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A toolbox for generating scalable mitral valve morphometric models

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1	A toolbox for generating scalable mitral valve morphometric models
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42 Abstract

The mitral valve is a complex anatomical structure, whose shape is key to several traits of its function and disease, being crucial for the success of surgical repair and implantation of medical devices. The aim of this study was to develop a parametric, scalable, and clinically useful model of the mitral valve, enabling the biomechanical evaluation of mitral repair

47 techniques through finite element simulations.

MATLAB was used to parameterize the valve: the annular boundary was sampled from a 48 49 porcine mitral valve mesh model and landmark points and relevant boundaries were selected 50 for the parameterization of leaflets using polynomial fitting. Several geometric parameters describing the annulus, leaflet shape and papillary muscle position were implemented and 51 52 used to scale the model according to patient dimensions. The developed model, available as a toolbox, allows for the generation of a population of models using patient-specific 53 54 dimensions obtained from medical imaging or averaged dimensions evaluated from empirical 55 equations based on the Golden Proportion.

The average model developed using this framework accurately represents mitral valve shapes, associated with relative errors reaching less than 10% for annular and leaflet length dimensions, and less than 24% in comparison with clinical data. Moreover, model generation takes less than 5 minutes of computing time, and the toolbox can account for individual morphological variations and be employed to evaluate mitral valve biomechanics; following further development and validation, it will aid clinicians when choosing the best patientspecific clinical intervention and improve the design process of new medical devices.

- Keywords: anatomy, biomechanics, computational, mitral valve, morphometry, parametric
 model
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73 **1. Introduction**

The mitral valve (MV) is an anatomical structure, whose physiological function relies 74 on the biomechanical properties and structural integrity of its components (Al-Atabi et al., 75 76 2012, Espino et al., 2007). Its shape is key to several traits of its function and disease, as shown by clinical (Lee et al., 2013, Sun et al., 2019), in silico (Pham et al., 2017, Caballero et 77 al., 2020) and *in vitro* (Espino et al., 2007) studies. MV shape alterations, such as annular 78 79 dilation or papillary muscle (PM) displacement, can affect MV performance, leading to regurgitation and resulting in suboptimal ventricular filling or ejection (Kohli et al., 2021, 80 Cong et al., 2018). 81

82 Some common surgical interventions of the mitral valve include annuloplasty, leaflet resection, edge-to-edge repair or chordal replacement/transposition. Altering MV geometry 83 84 during repair leads to changes in blood flow patterns, valve closure and ultimately disrupts normal flow through the left ventricle (LV) (Xu et al., 2021). Moreover, high/abnormal 85 86 stresses which are induced on the valve leaflets post-repair may lead to post-surgical failure or impairment of valvular function (Kong et al., 2020). Therefore, the success of MV repair 87 depends on the restoration of normal fluid dynamics, usually involving correction of valve 88 89 mechanics (Al-Atabi et al., 2012). MV geometry has been exploited to improve the design of medical devices through the development of annuloplasty ring designs which 1) mimic the 90 native annular saddle-shape (Doll et al., 2019) and 2) optimise load bearing by the annulus 91 (Ncho et al., 2020), for example. The evaluation of pre- and post-operative scenarios which 92 account for a subject's MV shape have the potential to improve surgical planning, 93 specifically patient-specific repair procedures (Kohli et al., 2021, Walczak et al., 2021). 94

Computational studies have focused on diseased MV shapes (Caballero et al., 2019, 95 96 Biffi et al., 2019, Aguilera et al., 2021) and surgical procedures (Choi et al., 2020, Caballero et al., 2020, Kong et al., 2018), either using structure-only finite element (FE) analysis (which 97 98 allows to study leaflet stress patterns), or fluid-structure interaction (FSI) simulations (which 99 accounts for the interaction between blood flow and the structure of the valve). The accuracy 100 of these models is sensitive to valve geometry; however, even though several MV models 101 from the literature are based on patient-specific geometries obtained from medical imaging, 102 the associated generation process can be time consuming and computationally expensive, especially when employing numerical mesh-based approaches (Zhang et al., 2019). 103 Moreover, deductions made from a patient-specific case cannot be generalized, since multiple 104 patient-specific models are required for statistical power (Biau et al., 2008). 105

To overcome these limitations, parametric models, whose geometrical features are 106 described by constraints such as specific dimensions/measurements, can be used. Some 107 parametric MV models lack the anatomical detail that is necessary to be of clinical value, 108 including only a simplistic representation of the leaflets (Salgo et al., 2002, Shen et al., 2017, 109 Domenichini and Pedrizzetti, 2015). Other studies have included more complete parametric 110 111 geometries including chordae tendineae and PM tips (Choi et al., 2016, Alleau et al., 2019), while more advanced parameterization frameworks have been recently developed to generate 112 patient-specific MV surface models from measurements obtained via medical imaging 113 114 (Lichtenberg et al., 2020, Pasta et al., 2020). While these advanced frameworks can generate high quality MV models within a reasonable time frame, they can only be applied to each 115 specific patient individually, not offering the flexibility required to allow for the evaluation of 116 how specific dimensions of MV geometry affects its function, for example. 117

118 Multiple in vivo (Warraich et al., 2012, Deorsola and Bellone, 2018, Oliveira et al., 119 2020) and ex vivo (Duplessis and Marchand, 1964, Okamoto et al., 2007) morphometric studies have attempted to correlate different dimensions of the MV geometry. Nonetheless, a 120 unifying mathematical model that can be employed to generate an average MV geometry has 121 been lacking in the literature. Given the importance of MV shape on the long-term outcome 122 of valvular surgical procedures, there is a need to develop a computational framework which 123 124 allows to generate scalable and customisable MV geometries, either 1) based on average morphometric relationships or 2) from patient-specific dimensions. A full description of the 125 anatomy of the mitral valve has recently been made available, providing further insight into 126 the complexity of mitral valve shape and how such information needs to be accounted for 127 when developing geometrical models (Oliveira et al., 2020). A framework which could 128 capture the range of morphological features required to address the high variability seen in 129 clinical cases is not currently available and would aid in the clinical decision-making process. 130 For example, such framework could be used to virtually evaluate mitral interventions in the 131 case of unhealthy MV shapes by creating aimed post-repair configurations and assessing their 132 associated biomechanics to determine the best indicators of performance. 133

The aim of this study was to develop a tool (entitled the MV toolbox) that enables the quick generation of anatomically accurate and clinically useful parametric models of the MV, which are compatible with biomechanical evaluation of mitral repair techniques through FE simulations. In this manuscript, a description of the MV toolbox is provided, including the development of the geometrical model, the equations implemented to evaluate the anatomical dimensions, and the framework that generates a model ready to be used in computationalmodelling software.

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142 **2. Mitral valve toolbox**

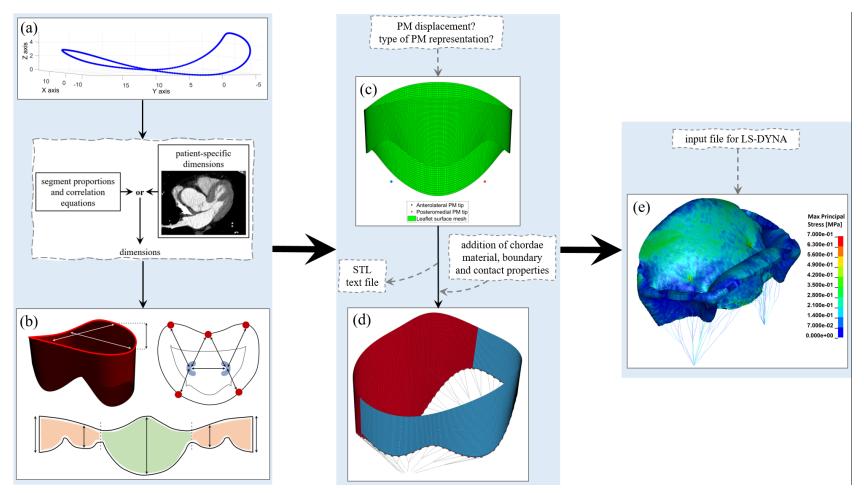
143 2.1 Generic features

A software toolbox that can generate the geometry of the MV as a computational 144 model was developed and implemented in MATLAB (MATLAB®, R2019b, 9.7.0.1247435, 145 The MathWorks Inc., Natick, MA, USA). The toolbox yields a diastolic (stress-free) MV 146 147 geometry including the annulus, anterior and posterior leaflets, and a spatial representation for both PM. The model is built from a baseline mitral annular 3D profile adapted from 148 literature (Pouch et al., 2014) and a set of key MV dimensions, used as constraints to generate 149 the annulus and leaflet shapes. Then, PM spatial position is generated based on distances to 150 key annular landmarks and chordae tendineae are created assuming equal spacing along the 151 MV free edge and generated based on PM and selected free edge node coordinates. 152

The workflow of the toolbox is shown in Figure 1. The main geometric features of the 153 MV annular and leaflet shape employed to generate the model follow mathematical 154 proportions from recent literature (Deorsola and Bellone, 2018, Deorsola and Bellone, 2019), 155 and PM positions and chordae tendineae distributions are based on in vivo and ex vivo 156 157 findings (Yamaura, 2008, Obase et al., 2016, Lam et al., 1970). The model can be parameterized using two alternative procedures: (1) based on patient-specific dimensions 158 obtained from patient data (e.g. medical image modalities) and directly inputted by the user 159 or (2) using average dimensions derived from mathematical proportions relating MV 160 161 anatomical segments based only on the anteroposterior (AP) diameter (Section 3).

Multiple graphic user interface (GUI) options are provided to better characterize the 162 subvalvular apparatus: the user can choose a one tip point representation for the PM, where 163 all chordae originate from, or a 3D origin scheme; moreover, PM displacement can be 164 prescribed. Greater detail on all GUI options is provided in Section 2. The toolbox generates 165 two different outputs: a MV geometrical model or a MV model for computational simulations 166 (further detail on these options is presented below): Once the parameterization is completed, 167 the MV leaflet surface mesh can be exported as a stereolithography (.stl) file, compatible with 168 169 a range of modelling software (including computer-aided design and FE analysis software), 170 and the 3D coordinates of the PM can be exported as a text file. On the other hand, if one chooses to create an input file for computational simulations, the chordae tendineae 171

- distributions are also added, completing the MV model. The input file for FE simulations is
- 173 compatible with LS-DYNA 4.5.12 (LSTC, Livermore CA, USA) and employs the generated
- geometry. For this, the meshed model is pre-processed by defining material properties,
- boundary conditions and contact properties through MATLAB, with the LS-DYNA input file
- being exported as a key (.k) file.



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Figure 1. Workflow of the MV toolbox, from the generation of the morphometric model to the FE simulation result: (a) The inputs are a baseline mitral annular 3D profile and MV dimensions, either obtained from mathematical formulations or from patient-specific medical images; (b) The model is parameterized, with the annulus, leaflets and PM (papillary muscles) being independently scaled; (c) A surface model mesh is created for the leaflets and points identifying each PM are stored. The user can choose to output these as an .stl file for the mesh and a text file for PM coordinates; (d) The meshed model is pre-processed: chordae tendineae are added, material properties, boundary

and contact conditions are defined; (e) The .k input file is created and run in LS-DYNA.

183 2.2 Geometrical model

184 2.2.1 Pre-processing and assumptions

MATLAB was used to define the annular saddle (Figure 2) based on a mean annular 185 height to commissural width ratio (AHCWR) rotational profile for a healthy adult obtained 186 from Pouch et al. (2014) which was adapted to define annular height (over the z-coordinate, 187 displayed in Figure 2) (Pouch et al., 2014). Moreover, data from Jassar et al. (2014) was 188 employed to change the annulus in the x-y plane (Figure 2) (Jassar et al., 2014). The annulus 189 was further reshaped to match a diastolic profile, obtaining an approximately 7.6 mm saddle-190 horn height, consistently with previous experimental findings (Dagum et al., 2001). This 191 192 annular boundary was used as a starting template from which to recreate the MV geometry (Figure 1a). The model incorporates the following assumptions, according to the GUI options 193 194 chosen by the user:

- The annular and leaflet shapes are assumed symmetric along the long axis meridian of the anterior MV leaflet, consistent with *ex vivo* findings (Ranganathan et al., 1970, Krawczyk-Ozog et al., 2017) and previous geometrical models (Choi et al., 2016, Stevanella et al., 2009). The PM tips are assumed symmetric; however, this symmetry can be removed if asymmetric PM displacement is prescribed;
- 200
 2. If an average model is selected, a healthy MV leaflet shape is reproduced, since, in
 disease, the proportions characterizing annular and leaflet segments change (Deorsola
 and Bellone, 2019). However, if patient-specific data is inputted, the model shape is
 not constrained when generated, and it is possible to create either a healthy or
 diseased MV model according to the input.
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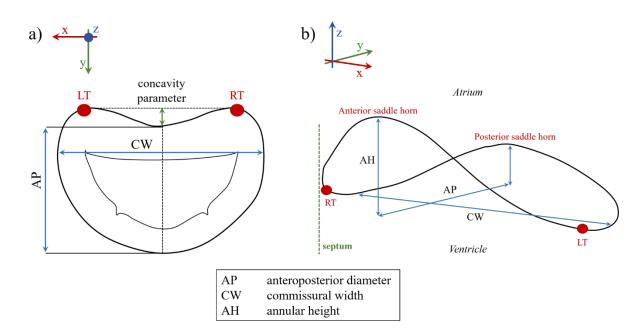


Figure 2. Input parameters requested in the toolbox to parameterize the annular boundary, where the MVannulus is a) viewed from within the left atrium and b) from above. The 3D axis denote the orientation for each

212 image. Notes: LT, left trigone; RT, right trigone.

The generation of a morphometric MV model focuses on 3 regions: first the annulus is parameterized, followed by the anterior and posterior leaflets, and lastly the PM tips.

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216 *2.2.2 Annular parameterization*

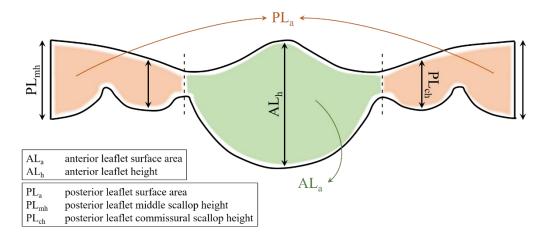
All dimensions needed to parameterize the mitral annulus are included in Figure 2. The valve ring has a kidney bean shape, more evident in systole, and the anterior leaflet is centred on a slight depression in this ring (Degandt et al., 2007, Misfeld and Sievers, 2007). Accounting for a previous mathematical study of the MV (Kaiser et al., 2019), the valve ring concavity can be controlled given an input parameter that varies between 0 and 0.5: 0 corresponds to a D-shaped annulus, while 0.5 represents the maximum allowed concavity.

After defining the ring concavity, the annulus can be parameterized using three dimensions: the AP diameter, the commissural width (CW) and the annular height (AH). The best fitting polynomial curves were selected to manipulate the annular shape: first, they were used to scale the AP diameter and CW in the *x-y* plane; then, the AH was parameterized using polynomial curves to scale *z* coordinates. AH was defined as the vertical distance between the maximum and minimum annular heights (Jassar et al., 2014, Pouch et al., 2014), and, by default, characterised as the anterior saddle horn height. By scaling this height, the posterior saddle horn height was appropriately scaled, maintaining the proportion between anterior andposterior saddle horn heights.

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233 2.2.3 Leaflet parameterization

Given the assumed symmetry of the MV, the heights of the anterolateral and posteromedial commissural scallops were considered equal. The required MV dimensions to parameterize the leaflets are shown in Figure 3. The initial 3D free edge template was generated according to the inputted leaflet heights and baseline commissural heights (to be adapted during the implementation) reported by Ranganathan et al (Ranganathan et al., 1970), which were interpolated.



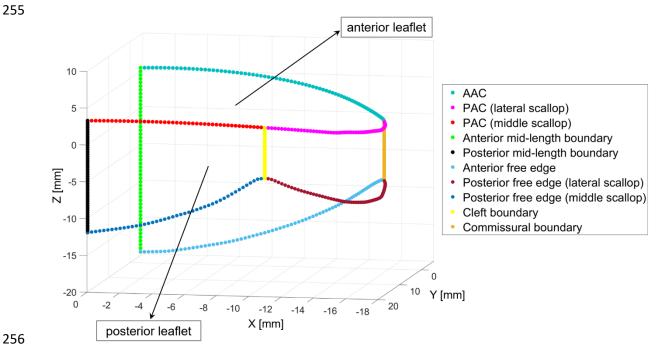
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Figure 3. Input parameters requested in the toolbox to parameterize the leaflets.

To parameterize the leaflet surface areas, both annular and free edge boundaries were 242 split into different portions representing the anterior leaflet and the posterior middle and 243 commissural scallops. For this process, the annular boundary was first split considering 244 anterior and posterior annular proportions (2/5 and 3/5 of the total annular circumference, 245 respectively (Pouch et al., 2014, Jassar et al., 2014)). The annular split point has been set as 246 247 the commissural point. In addition, the posterior leaflet middle scallop is usually broader than 248 the other two scallops (Ranganathan et al., 1970, Krawczyk-Ozog et al., 2017); therefore, to divide the posterior leaflet annular boundary between middle and commissural scallops and 249 250 in agreement with a previous morphometry study (Deorsola and Bellone, 2019), the middle scallop was assumed equal to 9/20 of the total posterior leaflet circumference. In the 251 252 implementation, the length of the commissural and cleft boundaries was then altered to obtain

the desired leaflet areas. A representation of all leaflet boundaries employed is presented in 253

Figure 4. 254

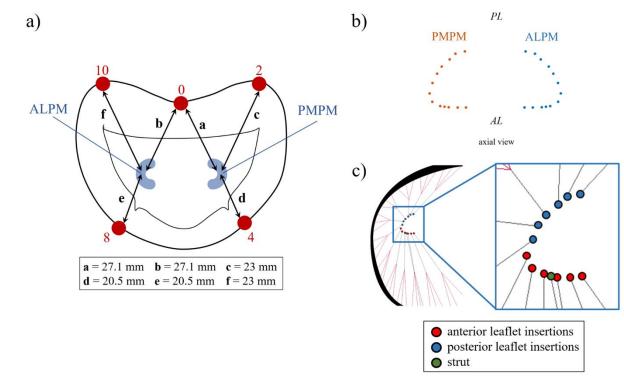


256

257 Figure 4. The lateral half of the MV is represented, with boundaries defined during the parameterization process of the leaflets. Notes: AAC, anterior annular circumference; PAC, posterior annular circumference. 258

2.2.4 Papillary muscle parameterization 259

The 3D spatial position of PM tips is parameterized according to distances between 260 the tips and annular landmarks (o'clock points) (Yamaura, 2008, Sakai et al., 1999). Figure 261 5a represents these annular points and the implemented distances (within literature ranges). 262 The user can decide whether to represent the PM as a single tip (where all chordae originate 263 from), or as a 3D point cloud of chordae origins in a C-shape (as given in an axial view), 264 discretized in Figure 5b and 5c and based on in vivo and ex vivo findings (Obase et al., 2016, 265 266 Lam et al., 1970) and previous computational studies (Stevanella et al., 2011, Choi et al., 2016). This point cloud consists of 13 origin points per PM, giving rise to 12 anterior leaflet 267 free edge insertions, 12 posterior leaflet free edge insertions and 2 strut chordae insertions. In 268 total, it equals 26 chordae, consistent with in vivo (Obase et al., 2016) and ex vivo (Lam et al., 269 1970) findings. 270



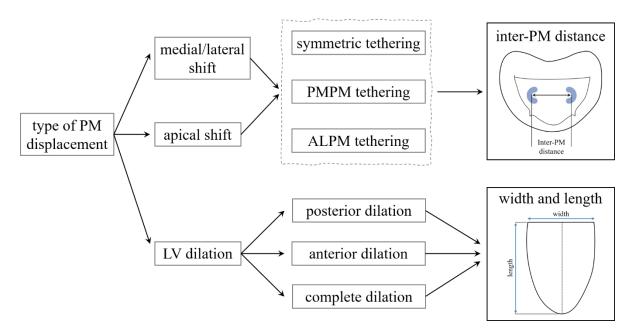
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Figure 5. a) Distances between PM tips and corresponding points of mitral annulus, as characterized by the
literature (Sakai et al., 1999, Yamaura, 2008). 0, 2, 10, 4 and 8 o'clock represent: anterior annular midpoint;
right trigone; left trigone; division between middle and posteromedial commissural scallops; division between
middle and anterolateral commissural scallops, respectively (Yamaura, 2008); b) 3D shape representing chordae
origins in the PMs (axial view); c) Different origin points correspond to different points of insertion into the
leaflets. Notes: ALPM, anterolateral PM; PMPM, posteromedial PM; PL, posterior leaflet; AL, anterior leaflet.

279 The spatial position of PM tips can be further manipulated to represent different dysfunctional situations (Figure 6). The PMs can undergo medial/lateral (position change in 280 281 x-y plane) and apical (change in the z-coordinate) shifts, corresponding to malposition or 282 change in position (Kim et al., 2012). These relate to symmetric (same motion restriction for both leaflets) or asymmetric (prevalent restriction of one of the leaflets) tethering, represented 283 284 by displacement of both PMs or either one of them (Kim et al., 2012). Since these changes are associated with altered inter-PM distances (Kim et al., 2014, Obase et al., 2016), the user 285 286 needs to provide the desired inter-PM distance as an input.

As the LV dilates, the PM also get displaced (Obase et al., 2016). In the toolbox, the user can prescribe whether the LV dilates posteriorly, anteriorly, or on both sides. An .stl file of a 18 year old (female, weight 68 kg, BSA 1.66 m²) adolescent LV model was reconstructed from a magnetic resonance imaging (MRI) scan sequence obtained at the Murdoch Children's Research Institute (study approved by the Human Research Ethics Committee of the Royal Children's Hospital – HREC 33227): the left ventricle was scanned with a cine TrueFISP short axis stack sequence, using multiple breath-hold blocks, on a Siemens Aera MRI at 1.5T (repetition time = 39.6 ms; echo time = 1.43; flip angle = 80degrees; pixel spacing $1.33 \times 1.33 \text{ mm}$; slice thickness = 7 mm; 25 frames over the cardiac cycle).

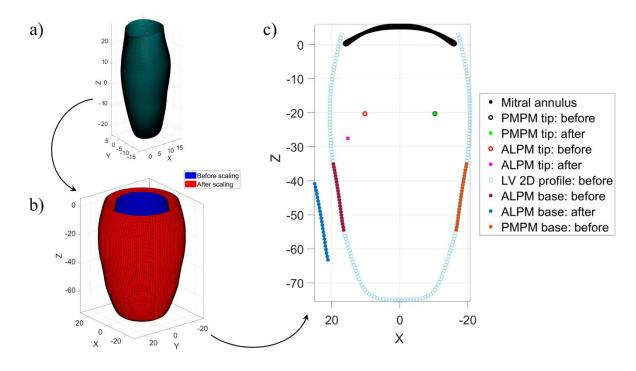
297 The reconstructed model was used as a template to approximate the inner geometry of the LV on which papillary muscles are placed. The model has been scaled to match adult 298 dimensions from the literature (Di Donato et al., 2006) and arranged in the 3D space to align 299 its base with the MV annular plane, similar to previous computational studies (Park et al., 300 301 2019, Domenichini and Pedrizzetti, 2015, Domenichini et al., 2005). The geometry can be then parameterized based on the input width and length (Park et al., 2019, Di Donato et al., 302 303 2006, Domenichini and Pedrizzetti, 2015). The distance between the tip of each PM and its respective site of origin at the LV wall was assumed 26 mm, yielding a PM base within the 304 305 middle third of the wall (Saha and Roy, 2018). By parameterizing the LV geometry, the position of the PM base is also rearranged, and, if the respective distance between tip and 306 307 base is greater than 8.8 mm (standard deviation for this distance (Saha and Roy, 2018)), the tip is displaced (as displayed in the schematic from Figure 7). 308



329

330 Figure 6. GUI options for the definition of PM displacement in a dysfunctional case. Notes: PM, papillary

331 muscle; ALPM, anterolateral papillary muscle; PMPM, posteromedial papillary muscle; LV, left ventricle.

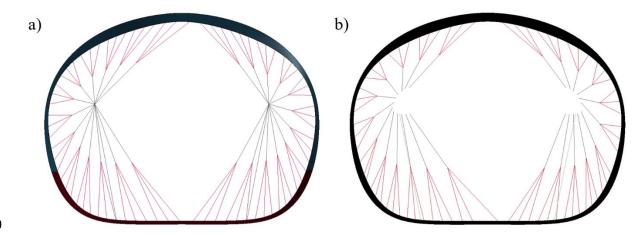


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Figure 7. MATLAB process of PM displacement due to LV dilation: a) A LV 3D model reconstructed from
MRI imaging is employed as a template, which can be scaled according to input dimensions for width and
length (b)); c) A 2D cross-section representation of PM displacement due to LV dilation is displayed, including
positions for PMPM and ALPM before and after LV scaling. In a scenario where LV anterior dilation occurs,
the position of the anterior PM base is altered accordingly, leading to ALPM displacement. Notes: PM, papillary
muscle; ALPM, anterolateral papillary muscle; PMPM, posteromedial papillary muscle; LV, left ventricle.

340 2.2.5 Chordae generation

All but the strut chordae are assumed to attach at the free edge (primary chordae) and 341 secondary chordae are not included in the toolbox. Primary chordae are equally spaced along 342 the free margin and, based on the generated leaflet geometry, insertion points in the free edge 343 are created according to the number of chordae branches to include: they split into three 344 branches in the case of a single PM point and if the PM is represented with a 3D shape. 345 Chordae are branched at a node midway between the PM origin node and the free margin: 346 finding this node involves obtaining the midway point between three free margin nodes and 347 then the midway point between that point and the PM origin node. Examples of virtually 348 created chordae tendineae with a single PM tip and a 3D PM shape are shown in Figure 8. 349



351 Figure 8. a) Single PM tip (left) and b) 3D PM shape (right) chordae tendineae distributions.

352

353 **3. Morphometric evaluation: The Golden Proportion**

354 *3.1 Equations employed for average model*

Recently, two clinical studies have shed light on the use of the Golden Proportion to 355 356 define the geometrical structure of the healthy MV (Deorsola and Bellone, 2018, Deorsola and Bellone, 2019). This proportion has been observed in nature (Iosa et al., 2013, Ferring 357 and Pancherz, 2008, Henein et al., 2011) and consists of a ratio obtained from sectioning a 358 certain segment in two different parts (Deorsola and Bellone, 2018). The use of the Golden 359 Proportion to characterize MV geometry has been assessed by previous studies (Deorsola and 360 Bellone, 2018, Deorsola and Bellone, 2019) and the corresponding formulae are employed in 361 the MV toolbox to generate the annular and leaflet parts from one single input dimension: the 362 363 AP diameter. Further detail on this ratio can be found elsewhere (Deorsola and Bellone, 364 2019). The equations that define the annulus are:

$$d_{CW} = 1.236 d_{AP}, \tag{1}$$

365

$$h_{AH} = 0.236 d_{AP},$$
 (2)

- 367 where d_{CW} is the commissural width, h_{AH} is the annular height and d_{AP} is the
- 368 anteroposterior diameter. Assuming the annular boundary as a circumference, the annular
- radius is equal to half of the CW. As for leaflet heights, the anterior leaflet height is defined
- equal to the AP diameter and the posterior leaflet heights are defined as below:

$$P_{\rm mh} = r = 0.618 d_{\rm AP},$$
 (3)

$$P_{ch} = 0.618^2 d_{AP}, (4)$$

372

where r is the annular radius, P_{mh} and P_{ch} are the posterior leaflet middle and commissural
scallop heights, respectively. The leaflets are mathematically defined as half-ellipses:

$$A_{a} = \pi \frac{[4.236r^{2}]}{4} = 0.4045\pi d_{AP}^{2}$$
(5)

375

$$P_{a} = \pi \frac{[2.854r^{2}]}{4} = 0.2725\pi d_{AP}^{2}$$
(6)

376

377 where A_a and P_a are the anterior and posterior leaflet surface areas, respectively.

378 *3.2 Validation*

379 *3.2.1 Annular parameters*

Given the dynamic variability in annular shape during the cardiac cycle (Jiang et al., 2014) 380 and the fact that the Golden Proportion equations better represent a diastolic MV 381 configuration (Deorsola and Bellone, 2018), mid-diastolic data was employed for validation 382 of the Golden Proportion predictions. For this, mid-systolic data was retrieved from adult and 383 paediatric in vivo studies and converted to mid-diastolic values: variations of -9% and +3% 384 were employed for AH and CW data, respectively, based on clinical findings (Tang et al., 385 2019, Levack et al., 2012, Maffessanti et al., 2013). For end-systolic data, the same values 386 were used. Predictions for CW and AH, as provided by clinical data and derived from the 387 Golden Proportion, are present in Figure 9 a) and b), while goodness-of-fit is explored in 388 Figure 9 c) and d). The Golden Proportion equations appear able to predict CW and AH 389 values from the AP diameter, as given by R-squared values of 0.83 and 0.91, respectively. 390 The average relative errors between predicted average values and clinical ones are 10.01 \pm 391 11.18% and 5.68 \pm 19.82% for the CW and AH, respectively. While the average relative error 392 values are in an acceptable range, the standard deviation is greater than the respective 393 394 average. This is due to the high variability in clinical data, which can have standard deviations as high as 13%, 16% and 37% from the average value for the AP diameter, CW 395

and AH, respectively (Mihaila et al., 2014). Despite this, the trend provided by the GoldenProportion agrees with the clinical data.

398

399 *3.2.2 Leaflet lengths*

A recent study showed good correlations between the AP diameter and leaflet lengths 400 (both correlations with $R^2 = 0.94$, p-value = 0.01) (Deorsola and Bellone, 2019). Further adult 401 and paediatric in vivo data was retrieved from the literature and compared with the 402 predictions provided by the Golden Proportion, as observed in Figure 10. All adult patient 403 data retrieved from Deorsola et al. (2019) (Deorsola and Bellone, 2019) is above the 404 predicted Golden Proportion means; nonetheless, this data comes from a unique study and 405 may have had an associated propagation error at the time of measurements, causing an 406 overestimation of leaflet lengths from clinical images. The Golden Proportion equations do 407 appear able to predict leaflet lengths from the AP diameter, with *in vivo* data falling within 408 409 the predicted range and R-squared values being 0.89 and 0.69 for anterior (AL) and posterior (PL) leaflet lengths, respectively. Mean relative errors between predicted values and clinical 410 measures are 7.74% and 9.01% for AL and PL lengths, respectively. 411

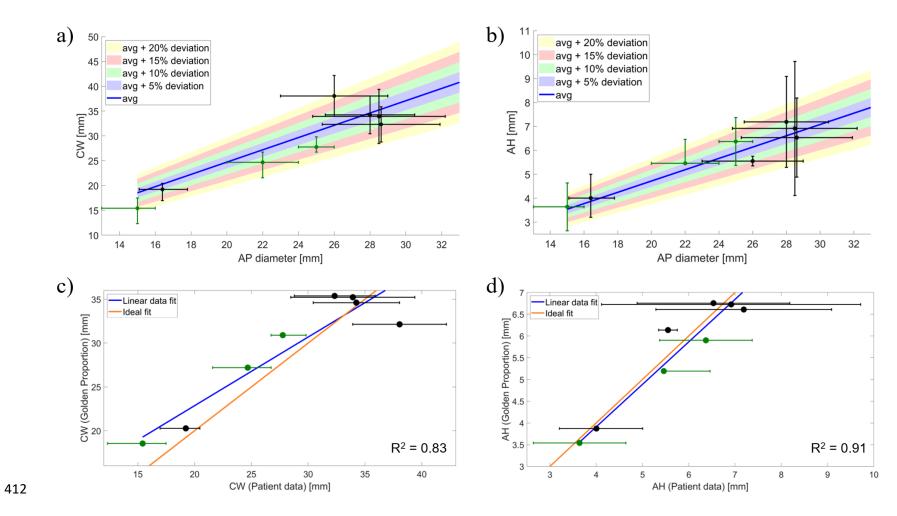


Figure 9. Predictions for commissural width (a) and annular height (b) as a function of the anteroposterior diameter, as given by the Golden Proportion (colored shades
representing up to 20% deviation from the average value) and by adult and paediatric clinical data (represented by black – adult - and dark green – paediatric - standard
deviation bars) (Pouch et al., 2014, Jassar et al., 2014, Lee et al., 2013, Mihaila et al., 2014, Jolley et al., 2017, Munin et al., 2014). A direct regression analysis is shown for
commissural width (c) and annular height (d), with the orange fitting line representing the one-to-one fit between predicted and patient data and the blue line representing the
patient data best linear fit.

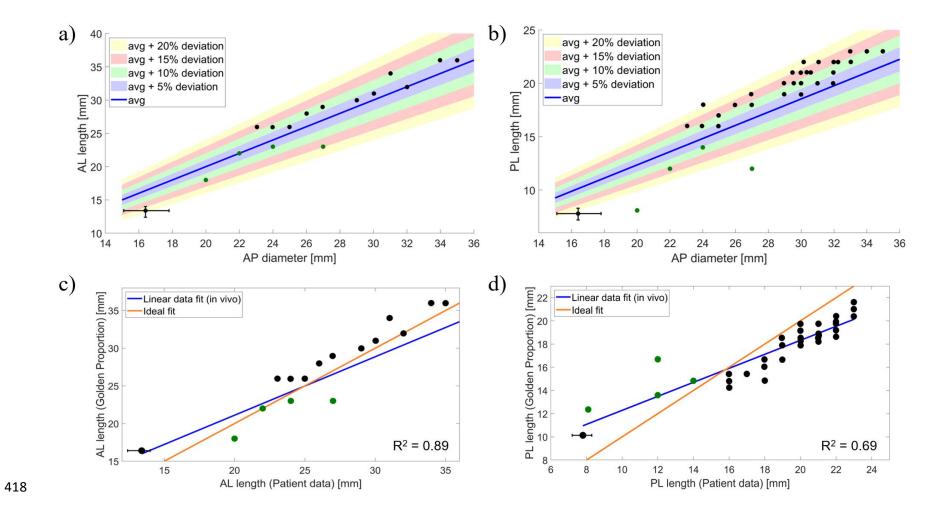


Figure 10. Predictions for anterior (a) and posterior (b) leaflet lengths as a function of the anteroposterior diameter, as given by the Golden Proportion (colored shades
representing up to 20% deviation from the average value) and by adult *in vivo* data (Deorsola and Bellone, 2019, Munin et al., 2014). Black and green points represent unique
patient data for the studies from Deorsola *et al.* (2019) (Deorsola and Bellone, 2019) and Nomura *et al.* (Nomura et al., 2019), respectively. A direct regression analysis is
shown for anterior (c) and posterior (d) leaflet lengths, with the orange fitting line representing the one-to-one fit between predicted and patient data and the blue line
representing the patient data best linear fit.

424 *3.2.3 Leaflet areas*

425 The equations for leaflet areas, based on the Golden Proportion, yield total anterior 426 and posterior leaflet areas; therefore, to assess their accuracy in obtaining leaflet surface areas, a comparison against mean total leaflet area values reported in the literature was 427 performed. When total leaflet area values were available, corresponding to diastole, these 428 were directly employed; however, most clinical studies report mean leaflet area values at 429 mid-systole, a time frame where the leaflets are in full coaptation, with the coapting area not 430 being included in the data. Therefore, to enable a comparison to be compatible between our 431 predictions and literature, mean diastolic leaflet areas have been estimated from mean mid-432 433 systolic values.

434 For this estimation, the ratio between the diastolic total leaflet area and the closed mid-systolic leaflet area (minimal area that needs to be covered by the leaflets to occlude the 435 mitral orifice) was employed as a scaling factor. This ratio ranges from 1.4 ± 0.1 (Beaudoin 436 437 et al., 2013a, Beaudoin et al., 2013b) to 1.63 ± 0.17 (Kim et al., 2019). Here, two ratios of 1.48 and 1.64 were employed to (1) obtain an estimation of the total leaflet areas from adult 438 and paediatric mid-systolic data reported by clinical papers and (2) assess the effect of 439 varying this ratio in the estimation of total leaflet area. An assessment of the average relative 440 errors is presented in Table 1, and predictions for AL and PL surface areas, as provided in the 441 literature and derived from the Golden Proportion, can be observed in Figures 9 and 10. 442

443

Table 1. Mean relative difference between Golden Proportion predictions and original mid-systolic data from
the literature, as well as estimated diastolic literature data for AL and PL areas, assuming total to closed leaflet
surface area ratios of 1.48 and 1.64.

	In vivo relative error [%]		
	Original literature data	Estimated diastolic data: Ratio = 1.48	Estimated diastolic data: Ratio = 1.64
AL area	84.06	35.61 ± 31.60	23.83 ± 28.65
PL area	73.21	24.39 ± 36.70	13.58 ± 33.25

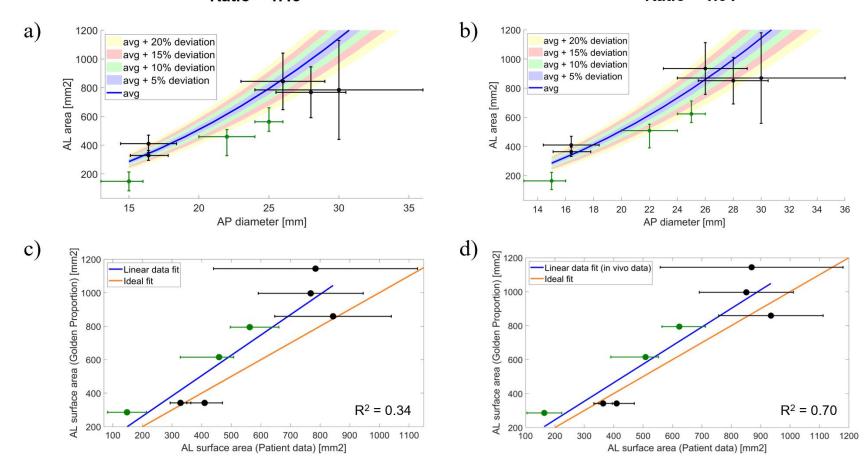
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Table 1 shows that the relative difference between Golden Proportion predictions and original
mid-systolic data for leaflet areas is much greater than when comparing Golden Proportion
predictions and estimated diastolic data. This further corroborates the fact that estimating
diastolic leaflet surface areas is required to assess the validity of the Golden Proportion

- 452 predictions. Moreover, the relative error estimated is sensitive to the ratio used, with the
- 453 average *in vivo* relative error decreasing by more than 10% for both leaflets when the ratio is
- 454 increased. This ratio greatly varies amongst the AL and PL, since the literature shows ratios
- of 1.32 ± 0.39 and 1.47 ± 0.50 for AL and PL areas, respectively, for an AP diameter of 14.3
- ± 1.8 mm (Debonnaire et al., 2015). In addition, the standard deviation for leaflet surface
- 457 areas can be as high as 28% for the AL or 25% for the PL in a clinical sample (Mihaila,
- 458 2013), which can help justify the elevated variability in literature data and in the resulting
- 459 error standard deviations present in Table 1.
- 460 Figures 11 and 12 show that the *in vivo* data follows the general trend presented by the
- 461 Golden Proportion predictions for leaflet surface areas, given the assumed percentage of
- 462 deviation. R-squared values improve with an increasing ratio (AL: 0.34 vs 0.70; PL: 0.15 vs
- 463 0.63), suggesting that the Golden Proportion better predicts leaflet surface areas with higher
- 464 values.
- Given these factors, we deemed that a 15 % range for the Golden Proportion prediction of the
 leaflet areas is acceptable, and, in the toolbox, a value within that range will be employed for
 leaflet areas.

Ratio = 1.48

Ratio = 1.64



468

Figure 11. Predictions for the anterior leaflet surface area as a function of the anteroposterior diameter for ratios of 1.48 (a) and 1.64 (b), as given by the Golden Proportion
(colored shades representing up to 20% deviation from the average value), by adult and paediatric clinical data (represented by black – adult - and dark green – paediatric standard deviation bars) (Lee et al., 2013, Mihaila, 2013, Mihaila et al., 2014, Jolley et al., 2017, Munin et al., 2014, Kim et al., 2019). A direct regression analysis is shown
for ratios of 1.48 (c) and 1.64 (d), with the orange fitting line representing the one-to-one fit between predicted and patient data and the blue line representing the patient data
best linear fit.

Ratio = 1.48

474

Ratio = 1.64

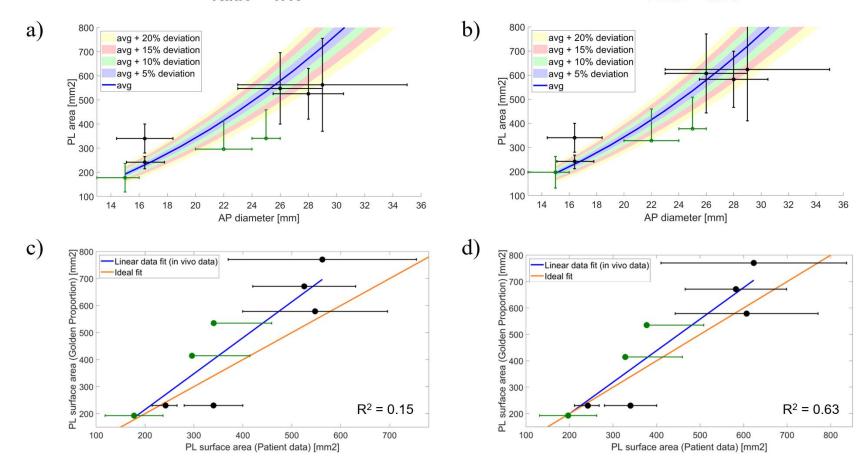
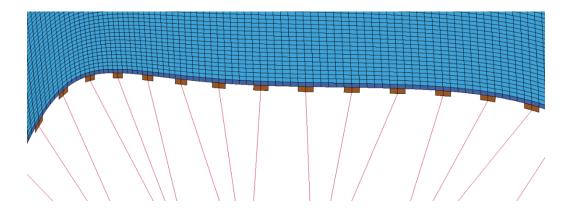


Figure 12. Predictions for the posterior leaflet surface area as a function of the anteroposterior diameter for ratios of 1.48 (a) and 1.64 (b), as given by the Golden Proportion
(colored shades representing up to 20% deviation from the average value), by adult and paediatric clinical data (represented by black – adult - and dark green – paediatric standard deviation bars) (Lee et al., 2013, Mihaila, 2013, Mihaila et al., 2014, Jolley et al., 2017, Munin et al., 2014, Kim et al., 2019). A direct regression analysis is shown
for ratios of 1.48 (c) and 1.64 (d), with the orange fitting line representing the one-to-one fit between predicted and patient data and the blue line representing the patient data
best linear fit.

480 **4. Pre-processing of the FE model**

The final geometrical model created by the MV toolbox corresponds to point cloud boundaries representing the annulus and the free edge. Using functions from the GIBBON toolbox (Moerman, 2018), a surface mesh is created between these boundaries: if the user wishes to export the leaflet mesh as an .stl file, triangular shell elements are chosen; alternatively, if a simulation input file is required, quadrangular shell elements are selected. Complete details on the mesh quality evaluations performed for the quadrangular mesh (ready for LS-DYNA simulations) can be found on Appendix B.

488 The pre-processing of the geometry to be used in a simulation input file is performed by adding transition elements on the leaflet free edge and creating the chordae tendineae. In 489 490 LS-DYNA, chordae are discretized into beam elements (two nodes per element), combined with cable material properties, in effect transforming these elements into elastic rods which 491 have resistance under tension, but not under compression. To better represent the movement 492 493 of the chordae tendineae, each chorda branch is discretized with 6 beam elements. Moreover, two transition quadrangular shell elements are defined at each leaflet insertion point, in 494 continuity with the leaflet free edge shell elements. These transition elements, assumed to 495 consist of a much stiffer material than the leaflet tissue, are where chordae insert, serving to 496 avoid local mesh warping due to the transfer of concentrated loads from chordae tendineae to 497 leaflets (Stevanella et al., 2009). An example of the transition elements added to the model is 498 499 displayed in Figure 13.



500

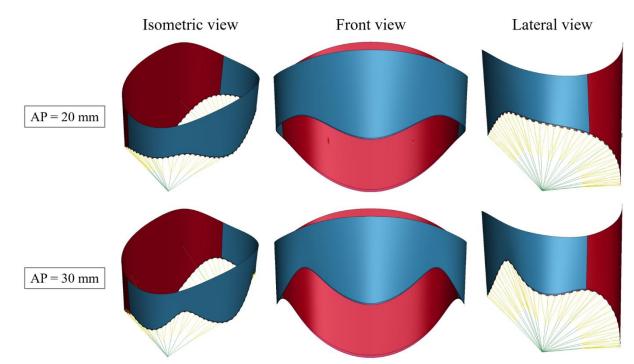
501 Figure 13. Transition elements on the free margin (brown quadrangular shell elements).

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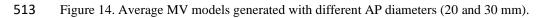
505 **5. Toolbox generated models: examples**

A range of average and patient-specific geometries generated by the toolbox are displayed in Figures 14-18 (see Appendix C for more examples of patient-specific creations). Figure 14 shows two average MV shapes obtained from different values for the AP diameter, where a greater value (30 mm) leads to greater leading dimensions governing the annulus and the leaflets when compared with a smaller value (20 mm).



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512



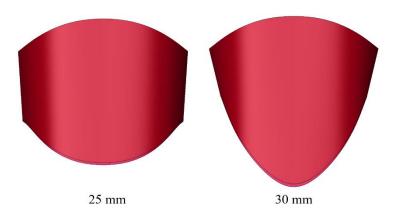
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515 Figures 15-17 show a range of geometries obtained with varying geometrical parameters individually while keeping others constant. With an increasing AP diameter, the MV annular 516 517 shape tends to become more circular in shape (Figure 15). Moreover, a greater AL length leads to changes in the AL free edge profile (Figure 16) and an increased PL surface area leads to a 518 broader PL shape (Figure 17). Apart from annular and leaflet dimensions, PM positions can 519 also be prescribed. Figure 18 displays an example of PMPM displacement, a geometric 520 alteration usually associated with impaired performance of the MV. Indeed, the toolbox offers 521 flexibility to generate any desired shape: Appendix D includes LS-DYNA simulation results 522 523 for average and patient-specific MV models, where the latter is a representation of a diseased 524 valve.



526 Figure 15. Mitral valve geometry obtained with the AP diameter varying between 20 and 30 mm.





- 529 Figure 16. Mitral valve anterior leaflet geometry obtained for anterior leaflet lengths of 25 and 30 mm.

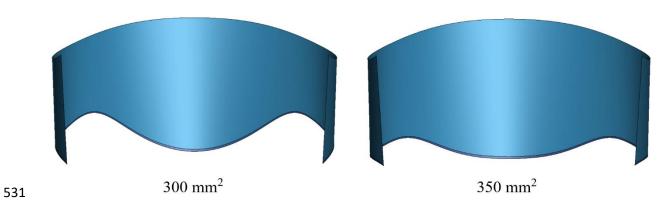
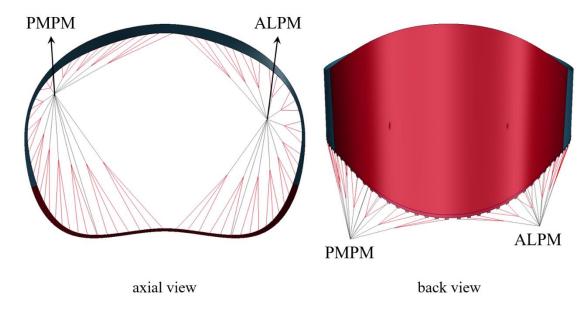


Figure 17. Mitral valve posterior leaflet geometry obtained for posterior leaflet areas varying between 300 and
350 mm².



536 Figure 18. Patient-specific input of PM position, with PMPM displacement represented.

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535

538 6. Discussion

The MV toolbox allows for the automated and user-controlled generation of tailored MV geometries from patient dimensions, and the creation of finite element input files for computational biomechanical evaluation using minutes of computational time. The main novelty behind this toolbox is that it allows to: (1) obtain a geometrical model, based on dimensions from patient-specific imaging or on predicted values from Golden Proportion equations; (2) create a meshed model which can be pre-processed directly in MATLAB and (3) generate an input file for computational simulations using LS-DYNA.

547 6.1 Computational approach for the average MV model and current challenges

The average healthy MV shape obtained with the toolbox is based on clinical and ex 548 vivo data, and the models generated appear anatomically realistic, being comparable to 549 550 average (Choi et al., 2016, Alleau et al., 2019) and patient-specific (Stevanella et al., 2011, Pham et al., 2017) models employed in other computational studies. Despite the high average 551 relative errors of the Golden Proportion predictions for leaflet areas against average in vivo 552 data (Section 3.2.3), very good correlations ($R^2 = 0.94$, p-value = 0.01) have been found 553 between MV leaflet lengths and the AP diameter which agreed with the Golden Proportion 554 (Deorsola and Bellone, 2018, Deorsola and Bellone, 2019). Moreover, all annular dimensions 555

from the literature have also shown agreement with Golden Proportion predictions (Section3.2.1).

In reality, MV quantitative data is associated with high variability amongst a 558 population sample, as observed in the standard deviations from clinical data. The current 559 limitations present in clinical imaging modalities may directly impact the derived MV 560 561 morphometric data, contributing towards model uncertainty (Wu and Takeuchi, 2017). In fact, the accuracy of the measurements obtained from scans (especially leaflet areas, which 562 563 need to be inferred from 3D imaging parameterizations) depend on the type of modality used, 564 their spatial and temporal resolutions, and the operator expertise, which can introduce a bias on the obtained data. Therefore, both the variability in data and the range of accuracy of the 565 measurements present in literature studies can help explain the elevated average relative 566 errors obtained in this study and the standard deviation of those errors. Nonetheless, further 567 studies are required to obtain more complete datasets of morphological measurements of the 568 569 MV, which lack in the current literature. These can then be used to further validate the Golden Proportion predictions and evaluate new correlation analyses. 570

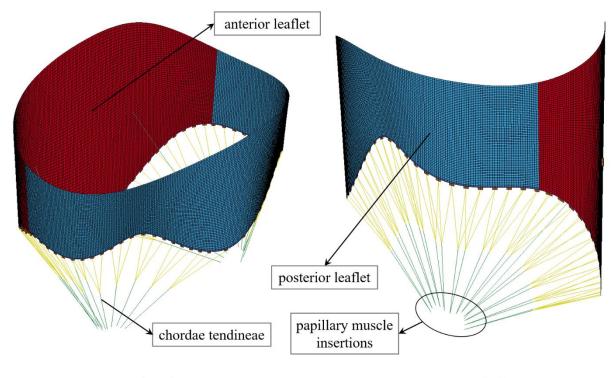
571 The main current challenge of the MV toolbox is the representation of the subvalvular apparatus: even though it is based on the literature (Yamaura, 2008, Sakai et al., 1999), 572 studies describing the PM positioning in the 3D space with greater accuracy are required. 573 Besides, current in vivo imaging modalities are unable to properly capture the chordae and 574 the PM (Gao et al., 2017b), and therefore our mathematical representation and distribution of 575 the same is based on such assumptions. This, however, does not differ from computational 576 studies employing average mitral leaflet geometries (Choi et al., 2016, Alleau et al., 2019) 577 and even patient-specific (Gao et al., 2017a, Biffi et al., 2019) ones, since patient-specific 578 579 chordal distributions are very difficult to obtain.

580

581 6.2 Comparison with other state-of-the-art methodologies

Recent studies have either 1) focused on the use of patient-specific models with valvular geometries, material properties and boundary conditions obtained from clinical data, or 2) the development of computational methodologies for the parameterization of the MV structure. While the first approach is time consuming, requiring extensive pre-processing to reconstruct the MV shape of a subject and define patient-specific modelling properties, the second approach is faster, arising as one step forward towards the clinical translation of MV models. Recent parameterization frameworks include the 2D mapping of leaflet surfaces from

imaging modalities for a more intuitive detection of pathology during decision making 589 (Lichtenberg et al., 2020), the creation of 3D MV shapes from specific measurements 590 performed in imaging modalities and their use to study the effect of transcatheter MV 591 replacement in left ventricular outflow tract haemodynamics (Pasta et al., 2020), and a 592 heuristic generation of chordae tendineae and PM tips (Walczak et al., 2021). While these 593 frameworks are able to quickly generate clinically relevant MV shapes, they can only be 594 595 applied to individual cases. The MV toolbox, on the other hand, is flexible, enabling the creation of morphological MV models, scalable to average human dimensions or patient-596 597 specific ones, within a timescale compatible with clinical use. In addition, the models can be directly meshed and an input file including material properties, boundary conditions and 598 contact conditions, ready for computational simulations, can be outputted. The toolbox 599 generates meshed models which meet criteria for numerical modelling. This means that the 600 model pre-processing can be accelerated further, since it can be directly set up for 601 602 computational simulations without other tiresome processes. As far as the authors know, our study is the first MV parametric model which allows the variation of its anatomy and has the 603 flexibility to input the dimensions of a specific subject; subsequently generating an input file 604 ready for numerical analysis (Figure 19). 605

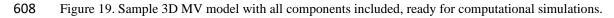


Isometric view

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Lateral view



609 *6.3 Potential applications*

The MV toolbox can have several end-user applications. From a clinical perspective, 610 611 and given its flexibility, it can be used to study the influence of morphological MV parameters on its function. The average MV shape generated assumes a healthy valve, and 612 613 degenerative valve disease, for instance, leads to significant alteration in mitral valve proportions (Deorsola and Bellone, 2019). However, inputted patient-specific parameters can 614 be used to create a range of diseased scenarios, such as: varying annular diameters to 615 represent different cases of annular dilation (Kim et al., 2019, Lee et al., 2013), which can 616 compromise leaflet coaptation (Ito et al., 2017); incorporating PM displacement, which is 617 well correlated with increased regurgitant volume in patients with functional ischemic mitral 618 619 regurgitation (Obase et al., 2016, Ito et al., 2017); or increasing leaflet surface area to represent myxomatous degeneration of the MV (Clavel et al., 2015). Moreover, clinicians can 620 621 use the toolbox to virtually evaluate current and novel mitral interventions, such as the use of 622 extension biological patches to restore leaflet dimensions in the case of posterior leaflet congenital hypoplasia (Parato and Masia, 2018), or papillary muscle approximation as an 623 adjunctive technique for MV regurgitation (Mihos et al., 2017). The toolbox can be further 624 edited to allow for the inclusion of medical devices (such as annuloplasty rings (Kong et al., 625 2018)) and virtually assess their performance and influence on the biomechanics of the MV 626 using a range of MV models through computational simulations. Ultimately, this could aid 627 with the design optimization and customization of new devices. 628

629

630 *6.4 Future work*

This study has focused on the concept of developing a framework for the automated 631 generation of geometrical models of the MV. This model is to be developed further, 632 especially concerning the representation of the subvalvular apparatus: greater control on the 633 addition process of the chordae tendineae, including the possibility of choosing different 634 branching numbers and insertion into different portions of the leaflets, shall be implemented. 635 Moreover, the possibilities of output for computational simulations will be extended: in 636 637 addition to the already implemented ready-to-use LS-DYNA mesh, the code will be expanded to allow for output of the MV model in formats compatible with other software 638 such as gmsh or VTK. The output for computational simulations will also be further 639 developed: material properties will be improved by implementing a leaflet hyperelastic tissue 640 641 model accounting for collagen fiber orientation. This will include using a layered shell

composite model (Wenk et al., 2010, Wenk et al., 2012). More realistic kinematic boundary 642 conditions will be implemented to accurately represent annular contraction and PM motion. 643 Different PM movements during the cardiac cycle will also be tested in future studies. 644 Finally, focus will be given to the development of a fluid-structure interaction model, to 645 account for the passage of blood through the valve and its interaction with the leaflet tissue 646 (Gao et al., 2017a, Huang et al., 2021). The development version of the toolbox is freely 647 available on GitHub and its future release will be provided with a more complete GUI and 648 649 pre-processing features as mentioned above.

650

651 7. Conclusion

The MV toolbox has been developed with the aim of studying the influence of 652 653 morphological MV parameters on its function, including diseased configurations, and to virtually evaluate diverse mitral interventions at a customised level. The toolbox enables an 654 655 automated and user independent workflow which is compatible with a range of modelling software. Together with biomedical engineering professionals, clinicians could use this tool 656 to simulate and understand how different MV patient-specific morphometries can impact 657 valve biomechanics. Moreover, clinicians will have a choice on whether to use average 658 dimensions or provide dimensions from imaging data as an input. It can then be employed to 659 aid clinicians when assessing MV biomechanics of their patients and improve the decision-660 making process behind choosing the best patient-specific clinical intervention. 661

662

Data accessibility: The full source code of the toolbox has been released on Zenodo (DOI:

10.5281/zenodo.5018364), currently with restricted access (de Oliveira, 2021). The intention

is for it to be made publicly available if the manuscript is accepted. The protected link for

access (expiring on 24^{th} July 2021) is:

667 <u>https://zenodo.org/record/5018364?token=eyJhbGciOiJIUzUxMiIsImV4cCI6MTYyNzA3Nz</u>

668 <u>U5OSwiaWF0IjoxNjI0NDYxMDk4fQ.eyJkYXRhIjp7InJIY2lkIjo1MDE4MzY0fSwiaWQi0</u>

- 669 <u>jE1NzYwLCJybmQiOiIwOGVmZWRiNSJ9.s7azvCQRiPNSa-</u>
- 670 <u>H3UPyYtKs1mNjF5bH6RXzWcn-</u>
- 671 <u>m9jPMtrnFz_hRz_zjagdJ0_N6vbRV4RTY0fhU2qbEzRchKQ</u>
- 672

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