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1 **A high-resolution timescale for the Miocene Shanwang diatomaceous shale lagerstätte (China):**
2 **development of Wavelet Scale Series Analysis for cyclostratigraphy**

3

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23

24

25 **ABSTRACT**

26 The Miocene aged Shanwang Formation from the Shanwang National Geopark in China
27 represents a succession of lacustrine diatomaceous shales containing an abundant and diverse biota
28 with lagerstätte fossilization of soft tissues. To date, the Shanwang Formation has not been
29 investigated for cyclostratigraphy nor has it been dated with high precision methods. Now we use
30 thorium data as a paleoenvironmental and paleoclimatic proxy to conduct a detailed
31 cyclostratigraphic analysis. A new and simple cyclostratigraphic method, Wavelet Scale Series
32 Analysis (WSSA), using the Wavelet Analysis toolbox in Matlab, is developed to recognize
33 Milankovitch cycles. A total of three short eccentricity and fifteen precession cycles are identified;
34 obliquity cycles are not apparent. In the sedimentary succession, the corresponding precession and
35 short eccentricity cycles are 1.17 m and 4.98 m thick respectively, with this verified by Correlation
36 Coefficient (COCO) analysis and Multitaper-Method (MTM) spectral analysis. We estimate the
37 studied interval was deposited over a duration of 0.3 Myr with a depositional rate of c. 5.7 cm/kyr.
38 Paleomagnetic and radio isotope dating data shows that the diatomaceous shale was deposited during
39 Chron C5En, which places it at approximately 18.5 Ma during the Burdigalian stage of the Early
40 Miocene, rather than in the Middle Miocene as previously thought. The Shanwang lagerstätte biota
41 therefore predates the Middle Miocene Climate Optimum (MMCO) and did not form within it. The
42 geological time scale with a high resolution of 20 kyr was set accordingly.

43

44 **Key words:** Milankovitch cycles, Spectral analysis, Geochronology, Chron C5En, Burdigalian

45

46 **1. INTRODUCTION**

47

48 The Shanwang Formation in the Shanwang National Geopark (Shandong Province, E China)
49 comprises a succession of diatomaceous shales that formed in a small inland basin during the
50 Miocene (Liang et al., 2003). The Shanwang site is world famous because of the diversity of fossils
51 it contains, and because of their exceptional preservation, representing a rare occurrence of
52 lagerstätte grade fossilization of soft tissues (e.g., Seilacher et al., 1985) from the Miocene (Yang and
53 Yang, 1994). This can be compared favorably with Germany's famous Jurassic aged Solenhofen
54 Limestone site in terms of its scientific importance (Holden, 2001).

55 The majority of past research on the Shanwang Formation has focused on taxonomic and
56 systematic investigations of its fossils that have supported various palaeoecological and
57 palaeoclimate investigations (e.g., Yang and Yang, 1994; Sun et al., 2002; Liang et al., 2003). Li et al.
58 (1984) used the Shanwang fauna to define one of the Eastern Asian Land Mammal Ages of the
59 Neogene, designating from it the Shanwangian Stage that has been widely adopted by
60 paleontologists subsequently. He et al. (2011) undertook $^{40}\text{Ar}/^{39}\text{Ar}$ dating of the basalts in the
61 Shanwang Basin and determined those below the Shanwang Formation formed at 21.0 ± 2.5 Ma with
62 a total age range of 18.5–23.5 Ma, while those above the Shanwang Formation formed at 17.3 ± 1.4
63 Ma with a total range of 15.9–18.7 Ma. These radiometric age ranges are broad and lack precision
64 for determining the absolute age and rates of deposition for the Shanwang Formation. Research into
65 Milankovitch cyclicity has not been undertaken thus far on the Shanwang Formation, nor has a
66 high-resolution time scale for the succession been established. This restricts deep time climate
67 studies of this stratigraphic interval to some extent, although more recently new palaeoclimate
68 classifications have been proposed to bridge the gap between modern and deep time climate studies

69 (e.g., Zhang et al., 2015).

70 As a newly developed non-biostratigraphic dating tool, cyclostratigraphy can provide a
71 high-resolution astronomical time scale (ATS) by tuning the cyclic stratigraphic records to
72 astronomical solutions (Hinnov and Ogg, 2007; Hilgen et al., 2010; Batenburg et al., 2012; Wu et al.,
73 2014). Here we present a high-resolution cyclostratigraphic analysis of the lagerstätte-bearing
74 diatomaceous shales in the Shanwang Basin for the first time, using thorium (Th) logging data. The
75 main objective of the present contribution is to provide a high-resolution geological time scale for
76 the diatomaceous shales in order to precisely date the Shanwang Formation, and to determine the
77 duration of its accumulation.

78

79 **2. LOCATION AND GEOLOGICAL SETTING**

80 The Shanwang Basin (36°N, 118°E) is located 22 km northeast of Linqu County in the centre of
81 Shandong Province, eastern China, adjacent to the Tan-Lu Fault Zone (Fig. 1a). It is a small maar
82 lake basin formed in a volcanic crater that is oriented from northwest to southeast with an area less
83 than 1 km² (Zhang and Shan, 1994).

84 The Miocene sediments in the basin from the bottom to the top comprise the Niushan,
85 Shanwang and Yaoshan formations. The thickness of each of the Niushan and Yaoshan formations
86 are over 100 m, while the total thickness of the Shanwang Formation is ~100m. The Shanwang
87 Formation is divided into six units. These are a yellow sandstone and tuff breccia at the bottom
88 (Unit1), which only occur at the edges of the basin and have an unconformable contact with Niushan
89 Formation. The middle part of the formation (Unit 2) comprises gray and white diatomaceous shale,
90 interspersed with multiple layers of tuff, phosphorus nodules and marl. The upper part of the

91 Formation (Units 3–6) comprises green mudstone, brown carbonaceous mudstone, interspersed with
92 two layers of basalt and apical sandstone (Fig. 1b). Our study deals with unit 2 from the Shanwang
93 Formation, which is the main diatomaceous succession containing abundant and well-preserved
94 fossils that comprises the principle unit of the fossil lagerstätten (Fig. 1c). The main lithology of the
95 studied section is diatomaceous shale, which is mainly composed of siliceous diatom and radiolarian
96 fossils, in addition to clay minerals and organic matter (Qin et al., 2004; Yu et al., 2017). The color of
97 the diatoms and radiolarians is typically white and brighter than that of the clay and organic matter.
98 Consequently, sediment color depends largely on the siliceous microfossil content; the more diatoms
99 and radiolarians are present, the brighter colored the sediment becomes (Fig. 2).

100

101 Approximate position of Fig. 1

102 Approximate position of Fig. 2

103

104 **3. DATA AND METHODS**

105

106 **3.1. Data Collection and Selection**

107 Data most commonly used to study Milankovitch cycles in sediments are usually from
108 lithological outcrop or borehole cores. Methods typically use colour variation (e.g. gray values from
109 profile images), gamma ray logs, magnetic susceptibility, Total Organic Carbon (TOC) content, or
110 carbonate content of samples amongst other proxies (e.g., Prokoph and Barthelmes, 1996; Husson et
111 al., 2011; Batenburg et al., 2012; Pas et al., 2018). For this study, spectral gamma-ray (SGR) values
112 were measured in situ with a portable “GS-512” gamma spectrometer with evenly spaced sampling

113 intervals of 5 cm and vertically through the measured profile of Unit 2. This method is a quick and
114 simple, yet powerful technique to better correlate well data with surface geology (Aigner et al.,
115 1995).

116 SGR data relating to the amount of radioactive potassium (K), uranium (U) and thorium (Th) in
117 rocks have been widely used in paleoclimatic and paleoenvironmental research (Wu et al., 2009;
118 Zhang et al., 2010). Levels of K, U and Th vary within sedimentary rocks. K is common in
119 sediments containing feldspar, mica, clays or salts, while U and Th have a number of host minerals in
120 sedimentary rocks including clays, feldspars, heavy minerals, phosphates and organic matter. K is
121 leached from feldspars and muscovite during kaolinite formation under conditions of hot and humid
122 climates, while Th is at least partially insoluble and concentrates during weathering (Parkinson, 1996;
123 Osmond and Ivanovich, 1992). U is more soluble than Th and thus prone to mobilisation during
124 leaching and clay mineral diagenesis.

125 Th logging data are considered to be more stable than K and U, and have been widely used as a
126 palaeoclimate indicator in previous studies. For example, Wu et al. (2013) used the Th logging data
127 from the Yaojia Formation to track lithological change, with high Th occurring in mudstones and low
128 Th in sandstones. In lacustrine sediments, higher Th values are related to higher content of clay
129 minerals and organic carbon, which may have resulted from wetter and warmer climate conditions.
130 Wet periods may have enhanced chemical weathering and clay mineral inputs, nutrient input and
131 higher productivity in the paleolakes, resulting in high values. Conversely, decreased chemical
132 weathering during dry periods may correspond to the negative peaks of Th values (Wu et al., 2009;
133 Wang et al., 2013). Wu et al. (2014) also used thorium (Th) logging data as a paleoenvironmental and
134 paleoclimatic proxy to conduct a detailed cyclostratigraphic study of the core from well SK-1n in

135 Songliao Basin northeastern China. For these reasons, the Th data series was selected in this study as
136 the most reliable paleoclimatic and paleoenvironmental proxy for cyclostratigraphic analysis (Fig.
137 3a).

138

139 **3.2. Data Analysis Method (WSSA)**

140 In the development of cyclostratigraphy, various different methods for spectral analysis have
141 been used to extract Milankovitch cyclicity within a time series of different geological signals,
142 including Fourier Transform (Park and Herbert, 1987; Wu et al., 2014), WALSH transform (Weedon,
143 1986, 1989), maximum entropy spectrum analysis (Hinnov and Goldhammer, 1991; Dimri and
144 Prakash, 2001), and wavelet analysis (Prokoph and Barthelmes, 1996; Torrence and Compo, 1998).
145 Other methods including the neural estimator, PCA-Music method and the genetic algorithm (GA)
146 have also been introduced into this area (Tagliaferri et al., 2001), as have new methods or computer
147 packages such as the COCO (Correlation Coefficient) and MTM (Multitaper-Method spectral
148 analysis) (Thomson, 1982; Paillard et al., 1996; Ghil et al., 2002; Li et al., 2018).

149 Wavelet transform theory is a new signal processing technology developed in recent decades
150 (Mallat, 1989; Chui, 1992). Because of its high resolution in both time and frequency domains, it is
151 informally termed the "mathematical microscope" and widely used in numerical signal processing
152 fields (James and Michael, 2006; Yu et al., 2010). As a method, it has been applied to the recognition
153 of Milankovitch cycles in different ways by previous researchers (e.g. Prokoph and Agterberg, 1999,
154 2000; Yu et al., 2008; Batenburg et al., 2012). There are two kinds of wavelet transform, namely, the
155 Continuous Wavelet Transform (CWT) and Discrete Wavelet Transform (DWT). CWT involves a
156 transform from a one-dimensional time series (or frequency spectrum) to a diffuse two-dimensional

157 time–frequency image of the wavelet coefficient called scalogram, produced through the convolution
 158 of a basic wavelet function $\Psi_{a,b}(x)$, with the analyzed signal $f(x)$, a , b representing the scale factor
 159 and shift factor of the basic wavelet function respectively (Fig. 3b; Eq. 1). Usually the Morlet
 160 wavelet is selected as the basic wavelet function in CWT, because the shape of this basic function is
 161 similar to a sinusoid function, which allows interpretations of repeat time series and its sinusoidal
 162 shape makes it particularly suitable for sedimentary cycles without well-defined shapes (Prokoph and
 163 Agterberg, 2000). CWT was once regarded as an interesting diversion that produces colorful pictures
 164 that were purely qualitative results because of the misconception of CWT itself. Here we propose a
 165 new quantitative method, Wavelet Scale Series Analysis (WSSA), to extract periodic information
 166 within time series of paleoclimate proxies, and apply this to the recognize Milankovitch-cycle from
 167 Thorium data. This method requires the following six steps.

168

169 (1) Do CWT to calculate the wavelet coefficient matrix of a signal $f(x) \in L^2(R)$:

$$170 \text{CWT}_{a,b} = \int_R f(x) \overline{\psi_{a,b}(x)} dx = |a|^{-\frac{1}{2}} \int_R f(x) \overline{\psi\left(\frac{x-b}{a}\right)} dx, \quad (1)$$

171 to get the wavelet coefficient matrix $C_{a,b}$:

172

$$173 C_{a,b} = \begin{bmatrix} C_{a_1b_1} & C_{a_1b_2} & \cdots & C_{a_1b_n} \\ C_{a_2b_1} & C_{a_2b_2} & \cdots & C_{a_2b_n} \\ \vdots & \vdots & \ddots & \vdots \\ C_{a_nb_1} & C_{a_nb_2} & \cdots & C_{a_nb_n} \end{bmatrix}. \quad (2)$$

174

175 (2) Calculate the absolute value of the wavelet coefficient matrix to form a new matrix:

176

177

$$C_{i,j} = |C_{a,b}| = \begin{bmatrix} |C_{a_1b_1}| & |C_{a_1b_2}| & \cdots & |C_{a_1b_n}| \\ |C_{a_2b_1}| & |C_{a_2b_2}| & \cdots & |C_{a_2b_n}| \\ \vdots & \vdots & \ddots & \vdots \\ |C_{a_mb_1}| & |C_{a_mb_2}| & \cdots & |C_{a_mb_n}| \end{bmatrix}. \quad (3)$$

178

179 (3) Calculate the mean value of each row of the new matrix to get a vector, a scale series:

180

181

$$\bar{C}_i = \begin{bmatrix} \sum C_{1j}/n \\ \sum C_{2j}/n \\ \vdots \\ \sum C_{mj}/n \end{bmatrix} = \begin{bmatrix} \bar{C}_1 \\ \bar{C}_2 \\ \vdots \\ \bar{C}_m \end{bmatrix}. \quad (4)$$

182

183 (4) Locate the extreme points of the scale series to find the predominant scale needed.

184

185 (5) The scales corresponding to the extreme points represent the predominant scales (or cycles) and
 186 the specific thickness values can be obtained according to the relationship between scale and
 187 frequency in wavelet analysis (see Eq. 5).

188

$$F_a = \frac{F_c}{a \cdot \Delta}. \quad (5)$$

189 where a is the scale factor, Δ is the sampling period, F_c is the center frequency of the wavelet, and F_a
 190 is the quasi-frequency at a . F_a can be regarded as the real frequency of a within a tolerant error range.
 191 F_a can be the number of cycles within a thickness unit if Δ is the sampling interval in thickness.

192 Morlet wavelet is used as the mother wavelet in our study, so $F_c = 0.8125$ Hz. The reciprocal of F_a is
 193 the predominant cycle we want to find.

194

195 (6) The number of the sinusoidal wave in the wavelet coefficient at the predominant scale can be
 196 counted.

197

198 **4. RESULTS**

199

200 **4.1. WSSA Results**

201 In this study, the WSSA method was undertaken in the Matlab platform and applied to the Th
202 data series from the studied section. Five extreme points within the scale series have been recognized:
203 $a_1 = 3$, $a_2 = 6$, $a_3 = 19$, $a_4 = 81$, and $a_5 = 114$ (Fig. 3c).

204

205 Approximate position of Fig. 3

206

207 Periods of the Milankovitch cycles (~20 kyr precession, 40 kyr obliquity, 100 kyr short
208 eccentricity, and 400 kyr long eccentricity) and their duration ratio (~1:2:5:20) are relatively stable in
209 any particular geological interval. Matching the thickness of sedimentary cycles with Milankovitch
210 cycles is a widely accepted method to determine whether the sedimentary unit is affected by the
211 astronomical orbital forces (Hinnov, 2000; Weedon, 2003). According to the method for the
212 recognition of Milankovitch cycles, there are two equally possible matching schemes to fit the
213 Milankovitch syndrome. The first is at the scale of $a = 3$ (a_1) and $a = 6$ (a_2), implying a 1:2 ratio,
214 corresponding to the ratio between precession and obliquity cycles. The second is at the scale of $a =$
215 19 (a_3) and $a = 81$ (a_4), implying a 1:4.3 ratio, corresponding to the ratio between precession and
216 short eccentricity cycles. When we enter $a = 3$ and $a = 6$ into Equation (5), periodic thicknesses for
217 the precession cycles of 0.18 m and obliquity cycles of 0.37 m are obtained. This would imply a
218 duration for the entire 17 m thick studied section of about 2 Myr. A 2 Myr age range for the studied

219 section conflicts not only with the radio isotope dating results (He et al., 2011), but also with the
220 results of our own paleomagnetic analysis. In the studied section, our palaeomagnetic analysis
221 indicates that only a normal polarity intervals exist, but at no point during the Miocene does a normal
222 polarity interval last as long as 2 Myr (see below). However, when we enter values of $a = 19$ and $a =$
223 81 into Equation (5), periodic thicknesses for the precession cycles of 1.17 m and eccentricity of 4.98
224 m are obtained, and these values are much more reasonable. In this case, the deposition of the studied
225 section would have taken about 0.3 Myr, equivalent of 15 precession cycles. We therefore conclude
226 that the Th signal at the scale $a = 19$ and $a = 81$ reflects the precession and short eccentricity cycles
227 in the Shanwang Formation. The various cycles and their duration of accumulation in terms of
228 precession and eccentricity ($a = 19$ and $a = 81$) are shown in Figure 4.

229

230 Approximate position of Fig. 4

231

232 **4.2. Results with Parallel Methods**

233

234 In order to verify the validity of the WSSA method, the Correlation Coefficient (COCO) and
235 Multitaper-Method spectral analysis (MTM) methods were also applied to the detrended Th series to
236 test the robustness of the evidence in our cyclostratigraphic interpretation. These analyses were
237 performed with the computer routine ACycle 0.2.5 (Li et al., 2018). Long-term trend removal was
238 needed in order to avoid distortion of the low-frequency section of the spectrum using a “Lowess
239 smoother” (smoother = 50%). COCO analysis of the detrended Th series of the diatomaceous shale
240 indicates the mean sedimentation rate at 4.8 cm/kyr is the optimal result, the correlation coefficient

241 of which is the largest and exceed the critical significance level (Fig. 5a-c). The MTM power
242 spectrum of the detrended Th series from the diatomaceous shale shows an obvious peak of 5.06 m
243 (Fig. 5d), which is consistent well with the cycles recognized by our WSSA method (4.98 m).
244 Combined with the sedimentation rate (4.8 cm/kyr) determined from the COCO analysis, the cycle of
245 5.06 m is concluded as representing the short eccentricity cycle (105 kyr). Unfortunately, the peaks
246 of 0.83 m and 1.12 m (representing the precession cycle based on the sedimentation rate of 4.8
247 cm/kyr) are distinguishable but with a low value; confidence levels of these spectral peaks show
248 minor values below 90%. This is a common phenomenon for which possible reasons for the low
249 confidence levels include variations in accumulation rate, bioturbation, undetected hiatuses, or the
250 possibility that a precession signal is not actually present in the Th series (Weedon, 2003). Overall,
251 this result is consistent with the cycles recognized by our WSSA method. Slight differences in the
252 average deposition rates (4.8 cm/kyr by COCO and 5.7 cm/kyr by WSSA) may be due to different
253 mathematical algorithms. This is an uncommonly high sedimentation rate, but is not exceptional for
254 lacustrine settings. A high sedimentation rate for the Shanwang Formation has previously been
255 postulated by other researchers (e.g., Tian et al., 2015) on the basis of the extremely good
256 preservation of the fossils, enhancing their preservation potential through sedimentary obrution
257 (rapid burial or smothering event).

258 By comparison, it was found that the same results can be reached by both the WSSA and
259 parallel methods, and there are fewer redundant peaks in the WSSA results for final selection.
260 Furthermore, it is easier to implement WSSA method as no additional routine packages required to
261 undertake the analysis.

262

263 Approximate position of Fig. 5

264

265 **5. PALEOMAGNETIC ANALYSIS**

266 In addition to the cyclostratigraphic analysis, we investigated whether the age data thus obtained
267 for the deposition of unit 2 of the Shanwang Formation fits with the established paleomagnetic
268 context of the Miocene. A detailed paleomagnetic survey was carried out on the basis of 93 oriented
269 samples with a size of 30 cm × 23 cm × 30 cm, which had a total weight of ~3 tons and spanned
270 almost the entire section under study. Samples were collected using an electric saw. As the tectonic
271 dip of the Shanwang Formation is less than 5°, implying a near-horizontal position, the orientation of
272 the samples could be marked by indicating the direction of the magnetic North Pole on the bedding
273 surface of each sample.

274 From the 93 samples, 31 fairly evenly spaced specimens were selected; these were cut into 167
275 smaller oriented pieces with sizes of 2 cm × 2 cm × 2 cm each for geomagnetic measurements. The
276 measurements were performed in the Paleomagnetism and Geochronology Laboratory (SKL-LE) of
277 the Institute of Geology and Geophysics, Chinese Academy of Sciences. Systematic demagnetization
278 of all samples was done using a three-axes low-temperature superconducting magnetometer 2G760
279 and a stepwise progressive alternating demagnetization method. The twelve demagnetization
280 intensity steps were set at 0, 5, 10, 15, 20, 25, 30, 40, 50, 60, 70, 80 mT. The original
281 demagnetization data were converted with data conversion tools, then processed with PMGSC4.2
282 software and finally presented in terms of the Zijderveld graphic method. The demagnetization
283 curves of most of these samples were found to yield a stable and reliable character remanence at the
284 fifth demagnetization step (20 mT). The declination and inclination curves of the effective samples

285 show that the entire profile of the diatomaceous shale part of the Shanwang Formation has a normal
286 polarity with stable declination and inclination (Fig. 6).

287

288 Approximate position of Fig. 6

289

290 **6. CHRONOSTRATIGRAPHIC IMPLICATIONS**

291

292 We combined the above geomagnetic polarity result with the results from Fang et al. (1980) to
293 construct a geomagnetic polarity column (Fig. 7, middle). This presents, from bottom to top, the
294 lower part of the Niushan Formation with a normal polarity (N1), the upper part of the Niushan
295 Formation with a reversed polarity (R1), the main part of the Shanwang Formation with a normal
296 polarity (N2), the top part of the Shanwang Formation with a reversed polarity (R2), and the Yaoshan
297 Formation with a normal polarity (N3).

298

299 Approximate position of Fig. 7

300

301 Constrained by the $^{40}\text{Ar}/^{39}\text{Ar}$ dating results of He et al. (2011) (Fig. 7, left), who attributed an
302 age of 17.3 ± 1.4 Ma to the lower part of the Yaoshan Formation, an age of 17–18 Ma to the lower part
303 of the Shanwang Formation, and an age of 21.0 ± 2.5 Ma to the top of the Niushan Formation, the
304 Shanwang Formation can be correlated with the international GPTS (Ogg, 2012) (Fig. 7, right). It
305 was thus found that there are only two reasonable matching modes, that is, matching N2 with either
306 subchron 5Dn or subchron 5En of Chron 5 (Fig. 7). Considering that the duration of 0.298 Myr of

307 subchron 5Dn is shorter than the above WSSA results of the studied section, we consider it
308 appropriate to correlate N2 in the Shanwang Formation with subchron 5En. This implies that
309 deposition of the Shanwang Formation started about 18.5 Ma (Fig. 7).

310 According to Gradstein and Ogg (2004), the Early Miocene comprises the Aquitanian and
311 Burdigalian stages, whereas the Middle Miocene comprises the Langhian and Serravallian stages,
312 and the Late Miocene the Tortonian and Messinian stages. Using the current radiometric stage ages
313 (GTS 2018), the Early Miocene lasted from 23.03 to 15.97 Ma, the Middle Miocene from 15.97 to
314 11.63 Ma, and the Late Miocene from 11.63 to 5.33 Ma (Cohen et al., 2013: updated in 2018-08).
315 Consequently, the Shanwang Formation must have formed during the later part of the Early Miocene
316 during the Burdigalian stage, and not during the Middle Miocene as previously suggested (Sun et al.,
317 2002; Liang et al., 2003; Zbynek Rocek et al., year). In this stratigraphic context, the Shanwang
318 lagerstätte biota therefore predates the Middle Miocene Climate Optimum (MMCO), in which the
319 initial warming began ca. 18 Ma (Harris et al., 2017) with a peak at ca. 17–14.75 Ma (Zachos et al.,
320 2001). It was therefore not deposited during the onset or main period of the MMCO as previously
321 considered (e.g., He et al., 2011). However, we note that different age ranges for the MMCO have
322 previously been published, including estimates of 16–14 Ma (Song et al., 2018), 16–14.8 Ma (Flower
323 and Kennett, 1994) and 17–15 Ma (Wan et al., 2009). With deposition of the studied section starting
324 at approx. 18.5 Ma and lasting 0.3 Myr, we conclude that the Shanwang Formation predates each of
325 these estimates for the MMCO.

326 According to recent research the age range of European Mammalian Zone MN4 is from
327 17.2–16.4 Ma and the age range of MN3 is ca. 19.5–17.2 Ma (Jovells and Casanovas, 2018). This is
328 not very different from the ages determined by Larrasoña et al. (2006) who located the upper

329 boundary of MN3 at 16.8–17 Ma, and the lower boundary of MN3 at ca. 20.1 Ma. We conclude that
330 the biota in the Shanwang Formation should be correlated stratigraphically to European Mammalian
331 Zone MN3, rather than to MN5 or MN4 as suggested by Deng et al. (2003).

332

333 **7. CONCLUSIONS**

334 Our detailed cyclostratigraphic study based on thorium data shows that the sedimentation of the
335 Miocene diatomaceous shale in the Shanwang Basin was driven by the precession and short
336 eccentricity astronomical cycles. A total of three short eccentricity and fifteen precession cycles have
337 been identified. The studied interval of the succession can be estimated to have lasted 0.3 Myr with a
338 depositional rate of about 5.7 cm/kyr. Paleomagnetic analysis limited the formation time of the
339 Shanwang Formation to 18.5 Ma, giving a non-floating geologic time scale of the succession with a
340 resolution as high as 20 kyr. Based on these results, we think that the Shanwang lagerstätte biota was
341 not deposited during the onset or main period of the Middle Miocene Climate Optimum (MMCO),
342 but was deposited ahead of it.

343 The Wavelet Scale Series Analysis (WSSA) method derived from the so called ‘mathematical
344 microscope’ wavelet analysis can overcome the redundancy of CWT in recognizing the predominant
345 periodicity within one-dimensional signals and serve as a practical solution for identifying
346 Milankovitch cycles from geologic time series. .

347

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354

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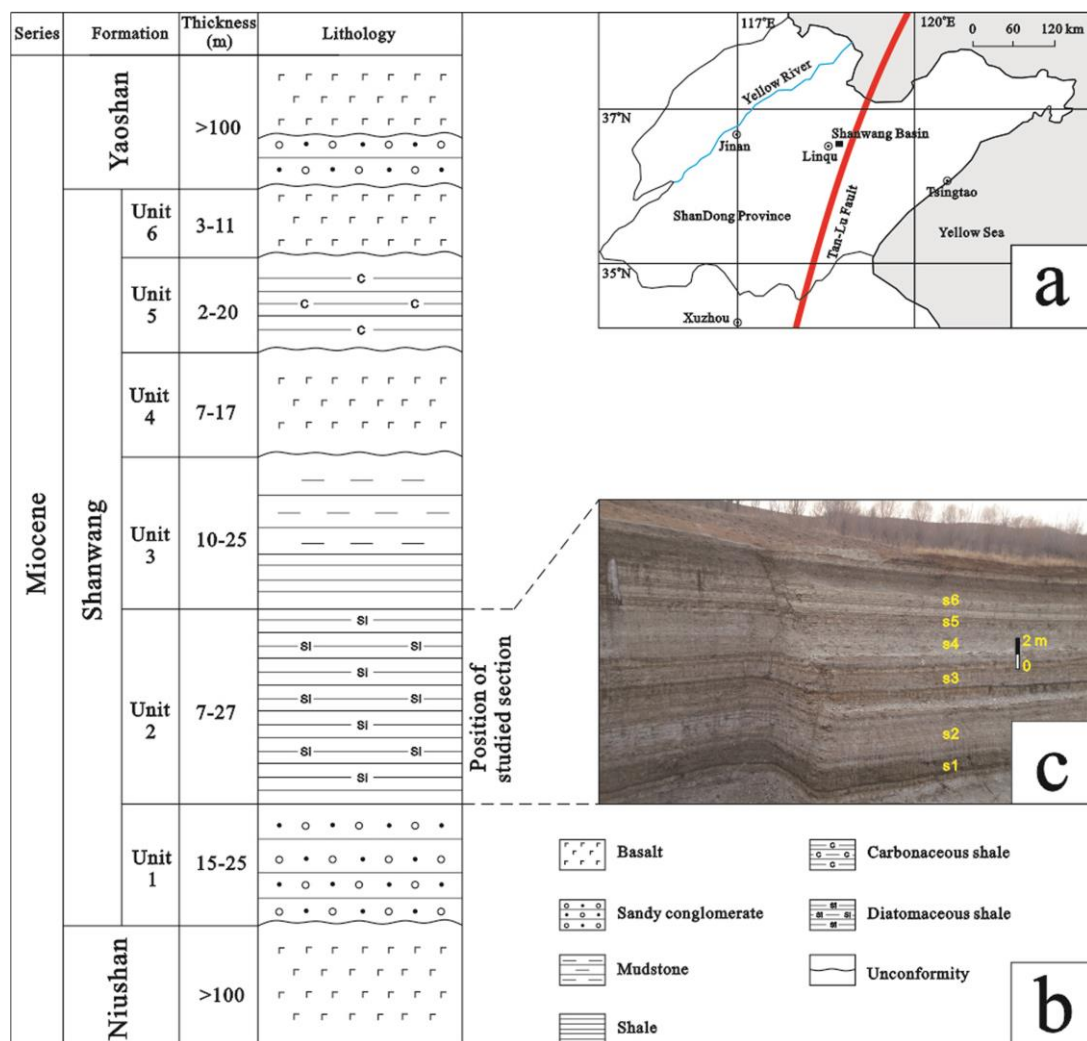
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509 FIGURES

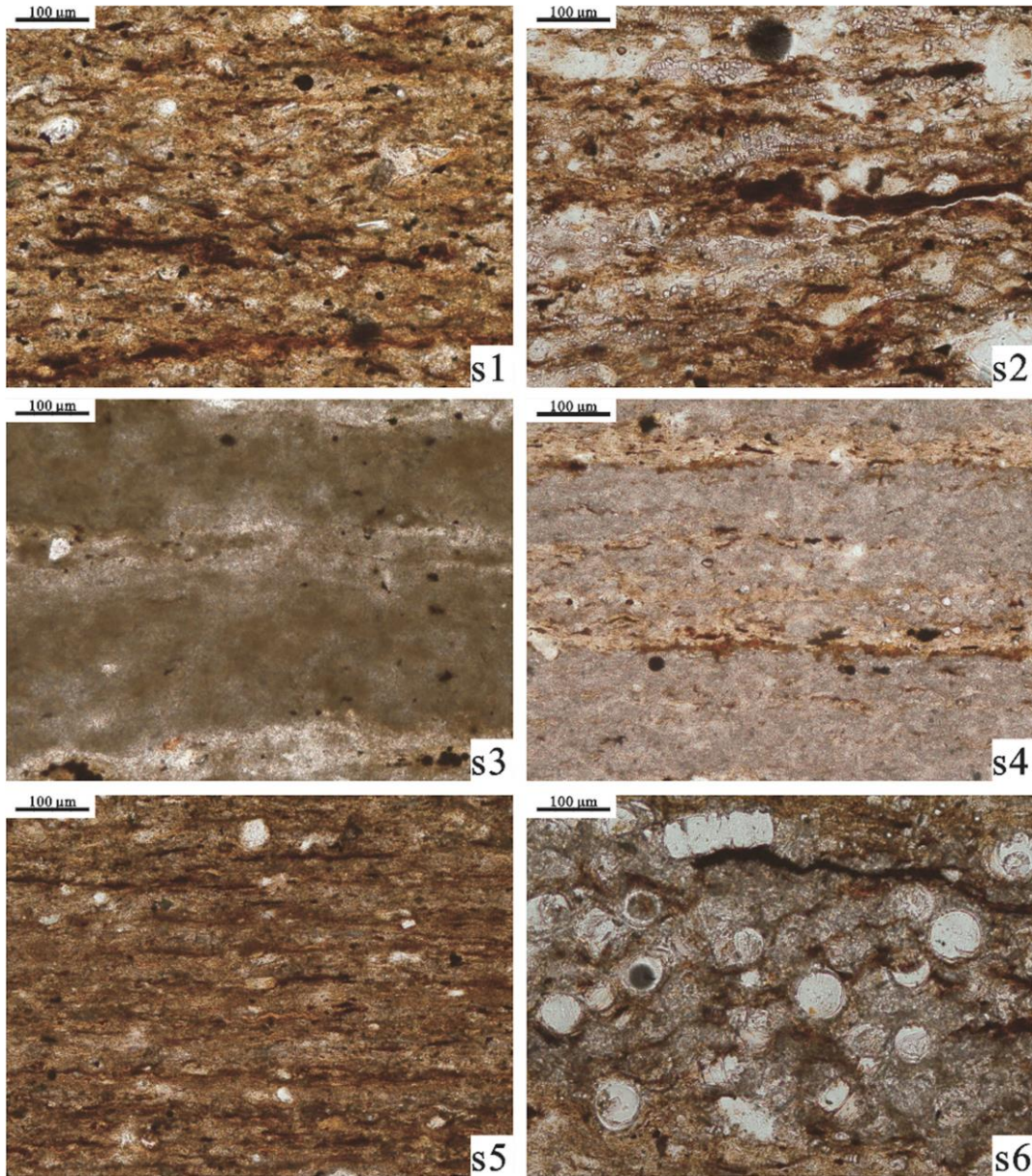


510

511 **Fig. 1.** (a) Location of the Shanwang Basin in Shandong Province (E. China). (b) Sedimentary
512 succession of the Shanwang Formation in Linqiu (modified from the Fourth Geological Prospecting
513 Institute of Shandong 2002 and Liang et al., 2003). (c) Outcrops of the studied section. s1-s6:
514 positions of the samples from which the thin sections in Fig. 2 were prepared.

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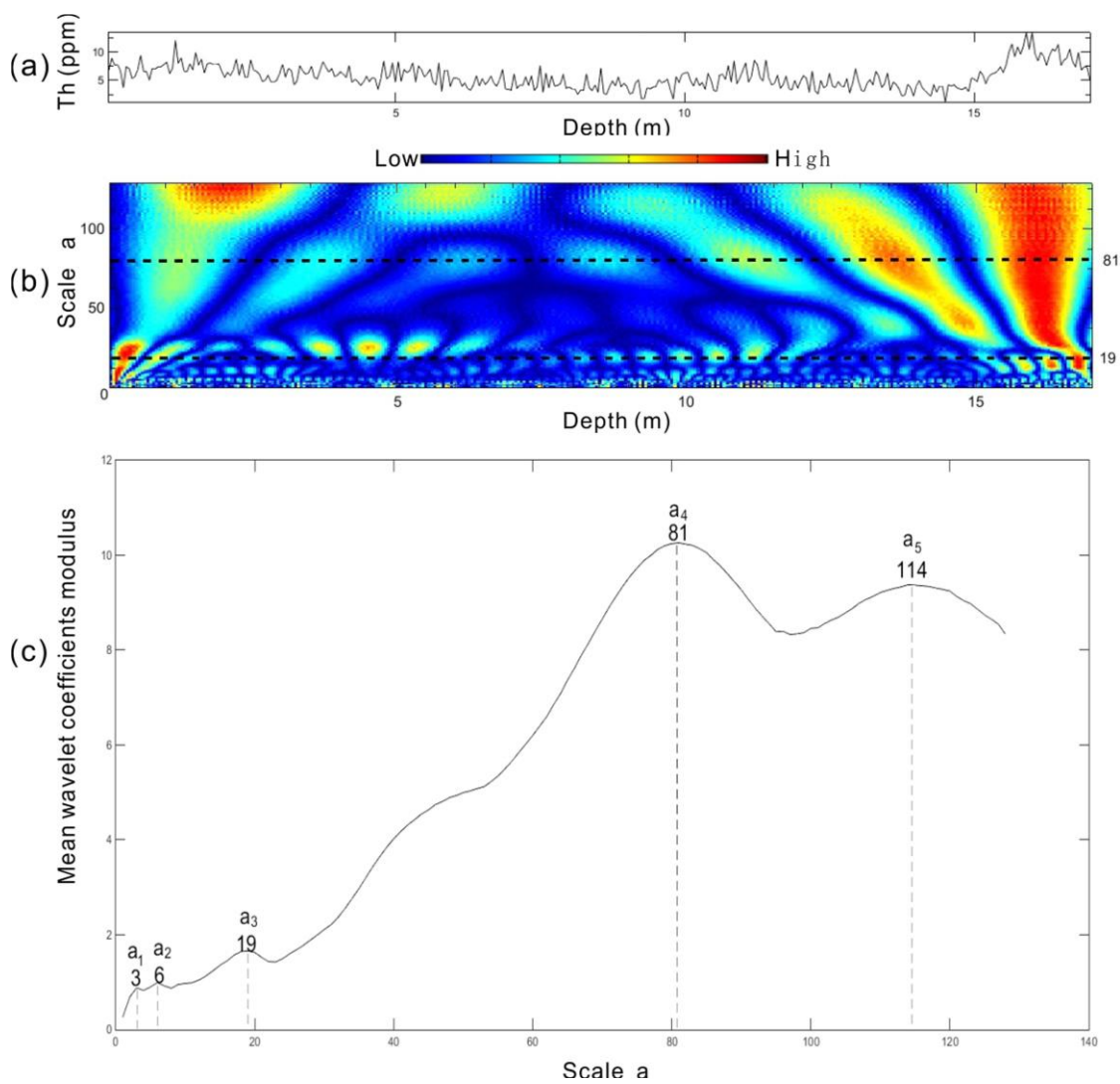
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517

518 **Fig. 2.** Thin section photomicrographs of the diatomaceous shales in plane polarized transmitted
 519 light. (s1) Dark clay layer with small amount of bright diatoms. (s2) Bright diatom layer with small
 520 amount of clay. (s3) Thick layer of dark organic matter with thin layer of bright diatom. (s4) Thick
 521 layer of bright diatom with thin layer of dark clay. (s5) Dark clay layer with small amount of bright
 522 diatoms. (s6) Bright diatom layer with small amount of clay. For sample locations, see Fig. 1.

523

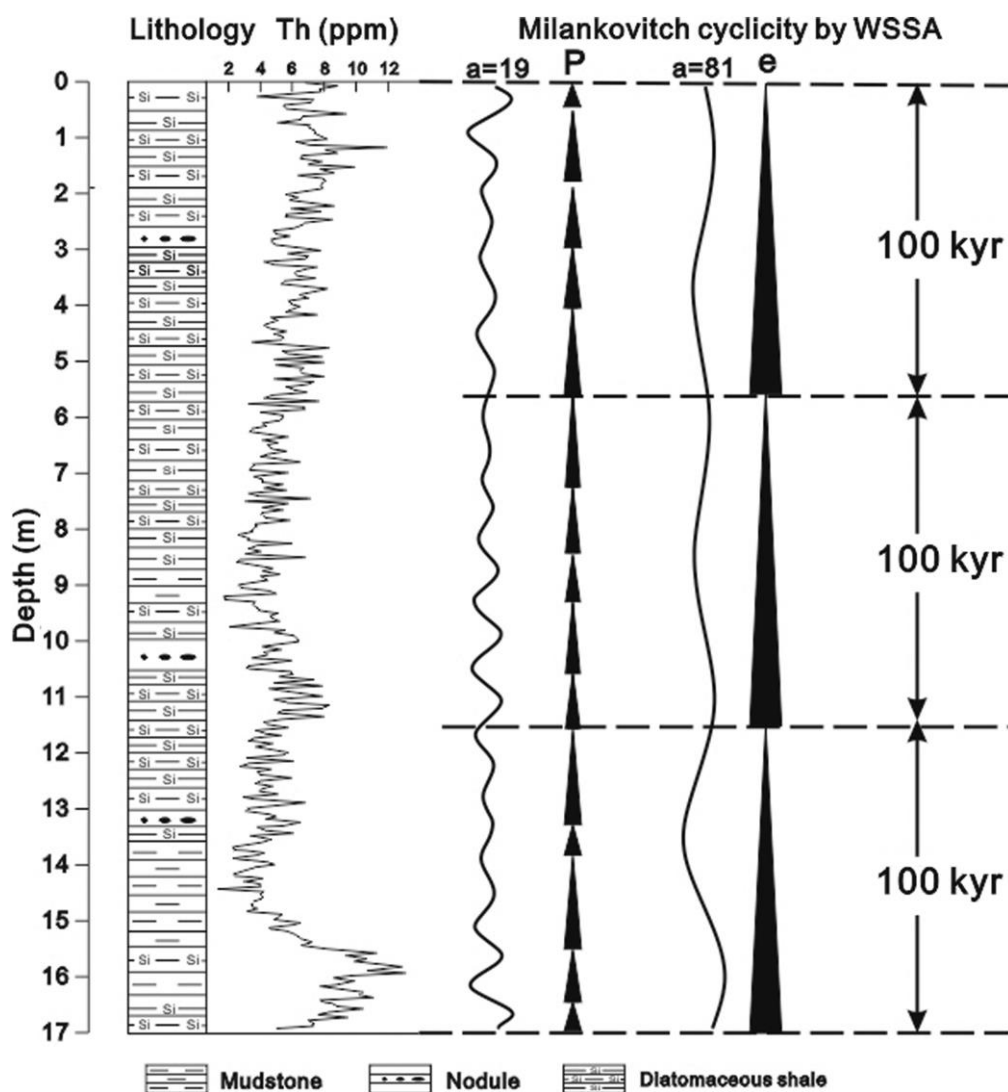


524

525 **Fig. 3.** WSSA result. (a) Raw thorium (Th) (in ppm) data. (b) CWT scalogram of the Th series in the
 526 studied section. The blue color represents low values and red high values for the wavelet coefficients

527 at different scale and depth. (c) Wavelet scale series curve of the Th series in the studied section.

528

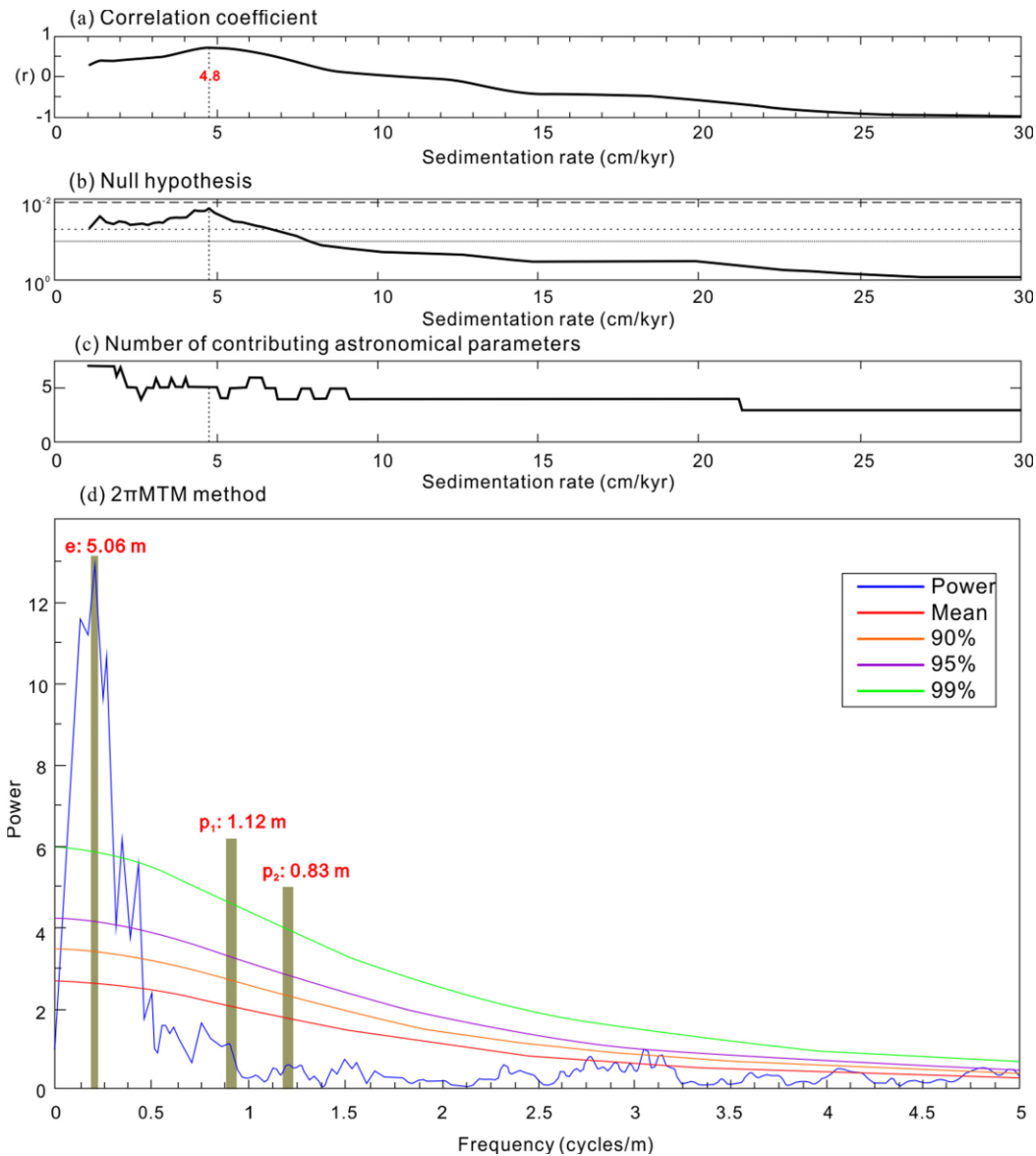


529

530 **Fig. 4.** Sedimentary log of the studied section with concentration of thorium (Th) (in ppm) and

531 corresponding Milankovitch cyclicality by WSSA.

532



533

534 **Fig. 5.** 2-slice COCO analysis and 2π MTM power spectra of the detrended Th series in the studied535 section. (a) COCO spectra shown with mean sedimentation rate at 4.8 cm/kyr. (b) H_0 significance

536 level for the COCO results. (c) Number of contributing astronomical parameters. The target

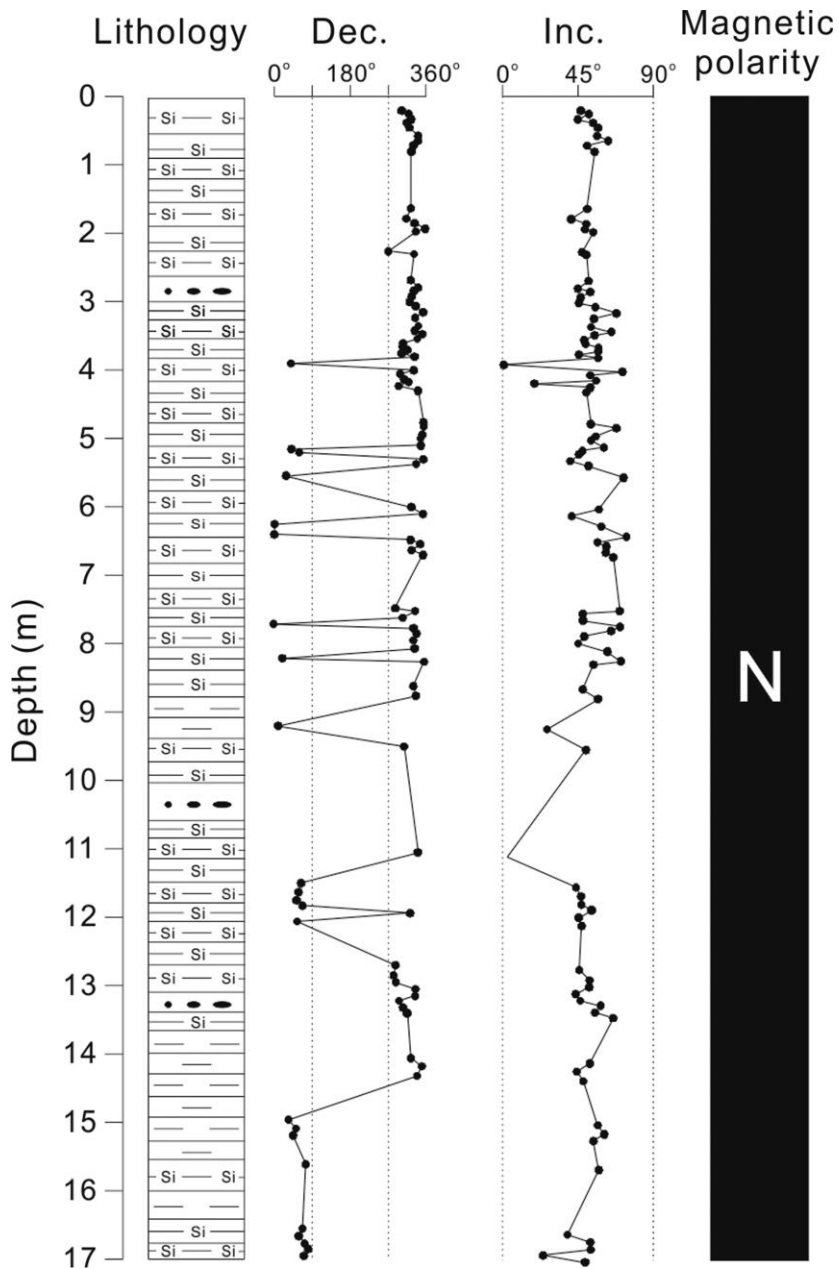
537 astronomical series using La04 solution at 18 Ma (Laskar et al., 2004). The number of Monte Carlo

538 simulations is 2000. Sedimentation rates range from 1 to 30 cm/kyr with a step of 0.1 cm/kyr. (d)

539 MTM spectra of the detrended Th series shows peaks of 5.06m, 1.12m and 0.83m, which are

540 interpreted to represent short eccentricity (e) and precession (p).

541

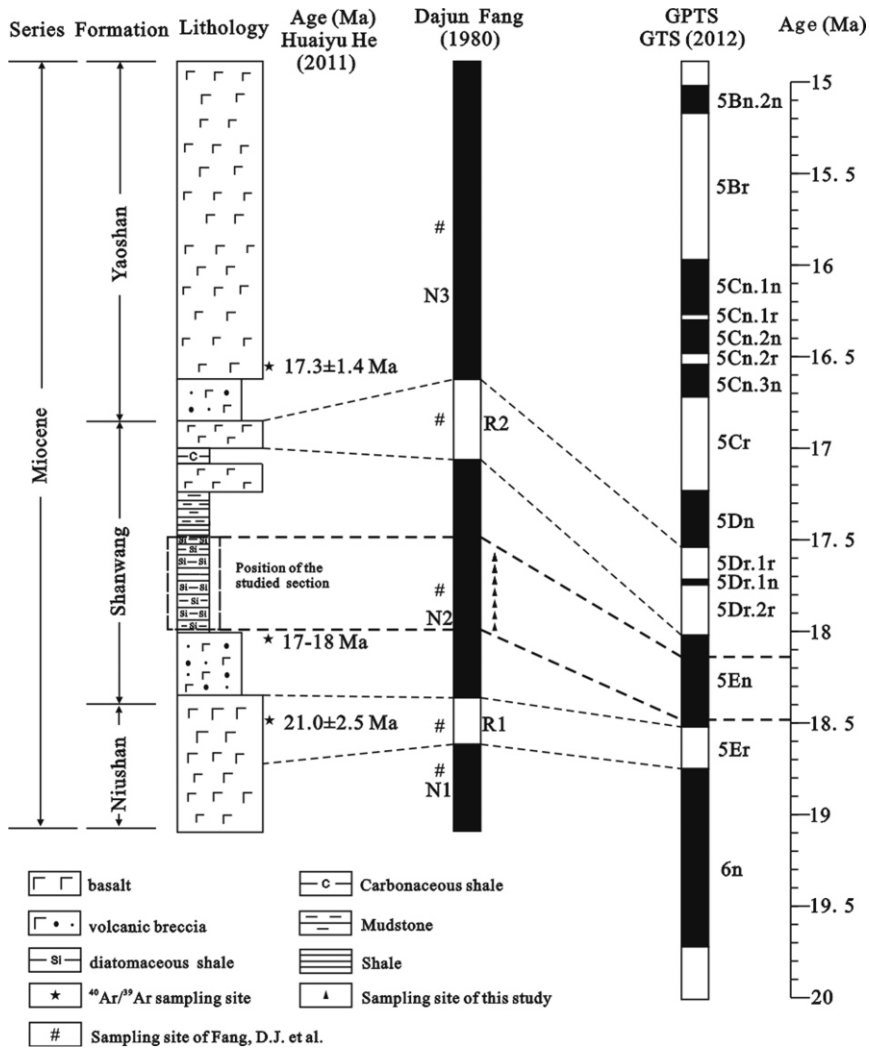


542

543 **Fig. 6.** Result of paleomagnetic analysis of the section under study. dec = declination; inc =

544 inclination.

545



546

547

Fig. 7. Age of the Shanwang Formation constrained by radiometric dating and geomagnetic data.