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Generating minimally transitive permutation groups

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Abstract

We improve the upper bounds (in terms of n) in [9] and [13] on the minimal number of elements required to generate a minimally transitive permutation group of degree n.

1 Introduction

A transitive permutation group $G \leq S_n$ is called *minimally transitive* if every proper subgroup of G is intransitive. In this paper, we consider the minimal number of elements $d(G)$ required to generate such a group G, in terms of its degree n. For a prime factorisation $n = \prod_{p \text{ prime}} p^{n(p)}$ of n, we will write $\omega(n) := \sum_p n(p)$ and $\mu(n) := \max\{n(p) : p \text{ prime}\}$.

The question of bounding $d(G)$ in terms of n was first considered by Shepperd and Wiegold in [13]; there, they prove that every minimally transitive group of degree n can be generated by $\omega(n)$ elements. It was then suggested by Pyber (see [12]) to investigate whether or not $\mu(n)+1$ elements would always suffice. A. Lucchini gave a partial answer to this question in [9], proving that: *if G is a minimally transitive group of degree n, and $\mu(n)+1$ elements are not sufficient to generate G, then $\omega(n) \geq 2$ and $d(G) \leq \lfloor \log_2(\omega(n)-1)+3 \rfloor$.*

In this note, we offer a complete solution to the problem, proving

Theorem 1.1. *Let G be a minimally transitive permutation group of degree n. Then $d(G) \leq \mu(n)+1$.*

Our approach follows along the same lines as Lucchini's proof of the main theorem in [9]. Indeed, his methods suffice to prove Theorem 1.1 in the case when a minimal normal subgroup of G is abelian. Thus, our main efforts will be concerned with the case when a minimal normal subgroup of G is a direct product of isomorphic nonabelian simple groups. The key step in this direction is Lemma 3.1, which we prove in Section 3. We use Section 2 to outline the method of *crown-based powers* due to Lucchini and F. Dalla Volta; this will serve as the basis for our arguments. Finally, we prove Theorem 1.1 in Section 4.

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2 Crown-based powers

In this section, we outline an approach to study the minimal generation of finite groups, which is due to F. Dalla Volta and A. Lucchini. So let G be a finite group, with $d(G) = d > 2$, and let M be a normal subgroup of G, maximal with the property that $d(G/M) = d$. Then G/M needs more generators than any proper quotient of G/M, and hence, as we shall see below, G/M takes on a very particular structure.

We describe this structure as follows: let L be a finite group, with a unique minimal normal subgroup N. If N is abelian, then assume further that N is complemented in L. Now, for a positive integer k, set L_k to be the subgroup of the direct product L^k defined as follows

$$L_k := \{(x_1, x_2, \ldots, x_k) : x_i \in L, Nx_i = Nx_j \text{ for all } i, j\}$$

Equivalently, $L_k := \text{diag}(L^k)N^k$, where $\text{diag}\,(L^k)$ denotes the diagonal subgroup of L^k. The group L_k is called the *crown-based power of L of size k*.

We can now state the theorem of Dalla Volta and Lucchini.

Theorem 2.1 (**[2], Theorem 1.4**). *Let G be a finite group, with $d(G) \geq 3$, which requires more generators than any of its proper quotients. Then there exists a finite group L, with a unique minimal normal subgroup N, which is either nonabelian or complemented in L, and a positive integer $k \geq 2$, such that $G \cong L_k$.*

It is clear that, for fixed L, $d(L_k)$ increases with k. To use this result, however, we will need a bound on $d(L_k)$, in terms of k. This is provided by the next two theorems. Before giving the statements, we require some additional notation: for a group G and a normal subgroup M of G, let $P_{G,M}(d)$ denote the conditional probability that d randomly chosen elements of G generate G, given that their images modulo M generate G/M.

Theorem 2.2 (**[9], Theorem 2.1 and [2], Theorem 2.7**). *Let L be a finite group with a unique minimal normal subgroup N which is either nonabelian or complemented in L, and let k be a positive integer. Assume also that $d(L) \leq d$. Then*

(i) If N is abelian, then $d(L_k) \leq \max\{d(L), k+1\}$;

(ii) If N is nonabelian, then $d(L_k) \leq d$ if and only if $k \leq P_{L,N}(d)|N|^d/|C_{\text{Aut}\,(N)}(L/N)|$.

We will also need an estimate for $P_{L,N}(d)$.

Theorem 2.3 (**[4], Theorem 1.1**). *Let L be a finite group, with a unique minimal normal subgroup N, which is nonabelian, and suppose that $d \geq d(L)$. Then $P_{L,N}(d) \geq 53/90$.*

3 Indices of proper subgroups in finite simple groups

Before stating and proving the main result of this section, we need some standard notation: for a positive integer m, $\pi(m)$ denotes the set of prime divisors of m. Our lemma can now be stated as follows.

Lemma 3.1. *Let S be a nonabelian simple group. Then there exists a set of primes $\Gamma = \Gamma(S)$ with the following properties:*

(i) $|\Gamma| \leq f(S)$, *where* $f(S) := r/2 + 1$ *if S is an alternating group of degree r, and* $f(S) := 4$ *otherwise;*

(ii) $\pi(|S : H|)$ *intersects Γ nontrivially for every proper subgroup H of S.*

Proof. If $S = L_2(p)$, for some prime p, then since every maximal subgroup M of S has index divisible by either p or $p+1$ (see [5], for example), the result is clear. If $S = L_2(8)$, $L_3(3)$, $U_3(3)$ or $Sp_4(8)$, then direct computation using MAGMA (or Tables 8.1 to 8.6 and Table 8.14 in [1]), implies that each maximal subgroup of S has index divisible by at least one of the primes in $\{2, 3\}$, $\{2, 13\}$, $\{3, 7\}$, and $\{2, 3\}$, respectively.

Next, assume that $S = A_r$ is an alternating group of degree r, and let p and q be the two largest primes not exceeding r, where $p > q$. If $r = p$, then we can take $\Gamma := \{r, q\}$, by Theorem 4 of [7]. So assume that $p < r$, and for each k in $p \leq k \leq r - 1$, choose a prime divisor q_k of $\binom{r}{k}$. Then set $\Gamma := \Gamma(A_r) = \{q_p, \ldots, q_{r-1}\} \cup \{p, q\}$. We claim that Γ satisfies (i) and (ii). To see this, note that $|\Gamma| \leq r - p + 2$, which is less than $r/2 + 2$ by Bertrand's postulate. This proves (i). To see that (ii) holds, let H be a proper subgroup of A_r. If p or q does not divide $|H|$ then we are done, so assume that pq divides $|H|$. Then $A_k \trianglelefteq H \leq S_k \times S_{r-k}$, for some k with $p \leq k \leq r - 1$, by Theorem 4 of [7]. Hence, H has index divisible by $\binom{r}{k}$, and (ii) follows.

So assume that S is not one of the simple groups considered in the first two paragraphs above, and let $\Pi = \Pi(S)$ be the set of prime divisors of $|S|$ discussed in Corollary 6 of [7], so that $|\Pi| \leq 3$. If S does not occur in the left hand column of Table 10.7 in [7], then $\Gamma := \Pi$ satisfies the conclusion of the lemma, by Corollary 6 of [7], so assume otherwise.

Then S is one of the simple groups in the left hand column of Table 10.7 in [7]; we need to prove that there exists a set Γ as in the statement of the lemma. If $H < S$ is not one of the exceptions listed in the middle column of Table 10.7, then $|S : H|$ intersects Π non-trivially. Thus, all we need to prove is that there exists a prime p such that, whenever H is one of these exceptional subgroups, then p divides $|S : H|$. Indeed, in this case, $\Gamma := \Pi \cup \{p\}$ gives us what we need.

So let H be one of these subgroups. We consider each of the possibilities from Table 10.7 of [7]:

1. $S = PSp_{2m}(q)$ (m, q even) or $P\Omega_{2m+1}(q)$ (m even, q odd), and $\Omega^-_{2m}(q) \trianglelefteq H$. Then $H \leq N_S(\Omega^-_{2m}(q))$, so $|S : N_S(\Omega^-_{2m}(q))|$ divides $|S : H|$. But $|N_S(\Omega^-_{2m}(q)) : \Omega^-_{2m}(q)| \leq 2$ using Corollary 2.10.4 part (i) and Table 2.1.D of [6] and, for each of the two choices of S, we have $|S : \Omega^-_{2m}(q)| = q^m(q^m - 1)$. Choosing p so that $q = p^f$ now works.

2. $S = P\Omega^+_{2m}(q)$ (m even, q odd), and $\Omega_{2m-1}(q) \trianglelefteq H$. As above, $H \leq N_S(\Omega_{2m-1}(q))$, and we use Corollary 2.10.4 part (i) and Table 2.1.D of [6] to conclude that $|N_S(\Omega_{2m-1}(q)) : \Omega_{2m-1}(q)| \leq 2$. It follows that $\frac{1}{2}q^{m-1}(q^m - 1) = |S : \Omega_{2m-1}(q)|$ divides $2|S : H|$. Since $m \geq 4$, choosing p so that $q = p^f$ again works.

3. $S = PSp_4(q)$ and $PSp_2(q^2) \trianglelefteq H$. Then $H \leq N_S(\Omega_{2m-1}(q))$, and Corollary 2.10.4 part (i) and Table 2.1.D of [6] gives $|N_S(PSp_2(q^2)) : PSp_2(q^2)| \leq 2$. It follows that $q^2(q^2-1) = |S : PSp_2(q^2)|$ divides $2|S : H|$. Again, the prime p satisfying $q = p^f$, for some f, gives us what we need.

4. In each of the remaining cases (see Table 10.7 in [6]), we are given a tuple (S, Y_1,..., $Y_{t(S)}$), where $t(S) \leq 4$, S is one of $L_2(8)$, $L_3(3)$, $L_6(2)$, $U_3(3)$, $U_3(3)$, $U_3(5)$, $U_4(2)$, $U_4(3)$, $U_5(2)$, $U_6(2)$, $PSp_4(7)$, $PSp_4(8)$, $PSp_6(2)$, $P\Omega_8^+(2)$, $G_2(3)$, ${}^2F_4(2)'$, M_{11}, M_{12}, M_{24}, HS, M_cL, Co_2 or Co_3, $Y_i < S$ for each $1 \leq i \leq t(S)$, and H is contained in at least one of the groups Y_i. In each case, we can easily see that there is a prime p, with p dividing $|S : Y_i|$ for each i in $1 \leq i \leq t(S)$.

This completes the proof. □

4 The proof of Theorem 1.1

Before proceeding to the proof of Theorem 1.1, we need three lemmas.

Lemma 4.1. *Let G be a transitive subgroup of S_n ($n \geq 1$), let $1 \neq M$ be a normal subgroup of G, and let Ω be the set of M-orbits. Then*

(i) Either M is transitive, or Ω forms a system of blocks for G. In particular, the size of an M-orbit divides n.

(ii) $|\Omega| = |G : AM|$, where A is a point stabiliser in G.

(iii) If G is minimally transitive, then G^Ω acts minimally transitively on Ω.

Proof. Part (i) is clear, so we prove (ii): if M is transitive, then $AM = G$, so $|\Omega| = 1 = |G : AM|$. Otherwise, part (i) implies that the size of each M-orbit is $|M : M \cap A| = |AM : A|$, so the number of M-orbits is $n/|AM : A| = |G : AM|$. Part (ii) follows. Finally, part (iii) is Theorem 2.4 in [3]. □

Lemma 4.2 ([11], Proof of Lemma 3). *Let L be a finite group with a unique minimal normal subgroup N, which is nonabelian, and write $N \cong S^t$, where S is a nonabelian simple group. Then $|C_{\mathrm{Aut}\,(N)}(L/N)| \leq t|S|^t|\,\mathrm{Out}\,(S)|$.*

Lemma 4.3 ([8], Proposition 4.4). *Let S be a nonabelian finite simple group. Then $|\,\mathrm{Out}\,(S)| \leq |S|^{1/4}$.*

The preparations are now complete.

Proof of Theorem 1.1. Assume that the theorem is false, and let G be a counterexample of minimal degree. Also, let A be the stabiliser in G of a point α, and let $m := \mu(n) + 1$.

First, we claim that G needs more generators than any proper quotient of G. To this end, let M be a normal subgroup of G, and let K be the kernel of the action of G on the set of

M-orbits. Then G/K is minimally transitive of degree $s := |G : AM|$, by Lemma 4.1, and hence, since s divides n, the minimality of G implies that there exists elements x_1, x_2, ..., x_m in G such that $G = \langle x_1, x_2, \ldots, x_m, K\rangle$. But then $H := \langle x_1, x_2, \ldots, x_m\rangle$ acts transitively on the set of M-orbits, so $HM = G$ by minimal transitivity of G. Hence $d(G/M) \leq m$, which proves the claim.

Hence, by Theorem 2.1, $G \cong L_k$, for some $k \geq 2$, and some group L with a unique minimal normal subgroup N, which is either nonabelian, or complemented in L. We now fix some notation: write $\mathrm{Soc}\,(G) = N_1 \times N_2 \times \ldots \times N_k$, where each $N_i \cong N \cong S^t$, for some simple group S, and $t \geq 1$, and set $X_i := N_1 \times N_2 \times \ldots \times N_i$. We will also write $X_0 := 1$, $H_{i+1} = N_{i+1} \cap X_i A$, and we denote by Δ_i the X_i-orbit containing α, for $0 \leq i \leq k$. Then $|\Delta_i| = n|X_iA|/|G|$ by Lemma 4.1 part (ii), and hence

$$\frac{|\Delta_{i+1}|}{|\Delta_i|} = \frac{|X_{i+1}A|}{|X_iA|} = \frac{|N_{i+1}X_iA|}{|X_iA|} = |N_{i+1} : H_{i+1}|$$

Furthermore, it is shown in the proof of the main theorem in [9], that $|\Delta_{i+1}|/|\Delta_i| = |N_{i+1} : H_{i+1}|$ is greater than 1 for $0 \leq i \leq k-2$, and also for $i = k-1$ if N is abelian. Note also that $G/\,\mathrm{Soc}\,(G) \cong L/M$ is m-generated, by the previous paragraph; thus, L is m-generated (see [10]).

We now separate the cases of N being abelian or nonabelian. If N is abelian, then $N \cong C_p^t$, for some prime p, so by the previous paragraph, p divides $|N_{i+1} : H_{i+1}| = |\Delta_{i+1}|/|\Delta_i|$ for each $0 \leq i \leq k-1$. Thus, p^k divides $|\Delta_k|$, and hence divides n, by Lemma 4.1 part (i). It follows that $k \leq \mu(n)$, which, by Theorem 2.2 part (i), contradicts our assumption that $d(G) > \mu(n) + 1$.

Thus, N is nonabelian. Hence, by the third paragraph, for each i in $0 \leq i \leq k-2$, N_{i+1} has a direct factor S_{i+1} ($S_{i+1} \cong S$), with $|S_{i+1} : S_{i+1} \cap H_{i+1}| > 1$. Let $\Gamma = \Gamma(S)$ be the set of primes in Lemma 3.1, so that $|\Gamma| \leq f(S)$, where $f(S)$ is as defined in Lemma 3.1. Then Lemma 3.1 implies that for each $0 \leq i \leq k-2$, the index $|S_{i+1} : S_{i+1} \cap H_{i+1}|$, and hence $|\Delta_{i+1}|/|\Delta_i| = |N_{i+1} : H_{i+1}|$, is divisible by some prime p_{i+1} in Γ.

So we now have a list of primes p_1, p_2, ..., p_{k-1}, with each p_i in Γ, such that the product $\prod_{i=1}^{k-1} p_i$ divides $|\Delta_{k-1}|$. For each prime p in Γ, let $a_{(p)}$ be the number of times that p occurs in this product. Then, since $|\Delta_{k-1}|$ divides n by Lemma 4.1 (i), $\prod_{p\in\Gamma} p^{a_{(p)}}$ divides n. Since $|\Gamma| \leq f(S)$, and $\sum_{p\in\Gamma} a_{(p)} = k-1$, we have $a_{(p)} \geq (k-1)/f(S)$ for at least one prime p in Γ. Hence, $(k-1)/f(S) \leq \mu(n)$, and it follows that

$$\begin{aligned} k \leq f(S)\mu(n) + 1 &\leq \frac{53|S|^{t\mu(n)}}{90t|\,\mathrm{Out}\,(S)|} && (4.1)\\ &\leq \frac{53|N|^m}{90|C_{\mathrm{Aut}\,(N)}(L/N)|} \qquad \text{(by Lemma 4.2)} && (4.2)\\ &\leq \frac{P_{L,N}(m)|N|^m}{|C_{\mathrm{Aut}\,(N)}(L/N)|} \qquad \text{(by Theorem 2.3)} && (4.3)\end{aligned}$$

The inequality at (4.1) above follows easily when S is an alternating group of degree r, since $|S| = r!/2$, and $|\,\mathrm{Out}(S)| \leq 4$ in this case (also, $|\,\mathrm{Out}(S)| \leq 2$ if $r \neq 6$). It also follows easily when S is not an alternating group, using Lemma 4.3. Now, by Theorem 2.2 part (ii), the inequality at (4.3) contradicts our assumption that $d(G) > m$. This completes the proof. $\square$

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