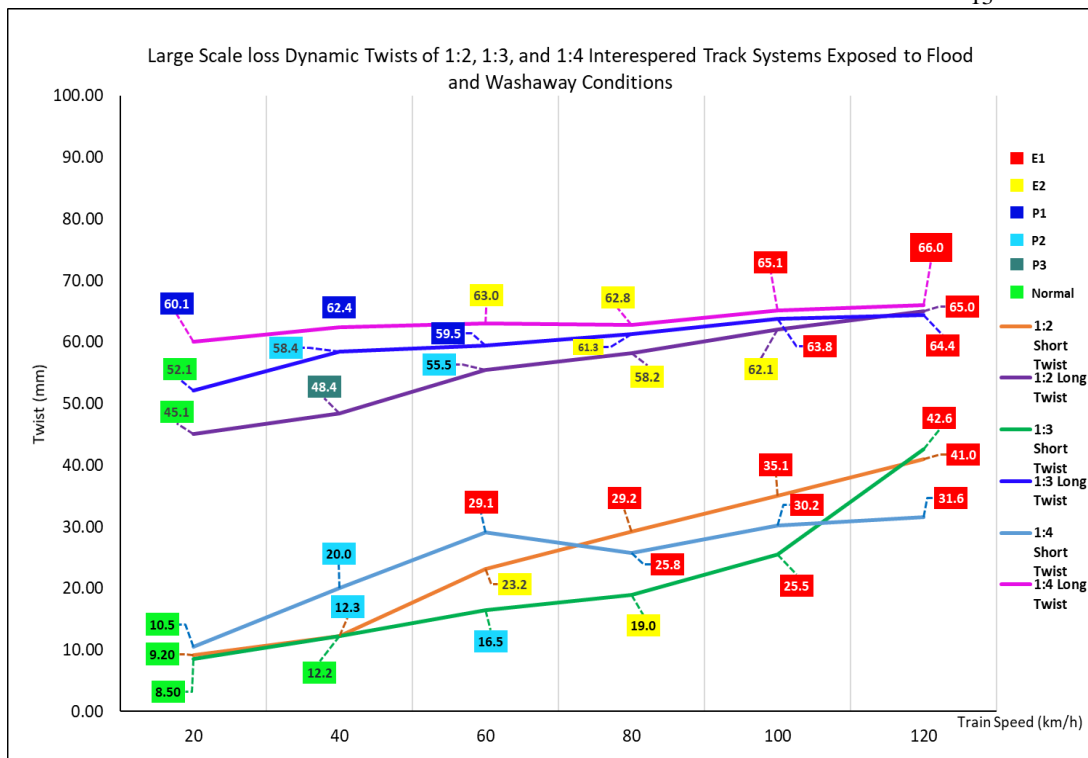
337 From Fig. 10, it should be noted that N is normal condition; P3 is a situation needed
 338 to repair within 3 months; P2 is a situation needed to repair within 28 days; P1 is a
 339 situation needed to repair within 7 hours; E2 is a situation needed to repair within 24
 340 hrs; E1 is a situation needed to repair immediately. This implies that when the 1:4 in-
 341 terspersed track is exposed to large scale loss of support condition, it could be very
 342 dangerous to operate a train above 40 km/h. In fact, it will still be at risk when a train
 343 travels at 20 km/h since the long twist defect could derail the train, especially when the

344 train could also have certain defects (e.g. stiff bogies, deflated suspensions, etc.). On
 345 this ground, it is clear that rail operators should be very careful in train operations when
 346 the railway tracks become vulnerable due to flood and washaway conditions. In order
 347 to mitigate this issue, engineers should consider applying ballast bond solutions to en-
 348 able free drainage whilst reinforce the ballast particles [24]. This insight will help track
 349 engineers develop appropriate climate change adaptation method and policy for opera-
 350 tions of interspersed railway tracks facing extreme rainfall and flooding conditions.
 351

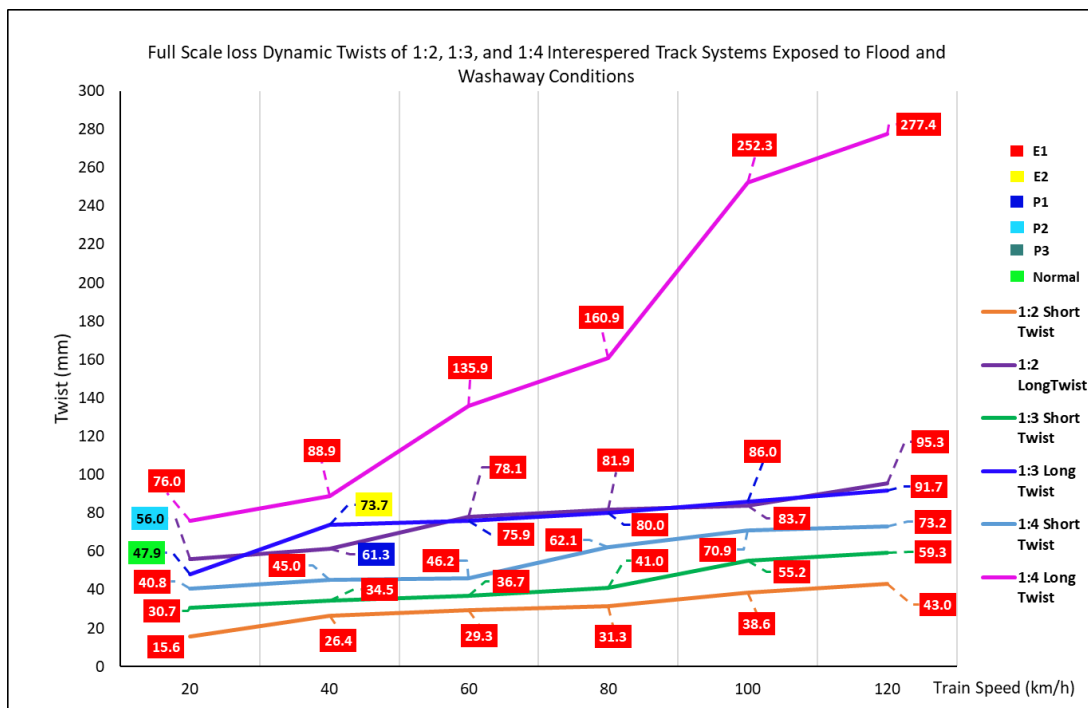


352 **Fig. 11.** Small-scale loss dynamic twists of 1:2, 1:3, and 1:4 interspersed track sys-
 353 tems exposed to flood and washaway conditions (unit in mm.). Colour backgrounds
 354 are correlated with risk profiles defined in Fig.9.

355 According to Fig. 9, the dynamic response for interspersed track 1:2, 1:3, 1:4 ex-
 356 posed to small-scale loss from Fig. 11 will not cause any issue in terms of short and
 357 long twist. It is safe to operate the train on a small-scale loss track even at 120 km/h.
 358 Nevertheless, the situation might change if the train not in a favourable state.



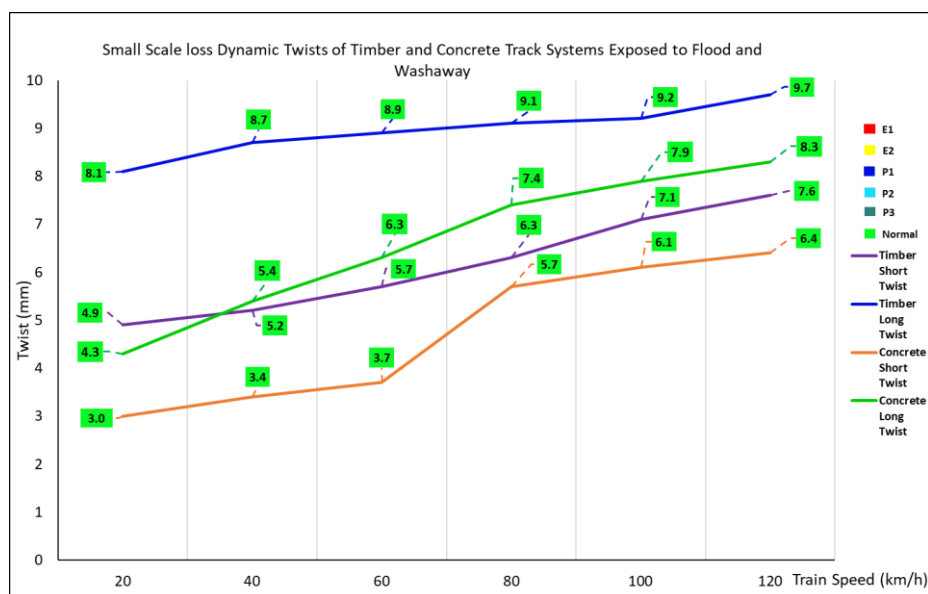
359 **Fig. 12.** Large-scale loss dynamic twists of 1:2, 1:3, and 1:4 interspersed track systems
 360 exposed to flood and washaway conditions (unit in mm.). Colour backgrounds are correlated
 361 with risk profiles defined in Fig.9.



362 **Fig 13.** Full-scale loss dynamic twists of 1:2, 1:3, and 1:4 interspersed track systems
 363 exposed to flood and washaway conditions (unit in mm.). Colour backgrounds are
 364 correlated with risk profiles defined in Fig.9

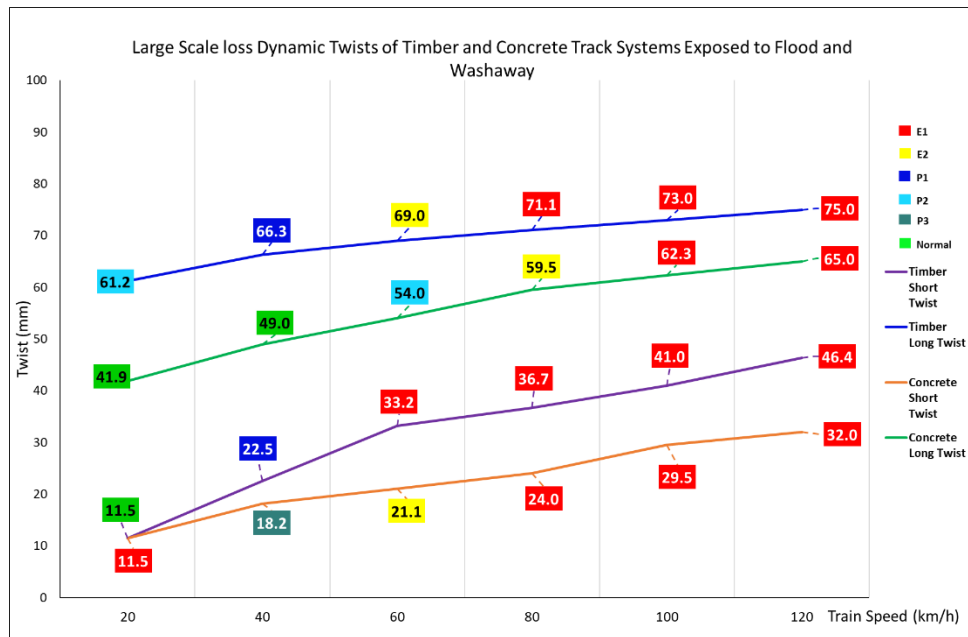
365 Based on Fig. 12, it is relatively dangerous to operate above 60km/h on interspersed
 366 track 1:4 exposed to large-scale loss. Immediate repair is needed to ensure the safety of
 367 the train operation since the dynamic twist could lead to train derailment The interspersed
 368 track 1:2, 1:3, 1:4 exposed to large-scale loss is very vulnerable to dynamic
 369 twist where the train only allowed to operate not more than 40 km/h.

370 In Fig. 13, the figure shows Interspersed track exposed to full-scale loss is very danger-
 371 ous for the trains to operate even with a vigilant monitoring. Even at speed of 20
 372 km/h will cause short twist which lead to E1 situation (immediate repair). In short,
 373 immediate maintenance should be carried as it is impossible for a train to utilize its
 374 functionality as it's only allowed to operate below 20 km/h. This will definitely
 375 affect the operation of the rail service. Moreover, the ballast support and sleepers might
 376 expose to several defects such as structural cracks and pulverized ballast. In addition,
 377 the interspersed track known to has inconsistent track stiffness which cause uneven
 378 settlement and foundation failure resulting in track deterioration overtime. Hence, it is
 379 crucial for the rail operators to take immediate action and come up with a truly predic-
 380 tive track maintenance to improve the reliability of infrastructure asset maintenance and
 381 life cycle management.

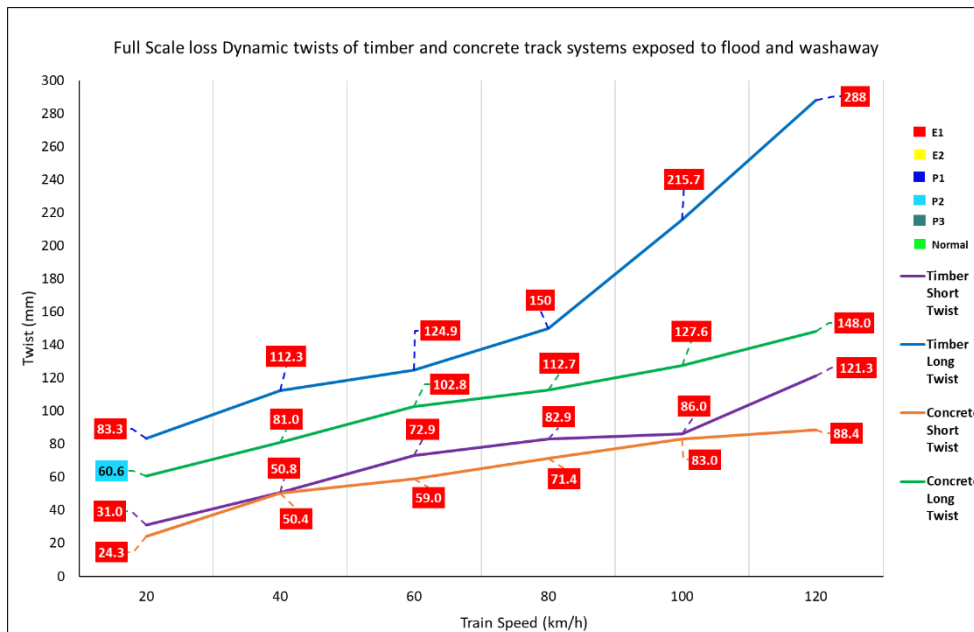


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 383 **Fig. 14.** Small scale loss dynamic twists of timber and concrete track systems exposed to flood
 384 and washaway conditions (unit in mm.). Colour backgrounds are correlated with risk profiles
 385 defined in Fig.9.

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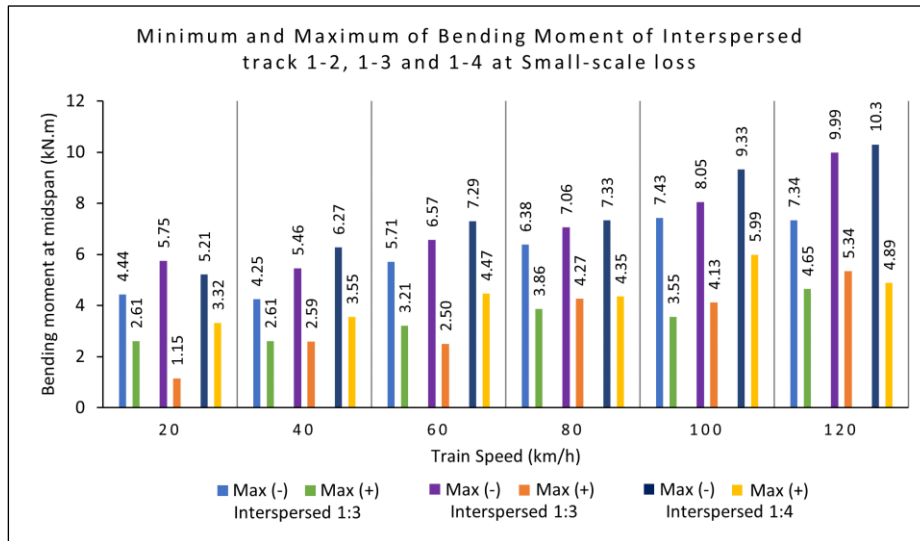
397 **Fig. 15.** Large scale loss dynamic twists of timber and concrete track systems exposed to flood
 398 and washaway conditions (unit in mm.). Colour backgrounds are correlated with risk profiles
 399 defined in Fig.9.



400 **Fig. 16.** Full scale loss dynamic twists of timber and concrete track systems exposed to flood
 401 and washaway conditions (unit in mm.). Colour backgrounds are correlated with risk profiles
 402 defined in Fig.9.

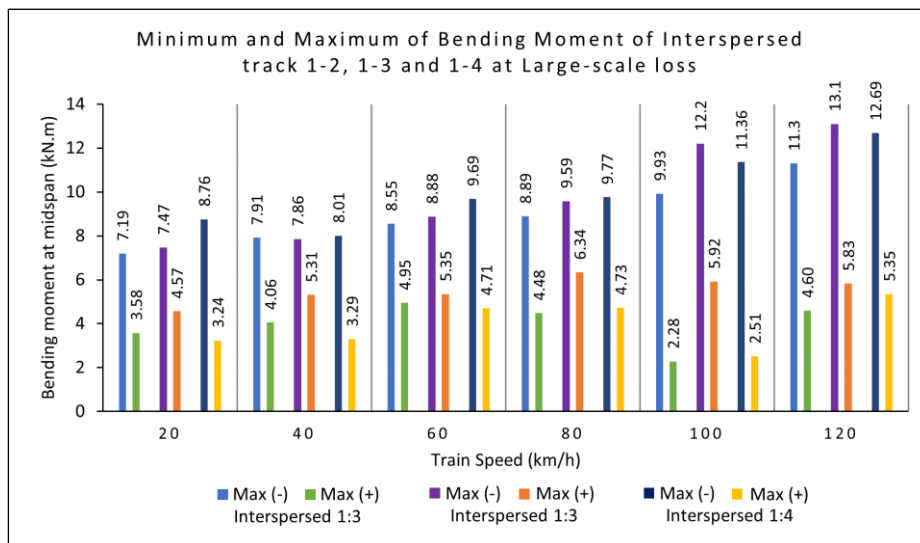
403 From Fig. 14, small-scale loss will not cause any issue even if the train operated at
 404 120 km/h. However, looking at large-scale (Fig. 15) and full-scale loss (Fig. 16), timber
 405 sleepers long twist reading's reach up to 288.0 mm (full-scale loss) when the train oper-
 406 ates at 120 km/h. This is due to the difference between timber and sleepers in terms
 407 of properties and geometry resulting in difference of dynamic twist data. Full-scale loss
 408 is extremely unsafe for a train to operate on and the track engineers must carry on an

409 immediate repair (E1 situation) as the strength formation and capacity of the rail completely
 410 diminished.



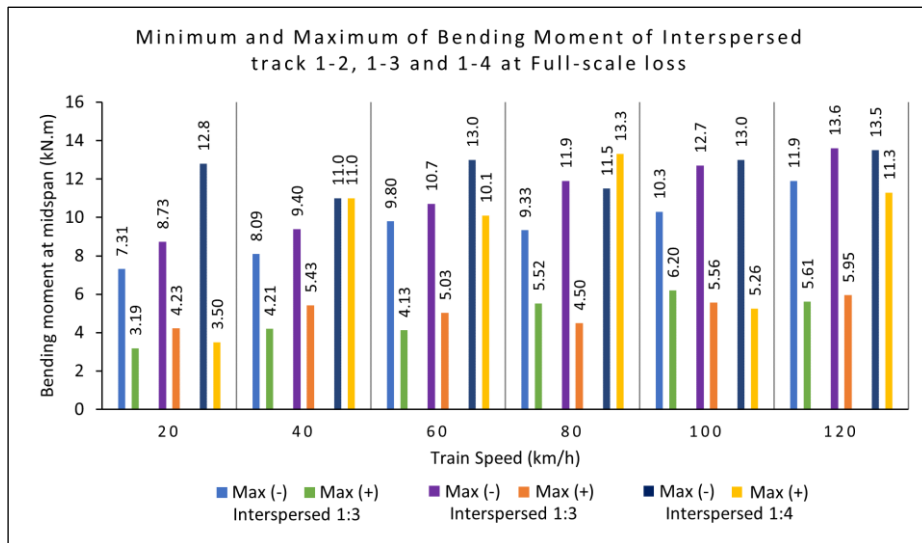
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Fig. 17 Minimum and maximum of bending moment of interspersed track 1-2, 1-3 and 1-4 at small-scale loss



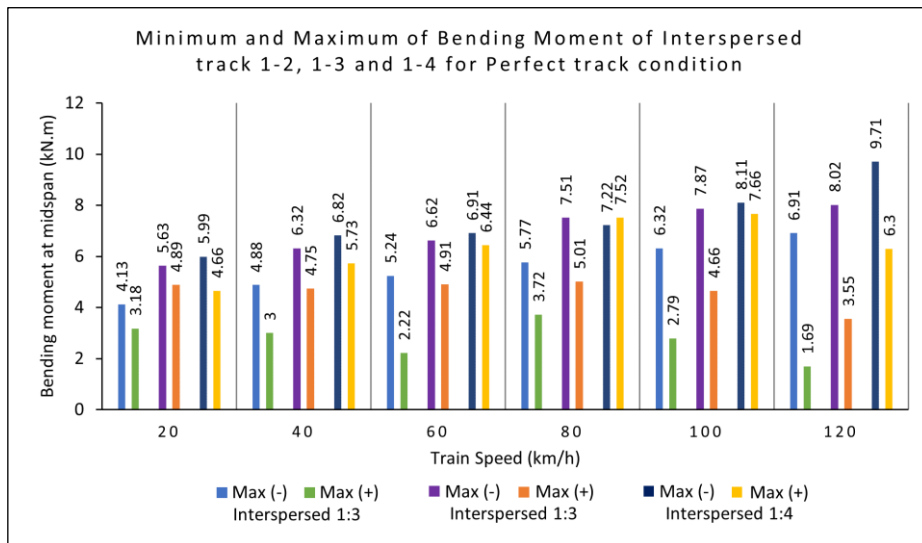
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Fig. 18. Minimum and maximum of bending moment of interspersed track 1-2, 1-3 and 1-4 at large-scale loss



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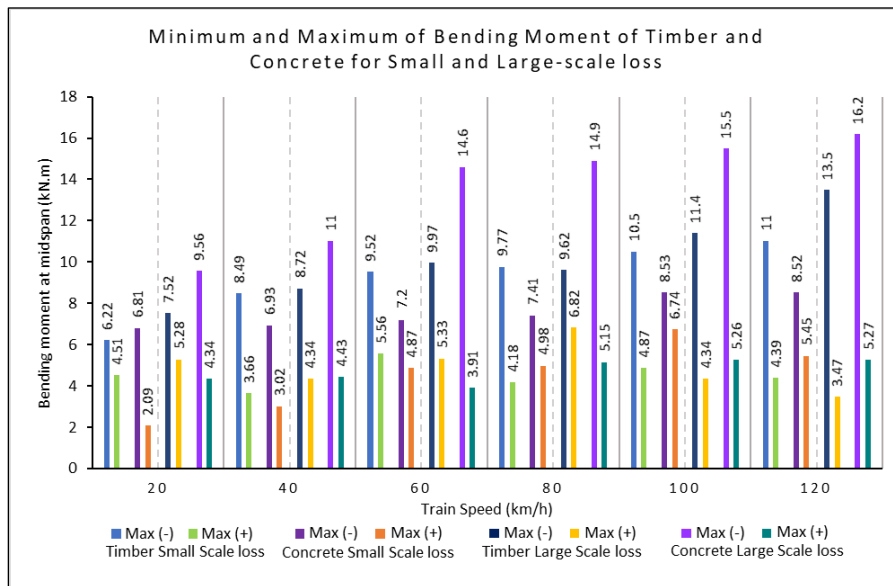
Fig. 19. Minimum and maximum of bending moment of interspersed track 1-2, 1-3 and 1-4 at full-scale loss



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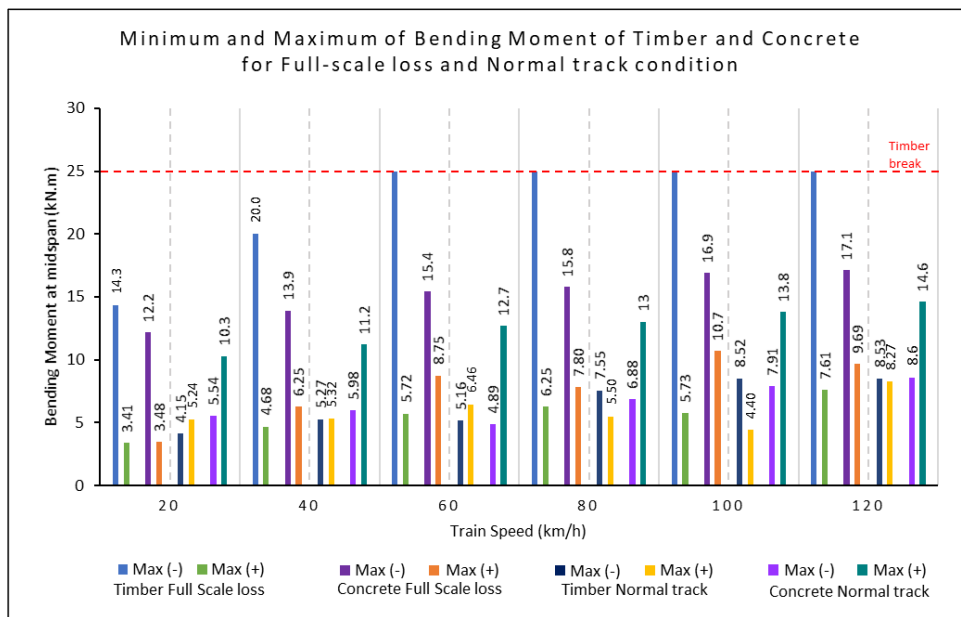
Fig. 20. Minimum and maximum of bending moment of interspersed track 1-2, 1-3 and 1-4 for perfect track condition

425 The interspersed track 1:2 has better performance in terms of flexural response for
 426 small-scale loss (Fig. 17), large-scale loss (Fig. 18) and full-scale loss (Fig. 19) while
 427 the bending moment for interspersed track 1:3 is comparable to the interspersed track
 428 1:4 in some cases. For instance, the bending moment of interspersed track 1:3 came out
 429 higher than interspersed track 1:4 for large-scale (Fig. 18) and full-scale loss (Fig. 19)
 430 but not for small-scale loss (Fig. 17). All in all, this inconsistency of stiffness in inter-
 431 interspersed track might influence the flexural response of this track which causing one side
 432 hogging of the damaged sleepers (half damaged sleepers).
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Fig. 21. Minimum and maximum of bending moment of timber and concrete for small and large-scale loss.



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Fig. 22. Minimum and maximum of bending moment of timber and concrete for full-scale loss and Normal track.

In Fig. 22, the maximum bending moment for timber sleepers, full-scale loss, at train speed of 60 km/h, 80 km/h, 100 km/h and 120 km/h, exceeded the maximum value the timber sleepers able to withstand which is 25 kN.m causing the timber sleepers to fail. Full-scale loss has the worst flexural response compared to small-scale loss which is expected to be so. It is highly advisable not to operate the trains on a full-scale loss track which may cause a catastrophic incident.

449 **4 Conclusion**

450 This study identifies the vulnerability in the railway infrastructures exposed to flood
451 and washaway conditions. This study is the world's first to determine the capability of
452 operating trains over vulnerable track systems. A special track system, called the inter-
453 spersed track, is used as case studies. Nonlinear finite element analyses of interspersed
454 track systems have been established. A clear novelty in the model is the adoption of
455 tensionless support condition that can mimic the actual ballast condition. It is very im-
456 portant to realistically simulate the actual ballast condition when the track is vulnerable
457 and the asymmetric instabilities occur. This study considers the loss of support condi-
458 tions as the consequence of flood and washaway conditions stemmed from extreme
459 weather and climatic events.

460 The dynamic responses of the interspersed track systems exposed to the extreme
461 weather events have demonstrated the vulnerability of the operations. By considering
462 the risk profiles, the dynamic responses can be instrumental in identifying risks with
463 respect to the operations and track conditions. Dynamic track twists can be derived and
464 employed as the catalyst in vulnerability determination. It is clear that track conditions
465 exposed to flood conditions cannot be easily determined from traditional inspections or
466 observations by engineers, maintainers or operators. On this ground, it is at risk to op-
467 erate a train over vulnerable track systems. Considering the 1:4 interspersed track sys-
468 tems, it is found that a train should not be operated above 40 km/h when it is suspected
469 that the track suffers from flood and washaway conditions. In an emergency, a train
470 might be able to travel at a low speed (e.g. less than 20 km/h) but vigilant monitoring
471 and control is mandatory. Note that low speed trains could derail in a fail-safe situation
472 if careful monitoring and control is set. However, in general, it is not advisable to op-
473 erate a train over a vulnerable interspersed track, especially when there is no appropriate
474 monitoring and control measures. A temporary solution to mitigate this issue has been
475 proposed. When heavy rainfalls or extreme weather conditions (e.g. storm, hurricane,
476 or typhoon) are anticipated, engineers and maintainers should develop a solution to
477 reinforce the support condition, for example, by using ballast bonding agents.

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484 research network that tackles the grand challenge in railway infrastructure resilience
485 and advanced sensing in extreme conditions (www.risen2rail.eu). The valuable discus-
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489

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