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# Strong regional influence of climatic forcing datasets on global crop model ensembles

Ruane, Alex C.; Phillips, Meridel; Müller, Christoph; Elliott, Joshua; Jägermeyr, Jonas; Arneth, Almut; Balkovic, Juraj; Deryng, Delphine; Folberth, Christian; lizumi, Toshichika; Izaurralde, Roberto C.; Khabarov, Nikolay; Lawrence, Peter; Liu, Wenfeng; Olin, Stefan; Pugh, Thomas A.M.; Rosenzweig, Cynthia; Sakurai, Gen; Schmid, Erwin; Sultan, Benjamin

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#### 47 Abstract

48 We present results from the Agricultural Model Intercomparison and Improvement Project 49 (AgMIP) Global Gridded Crop Model Intercomparison (GGCMI) Phase I, which aligned 14 global 50 gridded crop models (GGCMs) and 11 climatic forcing datasets (CFDs) in order to understand 51 how the selection of climate data affects simulated historical crop productivity of maize, wheat, 52 rice and soybean. Results show that CFDs demonstrate mean biases and differences in the 53 probability of extreme events, with larger uncertainty around extreme precipitation and in regions 54 where observational data for climate and crop systems are scarce. Countries where simulations 55 correlate highly with reported FAO national production anomalies tend to have high correlations 56 across most CFDs, whose influence we isolate using multi-GGCM ensembles for each CFD. 57 Correlations compare favorably with the climate signal detected in other studies, although 58 production in many countries is not primarily climate-limited (particularly for rice). Bias-adjusted 59 CFDs most often were among the highest model-observation correlations, although all CFDs 60 produced the highest correlation in at least one top-producing country. Analysis of larger multi-61 CFD-multi-GGCM ensembles (up to 91 members) shows benefits over the use of smaller subset 62 of models in some regions and farming systems, although bigger is not always better. Our analysis 63 suggests that global assessments should prioritize ensembles based on multiple crop models over 64 multiple CFDs as long as a top-performing CFD is utilized for the focus region.

65

66

*Keywords:* Agricultural Model Intercomparison and Improvement Project (AgMIP); Global
Gridded Crop Model Intercomparison (GGCMI); Climatic Forcing Datasets; Climate Impacts;
Agroclimate; Crop production

## 71 **1. Introduction**

72 Global agricultural systems are vulnerable to climate hazards including extreme events and long-73 term trends that alter the growth environment. Cultivar and farm management practices are often 74 selected to produce high and stable yields within the current expected climate, but this still leads 75 to underperforming years as well as emerging pressures for adaptation as regional climates shift 76 under anthropogenic climate change (Lobell et al., 2011; Mbow et al., 2019; Porter et al., 2014; 77 Rosenzweig et al., 2014). Understanding regional agricultural systems' climate hazard profile is 78 critical to major international goals for disaster preparedness (e.g., the Sendai Framework; 79 UNISDR, 2015), greenhouse gas mitigation (e.g., the Paris Agreement, United Nations, 2015a), 80 and the Sustainable Development Goals (United Nations, 2015b). Planning for current and future 81 farming systems is therefore rooted in solid analysis of crop response to recent historical climate, 82 which then acts as a baseline for the generation of future agroclimatic scenarios to allow 83 investigation of adaptation, mitigation, and resilience-building interventions (Antle et al., 2015; 84 Lange, 2019a; Ruane et al., 2015). As many of the world's most vulnerable agricultural regions 85 are found in areas with incomplete or inconsistent meteorological observations, the Agricultural Model Intercomparison and Improvement Project (AgMIP<sup>1</sup>) has developed protocols and datasets 86 87 to fill in observational gaps in order to provide a consistent climatic forcing for agricultural models 88 across AgMIP and related simulation projects (Rosenzweig et al., 2013; Ruane et al., 2015; Ruane 89 et al., 2017).

90

In this study, we investigate the hypothesis that the selection of a climatic forcing dataset (CFD)
has strong influence on the fidelity of crop models simulating regional production of maize, wheat,

<sup>&</sup>lt;sup>1</sup> Abbreviations: AgMIP: The Agricultural Model Intercomparison and Improvement Project; CFD: Climatic Forcing Dagaset; GGCM: Global Gridded Crop Model; GGCMI: Global Gridded Crop Model Intercomparison

93 rice, and soy. To do this we utilize global agricultural model simulations conducted as part of the 94 AgMIP Global Gridded Crop Model Intercomparison (GGCMI, Elliott et al., 2015; Müller et al., 95 2017; see Supplementary Material S1), allowing us to investigate multi-model ensembles to reduce 96 model-specific bias. Our final analysis of simulation skill is the correlation between crop model 97 ensembles and the time series of national level production (Figure 6), with the preceding figures 98 and sections providing examples and analysis approaches that help interpret differences across 99 nations, crop systems, and crop model ensembles (further bolstered by the Supplementary 100 Material). Influence of CFDs on production will depend on (i) the accuracy of climatic forcing 101 datasets (CFDs) in capturing mean climate and resolving extreme events (Section 3), (ii) the ability 102 of crop model biophysical process representations to capture important climatic responses (Section 103 4), and (iii) whether CFD differences align with critical crop model processes and structural 104 differences in a manner that would lead to noticeable differences in agricultural response (Section 105 5). In this way we may apply the agricultural impacts lens to identify important differences in 106 climate datasets that would otherwise be too subtle to distinguish. The structure of the GGCMI 107 intercomparison also allows us to investigate the role of CFD selection within the context of 108 GGCM/CFD ensembles including up to 91 members.

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- 110

#### 111 **2. Material and methods**

112 2.1 Climatic forcing datasets

113 Crop models typically require daily meteorological inputs including maximum and minimum 114 temperature ( $T_{max}$  and  $T_{min}$ ), precipitation (P), and solar radiation ( $S_{rad}$ ). Many crop models also 115 require information about humidity (relative humidity, vapor pressure deficit, or dew point

116 temperature), longwave radiation, and wind speed in order to more accurately estimate potential 117 evapotranspiration. Some models utilize hourly information to better understand processes related 118 to the diurnal cycle. High-quality in situ measurements remain the gold standard for model 119 simulations, with remote sensing and retrospective analyses ('reanalyses') filling in gaps in space 120 and time (Gelaro et al., 2017; Schollaert Uz et al., 2019). Agricultural applications benefit from 121 the combination of best performing products (Toreti et al., 2019), although care must be taken to 122 ensure that CFDs utilize bias adjustment techniques that maintain the statistics most relevant to 123 crop models (Famien et al., 2018; Galmarini et al., 2019; Parkes et al., 2019). CFDs created for 124 application across multiple scales, regions or sectors (e.g., Lange, 2019) may face additional constraints in terms of variable and water/energy budget consistency than would be required of 125 126 only a single scale and sector.

127

128 Reanalyses are numerical weather prediction models reinitialized multiple times each day using 129 assimilation of observational data. These do not assimilate the specific variables needed for crop 130 models, however, so variables like maximum and minimum temperature, precipitation rate, 131 incident solar radiation, and near-surface humidity are the products of internal model processes 132 and parameterizations. Observational datasets also have uncertainties and biases, particularly in 133 regions where local observations are sparse, of poor quality, or difficult to access (lizumi et al., 134 2014, 2017; Ruane et al., 2015). Historical CFDs are typically generated by combining the 135 universal coverage and physical consistency of reanalysis outputs with observational data from 136 gridded in situ measurements and satellite remote sensing in order to create a uniform, coherent, 137 and bias-adjusted dataset to drive impact models. The resulting CFD is a globally-coherent dataset with day-to-day sequences and variable relations from the reanalysis that have been adjusted toensure that monthly statistics match observational products.

140

141 Table 1 provides an overview of the 11 climatic forcing datasets (CFDs) used in the GGCMI Phase 142 1 simulations evaluated in this study, including their underlying reanalyses, key bias-adjustment 143 targets (in situ station and remote sensing products), and special notes on key aspects of the bias 144 adjustment. Many of these datasets were compared against global station data by Ruane et al., 145 (2015a), which also includes additional distinction between bias-adjustment methods in the 146 various products. The GRASP dataset is particularly unique in that it does not adjust biases on a 147 monthly basis according to target observational datasets; rather, the 1961-1990 period was used to 148 determine time-constant adjustment factors that are then applied to reanalysis data over the entire 149 1980-2010 period (Iizumi et al., 2014).

150

151 Several CFDs share common characteristics that allow us to isolate the ramifications of particular 152 options in the CFD-generation process. AgMERRA and AgCFSR utilize the same bias-adjustment methods and target observational datasets but differentiate in their selection of underlying 153 154 reanalysis (same monthly values but different daily sequences). AgCFSR and CFSR are driven by 155 the same reanalysis, but CFSR does not undergo any bias adjustment (same daily sequence but 156 different monthly values). Likewise, both WFDEIcru, and WFDEIgpcc are based on the ERA-157 INTERIM reanalysis, which is also included without bias-adjustment (ERAI, same daily sequence 158 but different monthly values). Additionally, WFDEIcru and WFDEIgpcc use the same bias-159 adjustment methods and target datasets with the exception of different monthly precipitation 160 dataset targets (CRU or GPCC) (same daily sequences and monthly values except for monthly

161 precipitation). WFDEIcru and WFDEIgpcc also represent an updated application of the WATCH

Table 1: Overview of Climatic forcing Datasets (adapted from Elliott et al., 2015). Acronyms are explained in table

- 162 methodology, while PFGv2 is an update to the Princeton CFD.
- 163

Dataset	Underlying reanalysis (resolution) [reference]	Years	CFD native resolution	Bias-adjustment notes (and key reference)					
AgCFSR	CFSR 1980- 0.5°/0.25 (≈0.3°) 2010 [Saha et al., 2010]		0.5°/0.25°	<sup>5°</sup> Monthly temperature and precipitation values match ensemble of CRUTS3.10 (Harris et al., 2014), GPCCv6 (Fuchs, 2009; Rudolf et al., 2010), and WM (Willmott and Matsuura, 1995), with adjustment to CRUTS3.00 wet day frequency and SRB solar radiation (Stackhouse, Jr et al., 2011). Diurnal temperature range matches CRU (on average). Monthly precipitation climatology from high-resolution satellite products, although that information is lost at 0.5° resolution used in this study. Includes vapor pressure, dew point temperature, and relative humidity at time of maximum temperature (Ruane et al., 2015).					
AgMERRA	MERRA (0.5°x0.66°) [(Rienecker et al., 2011)]	1980- 2010	0.5°/0.25°	Same bias-adjustment targets and methods as AgCFSR, but diurnal temperature range is adjusted be <sup>3</sup> / <sub>4</sub> of the distance between MERRA and CRU (on average) and incorporates precipitation sequence from MERRA-Land (Reichle et al., 2011) dataset that utilizes GPCP observations (Ruane et al., 2015)					
CFSR	CFSR (≈0.3°) [Saha et al., 2010]	1979- 2011	0.3°	No bias-adjustment from original reanalysis (Saha et al., 2010					
ERAI	ERA-Interim (0.75°) [ECMWF, 2009]	1979- 2019	0.75°	No bias-adjustment from original reanalysis (ECMWF, 2009)					
GRASP	JRA-25 (1.125°) [Onogi et al., 2007] & ERA-40 (2.5° version) [Uppala et al., 2005]	1961- 2010	1.125°	Adjusts to CRU-TS3.10 for temperature and precipitation, CRUTS3.0 wet-day frequency, CRU-CL1.0 winds, and SRB solar radiation. Time-constant correction factors derived from 1961-1990 period (Iizumi et al., 2014)					
GSWP3	20CR (2°) [Compo et al., 2011]	1901- 2010	0.5°	Adjusts to GPCC precipitation, SRB solar radiation, and CRU temperature (Dirmeyer et al., 2006)					
PGFv2	NCAR Reanalysis 1 (2.8°) [Kalnay et al., 1996]	1901- 2012	0.5°	Adjusts to CRU, GPCP, SRB, and utilizes the TRMM Multi- satellite Precipitation Analysis (Sheffield et al., 2006)					
Princeton	NCAR Reanalysis 1 (2.8°) [Kalnay et	1948- 2008	0.5°	Adjusts to CRU TS2.0, GPCP, SRB, and utilizes the TRMM Multi-satellite Precipitation Analysis (Sheffield et al., 2006)					

WATCH	ERA-40 (2.5°)	1958- 2001	0.5°	Adjusts to CRUTS2.1 temperature and GPCCv4 precipitation. Also known as WATCH Forcing Data (WFD) (Weedon et al.,	
	[Uppala et			2011); listed as element of GGCMI Phase 1, but not included	
	al., 2005]			in further analysis given year 2001 end date.	
WFDEIcru	ERA-Interim	1979-	$0.5^{\circ}$	Monthly corrections to CRU	
	(0.75°)	2012		TS3.1/CRUTS3.101/CRUTS3.21; includes longwave radiation	
	[Dee et al.,			(Weedon et al., 2018)	
	2011]				
WFDEIgpcc	ERA-Interim	1979-	$0.5^{\circ}$	Same as WFDEIcru but precipitation adjusted to GPCCv5/v6	
	(0.75°)	2010		(Weedon et al., 2018)	
	[Dee et al.,				
	2011]				
* All CFDs were applied on a common 0.5° x 0.5° grid for crop model simulations and analyses in this study; Native					

_	resolution shows highest level of distinction for CFD (Ag precipitation only)	gMERRA and AgCFSR shown separately for all variables /
	resolution shows highest level of distinction for CFD (Ag precipitation only) NCAR = National Center for Atmospheric Research (USA) CFSR = NCAR Climate Forecast System Reanalysis (USA) MERRA = Modern Era Retrospective-analysis for Research and Applications (USA) JRA-25 = 25-year Japanese Reanalysis (Japan) ERA-40 = European Centre for Medium-range Weather Forecasting 40 year reanalysis (UK) ERAI = European Centre for Medium-range Weather Econogeting Interim reanalysis (UK)	gMERRA and AgCFSR shown separately for all variables / GPCP = Global Precipitation Climatology Project (USA) GPCC = Global Precipitation Climatology Centre (Germany) CRU = Climatic Research Unit (University of East Anglia, UK) CMORPH = Climate Prediction Center Morphing Product (USA) PERSIANN = Precipitation Estimation using Remote- Sensing and Artificial Neural Networks (USA) TRMM = National Aeronautics and Space Administration Tropical Rainfall Measurement Mission (USA)
	WM = Willmott and Matsuura, 1995 SRB = NASA/GEWEX Solar Radiation Budget (USA)	(USA)

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This study analyzes agroclimatic aspects of CFDs using methods established in Ruane et al. (2018) to target agricultural productivity. Seasonal climate factors are calculated according to the local major growing seasons for maize, wheat, rice, and soybean determined by GGCMI protocols for planting and average harvest dates (Elliott et al., 2015). In many cases this information is documented on a country-level, missing differences within a country that can be important to regional production.

We evaluate CFDs for the 1980-2010 period, offering a 'current' climatology containing the 30 complete growing seasons that led to harvests from 1981-2010. This includes data from 1980 to account for regions where the growing season overlaps January 1<sup>st</sup> such that planting in 1980 led to a harvest in 1981. Simulations were run with  $CO_2$  concentration data from Mauna Loa (Thoning et al., 1989). This period also included substantial climatic trends in many regions owing to large-

180 scale modes of climate variability, as well as anthropogenic climate change, which required us to 181 detrend GGCMI outputs when comparing against detrended FAO production anomalies (which 182 were also detrended, as described in Section 2.4 below). The WATCH forcing dataset is not 183 included in further analyses for this study given that it does not extend beyond 2001, but we do 184 include analysis of simulations driven by the Princeton dataset up to 2008.

185

## 186 2.2 Global gridded crop models

187 Crop models track daily water, carbon, and nitrogen balances in the plant and field environment 188 progressing through developmental stages as determined by genotype parameters, field 189 management, and climate drivers. These models have been developed using extensive observations 190 and field and chamber trials, with many AgMIP-facilitated intercomparisons helping to elucidate 191 strengths and weaknesses associated with various modeling approaches (Martre et al., 2015; 192 McDermid et al., 2015; Ruane et al., 2017; Zhao et al., 2017).

193

194 The process-based crop models utilized in this study (Elliott et al., 2015; Müller et al., 2017) are 195 configured using information about the cultivar genotype (e.g., temperature-based phenology, heat 196 and drought resistance), soils (e.g., 1 to 2 meters of layered texture and water holding properties), 197 farm management (e.g., tillage methods, planting and harvest dates, fertilizer and irrigation 198 applications), and climate (as noted in previous section). Müller et al. (2019) and Supplementary 199 Material S2 provide a more complete description of the 14 GGCMI models and 3 configuration 200 types utilized, including 2 configurations in which growing season and fertilizer levels are 201 harmonized for consistency. Irrigation is assumed to be unconstrained by water availability and 202 any soil water deficit is balanced the next day without application or conveyance losses.

203 Calibration of any model parameters was performed at the global scale, although modelers 204 configured soils, cultivars, and management practices regionally (e.g., to match GGCMI growing 205 season harmonization protocols). Observational production data were used by some models to 206 calibrate mean yields, but no models incorporated information about the observed interannual 207 anomalies in focus for this study.

208

209 The goal of this current study is to isolate the role of climatic forcing dataset and ensemble 210 selection in GGCM historical performance, and we refer readers to (Müller et al., 2017) for a more 211 detailed evaluation of GGCM-based differences in capturing historical national yield variation. 212 The group of models include several with common origins, as described by Rosenzweig et al. 213 (2014; Supplementary Information); however, large variations in included model processes, 214 configuration settings and calibration datasets mean that each of the models in the ensemble are 215 substantially distinct from one another (see Müller et al., 2019, and Supplementary Information 216 S2). Folberth et al. (2019) further evaluated differences in the 5 different modeling group 217 simulations stemming from the EPIC model, finding that yield estimates were distinguished by 218 differences in model versions, parameterization, management assumptions (beyond those 219 harmonized within GGCMI), soil attributes, and cultivar distributions.

220

#### 221 2.3 Simulation subsets and ensembles for analysis

Table 2: Coverage of Global Gridded Crop Models (GGCMs), Climate Forcing Datasets (CFDs), and GGCM configuration settings (see Supplementary Material S2 and S3 for configuration and model information). Underlined models are used in the '+' subset for each CFD, and the bolded configuration was selected for analysis when outputs from multiple configurations were submitted for a given GGCM.

		Climatic Forcing Datasets										
		AgCFSR	AgMERRA	CFSR	ERAI	GRASP	GSWP3	PGFv2	Princeton	WATCH \$	WFDElcru	WFDElgpcc
	CGMS-WOFOST		D									D
	CLM-Crop		<b>H</b> , N, D									<b>H</b> , N, D
	EPIC-BOKU	<u>H</u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D	<b>H</b> , N, D	<b>H</b> , N, D	<u>H</u> , N, D	H, N, D	<u>H</u> , N, D	<u>H</u> , N, D
	EPIC-IIASA ^	<b>H</b> , N	<b>H</b> , N, D			н				H, D		<b>H</b> , N, D
	EPIC-TAMU *	<u>H</u> , N	<u>Н</u> , N	<u>Н</u> , N	<u>H</u> , N	<u>H</u> , N			<u>H</u> , N	H, N	<u>H</u> , N	<u>Н</u> , N
ls	GEPIC	н	<b>H</b> , N, D			н		D		Н		<b>H</b> , N, D
GGCN	LPJ-GUESS	<u>N</u>	<u>N</u> , D	<u>N</u>	N	N	D	<b>N</b> , D	N	Ν	N	<u>N</u> , D
	<u>LPJmL</u>	<u>N</u> , D	<u>N</u> , D	<u>N</u> , D	<u>N</u> , D	<u>N</u> , D	D	<b>N</b> , D	<u>N</u> , D	N, D	<u>N</u> , D	<u>N</u> , D
	pAPSIM ~	<u>H</u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D			<u>H</u> , N, D	H, N, D	<u>H</u> , N, D	<u>H</u> , N, D
	pDSSAT	<u>H</u> , N, D	<u><b>H</b></u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D	<u>H</u> , N, D			<u>H</u> , N, D	H, N, D	<u>H</u> , N, D	<u>H</u> , N, D
	<u>PEGASUS</u> ~	<u>H</u>	<u><b>H</b></u> , N, D	<u>H</u>	<u>H</u>				<u>H</u>	Н	<u>H</u>	<u>H</u> , N, D
	ORCHIDEE-CROP		<b>H</b> , N, D				<b>H</b> , D †		<b>H</b> , N, D ††			<b>H</b> , N, D †††
	PEPIC	<b>H</b> , N, D	<b>H</b> , N, D			<b>H</b> , N, D	<b>H</b> , N, D	<b>H</b> , N, D			<b>H</b> , N, D	<b>H</b> , N, D
	PRYSBI2 +	D	D			D			D	D	D	D

^ EPIC-IIASA did not run Rice with AgCFSR or WFDEIgpcc

\* EPIC-TAMU only ran Maize and Wheat

~ pAPSIM and PEGASUS did not run Rice

+ PRYSBI2 only ran irrigated lands

\$ WATCH only goes to 2001; not included in ensemble

 Simulations Available
 GGCM Configurations

 1 Configuration
 H = Harmonized

 2 Configurations
 N = No N Limitation

 3 Configurations
 D = Default

<sup>†</sup> No Rice with H <sup>††</sup> No Wheat with H,

no Rice or Wheat with N, only Rice with D

+++ Only Rice and Wheat with N

227

226

228 **Table 2** shows the complete set of GGCM Phase 1 simulations, which were run for both rainfed 229 and irrigated conditions. Gaps in the table reflect that resource and structural constraints limited 230 the ability of many modeling teams to run every requested combination of CFD, configuration and 231 crop species. In order to achieve complete multi-model coverage for at least two WFDs, each 232 GGCM was specifically requested to run the AgMERRA and WFDEIgpcc CFDs and then as many 233 additional CFDs as resources allowed. There are relatively fewer simulation outputs submitted for 234 the GSWP3 and PGFv2 CFDs as these were added to the GGCMI protocol later in the project 235 timeline. As our interest is in determining the response of GGCMs to the CFDs' growing season 236 climate, we prioritize the simulations with consistent planting and harvest dates ([H and N] > D) 237 and selected configurations that included nitrogen limitations where available (H>N), resulting in 238 a final prioritization of H>N>D (see Supplementary S2 for further model and configuration 239 information). Analysis here focuses on the relative seasonal anomalies for each GGCM simulation, 240 which are a better reflection of climatic response than the raw anomalies influenced by mean bias

and further questions of model configuration such as soil nitrogen and cultivar characteristics(Müller et al., 2017).

243

To isolate the implications of the CFD selection in the full ensemble, we identify two types of GGCM-CFD groupings that sample across the crop model dimension:

- 246 '+' *subset* [per CFD]: A consistent subset of GGCMs across CFDs, representing the 7 GGCMs
- 247 (5 for rice) that ran most CFDs (underlined in Table 2): EPIC-BOKU, EPIC-TAMU,
- LPJ-GUESS, LPJmL, pAPSIM, pDSSAT and PEGASUS, using the bolded and underlined configuration in Table 2. The '*AgMERRA*+' subset, for example, is the ensemble average of these 7 GGCMs simulating the AgMERRA CFD using the specified configuration.
- *'All' subset* [per CFD]: All GGCMs that ran a given CFD, using the bolded configuration. The
   *'AgMERRA\_all'* subset, for example, includes all GGCMs that ran the AgMERRA
   CFD using the specified configuration.
- 255

We also form ensembles across both the climate and crop model dimensions of GGCMI in order to look at overall GGCMI performance:

- *'Ensemble+' subset*: All GGCMs that were included in the + ensembles across all CFDs
  (bolded and underlined in Table 2). This represents the aggregate performance of the
  core set of GGCMs that ran most CFDs.
- *'Ensemble-all' subset*: All GGCM/CFD combinations marked as bold in Table 2 (e.g., 91
   model simulations in total for maize). To our knowledge this is the largest
   GGCM/CFD ensemble to have been constructed, and we examine it here to quantify

264

the potential added benefit given that the resources required for such large community efforts typically preclude their use for individual applications.

266

265

Each of these subsets is designed to build on AgMIP findings that the statistics of an ensemble of models performs better than any single model when evaluated across a broad spectrum of environments and systems (Bassu et al., 2014; Fleisher et al., 2017; Jägermeyr et al., 2020; Martre et al., 2015; Müller et al., 2017; Nelson et al., 2014; Wallach et al., 2015; Zhao et al., 2017). Consequently, no model is given more weight within any particular ensemble when calculating ensemble statistics (Wallach et al., 2016). Müller et al. (2017) provide analysis of individual GGCM performance, which is not our focus here,

274

275 Analysis of the '+' subsets for each CFD therefore provides unprecedented insight into these 276 CFDs' effects on agricultural simulations with a consistent crop model ensemble rather than being 277 dependent on a single crop model. Note that the '+' ensemble contains 7 models for maize, wheat, 278 and soybean, but only 5 models for rice given that pAPSIM and PEGASUS did not provide data 279 for rice. The '+' ensemble includes two EPIC GGCMs but these employ different core EPIC model 280 versions and a number of differences in configuration for soils and management (Folberth et al., 281 2019). The 'All' subsets indicate whether the inclusion of additional GGCMs would have altered 282 the ensemble's response to the CFD response. These contrast with the 'Ensemble-all' subset that 283 provides the overall GGCMI Phase 1 ensemble performance, which benefits from both an 284 ensemble of CFDs and GGCMs although the relative weight of each depends on the outputs 285 provided (Table 2). An example of GGCM/CFD ensemble construction is provided for Romanian 286 maize production anomalies in Figure S.2.

287

## 288 2.4 Production datasets and processing

289 GGCMs simulate crop yields (t/ha) that must be converted to production (total kg) using harvested 290 area masks in order to compare against observational production datasets. We calculate national-291 level production from the 0.5° x 0.5° grid using harvested crop areas from the Spatial Production 292 Allocation Model v2.0 (SPAM), which approximates the year 2005 and does not change from year 293 to year (You et al., 2014). We aggregate rainfed and irrigated production values separately using 294 the corresponding GGCMI simulations and SPAM areas, then use the sum of rainfed and irrigated 295 production for national or global totals (following Ruane et al., 2018b; Porwollik et al., 2017). 296 297 Reference national production data are drawn from the United Nations Food and Agricultural 298 Organization (http://www.fao.org/faostat/en/#data). These data are reported by governments and

299 include heterogeneous cultivars, planting dates, fertilizer applications, irrigation methods, farm 300 management, and soils that cannot be fully represented by GGCMI's relatively coarse resolution 301 configurations. FAOstat data also reflect agricultural trends and anomalies beyond those driven 302 solely by field-level climate such as the effects of technological improvements, mechanization, 303 agricultural sector development, labor supply, geopolitical conflict, crop pests and diseases, and 304 large-scale disasters (e.g., earthquakes, floods, hurricanes). Overall, Ray et al., (2015) estimated 305 that the climate signal explains only about a third of observed global interannual yield variability. 306 For these reasons we detrend FAOstat data and crop model outputs. GGCMI has explored multiple 307 methods for detrending including first-difference, linear and polynomial fits, and there is a clear 308 tradeoff between consistency in methods and unique characteristics in production time series that 309 defy general approaches. While further efforts to isolate the climate signal in national production 310 datasets using a blend of locally-selected detrending techniques would be beneficial to GGCMI 311 and the broader agricultural community, here we calculate anomalies from a 5-year moving 312 average and compare against similarly detrended GGCMI outputs (as described in Müller et al., 313 2017, and further evaluate in Supplemental Materials S8). We assign each simulated growing 314 season according to the average harvest date for the purpose of time series correlations, which can 315 cause an occasional mismatch with FAO data that assigns harvests to the growing season in which 316 the majority of the growing period occurs, leading to occasional differences for locations and 317 seasons with early or late harvests that are on the other side of New Year's day than the average 318 Additional information on the use of production datasets is provided in harvest date. 319 Supplementary Materials S4.

320

To understand the role of climate variability on a sub-national scale we also employ the detrended United States Department of Agriculture's National Agricultural Statistics Service (USDA NASS) county-level production (<u>https://quickstats.nass.usda.gov/</u>). NASS production data are collected using reported and surveyed yields. We combine the average of NASS 1981-2010 county-level cropped areas with simulated yields to calculate simulated county-level production for comparison to NASS production anomalies.

327

We analyze uncertainty by determining the relative variation across ensemble members for each year compared to the variation of the ensemble median across years. Anomalies of precipitation and yield are first calculated as percentages to remove the effects of mean biases. We then calculate a standardized anomaly, which is the ratio of (i) the standard deviation of yearly ensemble member anomalies (compared to the ensemble mean) to (ii) the standard deviation of the ensemble 333 mean time series itself. Standardized anomalies >1 therefore indicate that a given annual anomaly 334 is more likely due to ensemble member differences, while standardized anomalies <1 indicate that 335 anomalies are likely representative of true interannual variation. Supplementary Material S.7 336 provides further detail on this method as well as two contrasting examples (Figure S.5).

337

#### 338 **3. Differences between climatic forcing datasets**

339 CFD regional differences can be measured in myriad ways, including in their mean quantities, 340 statistical distributions, sequencing of events, variable relationships, modes of variability, long-341 term trends, and spatial coherence. While a comprehensive atlas of CFD differences for each 342 growing season is beyond the scope of this paper, **Figure 1** provides the median of the *CFD-all* 343 ensemble for the rainfed maize growing season as well as biases for AgMERRA and WFDEIgpcc, 344 which are the CFDs most commonly used within GGCMI Phase 1. Corresponding bias maps for 345 the other CFDs are provided in Supplementary Figures S.2-S.4. It is important to emphasize that 346 the CFD-all median is not necessarily the true value given common biases in observational 347 datasets and methods across CFDs. Computing the median is likely to reduce some of the more outlying values, however; and therefore serves as a 'best-guess' basis to help us identify CFD 348 349 differences that are likely relevant to agricultural production. The Princeton CFD was not included 350 in these *CFD-all* climate maps because it ends in 2008, and because it displayed a checkerboard-351 like spatial bias pattern for precipitation threshold statistics. This suggests errors in re-gridding 352 and/or interpolation of daily sequences in the GGCMI processing of that dataset, although this 353 pattern was not apparent in the mean precipitation rate or other variables. The following metrics 354 are evaluated for the rainfed maize growing season and cultivation regions as an example given 355 that maize is an important staple crop with widespread cultivation.

356

#### 357 3.1 Mean growing season metrics

358 Median CFD-all mean temperature in the rainfed maize growing season (Fig. 1a) generally follows 359 mean climatological patterns with warmer conditions in the Tropics and cooler conditions at higher 360 latitudes, as maize generally corresponds to the warm season unless part of a multi-cropped region. 361 CFD differences for mean temperature are generally low (<1°C). AgMERRA (Fig. 1b) is slightly 362 cooler than CFD-all in most of the United States, South America, Africa, Europe, and Indonesia, 363 and is slightly warmer in South and East Asia as well as the Middle East, Mexico, and South 364 America west of the Andes. WFDEIgpcc (Fig. 1c) has generally the opposite differential pattern 365 for the United States and Asia, and is also cooler than CFD-all in Europe, East Africa, and southern 366 South America.

367

Median *CFD-all* mean precipitation rate (Fig. 1g) reflects that rainfed maize generally grows during the local wet season. AgMERRA is generally very close to the median CFD, with a slight dry bias ( $\approx$ 5%) in Southern Russia and scattered small regions around the world. WFDEIgpcc has a widespread wet bias with prominent differences >10% in the US Midwest, southern South America, central Africa, Europe, and eastern India. Dry bias pockets >10% are less common, but include southwest India and Myanmar.

374

Solar radiation in the *CFD-all* (Fig. 1p) reflects a combination of latitude, aridity, and seasonality of the growing period, with cloudier conditions in the moist Tropics and reduced solar radiation in the cool season maize in SE China and northern Mexico. AgMERRA has solar radiation very close to the ensemble median. This is likely because many CFDs used the same NASA/GEWEX SRB information (Stackhouse, Jr et al., 2011) and the others did not substantially differ on aggregate. WFDEIgpcc is generally cloudier in the tropics and sunnier at mid-latitudes ( $\approx$  +/- 1.5 MJ/m<sup>2</sup>/day).

381

#### 382 *3.2 Distributional statistics within the growing season*

383 Days where maximum temperature exceeds  $35^{\circ}$ C (Fig. 1d) are associated with negative impacts 384 on maize pollination and production (Hatfield and Prueger, 2015), and patterns of this extreme 385 temperature are a reasonable proxy for similar heat stress thresholds of wheat, rice, and soybean 386 (Deryng et al., 2014; Schauberger et al., 2017). The median *CFD-all* sees more of these extreme 387 heat days along the fringes of the major growing areas, including in the Sahel, Central Asia, NE 388 Brazil, and the SW Great Plains and NE Mexico. AgMERRA is similar to CFD-all in major 389 breadbaskets of the Central United States, Europe, and East Asia but tends to underestimate these 390 days (by  $\approx 10$ ) in many tropical areas while overestimating them in semi-arid zones of Southern 391 Africa, Southern South America, Central and West Asia, and the western Great Plains. 392 WFDEIgpcc has an overall tendency towards more extreme heat days than CFD-all (by  $\approx 10-15$  in 393 many regions), particularly in North America and along the fringes of the Amazon although it is 394 similar to CFD-all in Europe and East Asia. WFDEIgpcc has more extreme heat even in several 395 regions that showed an overall cool bias in mean temperature, suggesting a larger diurnal 396 temperature range or broader distribution of daily extremes.

397

The number of wet days (P > 0 mm) within a growing season is an important proxy for the likelihood of dry spells and the overall proportion of precipitation that reaches the root zone (as opposed to running off). *CFD-all* median number of precipitation days per growing season (Fig. 1j) has a pattern generally similar to the mean growing season precipitation rate. AgMERRA has 402 fewer wet days in most maize-growing regions (especially in Africa, Mexico and South Asia), 403 while WFDEIgpcc has more wet days (particularly in Africa, Southern South America, Eastern 404 Europe, and the foothills of the Hindu-Kush-Himalayas). These differences are likely due to the 405 additional bias-adjustment of the number of precipitation days within AgMERRA, AgCFSR, and 406 GRASP which corrects a common drizzle-bias in reanalyses and leads to lower numbers than the 407 *CFD-all* median.

408

409 Heavy precipitation days can be problematic for crops given that they are often associated with 410 nitrogen leaching, and a larger proportion of total precipitation that falls as heavy precipitation 411 events can reduce the overall soil infiltration and heighten the risk of low soil column spells. The 412 median CFD-all number of days where P > 20 mm (Fig. 1n) has similar spatial patterns to the 413 mean precipitation field, with the most frequent heavy events in the Amazon and monsoon regions 414 of Asia. Different crop systems and soil profiles may have distinct thresholds for pluvial flooding 415 and high runoff proportions, but we employ P > 20 mm as representative of the higher tail of the 416 distribution and note that these days likely consist of heavier daily totals in smaller regions within 417 the larger grid cell (see Supplementary Material S9). AgMERRA has more heavy wet days in the 418 Tropics ( $\approx 3$  more) and Western Africa in particular, likely as a secondary consequence of the 419 reduction in drizzle days resulting in fewer (but more intense) precipitation events to match 420 monthly totals. WFDEIgpcc has fewer very wet days than CFD-all with nearly the opposite 421 geospatial pattern of bias as AgMERRA but more substantial reduction over the rainforests of 422 Central Africa.

423

#### 425 **4. GGCM response to interannual climate**

426 In order to understand the geographical distribution of climatic uncertainty, Figure 2a,c shows the 427 standardized anomalies of rainfed maize growing season temperatures and precipitation from 428 *CFD-all*, revealing the places where the CFD ensemble is less clear than a typical annual anomaly. 429 High values over the Western Amazon, Central and Western Africa, and Borneo reflect the 430 difficulty of obtaining high quality observational data in these regions. Standardized temperature 431 anomalies above one, indicating CFD variance is greater than interannual variance, are also seen 432 across much of Africa, the Hindu-Kush-Himalayas and Mexico, while lower values reflect larger 433 interannual variance and consistent observational data across North America, Europe, Southeast 434 Africa, India, East Asia, and Eastern South America. Most maize-growing areas that show high 435 standardized temperature anomalies also show high standardized precipitation anomalies, with 436 additional regions of larger CFD uncertainty for precipitation over East-Central Africa, the Middle 437 East, Central Asia, and Southeast Asia.

438

439 Standardized anomalies of *Ensemble-all* rainfed maize yield simulations (Figure 2e) reflect many 440 of the patterns seen in the standardized anomalies of growing season temperature and precipitation, 441 underscoring the role of climate uncertainty in the overall simulation uncertainty. Standardized 442 anomalies for simulated yield (peaking above 5 in some locations) are much larger than for the 443 climate variables (which peaked closer to 2), suggesting strong interactions between uncertain 444 GGCM configurations and climate variability within the simulated yields. High uncertainties are 445 particularly prominent in developing countries, where crop simulation models are typically more 446 difficult to configure given the relative lack of observational climate, soils, and agronomic data, 447 their greater proportion of small-holder farms, and heterogeneous cultivars and management that may not be consistently represented across GGCMs (Fritz et al., 2015). Regions with lower
fertilizer usage have additional interactions between nitrogen stress and heat or water stress driven
by climate, which would only be captured in GGCMs including nitrogen dynamics. Very few
places have standardized yield anomalies below 1.

452

Standardized anomalies for the wheat and soybean (Supplementary Figure S.2) have similar patterns, with lower standardized anomalies for temperature than precipitation and the highest standardized anomalies coming from the simulated yield. Major production regions for maize, wheat, and soybean, which tend to be in the middle latitudes, typically have standardized anomalies <1 for climate variables, however the major production regions for rice (**Figure 2b,d,f**) in Southeast Asia have standardized precipitation anomalies >1, corresponding with substantial yield uncertainty likely dependent on CFD selection.

460

**Figure 3** shows the correlation between median *Ensemble-all* yields with the median *CFD-all* growing season mean temperature, precipitation, and solar radiation to identify regional and cropspecific agroclimatic sensitivities. These fundamental climate responses motivate agricultural management decisions to reduce risk and point to areas where uncertainty in CFD variables is likely to strongly affect simulated yields. Higher correlations do not necessarily mean more accurate simulations, only that the GGCM simulations for a given crop have a strong and consistent response to regional variation of a particular climate variable.

468

Rainfed maize, wheat, rice, and soybean simulations each follow a common interannual pattern
dominated by precipitation, with a positive correlation associating wet years with higher yields

471 and the worst-yielding years generally associated with drought. This relationship is strongest in 472 areas with marginal rainfall totals and low irrigation, including NE Brazilian maize, wheat in the 473 western Great Plains of North America, rice in the Sahel, and soybean in SE Europe. Temperature 474 correlations are broadly negative, indicating that yields are higher in cool years and depressed in 475 hotter conditions. Regional pockets show a positive correlation with temperature, indicating that 476 warmer conditions can be beneficial along the cooler poleward and high-elevation fringes. Yield 477 is often negatively correlated with seasonal solar radiation anomalies, which is likely a reflection 478 of cross-correlations in the climate system whereby higher precipitation is associated with cloudier 479 weather and droughts with clearer skies. It is also likely that high temperatures are cross-correlated 480 with drier conditions and higher potential evapotranspiration.

481

482 Exceptions to this general pattern are also illustrative, as apparent in diverse median responses and 483 a lack of consistency across GGCM/CFD combinations (represented by the hatching in Figure 3). 484 Most crops are less sensitive to seasonal climate metrics in the moist tropics, where water is less 485 often a limiting factor and interannual variations are generally small compared to the average 486 growing season total. These areas are likely more responsive to shifts in sub-seasonal 487 characteristics such as heat waves and the onset, exit, break periods, and intense precipitation 488 events within rainy seasons. Rice, which is often grown in those moist tropical regions, is the least 489 dependent on seasonal climate anomalies, a result consistent with the finding of reduced sensitivity 490 to climate variability by Ray et al. (2015).

491

A comparison between rainfed and irrigated maize (top and bottom rows of Figure 3, respectively)
highlights the ways in which water management affects climate response, most notably by

494 reducing the dependence on precipitation anomalies. Simulations of irrigated maize are not 495 completely absent of precipitation response, however; showing signs that modeled irrigation 496 management does not eliminate water stress in places like Texas, Spain, the Indus Basin, and 497 Northern China. Negative responses to wet seasons may reflect nutrient leaching under increased 498 runoff in Central America, Northern Europe, and India. Irrigated maize in Northern Europe and 499 the northern Great Plains has an enhanced positive response to temperature compared to the rainfed 500 maize, possibly related to a reduction in water stress that can accompany a warmer season's higher 501 evapotranspiration demand. Irrigated areas also have relatively higher correlations with solar 502 radiation as water supply diminishes the effects of the cross-relationship between sunshine and 503 drought conditions.

504

505

#### 506 5. Crop model performance with different climatic forcing datasets

507 The selection of climate forcing dataset(s) for GGCM applications often depends on the 508 availability of those inputs as well as the resources allocated to exploring CFD uncertainty and/or 509 benefiting from CFD ensemble behaviors. In this section we examine how the selection of a CFD 510 compares to the use of the full CFD ensemble, examining global CFD differences, performance 511 against regional production observations, and the simulations' ability to capture national 512 production anomalies. Differences in GGCM-CFD performance also highlight the ramifications 513 of a given CFD's selection of an underlying reanalysis and specific bias-adjustment targets and 514 methods, as well as non-climatic configurations that reduce GGCM correlations regardless of the 515 CFD selected.

516

#### 517 5.1 Global implications of CFD selection

518 GGCM responses to CFD differences accumulate within any given regional farming system's 519 growing season, with the aggregate effect being a CFD-dependent crop yield for each grid cell for 520 each year. The temporal correlations between GGCM simulations using different CFDs therefore 521 indicate whether the CFD selection altered the overall climate response, with low correlations 522 indicating a fundamentally different agro-climatic relationship over the 1981-2010 period.

523

524 Figure 4 presents the correlation between individual GGCM-CFD simulations and the median of 525 the GGCM-all ensemble. A full intercomparison of GGCMs across all crop systems is beyond the 526 scope of this study, so here we examine pDSSAT and LPJmL to explore potential interactions 527 between CFD selection and GGCM utilized. LPJmL-AgMERRA correlates highly with the median 528 of the LPJmL-all ensemble in much of the mid-latitudes; however, lower latitudes and many 529 developing countries have lower correlation suggesting more CFD-based uncertainty (Fig. 4a; 530 (r>0.85; with r>0.9 in many high producing areas). This is consistent with the regional patterns of 531 heightened temperature and precipitation uncertainty shown in Figure 2. Regions of high 532 correlations between LPJmL-AgMERRA and CFD-all cover the vast majority of maize-growing 533 regions including major breadbaskets in the US Midwest, Europe, China, and South America. This 534 suggests that a single LPJmL-AgMERRA simulation provides a broadly similar response to using 535 all CFDs and then creating an ensemble median. This is not true for all CFDs, however, as can be 536 seen for LPJmL-CFSR where lower regional correlations indicate a different pattern of interannual 537 response imposed by that specific CFD (Fig 4b). pDSSAT generally shows a larger difference 538 between any CFD and the CFD-all runs, as the highest-correlated AgMERRA and WFDEIgpcc 539 simulations still have lower correlations than were seen for LPJmL rainfed maize (Figs. 4c-d). The

540 correlations of *LPJmL-WFDEIcru* and *LPJmL-WFDEIgpcc* vs. *LPJmL-all* for rainfed rice (Figs. 541 4e-f) show increased dependence on CFD (lower correlations) over the major rice production 542 zones of SE Asia than were seen for maize breadbaskets in places like the US Midwest (Fig 4a). 543 Even as WFDEIcru and WFDEIgpcc differ only in their monthly precipitation totals, LPJmL 544 simulations driven by WFDEIgpcc follow the *LPJmL-all* median closely, while those driven by 545 WFDEIcru are considerably lower in much of Brazil, the Democratic Republic of the Congo, and 546 Madagascar.

547

548 5.2 Regional implications of CFD selection

549 Differences between CFDs are likely to be heightened on smaller scales, particularly when they 550 interact with unique vulnerabilities in regional crop systems. A focus on sub-national heterogeneity 551 is also particularly important in large countries with production regions across multiple climate 552 zones. **Figure 5** examines sub-national features of rainfed maize simulations driven by various 553 CFDs against the US NASS county-level production anomalies.

554

The importance of bias-adjustment is underlined by comparisons between pDSSAT-AgCFSR and 555 556 *pDSSAT-CFSR*, with the non-bias-adjusted CFSR achieving substantially lower skill over nearly 557 all US rainfed maize regions with particularly low values over the northwest Midwest (from 558 Missouri through North Dakota, Fig. 5a,b). Both CFDs use the same underlying CFSR reanalysis, 559 so differences here are related to monthly mean climate, the imposition of SRB solar radiation, 560 changes in the number of precipitation days, and adjustments to the diurnal temperature range. A 561 similar reduction in skill is seen in LPJmL simulations using the non-bias-adjusted the ERAI 562 reanalysis compared to the WFDEIgpcc, which also is based on ERAI daily sequences (Figs. 5cd). In this case the swath of low-correlation simulations extending from Nebraska to Wisconsin
appears in simulations run with both CFDs, indicating a bias stemming from crop model
configuration rather than the selection of CFDs. Jägermeyr and Frieler, (2018) identified this as a
problem related to erroneous planting dates and cultivars that have been updated in later LPJmL
configurations.

568

569 The ensemble median of *pDSSAT-all* and *LPJmL-all* are highly correlated with NASS county-570 level production for most of the US (Fig. 5e,f). Different regions exhibit strengths and weaknesses 571 for each GGCM, indicating that national level production anomalies are the aggregate across 572 regions with heterogeneous skill. In general, *pDSSAT-AgCFSR* is not substantially different from 573 pDSSAT-all, and LPJmL-WFDEIgpcc is likewise similar to LPJmL-all. This indicates that rainfed 574 maize simulations over the US can utilize one of these CFDs without losing too much information 575 that would otherwise be gained from the full CFD ensemble. AgCFSR, AgMERRA, WFDEIcru, 576 and WFDEIgpcc all capture similarly high levels of correlation for LPJmL and pDSSAT rainfed 577 maize, with CFSR and ERAI (the unadjusted reanalyses) and GRASP showing lower correlations. 578 In some regions the best-performing CFD has higher correlations than the CFD-all median, but 579 *CFD-all* excels at being near the top correlations for all regions.

580

#### 581 5.3 National implications of CFD selection

**Figure 6** displays correlations between detrended FAO national production reports and simulated production (including rainfed and irrigated areas) from 1981-2010. The top 20 producing countries (2013-2017) for maize, wheat, rice, and soybean are shown using the CFD+ ensembles (featuring the largest common subset of GGCMs), allowing us to identify the climate-driven signal

586 (independent of GGCM differences) and its correlation with FAO reports for each country and 587 crop type. We also include the larger AgMERRA-all and WFDEIgpcc-all ensembles to understand 588 the ramifications of including additional GGCMs, *Ensemble*+ to understand how an ensemble of 589 CFDs affects performance for the common GGCM subset, and Ensemble-all for the complete 590 GGCMI Phase 1 set of GGCM-CFD combinations (bolded configurations in Table 2). The final 591 column in Figure 6 shows correlations between the simulation ensembles and the total global 592 production of each crop. Below we highlight the main features of these results, with broader 593 interpretation provided in the discussion section that follows.

594

#### 595 *5.3.1 National maize production anomalies*

596 Simulations of leading national maize producers show statistically significant positive correlations 597 (p<0.05) for many of the top producing countries, indicating that the simulations are capturing a 598 strong climatic signal within the FAO reports (Fig, 6a). The most apparent patterns in correlations 599 come from differences between countries, whereby simulations tend to have similarly high (or 600 low) correlations in all ensembles for a given country. This leads to stark differences between, e.g., 601 Romania (relatively high correlations for nearly all ensembles) and Nigeria (relatively low and 602 insignificant correlations for nearly all ensembles). Due to Serbia's independence and separation 603 from Montenegro in 2006, only 5 years of FAO-reported production overlap with the 1981-2010 604 climatology, despite being a top-producer for maize and soybean in the 2013-2017 period; 605 therefore, correlations for Serbia have been excluded from Figures 6a,d.

606

Bias-adjusted CFDs tend to produce higher correlations in Figure 6 than the raw reanalyses (CFSR
and ERAI) and the GRASP dataset that adjusted according to fixed parameters determined from a

609 previous climatological period. AgMERRA+ and WFDEIgpcc+ are typically among the highest 610 CFD+ correlations. The addition of GGCMs for AgMERRA-all and WFDEIgpcc-all did not show 611 clear benefits over the corresponding AgMERRA+ and WFDEIgpcc-all (correlations improved in 612 10 and 8 of the 19 countries, respectively) This is similar to expectations given that there is a 613 reduced benefit when adding to an ensemble that already has 6 GGCMs unless a unique simulation 614 feature is added, which seems to be the case in Brazil given higher correlations for both although 615 the additional models lower correlations in Nigeria. The ensemble of the GGCM subset and CFDs 616 in Ensemble+ is nearly identical to the full Ensemble-all, with the latter showing higher 617 correlations in 13 of 19 maize countries.

618

619 Several ensembles produce significant correlations with FAO global production reports. These 620 include AgCFSR+, AgMERRA+, ERAI+, WFDEIcru+, WFDEIgpcc+, AgMERRA-all, 621 WFDEIgpcc-all, Ensemble+, and Ensemble-all. WFDEIgpcc-all has the highest global correlation 622 (r=0.682) as well the highest correlation out of all ensembles in 5 of the top 8 maize production 623 countries. AgMERRA-all correlations are significant for 16 of the 19 countries, with significantly higher skill than any other ensemble in the Philippines and Ethiopia. These results highlight the 624 625 potential for broader GGCM application for national and global maize production decision 626 making. *Ensemble-all* had an increase in global correlation (+0.094) compared to *Ensemble+*.

627

#### 628 5.3.2 National wheat production anomalies

Wheat simulations generally have lower correlations than were seen for maize, indicating a comparatively smaller agroclimatic signal or common biases in the structure or configuration of wheat models (Fig, 6b). Correlation levels are once again highly related to the various nations, 632 with simulation ensembles of the top two producing countries, China and India, not significantly 633 correlated to their FAO production statistics (with the exception of WFDEIgpcc-all in China) even 634 as positive correlations dominate most of the other countries. This may be due, in part, to the large 635 area devoted to irrigated wheat in these countries, which lowers the response to drought hazards 636 and therefore overall climate sensitivity. Diseases are also not included in GGCM simulations but 637 can play a major role in wheat breadbaskets (Savary et al., 2019). Intensified systems in the United 638 States, France, Germany, the United Kingdom, and the Ukraine also have mostly insignificant 639 correlations even as weather data are likely of good quality, indicating a large role of irrigation 640 and perhaps a muddled signal in grid cells where both spring wheat and winter wheat is present. 641 GGCMI Phase 1 simulations only ran one wheat season per grid cell, which can miss second 642 season production anomalies and underrepresent vernalization requirement effects. Subsequent 643 GGCMI phases have conducted separate simulations for winter and spring wheat in order to better 644 capture production in regions where both systems are prominent (Franke et al., 2020, 2019; 645 Jägermeyr et al., 2020). Simulations capture high correlations indicating a strong climate response 646 for Australian wheat, which is dominated by rainfed winter wheat demonstrating a strong 647 precipitation response (Fig 3e). Simulated wheat in European countries showed little response to 648 growing season temperature, precipitation, and solar radiation in Fig. 3, however; which is 649 consistent with relatively low national-level correlations to FAOstat.

650

The bias-adjusted CFDs largely outperform the raw reanalyses and GRASP for most wheat countries. *WFDEIgpcc-all* increases correlations for China and Germany in comparison to *WFDEIgpcc+* likely due to high correlations in at least one of the added GGCMs, although a decrease in correlation is seen for Poland and the United States. *AgMERRA-all* similarly improves 655 upon AgMERRA+ correlations in Canada and the Ukraine. Overall, AgMERRA-all and 656 WFDEIgpcc-all both improved correlations in half of the countries. Although the Ensemble+ and 657 Ensemble-all have higher wheat correlations in Pakistan, there is otherwise little difference 658 between AgMERRA+, WFDEIgpcc+, Ensemble+, and Ensemble-all which have significant 659 correlations in 13, 13, 12, and 12 of the top 20 wheat producing countries, respectively. Global 660 wheat anomalies are fairly consistently and significantly simulated by all ensembles, with 661 WFDEIgpcc-all producing the highest global correlation (r=0.603) aided by relatively strong 662 performance in China, Germany, and the United Kingdom.

663

#### 664 5.3.3 National rice production anomalies

Rice simulations have the lowest FAO correlations of the four simulated crops (Fig. 6c). Significant correlations are highest for Japan, which Ray et al. (2015) also noted as being strongly driven by temperature variation, as is also evident in Figure 3. Significant correlations are also broadly seen for Bangladesh, Vietnam, Philippines, United States, North Korea, Egypt and Madagascar, but there are no clear patterns identifying geographic regions with cohesively high or low correlations.

671

Rice is largely irrigated across top producing countries, with a smaller weather signal in interannual yield fluctuations. Yet, insignificant rice correlations in many countries could be an indication of incomplete FAO data, inaccurate CFDs, poor GGCM simulation, or a realistically small agroclimatic response that may reflect regional farming systems or limiting factors beyond direct climate conditions. Ray et al. (2015) and identified that interannual rice variability was driven less by climate than were maize, wheat and soybean, which may also reflect the substantial 678 influence of geopolitical events and socioeconomic limitations in major rice producing countries 679 over the 1981-2010 period that would influence FAO production data. Iizumi et al., (2018) 680 similarly found weak attribution of climate change impacts in long-term rice trends. The 681 simulation ensemble demonstrated only weak response to growing-season mean temperature and 682 precipitation over the major rice baskets of East, South, and Southeast Asia (Fig. 3g-i). These are 683 among the only major breadbaskets in the Tropics, which tend to have lower interannual variability 684 of mean temperature and total precipitation than mid-latitude breadbaskets. These rice areas also 685 have more uncertain climate information (Fig. 2) and have a higher proportion of total production 686 coming from heterogeneous farming systems that are difficult to configure within GGCMs. 687 GGCM configurations may also simulate upland (non-flooded) rice systems in areas where rice is 688 grown in paddies (flooded), and only contain a maximum of one rainfed and one irrigated season 689 even as it is common for some rice-growing areas to have two or three seasons in a given year 690 (e.g., the *aus*, *aman*, and *boro* seasons in Bangladesh). Major flood events that can destroy large 691 rice harvests in the Mekong, Indus, Ganges, and other river basins, as well as the influence of large 692 hurricanes and typhoons, are also not resolved by crop models despite being substantial climate 693 disasters (Lesk et al., 2016).

694

There is no substantial benefit in bias adjustment for national rice applications, with no clear differences in correlation levels between the raw reanalyses (CFSR, ERAI), GRASP, and the other CFDs adjusted to match monthly observations. The bias-adjustments within AgCFSR+, AgMERRA+, WFDEIcru+, and WFDEIgpcc+ (but not Princeton+) lower correlations in Japan, although high correlations are seen when all GGCMs are included in AgMERRA-all and WFDEIgpcc-all. The top two rice production countries, China and India, are only significantly

701 simulated in the AgMERRA+ and AgMERRA-all ensembles. Compared to AgMERRA+ and 702 WFDEIgpcc+, respectively, the additional GGCMs increase correlations for many countries in 703 AgMERRA-all (notably Japan, Vietnam, the Philippines, the United States, and China but not India 704 or Madagascar) and WFDEIgpcc-all (notably Japan and the United States but not Egypt or the 705 Philippines). While the signal was mixed for WFDEIgpcc-all, 14 out of 20 AgMERRA-all country 706 correlations were higher than AgMERRA+, including 10 that increased by  $\geq 0.1$  compared to only 707 2 where correlations dropped by  $\geq 0.1$ . Ensemble+ and Ensemble-all capture many of the stronger 708 correlations from rice simulations, but both also see reductions in some country correlations (e.g., 709 *Ensemble*+ in Vietnam and *Ensemble-all* in North Korea). The highest global correlation is found 710 in AgMERRA-all (r=0.347), aided by higher correlations in China, Vietnam and Thailand, with 711 other ensembles unable to capture significant correlations with global rice production.

712

#### 713 5.3.4 National soybean production anomalies

Soybean simulations have higher correlations overall than rice, with higher producing countries tending to have higher correlations and the lower producing countries tending to not be significantly correlated (Fig. 6d). The highest correlations are associated with the United States, Brazil, Argentina, Paraguay, South Africa and Indonesia, while Ukraine, Bolivia Russia are top-10 high-producing countries where relatively few ensembles capture a significant interannual signal.

720

The bias-adjusted CFDs have a larger number of significant correlations than the raw reanalysis (*CFSR*+ and *ERAI*+) and *GRASP*+ ensembles, which signifies a benefit to bias adjustment particularly in the highest producing countries. *AgMERRA-all* and *WFDEIgpcc-all* have slightly reduced correlations compared to *AgMERRA*+ (lower in 13 out of 19 countries) and *WFDEIgpcc*+
(lower in 11 out of 19 countries) as the inclusion of additional GGCMs reduces the captured
climate signal particularly for China, India, Paraguay, and Uruguay. *Ensemble*+ and *AgMERRA*+
produce a significant correlation in each of the top 7 countries, and *Ensemble-all* loses significant
signals in China, India, and Uruguay.

729

Global correlations are generally positive but weaker than those seen for maize and wheat. Significant correlations are captured by AgCFSR+, AgMERRA+, ERAI+ (top correlation at r=0.416), GRASP+ and Ensemble+. The low global correlation compared to the top countries' high correlation is surprising, possibly indicating inter-breadbasket anti-correlations that act to offset a larger global signal. *Ensemble-all* global correlation is 0.313 lower than for *Ensemble+*, indicating a substantial loss of signal within the additional CFD/GGCM combinations.

736

#### 737 **6. Discussion**

738 The analyses above demonstrate many ways that the selection of CFD strongly influences regional 739 crop production simulations. Although it is not practical to analyze every combination of specific 740 nations, cropping systems and crop model ensemble sets in this manuscript, the examples, 741 approaches, supplementary material, and open data access of the GGCMI Phase 1 dataset provide 742 a roadmap for further analysis. The extent of CFD influence depends on differences between CFD 743 characteristics, crop models' biophysical responses to these differences, attributes of national and 744 global production for each crop species, and the use of multi-GGCM and multi-CFD ensembles. 745 Key findings are discussed below, with additional uncertainties in climate and crop model 746 information described in Supplemental Material S8.

747

748 Regional differences in climate information and responses. CFDs differ most strongly in regions 749 where in situ observations are sparse, inconsistent or incomplete (Figure 2), and can have nearly 750 global differences in distributional or extreme characteristics (Figures 1 and S.3-5). Regional 751 cropping system models have different fundamental responses to climate variability in ways that 752 can make them more sensitive to CFD differences (Figure 3). The selection of CFDs is therefore 753 most influential in regions where agricultural systems respond strongly to a climatic variable with 754 strong observational uncertainties. Further analysis, and indeed GGCM development, is required 755 to investigate cropping system response to variables beyond the growing season mean climate 756 indices, as considerable variance is likely from sub-seasonal patterns, acute heat, drought and flood 757 extremes, severe storms, and connected impacts from sequential or compound hazards (Ben-Ari 758 et al., 2018; Grotjahn, 2020; Li et al., 2019; Raymond et al., 2020; Schewe et al., 2019). 759 Fundamental climate responses also help prioritize observational network and agricultural 760 resilience investments even as interannual response is not always a clear predictor of long-term 761 climate change risks (Ruane et al., 2016).

762

*GGCM/CFD abilities to capture observed interannual variance:* The selection of CFDs is only able to influence a fraction of interannual production variations. GGCMI results (e.g., Figure 6) are broadly consistent with the findings of Ray et al. (2015), who found that climate variation explains only about one third of global observed yield variability, with upwards of 60% of variation explained in some highly intensified breadbaskets and lower fundamental climate responses for rice than maize, wheat or soybean. Lower correlations may also be related to non-representative model configurations, including incorrect planted area fractions which can change from year to

770 year, growing season dates and cultivars (Jägermeyr and Frieler, 2018), the presence of multiple 771 growing seasons (e.g., short and long rains), multi-cropping, sub-grid scale heterogeneity in 772 climate and crop systems, soil types and textures, and alternative irrigation management strategies 773 (Hoffmann et al., 2016; Lopez et al., 2017). High correlations between FAO data and simulation 774 outputs are therefore indicative of strong climate forcing in national production anomalies and an 775 ability of the GGCMs (driven by CFDs) to capture those anomalies. In some cases the GGCMI 776 climate-driven ensemble captures a higher proportion of the FAO production variability that was 777 evident in Ray et al., (2015), including for maize in Mexico, wheat in Iran, rice in Madagascar, 778 and soybean in Paraguay.

779

780 Some crop species and countries are not as clearly limited by climate. GGCMI simulations 781 generally produced the highest FAO correlations for maize, followed by wheat, soy, and rice. For 782 each species there were countries with high and low correlations. High correlations countries tend 783 to feature some combination of large-scale intensified farming, mid-latitude climates, less 784 uncertainty in climate and farm configuration information, and consolidated production regions. 785 Lower correlation countries tend to have a relatively large proportion of heterogeneous and small-786 holder farming systems, are situated in tropical regions with lower interannual variability, and lie 787 in areas with more uncertain climate anomalies and field data (Figure 2). We would expect these 788 process-based crop models to be more climate-limited than observations, as factors not included 789 in the models reduce the coherence with the seasonal climate signal (e.g., sociopolitical events, 790 labor or machine shortages, river floods, pests and diseases) (Ray et al., 2015; van Ittersum et al., 791 2016). Many of these non-climatic impact factors are more widespread in developing countries 792 than in intensified agricultural regions of developed countries (van Bussel et al., 2015).

793

794 Overall performance of CFDs. This study further confirms the utility of climatic forcing datasets 795 for agricultural applications (Toreti et al., 2019) and elucidates ways that CFD differences can 796 affect crop model simulations (Figs. 4, 5, 6). Normalized anomalies between CFDs are larger for 797 precipitation than for temperature, and differences between CFDs are larger for distributional 798 characteristics and extreme events than for mean response (Figs. 1,2). The use of bias adjustment 799 (AgCFSR vs. CFSR and WFDEI vs. ERAI) improved crop model simulation in many regions and 800 countries, while the sequence of sub-monthly weather patterns (AgCFSR vs. AgMERRA) had a 801 smaller impact (Figs. 5,6). The selection of large-scale precipitation datasets (WFDEIgpcc vs. 802 GPCCcru) did not have a substantial overall effect on performance. These conclusions for complex 803 biophysical models are consistent with those found by (Parkes et al., 2019) for empirical models. 804 We advise those planning crop model applications for a given country and crop species to examine 805 Figure 6 to ensure that their CFD is associated with high correlations against FAO production 806 variability.

807

808 Effects of model ensemble statistics. GGCMI uses the 1980-2010 period to benchmark the 809 performance of global gridded crop models (Müller et al., 2017), and this study has further 810 demonstrated the utility of this period to elucidate the strengths and weaknesses of various 811 GGCM/CFD ensembles through comparison against FAO anomalies. Comparing across minimal 812 multi-GGCM ensembles for each CFD+, a major finding is that the difference between countries 813 > difference between CFDs > difference between CFD+ and CFD-all ensembles (the effect of 814 more GGCMs on top of the multi-GGCM ensemble) > difference between Ensemble-all and 815 Ensemble+ ensembles (the effect of adding further GGCM/CFD combinations on top of the multi816 GGCM/multi-CFD *Ensemble*+). Differences between countries emphasizes the importance of 817 improving data collection for climate, soils, cultivars, and field management which can vary 818 widely by nation. Differences between CFDs can be substantial in some parts of the world (Figure 819 2), but our overall finding is that the bias-adjusted datasets (e.g., AgMERRA and WFDEIgpcc) 820 capture the bulk of the signal captured in the GGCMI ensemble. In light of previous AgMIP studies 821 on the benefits of small multi-crop model ensembles (Wallach et al., 2016), we recommend that 822 resources are likely better focused on additional configuration information and the inclusion of a 823 multi-GGCM ensemble (3-7 models) before conducting a multi-CFD ensemble. Here the maize, 824 wheat, and soybean CFD+ ensembles had 7 GGCMs (5 for rice), and the further addition of 825 GGCMs was not consistently helpful to the extent that would justify investment for larger GGCM 826 ensembles (Figures 2, 3, S.2). Given that *Ensemble* + has 56 GGCM/CFD combinations for maize, 827 the lack of clear benefit from the full 91 GGCM/CFD combination Ensemble-all underscores that 828 the full GGCMI ensemble is not typically needed for practical application.

829

830 A number of agricultural system applications stand to benefit from more accurate climate 831 observation, modeling, bias-adjustment, and methods to merge these into CFDs, including 832 seasonal forecasting (Schauberger et al., 2017), disaster preparedness (Cottrell et al., 2019; 833 Jägermeyr et al., 2020; Lunt et al., 2016), climate change resilience (Franke et al., 2019; Hasegawa 834 et al., 2018; Rosenzweig et al., 2014; Ruane et al., 2018; Zhao et al., 2017), and the development 835 of more robust and sustainable markets and farming systems (Snyder et al., 2019; Valdivia et al., 836 2015). A new generation of CFDs are now possible given updated reanalyses (Gelaro et al., 2017; 837 Hersbach et al., 2019) and observational products (Funk et al., 2015; Lange, 2019), which will 838 enable further crop modeling applications (e.g., Iizumi et al., 2017; Lange, 2019b, 2019c). CFD 839 characteristics also propagate into climate scenarios that use the CFD as a bias-adjustment target, 840 so CFD deviations presented in Figure 1 and Figures S.3-5 may help explain differences in regional 841 projections among studies. We include a similar comparison of the W5E5 dataset to the GGCMI 842 CFD ensemble in Supplemental Figure S.5 given its application in forthcoming ISIMIP Phase 3 843 simulations. Improvements in CFDs, and the selection of a CFD particularly suited for a given 844 regional farming system, are therefore important elements of a crop model application even as they 845 are a limited element of broader application improvement efforts. Further opportunities for model 846 development and application motivated by this study are described in Supplementary Material S9. 847

848

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#### **Figures:**





Figure 1: Rainfed maize growing season (1981-2010) mean and extreme climatologies over maize-growing areas (>10 ha) for (left) mean of all climatic forcing dataset (CFD-all) ensemble, (center) deviation of AgMERRA compared 1216 to CFD-all, and (right) deviation of WFDEIgpcc compared to CFD-all. From top to bottom, rows are deviations in 1217 growing season mean temperature (°C), mean precipitation (%), mean solar radiation (MJ m<sup>-2</sup> day<sup>-1</sup>), mean number of 1218 days where Tmax > 35 °C, mean number of days where P > 0 mm/day, mean number of days where P > 20 mm/day. 1219 AgMERRA and WFDEIgpcc are the most commonly simulated CFDs from GGCMI Phase 1; corresponding deviation 1220 maps for other CFDs are shown in Figures S.3-S.5.





Figure 2: Standardized anomalies (unitless) for 1981-2010 rainfed maize growing season (left) and rainfed rice growing season (right) mean (a,b) temperature and (c,d) precipitation (across all climatic forcing datasets) as well as for (d,e) yield (across all GGCMIxCFD combinations). Standardized anomalies are the ratio of (i) the standard deviation of yearly ensemble member anomalies (compared to the ensemble mean) to (ii) the standard deviation of the ensemble mean time series itself. Only regions with >10 ha of harvested area (You et al., 2014) are presented; note that many areas with high standardized anomalies have low planted areas (Figure S1).



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Figure 3: Regional and crop system-dependent GGCM responses to climatic forcing dataset (CFD) growing season anomalies (1981-2010), expressed as Pearson's correlations between the medians of all GGCMxCFD ensemble members (Ensemble-all) compared to the ensemble of all CFDs (CFD-all). Rows are rainfed maize, wheat, rice, and soybean, as well as irrigated maize; columns are growing season mean correlations for temperature (left), 1237 precipitation (center), and solar radiation (right). Only correlations that are significant at p<0.05 level are colored 1238 and hatched areas indicate that 2/3 of GGCMxCFD combinations agree on a significant correlation in the same 1239 direction. Only regions with >10 ha of harvested area (You et al., 2014) are presented.

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1242 1243 **Figure 4**: 1981-2010 correlations (*r*) between the LPJmL GGCM simulation driven by an individual climatic

1244 forcing dataset (CFD) and the ensemble of the simulations using all CFDs (*LPJmL-all*). a) *LPJmL-AgMERRA* 

- simulations vs. *LPJmL-all* for rainfed maize; b) *LPJmL-CFSR* simulations vs. *LPJmL-all* for rainfed maize; c)
- 1246 EPIC\_TAMU-AgMERRA simulations vs. EPIC\_TAMU-all for rainfed maize; d) EPIC\_TAMU-WFDEIgpcc
- simulations vs. EPIC\_TAMU-all for rainfed maize; e) LPJmL-WFDEIcru simulations vs. LPJmL-all for rainfed rice;
  f) LPJmL-WFDEIgpcc simulations vs. LPJmL-all for rainfed rice. Only correlations that are significant at p<0.05</li>
- 1249 level are colored.



1250correlation coefficient1251Figure 5: 1981-2010 correlations (r) between NASS county-level yield observations and GGCM yield simulations1252driven by various CFDs. a) pDSSAT-AgCFSR, b) pDSSAT-CFSR, c) LPJmL-WFDEIgpcc, d) LPJmL-ERAI, and1253median across all GGCM simulations using each CFD e) pDSSAT-all, and f) LPJmL-all. Only regions with >10 ha1254of planted area (You et al., 2014) are presented, and only correlations that are significant at p<0.05 level are colored</td>1255rather than gray.



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Figure 6: Comparison of simulated GGCMI-CFD subset production anomalies with 1981-2010 FAO national production anomalies for the top 20 producer countries (production-ranked from left to right) of a) maize; b) wheat, c) rice, and d) soybean. Thick black lines separate the CFD+ ensembles, CFD-all ensembles, Ensemble+, and Ensemble-all, and the columns showing the top 20 producing countries and the global production response. Symbols indicate levels of significance (filled symbols are significant at 95<sup>th</sup> percentile level, open at 90<sup>th</sup> percentile level) as well as the highest correlation for each country (square indicates highest national correlation was not significant at 1265 90<sup>th</sup> percentile level). Serbia maize and soybean are not shown (colored gray) as Serbia's recent independence 1266 makes for insufficient national production reports from 1981-2010; Ukraine (maize, wheat), Kazakhstan (wheat), 1267 and Uzbekistan (wheat) have only 18 years with FAO statistics available. GSWP3 and PGFv2 are not shown as not 1268 enough GGCMs simulated these CFDs.