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# Polar amplification of Pliocene climate by elevated trace gas radiative forcing

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Warm periods in Earth's history offer opportunities to understand the dynamics of the Earth System under conditions that are simi-2 lar to those expected in the near future. The mid-Pliocene warm pe-3 riod (mPWP) from 3.3-3.0 million years ago, is the most recent time 4 when atmospheric CO<sub>2</sub> levels were as high as today. However, cli-5 mate model simulations of the Pliocene underestimate high latitude 6 warming that has been reconstructed from fossil pollen samples and other geological archives. One possible reason for this is that en-8 hanced non-CO<sub>2</sub> trace gas radiative forcing during the Pliocene, including from methane (CH<sub>4</sub>), has not been included in modelling. We 10 use a suite of terrestrial biogeochemistry models forced with mPWP 11 climate model simulations from four different climate models, to pro-12 duce the first comprehensive reconstruction of the mPWP CH<sub>4</sub> cy-13 14 cle, including uncertainty. We simulate an atmospheric CH<sub>4</sub> mixing 15 ratio of 1000-1200 ppbv, which in combination with estimates of radiative forcing from N<sub>2</sub>O and O<sub>3</sub>, contributes a non-CO<sub>2</sub> radiative forc-16 ing of 0.9  $Wm^{-2}$  (range 0.6-1.1), which is 43% (range 36-56%) of the 17 CO<sub>2</sub> radiative forcing used in mPWP climate simulations. This addi-18 tional forcing would cause a global surface temperature increase of 19 0.6-1.0 °C, with amplified changes at high-latitudes, improving agree-20 ment with geological evidence of mid-Pliocene climate. We conclude 21 that natural trace gas feedbacks are critical for interpreting climate 22 warmth during the Pliocene and potentially many other warm phases 23 of the Cenezoic. These results also imply that using Pliocene CO<sub>2</sub> 24 and temperature reconstructions alone, may lead to overestimates 25 of the "fast" or "Charney" climate sensitivity. 26

Methane | Pliocene | GCM | Trace gas | Biogeochemistry | Wetland

#### 1 1. Introduction

The mid-Pliocene warm period around 3.3-3.0 million years ago 2 was the last period in Earth's history when atmospheric CO<sub>2</sub> 3 was comparable to today's level, at approximately 400 ppmv 4 (1–4). The mPWP could therefore provide useful information 5 on the response of the Earth System to greenhouse gas-induced warming, that is relevant to the future evolution of the Earth System under continued anthropogenic greenhouse gas emis-8 sions (5–9). According to syntheses of reconstructed surface 9 temperatures from geological archives on land (10) and in 10 the ocean (11, 12), the Earth was globally warmer than the 11 pre-industrial, with a significant polar amplification especially 12 in the Northern Hemisphere. The warmer conditions acted to 13 reduce global ice volume, so that sea-level was around 20 m 14 higher than present (13-15). 15

The Pliocene Model Intercomparison Project (PlioMIP) is a co-ordinated study of climate model responses to Pliocene boundary conditions (16, 17) aimed at quantifying the un-18 derlying drivers of warmth during this time and to better 19 understand the Earth System response to an atmospheric  $CO_2$ 20 concentration of ~400 ppmv. The results from the first phase 21 (PlioMIP1) showed that coupled general circulation models 22 (GCMs) failed to reproduce high-latitude warming seen in 23 reconstructions (16). Several hypotheses could explain why 24 the model simulations underestimate warming, including the 25 role of orbitally induced climate variability (16), the configura-26 tion of ocean gateways and palaeogeography (e.g. 18, 19) and 27 radiative forcing from trace gases other than  $CO_2(20)$ . Whilst 28 several studies have addressed the first three possibilities, the 29 contribution of the atmospheric methane, nitrous oxide and 30 ozone  $(CH_4, N_2O, O_3)$  have not been considered together. 31

At present CH<sub>4</sub> is the second most important anthropogenic 32 greenhouse gas after  $CO_2$  (21). Its nearly three-fold concentra-33 tion increase since CE 1750 is responsible for approximately 34 25% of the greenhouse gas radiative forcing. We know that 35 variations in CH<sub>4</sub> are huge in intensity and abrupt during 36 the Anthropocene relative to the past several thousand years. 37 Before widespread direct atmospheric monitoring, CH<sub>4</sub> could 38 only be traced through air bubbles trapped in polar ice-cores. 39

### **Significance Statement**

Warm periods in Earth's history provide the only empirical evidence of how the climate system responds to raised atmospheric carbon dioxide  $(CO_2)$  levels. The mid-Pliocene, 3.3-3.0 million years ago, was the last time when  $CO_2$  levels were as high as today. However, climate model simulations of the Pliocene underestimate the warming that has been reconstructed from geological archives. Using a numerical model of the global methane cycle we show that the inclusion of enhanced concentrations of non- $CO_2$  trace gases, could have been responsible for an additional warming of 0.6-1.0°C, with larger increases over northern landmasses. These findings demonstrate the importance of trace gas climate forcing both for the Pliocene and potentially warm periods during much of Earth's recent history.

POH, GR, and PJV conceived research. POH developed offline models for wetlands, soil NOx, termites. TP and POH carried out LPJ-GUESS simulations, NT, CC, SJH ran GCM simulations. FM ran soil CH<sub>4</sub> uptake model simulations. All authors contributed to interpreting the results and writing the manuscript.

The authors declare no conflict of interest.

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 $_{40}$  These ice-core records provide robust evidence that CH<sub>4</sub> is

41 very sensitive to climate, with higher values during warmer pe-

riods, and with large-amplitude rapid variations during abrupt
climate events (22, 23). Nitrous oxide (N<sub>2</sub>O) also displays sim-

 $^{44}$  ilar characteristics (24), whilst O<sub>3</sub> and many other important

atmospheric constituents (e.g. OH) cannot be reconstructed

<sup>46</sup> from ice-core gas samples. Before 800,000 years ago, variations

 $_{47}$  in all trace gases with the exception of  $CO_2$ , are essentially

unknown, and must therefore be simulated using models (e.g.
25).

Beerling et al. (2009) simulated enhanced wetland emissions 50 during the Pliocene but did not link this with other methane 51 sources or sinks(26). Unger & Yue, 2014(20) (UY14 hereafter) 52 simulated an increase in  $CH_4$  lifetime by 23-31% during the 53 Pliocene (for  $CH_4=1000 - 2000$  ppbv) and an increase in tropo-54 spheric  $O_3$  by 21-25% which contributed a radiative forcing of 55 approximately  $0.3 \text{ Wm}^{-2}$ . However, this CH<sub>4</sub> lifetime increase 56 is partly caused by the self-feedback (e.g. 27), a function of 57 the prescribed  $CH_4$  concentration in the model. Other than a 58 model study of the Eocene and late Cretaceous super-warm 59 periods (28), there is no comprehensive understanding of how 60 trace gases may have affected pre-Quaternary warm periods 61 like the Pliocene. 62

We address this with an ensemble of terrestrial biogeochem-63 istry simulations for the Pliocene. In combination with climate 64 fields from several GCM simulations of the Pliocene we develop 65 the first estimate of the Pliocene global CH<sub>4</sub> source, CH<sub>4</sub> sinks 66 67 and thereby the CH<sub>4</sub> concentration, and CH<sub>4</sub> radiative forcing, including uncertainty. We combine these with estimates of 68  $N_2O$  and  $O_3$  radiative forcing to evaluate for the first time 69 how all of these trace gases may have determined the climate 70 during the Pliocene. 71

#### 72 2. Results

A. Emissions of CH<sub>4</sub> and Other Trace Gases. Our approach 73 follows that used for modelling of the Quaternary CH<sub>4</sub> varia-74 tions (25, 29, 30). We drive the LPJ-GUESS dynamic global 75 vegetation model (31, 32) with climate simulations from four 76 models used in the Pliocene Model Intercomparison Project 77 phases 1 and 2 and simulate the key trace gas emissions at 78 the land surface, including CH<sub>4</sub>, emissions from wildfires, non-79 methane biogenic volatile organic compounds (NBVOCs) from 80 vegetation and soil nitrogen oxides (NOx), see SI Appendix, 81 Fig. S1. Assuming that the Pliocene is a quasi-equilibrium 82 83 climate state, we do not account for any increased emissions 84 from marine clathrates or permafrost which are likely more sensitive to abrupt warming (33). We use PlioMIP phase 85 1 (experiment 2) simulations (34) with CCSM4, GISS-E2-86 R and IPSL-CM5A-LR and PlioMIP phase 2 ( $Eoi^{400}$ ) (16) 87 simulations with IPSL-CM5A-LR and HadCM3-M21. In all 88 Pliocene climate model simulations the orography, land-ice 89 and vegetation are based on geological reconstructions for the 90 Pliocene (35, 36) and the mixing ratio of atmospheric  $CO_2$ 91 is increased from 280 ppbv in the pre-industrial to 400 or 92 405 ppbv. We also incorporate HadCM3 PlioMIP phase 2 93 simulations performed with atmospheric  $CO_2$  mixing ratios of 94 450 (50) and 490 ppmv which are labelled Eoi<sup>450</sup> and Eoi<sup>490</sup>. 95 respectively. All climate simulations are described in Materials 96 and Methods. 97

We estimate the  $CH_4$  lifetime and the resultant concentration of  $CH_4$  using a simplified offline one-box model of the atmospheric CH<sub>4</sub> chemistry (37) and radiative forcing (38). With this we do not resolve atmospheric transport or detailed chemical pathways, but we are able to quantify uncertainty by sampling the model stochastically. See Methods and Supporting Information SI Appendix, Table S2 for full details of all models used.

All CH<sub>4</sub> emissions increase in response to the simulated 106 Pliocene climate conditions (see SI Appendix, Table S1). De-107 spite warmer and in many places wetter conditions (see SI 108 Appendix, Fig. S2), wetland area increases only marginally, 109 but the emissions increase by 20-46%. Emissions increase no-110 tably in the Sahel region of North Africa in all models, and in 111 South Africa in all models except GISS. Elsewhere, emissions 112 increase in South East Asia in all models except GISS and 113 Australia in all models except CCSM4 and GISS. GISS shows 114 the largest emissions increase of all models over the Amazon, 115 whereas both IPSL simulations show a slight reduction in 116 this region. A factor separation approach summarised in SI 117 Appendix, Table S3, demonstrates that increased Pliocene 118 temperature enhance emissions in all models. In HadCM3 119 and GISS wetter soils also promote emissions, but are less 120 important in the other GCMs. Soil carbon stocks act to re-121 duce emissions in HadCM3, and increase emissions in CCSM4, 122 but have much less impact in the other models. Wildfire, 123 and termite emissions also increase by 50-83% and 21-37%, 124 respectively and soil uptake (at  $pCH_4=715$  ppmv) increases by 125 8-27%. Isoprene emissions increase by 5-40% and monoterpene 126 changes by -9 to +7%. These ranges span the different climate 127 models used to drive each emissions scheme. 128

B. CH<sub>4</sub> Chemical Lifetime and Radiative Forcing. Although in-129 creased emissions will translate into elevated atmospheric con-130 centration, the concentration is also dependent on the lifetime, 131 which is influenced by other trace gas emissions and the phys-132 ical state of the atmosphere. The change in CH<sub>4</sub> lifetime, 133 Pliocene minus pre-industrial, is summarised for each GCM 134 in figure 1. Increased CH<sub>4</sub> emissions from wetlands, wildfires 135 and termites contribute a positive lifetime anomaly through 136 the self-feedback effect. Additional NMVOC emissions (CO 137 and isoprene) from wildfires and vegetation enhance the life-138 time slightly. The higher global temperatures and associated 139 humidity increase act to offset some of this lifetime increase 140 in each model. This is because the reaction rate of CH<sub>4</sub> with 141 OH scales with temperature and the production of OH which 142 oxidises CH<sub>4</sub>, increases with water vapour availability and 143 hence temperature (e.g. 37, 39). BVOCs are the most model-144 dependent term, with a strong increase in emissions during 145 the Pliocene in IPSL and HadCM3 but not in the other two 146 GCMs. This is most likely a result of the differences in the 147 response of the tropical hydrological cycle. Soil NOx shows 148 agreement across the four climate models. The results are 149 also in agreement with the results of UY14. Lightning NOx 150 increase and increased  $O_3$  in the troposphere (both taken from 151 UY14) have opposing influences on the Pliocene lifetime. 152

As the CH<sub>4</sub> lifetime is dependent on the CH<sub>4</sub> level, there is a non-linearity which means that the total change in lifetime does not equal the sum of these individual terms. The net change is positive for HadCM3 and both IPSL simulations and very weakly negative in the other two models. This is mostly due to the much stronger BVOC emission term in the former two models. 159

The results of the sampling of the total Pliocene minus 160

<sup>161</sup> pre-industrial CH<sub>4</sub> radiative forcing are shown in figure 2. <sup>162</sup> The mean±1 standard deviation anomalies are 0.16±0.02, <sup>163</sup> 0.20±0.03, 0.21±0.03 and 0.33±0.05 Wm<sup>-2</sup> for CCSM4, GISS, <sup>164</sup> IPSL and HadCM3, respectively. The combined mean and <sup>165</sup> 1 $\sigma$  range is 0.22±0.07 Wm<sup>-2</sup>. The total uncertainty in this <sup>166</sup> estimate is dominated by the choice of climate simulation.

#### 167 3. Discussion

A. Total Radiative forcing. The global mean radiative forcing 168 due to the  $CO_2$  concentration of 400 ppm during the Pliocene 169 is around  $2.0\pm0.3$  Wm<sup>-2</sup>. We approximate N<sub>2</sub>O radiative 170 forcing based on natural variations in these three greenhouse 171 gases through the late Quaternary as 15% of the combined 172  $CO_2$  and  $CH_4$  radiative forcing(40). Radiative forcing by  $O_3$ 173 is 0.29  $\text{Wm}^{-2}$  as simulated for CH<sub>4</sub>=1000 ppbv by UY14(20). 174 This positive radiative forcing is partly due to a simulated 175 increase in emissions of O<sub>3</sub> precursors, consistent with our 176 simulated emissions (SI Appendix, Table S1). The uncertainty 177 on the  $O_3$  radiative forcing is calculated by scaling with the 178 CH<sub>4</sub> concentration, which in three sensitivity simulations by 179 (20) shows an approximately logarithmic dependence.  $O_3$ 180 is also created as a by-product of CH<sub>4</sub> emissions, thus it is 181 partially included in the  $O_3$  radiative forcing and in our indirect 182 CH<sub>4</sub> radiative forcing. Hence we reduce our total forcing using 183 the combined factor for  $O_3$  radiative forcing from  $CH_4$  as in SI 184 Appendix, Table S4, to avoid double counting. Together with 185 a CH<sub>4</sub> radiative forcing, we derive an approximate radiative 186 forcing due to other non- $CO_2$  well-mixed GHGs of 0.8 (0.62-187 1.02)  $Wm^{-2}$  as summarized in table 1. 188

The rate of methane radiative forcing increase per degree 189 of global mean temperature change (see also 41) is a useful 190 property to compare time periods or models. We denoted 191 this as  $\gamma_{fCH4}$  and it ranges from 89-113 mWm<sup>-2</sup>/K across 192 the 5 climate model simulations, with the lowest value sim-193 ulated by CCSM4 and the highest by HadCM3. This range 194 slightly exceeds the observed value of 68 (57-85)  $\mathrm{mWm^{-2}/K}$ 195 derived from the last glacial maximum to the late-Holocene 196 pre-industrial (22, 42). It is consistent with simulated values 197 of 97-119  $\mathrm{mWm^{-2}/K}$  for the Early Eocene and 89  $\mathrm{mWm^{-2}/K}$ 198 for the Late Cretaceous calculated with a coupled climate-199 chemistry modelling framework (28), for which the direct 200 radiative forcing values have been augmented here following a 201 recent update (38). 202

The Pliocene climate may have induced changes in the 203 204 emissions and lifetime of natural aerosols. UY14 simulated a net cooling by nitrate, particulate organic matter and bio-205 genic secondary organic aerosols (SOA) and a warming effect 206 from black carbon, leading to a negative aerosol forcing of 207  $-0.4 \text{ Wm}^{-2}$  (20). We simulate smaller changes in emissions 208 from biomass burning (+50-84% versus a +101% by UY14)209 and BVOCs (precursors of SOA: +5-40% versus +50% by 210 UY14), and so this would likely equate to a smaller net forcing. 211 Other aerosol changes may have a warming effect. For exam-212 ple mineral dust levels are generally lower in warm climates, 213 214 and deserts contracted during the Pliocene (10). Interactions of CH<sub>4</sub> with nitrate and sulphate can also enhance radiative 215 forcing (43). Pliocene aerosol effects therefore require further 216 study. 217

The climate simulations could be benchmarked with Pliocene climate reconstructions. Though no global compilations of precipitation change are available for the Pliocene, individual records can provide informative constraints. The 221 West African monsoon was probably stronger during the mid-222 Pliocene even when compared to the early to mid-Holocene 223 (44). There was also a general drying trend in East Africa 224 during the Pliocene (45), which if continued to the present, 225 implies wetter conditions during the mid-Pliocene. Both of 226 these features are most faithfully reproduced in HadCM3 and 227 GISS and the Eoi<sup>400</sup> IPSL simulation (see SI Appendix, Fig. 228 S2). In Asia, a reconstructed near-doubling of precipitation in 229 Southern China (46) is replicated in IPSL (Eoi<sup>400</sup> simulation) 230 and by HadCM3 but not the other models. Only HadCM3 and 231 CCSM4 simulate wetter conditions reconstructed for the early 232 to mid-Pliocene in Australia (47). One of the regions of largest 233 inter-model spread in precipitation is tropical South America, 234 but to our knowledge quantitative precipitation records have 235 yet to be produced. 236

HadCM3 has the strongest warming globally and in partic-237 ular over most land masses and over the North Atlantic, where 238 most other models significantly underestimate reconstructed 239 warming (6). HadCM3 model also shows among the best 240 agreement with the available temperature reconstructions(10). 241 This lends support to the stronger methane forcing that is sim-242 ulated with the HadCM3 climate drivers, since the enhanced 243 warming, particularly over land and associated hydrological 244 cycle changes, promote trace gas emissions. A global biome 245 reconstruction could also provide some measure to discern 246 between simulations (48), but this is initially derived from a 247 simulation with HadCM3, and so is very likely biased towards 248 the climatic response of this model. 249

B. Likely non-CO<sub>2</sub> Climatic Response. Our modelling study 250 probably underestimates the true magnitude of the Pliocene 251 methane cycle feedback, because the climate and methane cycle 252 are not coupled. The feedback of methane radiative forcing 253 via climate to methane emissions is not complete, and all of 254 the simulated climates underestimate mid- and high-latitude 255 warming over land considerably (10). Furthermore, our study 256 has a relatively modest change in wetland area compared with 257 modelling studies of earlier warm periods (26, 28, 49), and 258 so the resultant radiative forcing is potentially a conservative 259 prediction. Any additional warming resulting from the higher 260 concentrations of trace gases including methane, would further 261 perturb the sources and atmospheric chemistry. Using the 262 upper and lower limits for the  $\gamma_{fCH4}$ , we can approximate 263 this. The trace gas forcing-induced warming would lead to 264 an additional methane feedback bringing the total Pliocene 265 methane radiative forcing to 0.62-1.1  $Wm^{-2}$ . These total non-266  $CO_2$  values are 33-56% of the  $CO_2$  radiative forcing, with a 267 central estimate of 0.9  $\mathrm{Wm}^{-2}$  or 43% of the CO<sub>2</sub> forcing. This 268 would cause a warming of 0.6-1.0 °C (see table 1). 269

This is an important additional warming signal given that 270 PlioMIP phase 1 GCMs forced only with increased CO<sub>2</sub> show 271 a response of  $2.7 \pm 0.8$  K (16). To better understand the 272 regional impacts of this additional radiative forcing we analyse 273 mid-Pliocene simulations from HadCM3 with prescribed levels 274 of atmospheric  $CO_2$ . The  $CO_2$  radiative forcing differences 275 relative to the default  $\mathrm{Eoi}^{400}$  are approximately 0.66  $\mathrm{Wm}^{-2}$ 276 for the increase by 50 ppmv and  $1.1 \text{ Wm}^{-2}$  for the increase 277 to 490 ppmy. These encompass the range of non-CO<sub>2</sub> GHG 278 radiative forcing we calculated  $(0.62-1.1 \text{ Wm}^2)$ . The effective 279 radiative forcing (or the temperature change per unit increment 280 of radiative forcing) is actually higher for CH<sub>4</sub> and N<sub>2</sub>O than 281 for  $CO_2$ , and it is lower for  $O_3$  (51). For simplicity here we assume that they are all equal.

The Pliocene HadCM3 simulations with differing  $CO_2$  con-284 centrations show significant polar amplification, especially in 285 the Northern hemisphere and around the Atlantic see figure 286 3. The Eoi<sup>450</sup> and Eoi<sup>490</sup> simulations show relatively muted 287 warming signal in the circum-Atlantic, because of a reduction 288 in heat transport in the Atlantic (50). The upper-end of our 289 estimated radiative forcing, represented by the Eoi<sup>490</sup> simu-290 lation, is shown in figure 3b. This estimated non-CO<sub>2</sub> GHG 291 radiative forcing (figure 3c) causes high-latitude temperatures 292 to increase by around 1-2.5°C over land and by 1-2°C over 293 the ocean surface (figure 3 and see SI Appendix, Fig. S3). 294 This does not eliminate the large mismatches found over high 295 latitude Asia (figure 3c,d) and in the North Atlantic (see SI 296 Appendix, Fig. S3), but it improves the comparison with the 297 temperature reconstructions by (10) as shown in figure 3d. 298 The distribution of errors is shifted from having a significant 299 probability over the range -5 to -1°C to being approximately 300 centred on 0°C. For HadCM3, both the smaller and larger in-301 creases in radiative forcing improve the model-data agreement, 302 except for sites with very high temperatures at high-latitudes. 303 The very high temperatures in high-latitude regions would 304 very likely further enhance trace gas emissions, especially CH<sub>4</sub> 305 from wetlands, and so reinforce the positive radiative forcing. 306

Reasons for the enduring high-latitude discrepancies might 307 include seasonal bias in reconstructions over land or ocean 308 (52), and long-term trends in seawater chemistry (53). If the 309 reconstructions capture peak warmth during orbital cycles, 310 this may not be adequately captured by climate model simula-311 tions with pre-industrial orbital configuration (54), and global 312 assemblages of reconstructions may capture different phases of 313 Earth's orbit in different locations (10), further complicating 314 comparisons with simulations. Terrestrial reconstructions are 315 also potentially influenced by the dependence of plant water-316 use efficiency on atmospheric  $CO_2$ , and changes in seasonality 317 and the frequency of extreme events (55). However, a general 318 underestimation in polar amplification of past warm states, 319 could signify systematic problems with climate model parame-320 terisations of clouds (56, 57) and/or aerosol-cloud interactions 321 (58).322

These model results allow an estimate of  $S_{LI,GHG}$ , the 323 temperature response per unit of radiative forcing due to ice-324 sheets and sea-level (LI) and due to greenhouse gases (GHG) 325  $(K/Wm^{-2})$ , where  $S_{LI,CO_2}$  was possible until now (4). Sev-326 eral studies have considered the Earth System Sensitivity, the 327 long-term temperature response to changes in radiative forc-328 ing, incorporating vegetation and ice-sheet responses (5). Our 329 central estimate of 43% non-CO<sub>2</sub> greenhouse gas radiative 330 forcing would reduce values estimated from Pliocene temper-331 ature reconstructions from around 9°C (59) to 6.5°C. This 332 is still much larger than predicted based on "fast" feedbacks 333 in the climate system, and is therefore potentially consistent 334 with irreversible long-term planetary warming, should we fail 335 to limit warming this century to  $1.5^{\circ}$ C (8). 336

#### 337 4. Conclusions

The mid-Pliocene is a critically important past time period to understand because levels of atmospheric CO<sub>2</sub> were very likely as high as today's anthropogenically perturbed levels, at around 400 ppmv. The Pliocene therefore offers unique insight into a warmer Earth system at equilibrium (5, 6). 342 CH<sub>4</sub> is the second most important anthropogenic greenhouse 343 gas today, but beyond the ice-core era to 800 ka BP, it has 344 been largely ignored. Whereas for  $CO_2$ , proxies have been 345 developed to reconstruct its evolution for deep times, no such 346 proxy exists for CH<sub>4</sub>. We used vegetation model simulations 347 and an offline CH<sub>4</sub> budget model to produce a comprehensive 348 set of simulations quantifying the likely CH<sub>4</sub> emissions, life-349 time and concentration during this time period, investigating 350 changes of methane sources and sinks but excluding abrupt 351 releases derived from clathrates or permafrost degradation. 352 We show that there is a direct net positive radiative forcing 353 of  $0.22 \text{ Wm}^{-2}$  (range 0.1-0.45), which when combined with 354 estimates of radiative forcing from N<sub>2</sub>O and O<sub>3</sub> leads to an 355 additional radiative forcing that is between 36-56% of that 356 caused by a  $CO_2$  concentration of 400 pmv. This would likely 357 cause an additional global mean warming of approximately 358 0.6-1.0 °C at the global scale. Terrestrial trace gas radiative 359 forcing is therefore critically important for understanding the 360 reconstructed warmth of the Pliocene. We suggest that the 361 first-order estimates of these additional forcing agents could 362 help to reconcile model and proxy-based estimates of Pliocene 363 warmth and that failing to include such forcings will lead 364 to substantial biases in simulations of past climates. Their 365 omission also has consequences when trying to estimate the 366 "fast" or "Charney" climate sensitivity from paleo-data (60). 367 Such methods attempt to remove the slower "Earth System" 368 forcings such as changes in ice sheets and assume the residual 369 changes are due to the fast response to  $CO_2$ . However, these 370 estimates have not normally included non-CO<sub>2</sub> trace gases and 371 are therefore potentially overestimating the  $CO_2$  sensitivity. 372



Fig. 1. Simulated mean change in lifetime of CH<sub>4</sub> with respect to OH for the Pliocene minus the pre-industrial, net values and individual terms are shown for the five different sets of climate drivers (HadCM3-M21-Eoi<sup>400</sup>, IPSL-CM5A-PlioMIP1, ISPL-CM5A-Eoi<sup>400</sup>, GISS-E2-R-PlioMIP1, CCSM4-PlioMIP1).

#### 373 Figures.



**Fig. 2.** Estimated radiative forcing expressed as a probability density function. The uncertainty stems from (i) assumed  $\pm 20\%$  uncertainties in lightning NOx and tropospheric O<sub>3</sub> (ii) uncertainty in the parameters used to estimate OH lifetime of CH<sub>4</sub> (37), and (iii) uncertainty in the total radiative forcing due to CH<sub>4</sub> (37, 38). The  $\pm 1 \sigma$  uncertainty is shown above each curve. Dashed lines represent first two factors and solid bars underneath include all three sources of uncertainty.



Fig. 3. Simulations with HadCM3-M21 and reconstructions of Pliocene near-surface air temperature change ( $\Delta$ T) relative to the pre-industrial (K). (a) Simulated (50) and reconstructed (10)  $\Delta$ T for Eoi<sup>490</sup> minus pre-industrial, (b) simulated  $\Delta$ T for Eoi<sup>490</sup> - Eoi<sup>400</sup>, (c) latitudinal comparison of the reconstructed and simulated temperature anomalies, (d) histogram of model minus reconstruction temperature anomalies.

#### Materials and Methods

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A. Climate simulations. We use climate simulations from four GCMs: 377 HadCM3-M21, IPSL-CM5A-LR, CCSM4 and GISS-E2-R. These 378 GCMs are compared briefly in SI Appendix, Table S2. They span 379 a reasonable range of changes for Pliocene and incorporate either 380 PlioMIP phase 1 experiment 2 (34, 61) or PlioMIP phase 2 bound-381 ary conditions for the Pliocene (17). In all Pliocene simulations, the 382  $CO_2$  mixing ratio is increased from 280 ppmv in the pre-industrial to 383 400 (PlioMIP 2) or 405 ppmv (PlioMIP1). The remaining Pliocene 384 boundary conditions are based on geological evidence for orography, 385 land-ice, vegetation and soils, or are left unchanged at pre-industrial 386 settings. For land-ice, the volume of the Greenland ice-sheet is 387 reduced and the West Antarctic ice-sheet is removed. Eustatic sea-388 level is 25 m above modern. The global vegetation reconstruction 389 prescribes a northwards extension of forests causing a reduction in 390 the area of tundra, whilst deserts are partly replaced by savannah 391 and forest, particularly in the Sahel (10). In PlioMIP phase 1 simula-392 tions orography increases over the Rockies and East Antarctica, but 393 these are changes are smaller in PlioMIP phase 2 for which both the 394 395 Canadian Archipelago and Bering Strait are closed. The remaining model boundary conditions, including orbital configuration, solar 396 constant, mixing ratios of CH<sub>4</sub>, N<sub>2</sub>O and O<sub>3</sub> and aerosols are as 397 used in the relevant pre-industrial setup. 398

Table 1. Pliocene radiative forcing,  $CH_4$  cycle sensitivity and predicted non- $CO_2$ -forced warming from this study and past work.

|   | Mean | Range     | Reference                |
|---|------|-----------|--------------------------|
| Radiative forcing (Wm <sup>-2</sup> )   |      |           |                          |
| CO <sub>2</sub>   | 2.0  | -         | Haywood et al. 2013(17)  |
| CH <sub>4</sub>   | 0.22 | 0.10-0.43 | This study               |
| N <sub>2</sub> O  | 0.33 | 0.32-0.36 | This study               |
| O <sub>3</sub>  | 0.29 | 0.28-0.36 | Unger & Yue, 2014(20)    |
| Total GHG   | 2.80 | 2.67-3.02 | This study               |
| Total non-CO <sub>2</sub>   | 0.80 | 0.67-1.02 | This study               |
| with self-feedback  | 0.86 | 0.62-1.12 | This study               |
| % of CO <sub>2</sub>  | 43 % | 36-56 %   | This study               |
| CH <sub>4</sub> cycle sensitivity to temperature (mWm <sup><math>-2</math></sup> K <sup><math>-1</math></sup> ) |      |           |                          |
| Pliocene (3.3-3.0 Ma BP)  | 100  | 89-114    | This study               |
| Early Eocene (55 Ma BP)   | 109  | 97-120    | Beerling et al. 2011(28) |
| Cretaceous (90 Ma BP)   | 89   | -         | Beerling et al. 2011(28) |
| LGM (21 ka BP)  | 68   | 57-85     | Loulergue et al. 2008;   |
|   |      |           | Annan & Hargreaves, 2013 |
|   |      |           | (22, 42)                 |
| Global mean temperature change (°C)   |      |           |                          |
| Warming (non-CO <sub>2</sub> )  | 0.7  | 0.6-0.9   | This study               |
| with self-feedback  | 0.7  | 0.6-1.0   | This study               |

In PlioMIP1 phase 1, the boundary conditions are referred to as 399 experiment 2 for coupled atmosphere-ocean simulations (34, 61). In 400 PlioMIP phase 2 the nomenclature used is  $Ex^c$ , where x can include 401 'o' and/or 'i' to signify whether or not the orography and land-ice 402 respectively are based on Pliocene geological reconstructions, and 'c' 403 is the prescribed Pliocene  $CO_2$  mixing ratio (17). Since the default 404 PlioMIP phase 2  $CO_2$  mixing ratio is 400 ppmv, this simulation is 405 labelled Eoi<sup>400</sup>. We also make use of simulations with HadCM3-406 M21 in which the CO<sub>2</sub> mixing ratio is set to 450 or 490 ppmv, and these are labelled  $\text{Eoi}^{450}$  and  $\text{Eoi}^{490}$ , respectively. The global 407 408 mean radiative forcing difference relative to the standard Eoi<sup>400</sup> 409 simulation is therefore approximately 0.7 and 1.1  $\rm Wm^{-2},$  for Eoi^{450} 410 and Eoi<sup>490</sup>, respectively. These increases therefore encompass the 411 upper and lower end of our estimated additional non-CO<sub>2</sub> radiative 412 forcing listed in table 1. 413

B. Vegetation model simulations. We simulate the land surface with 414 the dynamic stand-based global vegetation model LPJ-GUESS v3 415 (31, 32). LPJ-GUESS was forced with repeating 30 years of monthly 416 fields from the four GCMs listed above. A 500 year spin up to equi-417 librium was conducting using repeating the 30-year climate data 418 prior to each 30 year simulation. We expand the land-sea-mask 419 using coastlines from PlioMIP phase 2(17), including a partially 420 deglaciated Greenland. The soil type over new land points is ex-421 trapolated from nearby existing points using the default soil type in 422 LPJ-GUESS. CO<sub>2</sub> was set to 280 and 400 ppmv for pre-industrial 423 and Pliocene simulations, respectively. 424

C. Trace gas emissions. Wetland area is calculated from the GCM 425 soil moisture using a TOPMODEL approach (62), in which the frac-426 tional area of inundation is calculated from the probability density 427 function within each gridcell as derived from global high-resolution 428 topographic data. CH<sub>4</sub> emissions are a function of microbial activity 429 i.e. temperature, available substrate and wetland area (63). Total 430 pre-industrial emissions are scaled to 140  $TgCH_4/yr$  for each model, 431 consistent with the observed methane isotope and concentration 432 measurements (64). 433

Termite CH<sub>4</sub> emissions are calculated from the LPJ-GUESS simulated plant functional types (PFTs) coverage and observed emission per biome type (30, 65). Isoprene and monoterpene emissions and biomass burning are represented with process-based schemes coupled within LPJ-GUESS (66–68). We estimate soil emissions of nitrogen oxides (NOx) using a recently developed semi-emipirical scheme(69). 434

D. CH<sub>4</sub> chemical lifetime and radiative forcing. We calculate the up-441 442 take of  $CH_4$  in soils with a process-based model (70) driven with climatologies from the GCMs. For the OH lifetime  $\tau$ , we inte-443 grate the Pliocene changes in emissions of all of the above species 444 445 as well as atmospheric conditions and composition. As a full 3D chemistry-transport simulation is extremely computationally expen-446 sive, it would limit the evaluation of uncertainty. Hence we employ 447 a parametric model (37) which is calibrated with three coupled 448 climate-chemistry transport models. This model is described in the 449 450 SI

We combine the CH<sub>4</sub> source estimates with the lifetime calcula-451 452 tion in a global-mean budget calculation  $B = S \times \tau$ , where B is the atmospheric CH<sub>4</sub> burden in Tg, S is the global mean CH<sub>4</sub> source 453 and  $\tau$  is the lifetime in years (64). The budget equation is combined 454 455 with the parametric lifetime model and the soil uptake, which is multiplied by the resultant concentration divided by pre-industrial 456 value. This is solved iteratively, to account for the self-feedback of 457 CH<sub>4</sub> on lifetime and soil uptake. 458

The methane radiative forcing is a combination of direct and indirect components which are given in the SI Appendix, Table S4. The total is  $0.8 \pm 0.09 \text{ Wm}^{-2}$  per 1000 ppbv increase in atmospheric CH<sub>4</sub>.

To account for uncertainties, we prescribe a standard deviation of  $\pm 20\%$  for lightning NOx and stratospheric O<sub>3</sub>, which are not simulated directly. We include uncertainties inherent in the CH<sub>4</sub> lifetime and radiative forcing calculations as described in the SI and and example is shown in SI Appendix, Table S5. The calculations are sampled with 10,000 evaluations of the iterated global CH<sub>4</sub> budget formulation.

E. Data availability. GCM simulation output for the pre-industrial 470 simulations are available from Earth System Grid Federation CMIP5 471 archive. Pliocene simulations can be obtained through the PlioMIP 472 project. Pliocene geographic boundary conditions used in this 473 study are available from PRISM https://geology.er.usgs.gov/egpsc/ 474  $\mathsf{prism}/4\_\mathsf{data.html}.$  LPJ-GUESS model outputs and all model code 475 developed in this study have been archived on figshare: dx.doi. 476 org/10.6084/m9.figshare.12302201 (piControl simulations), dx.doi.org/ 477 10.6084/m9.figshare.12302216 (PlioMIP1 simulations), dx.doi.org/10. 478 479 6084/m9.figshare.12302228 (PlioMIP2 simulations) and dx.doi.org/10. 6084/m9.figshare.12344027 (emissions and lifetime code). The source 480 code for LPJ-GUESS v4.0 can be obtained on request through 481 Lund University (web.nateko.lu.se/lpj-guess). HadCM3-M21 Eoi<sup>490</sup> 482 climate fields are archived on figshare: dx.doi.org/10.6084/m9.figshare. 483 12630356 484

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